

Disclaimer

The following report(s) provides findings from an FDA-initiated query using its Mini-Sentinel pilot. While Mini-Sentinel queries may be undertaken to assess potential medical product safety risks, they may also be initiated for various other reasons. Some examples include determining a rate or count of an identified health outcome of interest, examining medical product use, exploring the feasibility of future, more detailed analyses within Mini-Sentinel, and seeking to better understand the capabilities of the Mini-Sentinel pilot.

Data obtained through Mini-Sentinel are intended to complement other types of evidence such as preclinical studies, clinical trials, postmarket studies, and adverse event reports, all of which are used by FDA to inform regulatory decisions regarding medical product safety. The information contained in this report is provided as part of FDA's commitment to place knowledge acquired from the Mini-Sentinel pilot in the public domain as soon as possible. Any public health actions taken by FDA regarding products involved in Mini-Sentinel queries

FDA wants to emphasize that the fact that FDA has initiated a query involving a medical product and is reporting findings related to that query does not mean that FDA is suggesting health care practitioners should change their prescribing practices for the medical product or that patients taking the medical product should stop using it. Patients who have questions about the use of an identified medical product should contact their health care

The following report contains a description of the request, request specifications, and results from the modular

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Overview for Request MSY4_MPR32_v1

Request ID: MSY4_MPR32_v1

Request Description: This report investigates the frequency of international normalized ratio (INR) laboratory results that occur within 30 days of incident and prevalent warfarin use. The query was run using the Mini-Sentinel Distributed Database (MSDD).

Modular Program Tool Used: Modular Program #6 (MP6)

Data Source: Data from October 1, 2010 - December 31, 2012 from 11 Data Partners contributing to the MSDD were included in this report. This request was distributed to Data Partners on May 3, 2013. This report contains data from 11 Data Partners. See Appendix A for dates of available data for each Data Partner.

Study Design: This request was designed to investigate the frequency of INR laboratory results that occur within 30 days of incident and prevalent warfarin use. Results provide counts of warfarin users stratified by number of results available in the MSDD for INR tests. Warfarin use was considered new if the member did not have any warfarin use in the prior 183 or 365 days, depending on the specified washout period. Laboratory results were considered new if the member did not have an INR in the previous day. See Appendix B for a list of generic and brand names used to define warfarin use in this report.

Cohort Eligibility Criteria: Patients were required to be continuously enrolled in plans for either 183 days or 365 days prior to their dispensing date, depending on each scenario, during which gaps in coverage of up to 45 days were allowed.

Limitations: Algorithms to define exposures are imperfect and, therefore, they may be misclassified.

Notes: Please contact the Sentinel Operations Center Query Fulfillment Team (qf@sentinelssystem.org) for questions and to provide comments/suggestions for future enhancements to this document.

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Glossary of Terms in Modular Program 6*

Care Setting - type of medical encounter or facility where the exposure, event, or condition code was recorded. Possible care settings include: Inpatient Hospital Stay (IP), Non-Acute Institutional Stay (IS), Emergency Department (ED), Ambulatory Visit (AV), and Other Ambulatory Visit (OA).

Eligible Members - number of members eligible for an incident exposure/lookup period (defined by the exposure and event washout periods) with drug and medical coverage during the query period.

Enrollment Gap - number of days allowed between two consecutive enrollment periods without breaking a “continuously enrolled” sequence.

Incidence Type (event)- *Minimum incidence type* will consider the first exposure/lookup period in the query period as long as it is the first exposure/lookup period in the user's entire available history. *Single* and *Multiple incidence types* will use the washout period to

Incidence Type (post-event treatment)- *Minimum incidence type* considers the first event within the lookup period as long as it is the first event in the user's entire available history. *Multiple incidence type* uses the washout period to establish incidence and considers all qualifying events within the lookup period.

Inclusion/Exclusion Indicator - indicates whether condition(s) of interest are used for inclusion or exclusion criteria. A value of 1 instructs the program that members must have the condition of interest (inclusion criteria); a value of 0 instructs the program that members must not have the condition of interest (exclusion criteria).

Lookback Period Start and End - range of days relative to index that the program looks for inclusion/exclusion conditions of interest. For example, if the Inclusion/Exclusion Indicator =1, Lookback Period Start = -183 and Lookback Period End = 0, the cohort will only include members with the condition of interest present in the 183 days prior to and including the index date (the index date is day 0).

Lookup Period - fixed period of time following an incident exposure that the MP6 program searches for events of interest.

Member-Days - sum of all days a member is eligible for an incident exposure/lookup period (i.e., days that the member meets all inclusion criteria such a incidence, pre-existing condition, and enrollment requirements).

Minimum Lookup Period Duration - minimum number of enrollment days required after an incident exposure/lookup period start. For example, if the minimum duration =10, a member must have 10 or more days of continuous enrollment in drug and medical benefit coverage following the exposure/lookup period start in order for the lookup period to be included in output metrics.

New Users - number of members with incident exposure/lookup period during the query period. A user may only be counted once in a query period.

Principal Diagnosis - diagnosis or condition established to be chiefly responsible for admission of the patient to the hospital. YES will only consider diagnoses flagged as Principal.

Query Period - period in which the modular program evaluates exposures of interest.

Washout Period (event)** - number of days a user is required to have no evidence of prior exposure (drug dispensing/procedure) and continuous drug and medical coverage prior to an incident exposure/lookup period.

Washout Period (post-event treatment)** - number of days a user is required to have no evidence of a prior event (procedure/diagnosis/lab result) and continuous drug and medical coverage prior to an incident exposure/lookup period.

*all terms may not be used in this report

Table 1. Summary of Incident Warfarin Use and Subsequent International Normalized Ratio (INR) Lab Results in the Mini-Sentinel Distributed Database (MSDD) between October 1, 2010 and December 31, 2012 by Incidence Criteria and Washout Period

| | All Data Partners | Claims-Based Data Partners (N=2) | Integrated Delivery System Data Partners (N=9) |
|--|-------------------|-------------------------------------|---|
| Warfarin, Incident with Respect to Warfarin (183-Day Washout Period) | | | |
| Eligible members* | 24,800,881 | 18,248,500 | 6,552,381 |
| Member-days** | 10,970,550,474 | 7,479,952,354 | 3,490,598,120 |
| Members with incident warfarin use: | 219,672 (100%) | 185,938 (100%) | 33,734 (100%) |
| with no INR results | 171,889 (78.2%) | 165,765 (89.2%) | 6,124 (18.2%) |
| with 1 INR result | 10,642 (4.8%) | 7,517 (4.0%) | 3,125 (9.3%) |
| with 2-3 INR results | 11,738 (5.3%) | 7,371 (4.0%) | 4,367 (12.9%) |
| with ≥4 INR results | 25,403 (11.6%) | 5,285 (2.8%) | 20,118 (59.6%) |
| Warfarin, Incident with Respect to Warfarin (365-Day Washout Period) | | | |
| Eligible members* | 20,685,241 | 14,705,337 | 5,979,904 |
| Member-days** | 9,523,490,121 | 6,304,152,408 | 3,219,337,713 |
| Members with incident warfarin use: | 175,701 (100%) | 146,696 (100%) | 29,005 (100%) |
| with no INR results | 134,709 (76.7%) | 129,765 (88.5%) | 4,944 (17.0%) |
| with 1 INR result | 7,760 (4.4%) | 5,951 (4.1%) | 1,809 (6.2%) |
| with 2-3 INR results | 9,636 (5.5%) | 6,300 (4.3%) | 3,336 (11.5%) |
| with ≥4 INR results | 23,596 (13.4%) | 4,680 (3.2%) | 18,916 (65.2%) |
| Warfarin, Incident with Respect to Dabigatran and Warfarin (183-Day Washout Period) | | | |
| Eligible members* | 24,783,893 | 18,231,772 | 6,552,121 |
| Member-days** | 10,960,672,637 | 7,470,310,256 | 3,490,362,381 |
| Members with incident warfarin use: | 216,042 (100%) | 182,412 (100%) | 33,630 (100%) |
| with no INR results | 168,699 (78.1%) | 162,609 (89.1%) | 6,090 (18.1%) |
| with 1 INR result | 10,494 (4.9%) | 7,374 (4.0%) | 3,120 (9.3%) |
| with 2-3 INR results | 11,575 (5.4%) | 7,219 (4.0%) | 4,356 (13.0%) |
| with ≥4 INR results | 25,274 (11.7%) | 5,210 (2.9%) | 20,064 (59.7%) |
| Warfarin, Incident with Respect to Dabigatran and Warfarin (365-Day Washout Period) | | | |
| Eligible members* | 20,673,352 | 14,693,622 | 5,979,730 |
| Member-days** | 9,515,629,755 | 6,296,504,028 | 3,219,125,727 |
| Members with incident warfarin use: | 172,945 (100%) | 144,027 (100%) | 28,918 (100%) |
| with no INR results | 132,314 (76.5%) | 127,397 (88.5%) | 4,917 (17.0%) |
| with 1 INR result | 7,644 (4.4%) | 5,840 (4.1%) | 1,804 (6.2%) |
| with 2-3 INR results | 9,505 (5.5%) | 6,178 (4.3%) | 3,327 (11.5%) |
| with ≥4 INR results | 23,482 (13.6%) | 4,612 (3.2%) | 18,870 (65.3%) |

*Number of members eligible for an incident treatment episode (defined by the drug/exposure and event washout periods) with drug and medical coverage during the query period.

**Sum of all days a member is eligible for an incident exposure/lookup period (i.e., days that the member meets all inclusion criteria such as incidence, pre-existing condition, and enrollment requirements).

Table 2. Summary of Incident Warfarin Use and Subsequent International Normalized Ratio (INR) Lab Results in the Mini-Sentinel Distributed Database (MSDD) between October 1, 2010 and December 31, 2012 by Incidence Criteria, Washout Period, and Age Group

| | Age Group | | | | | | |
|--|---------------|---------------|----------------|----------------|----------------|----------------|----------------|
| | 0-20 years | 21-44 years | 45-54 years | 55-64 years | 65-74 years | 75-84 years | 85+ years |
| | Number (%) | Number (%) | Number (%) | Number (%) | Number (%) | Number (%) | Number (%) |
| Warfarin, Incident with Respect to Warfarin (183-Day Washout Period) | | | | | | | |
| Eligible members* | 5,377,469 | 7,816,516 | 3,835,030 | 3,249,398 | 3,644,150 | 1,983,018 | 785,194 |
| Member-days** | 2,294,028,650 | 3,059,509,298 | 1,572,453,072 | 1,374,223,559 | 1,480,807,471 | 856,146,826 | 333,381,598 |
| Members with incident warfarin use: | 399 (100%) | 8832 (100%) | 15,207 (100%) | 30,131 (100%) | 70,941 (100%) | 67,652 (100%) | 26,510 (100%) |
| with no INR results | 236 (59.1%) | 5,592 (63.3%) | 10,156 (66.8%) | 20,190 (67.0%) | 58,169 (82.0%) | 55,385 (81.9%) | 22,161 (83.6%) |
| with 1 INR result | 30 (7.5%) | 659 (7.5%) | 1,042 (6.9%) | 2,015 (6.7%) | 2,920 (4.1%) | 2,887 (4.3%) | 1,089 (4.1%) |
| with 2-3 INR results | 43 (10.8%) | 721 (8.2%) | 1,196 (7.9%) | 2,332 (7.7%) | 3,177 (4.5%) | 3,101 (4.6%) | 1,168 (4.4%) |
| with ≥4 INR results | 90 (22.6%) | 1,860 (21.1%) | 2,813 (18.5%) | 5,594 (18.6%) | 6,675 (9.4%) | 6,279 (9.3%) | 2,092 (7.9%) |
| Warfarin, Incident with Respect to Warfarin (365-Day Washout Period) | | | | | | | |
| Eligible members* | 4,497,619 | 6,370,926 | 3,288,841 | 2,828,331 | 2,958,146 | 1,728,118 | 691,426 |
| Member-days** | 1,968,878,246 | 2,551,565,060 | 1,390,803,482 | 1,233,992,748 | 1,277,726,734 | 789,772,140 | 310,751,711 |
| Members with incident warfarin use: | 325 (100%) | 6,684 (100%) | 11,944 (100%) | 23,914 (100%) | 55,600 (100%) | 55,417 (100%) | 21,817 (100%) |
| with no INR results | 182 (56.0%) | 4,027 (60.2%) | 7,668 (64.2%) | 15,409 (64.4%) | 44,574 (80.2%) | 44,761 (80.8%) | 18,088 (82.9%) |
| with 1 INR result | 23 (7.1%) | 484 (7.2%) | 757 (6.3%) | 1,485 (6.2%) | 2,132 (3.8%) | 2,096 (3.8%) | 783 (3.6%) |
| with 2-3 INR results | 36 (11.1%) | 559 (8.4%) | 965 (8.1%) | 1,919 (8.0%) | 2,636 (4.7%) | 2,569 (4.6%) | 952 (4.4%) |
| with ≥4 INR results | 84 (25.8%) | 1,614 (24.1%) | 2,554 (21.4%) | 5,101 (21.3%) | 6,258 (11.3%) | 5,991 (10.8%) | 1,994 (9.1%) |
| Warfarin, Incident with Respect to Dabigatran and Warfarin (183-Day Washout Period) | | | | | | | |
| Eligible members* | 5,377,465 | 7,816,329 | 3,834,453 | 3,247,389 | 3,637,715 | 1,976,065 | 782,267 |
| Member-days** | 2,294,025,805 | 3,059,401,292 | 1,572,078,473 | 1,373,130,158 | 1,477,467,321 | 852,572,671 | 331,996,917 |
| Members with incident warfarin use: | 399 (100%) | 8,792 (100%) | 15,109 (100%) | 29,832 (100%) | 69,714 (100%) | 66,172 (100%) | 26,024 (100%) |
| with no INR results | 236 (59.1%) | 5,561 (63.3%) | 10,081 (66.7%) | 19,959 (66.9%) | 57,088 (81.9%) | 54,061 (81.7%) | 21,713 (83.4%) |
| with 1 INR result | 30 (7.5%) | 657 (7.5%) | 1,035 (6.9%) | 1,994 (6.7%) | 2,867 (4.1%) | 2,836 (4.3%) | 1,075 (4.1%) |
| with 2-3 INR results | 43 (10.8%) | 716 (8.1%) | 1,186 (7.8%) | 2,308 (7.7%) | 3,131 (4.5%) | 3,035 (4.6%) | 1,156 (4.4%) |
| with ≥4 INR results | 90 (22.6%) | 1,858 (21.1%) | 2,807 (18.6%) | 5,571 (18.7%) | 6,628 (9.5%) | 6,240 (9.4%) | 2,080 (8.0%) |
| Warfarin, Incident with Respect to Dabigatran and Warfarin (365-Day Washout Period) | | | | | | | |
| Eligible members* | 4,497,616 | 6,370,771 | 3,288,358 | 2,826,829 | 2,953,620 | 1,723,263 | 689,278 |
| Member-days** | 1,968,875,833 | 2,551,473,828 | 1,390,484,501 | 1,233,129,033 | 1,275,137,462 | 786,899,051 | 309,630,047 |
| Members with incident warfarin use: | 325 (100%) | 6,651 (100%) | 11,860 (100%) | 23,689 (100%) | 54,697 (100%) | 54,280 (100%) | 21,443 (100%) |
| with no INR results | 182 (56.0%) | 4,003 (60.2%) | 7,604 (64.1%) | 15,240 (64.3%) | 43,792 (80.1%) | 43,744 (80.6%) | 17,749 (82.8%) |
| with 1 INR result | 23 (7.1%) | 482 (7.2%) | 750 (6.3%) | 1,469 (6.2%) | 2,089 (3.8%) | 2,060 (3.8%) | 771 (3.6%) |
| with 2-3 INR results | 36 (11.1%) | 554 (8.3%) | 956 (8.1%) | 1,900 (8.0%) | 2,599 (4.8%) | 2,520 (4.6%) | 940 (4.4%) |
| with ≥4 INR results | 84 (25.8%) | 1,612 (24.2%) | 2,550 (21.5%) | 5,080 (21.4%) | 6,217 (11.4%) | 5,956 (11.0%) | 1,983 (9.2%) |

*Number of members eligible for an incident treatment episode (defined by the drug/exposure and event washout periods) with drug and medical coverage during the query period.

**Sum of all days a member is eligible for an incident exposure/lookup period (i.e., days that the member meets all inclusion criteria such as incidence, pre-existing condition, and enrollment requirements).

Table 3. Summary of Incident Warfarin Use and Subsequent International Normalized Ratio (INR) Lab Results in the Mini-Sentinel Distributed Database (MSDD) between October 1, 2010 and December 31, 2012 by Incidence Criteria, Washout Period, and Sex

| | Sex | | |
|--|----------------|----------------|------------|
| | Female | Male | Unkown |
| | Number (%) | Number (%) | Number (%) |
| Warfarin, Incident with Respect to Warfarin (183-Day Washout Period) | | | |
| Eligible members* | 13,049,619 | 11,744,443 | 6,819 |
| Member-days** | 5,815,934,243 | 5,151,552,070 | 3,064,161 |
| Members with incident warfarin use: | 115,550 (100%) | 104,090 (100%) | 32 (100%) |
| with no INR results | 92,291 (79.9%) | 79,574 (76.4%) | 24 (75.0%) |
| with 1 INR result | 5,046 (4.4%) | 5,593 (5.4%) | 3 (9.4%) |
| with 2-3 INR results | 5,907 (5.1%) | 5,827 (5.6%) | 4 (12.5%) |
| with ≥4 INR results | 12,306 (10.6%) | 13,096 (12.6%) | 1 (3.1%) |
| Warfarin, Incident with Respect to Warfarin (365-Day Washout Period) | | | |
| Eligible members* | 10,917,545 | 9,761,723 | 5,973 |
| Member-days** | 5,067,333,641 | 4,453,397,575 | 2,758,905 |
| Members with incident warfarin use: | 94,253 (100%) | 81,421 (100%) | 27 (100%) |
| with no INR results | 74,136 (78.7%) | 60,552 (74.4%) | 21 (77.8%) |
| with 1 INR result | 3,802 (4.0%) | 3,955 (4.9%) | 3 (11.1%) |
| with 2-3 INR results | 4,871 (5.2%) | 4,763 (5.8%) | 2 (7.4%) |
| with ≥4 INR results | 11,444 (12.1%) | 12,151 (14.9%) | 1 (3.7%) |
| Warfarin, Incident with Respect to Dabigatran and Warfarin (183-Day Washout Period) | | | |
| Eligible members* | 13,041,849 | 11,735,226 | 6,818 |
| Member-days** | 5,811,507,388 | 5,146,101,843 | 3,063,406 |
| Members with incident warfarin use: | 113,776 (100%) | 102,235 (100%) | 31 (100%) |
| with no INR results | 90,705 (79.7%) | 77,971 (76.3%) | 23 (74.2%) |
| with 1 INR result | 4,979 (4.4%) | 5,512 (5.4%) | 3 (9.7%) |
| with 2-3 INR results | 5,843 (5.1%) | 5,728 (5.6%) | 4 (12.9%) |
| with ≥4 INR results | 12,249 (10.8%) | 13,024 (12.7%) | 1 (3.2%) |
| Warfarin, Incident with Respect to Dabigatran and Warfarin (365-Day Washout Period) | | | |
| Eligible members* | 10,912,182 | 9,755,198 | 5,972 |
| Member-days** | 5,063,827,396 | 4,449,044,184 | 2,758,175 |
| Members with incident warfarin use: | 92,903 (100%) | 80,015 (100%) | 27 (100%) |
| with no INR results | 72,929 (78.5%) | 59,364 (74.2%) | 21 (77.8%) |
| with 1 INR result | 3,756 (4.0%) | 3,885 (4.9%) | 3 (11.1%) |
| with 2-3 INR results | 4,824 (5.2%) | 4,679 (5.8%) | 2 (7.4%) |
| with ≥4 INR results | 11,394 (12.3%) | 12,087 (15.1%) | 1 (3.7%) |

*Number of members eligible for an incident treatment episode (defined by the drug/exposure and event washout periods) with drug and medical coverage during the query period.

**Sum of all days a member is eligible for an incident exposure/lookup period (i.e., days that the member meets all inclusion criteria such a incidence, pre-existing condition, and enrollment requirements).

Table 4. Summary of Incident Warfarin Use and Subsequent International Normalized Ratio (INR) Lab Results in the Mini-Sentinel Distributed Database (MSDD) between October 1, 2010 and December 31, 2012 by Incidence Criteria, Washout Period, and Year

| | Year | | |
|--|----------------|----------------|----------------|
| | 2010 | 2011 | 2012 |
| | Number (%) | Number (%) | Number (%) |
| Warfarin, Incident with Respect to Warfarin (183-Day Washout Period) | | | |
| Eligible members* | 17,023,125 | 19,582,046 | 18,450,051 |
| Member-days** | 1,492,603,578 | 5,706,966,834 | 3,770,980,062 |
| Members with incident warfarin use: | 31,789 (100%) | 114,601 (100%) | 73,282 (100%) |
| with no INR results | 24,319 (76.5%) | 88,669 (77.4%) | 58,901 (80.4%) |
| with 1 INR result | 1,576 (5.0%) | 5,715 (5.0%) | 3,351 (4.6%) |
| with 2-3 INR results | 1,954 (6.1%) | 6,182 (5.4%) | 3,602 (4.9%) |
| with ≥4 INR results | 3,940 (12.4%) | 14,035 (12.2%) | 7,428 (10.1%) |
| Warfarin, Incident with Respect to Warfarin (365-Day Washout Period) | | | |
| Eligible members* | 14,350,712 | 15,629,386 | 15,716,128 |
| Member-days** | 1,288,161,333 | 4,918,294,764 | 3,317,034,024 |
| Members with incident warfarin use: | 25,265 (100%) | 89,174 (100%) | 61,262 (100%) |
| with no INR results | 19,137 (75.7%) | 67,068 (75.2%) | 48,504 (79.2%) |
| with 1 INR result | 1,024 (4.1%) | 4,085 (4.6%) | 2,651 (4.3%) |
| with 2-3 INR results | 1,516 (6.0%) | 5,007 (5.6%) | 3,113 (5.1%) |
| with ≥4 INR results | 3,588 (14.2%) | 13,014 (14.6%) | 6,994 (11.4%) |
| Warfarin, Incident with Respect to Dabigatran and Warfarin (183-Day Washout Period) | | | |
| Eligible members* | 17,023,110 | 19,571,704 | 18,424,250 |
| Member-days** | 1,492,578,468 | 5,703,037,151 | 3,765,057,018 |
| Members with incident warfarin use: | 31,769 (100%) | 112,889 (100%) | 71,384 (100%) |
| with no INR results | 24,300 (76.5%) | 87,167 (77.2%) | 57,232 (80.2%) |
| with 1 INR result | 1,575 (5.0%) | 5,643 (5.0%) | 3,276 (4.6%) |
| with 2-3 INR results | 1,954 (6.2%) | 6,107 (5.4%) | 3,514 (4.9%) |
| with ≥4 INR results | 3,940 (12.4%) | 13,972 (12.4%) | 7,362 (10.3%) |
| Warfarin, Incident with Respect to Dabigatran and Warfarin (365-Day Washout Period) | | | |
| Eligible members* | 14,350,704 | 15,626,368 | 15,693,409 |
| Member-days** | 1,288,140,346 | 4,915,610,303 | 3,311,879,106 |
| Members with incident warfarin use: | 25,245 (100%) | 87,991 (100%) | 59,709 (100%) |
| with no INR results | 19,118 (75.7%) | 66,052 (75.1%) | 47,144 (79.0%) |
| with 1 INR result | 1,023 (4.1%) | 4,029 (4.6%) | 2,592 (4.3%) |
| with 2-3 INR results | 1,516 (6.0%) | 4,951 (5.6%) | 3,038 (5.1%) |
| with ≥4 INR results | 3,588 (14.2%) | 12,959 (14.7%) | 6,935 (11.6%) |

*Number of members eligible for an incident treatment episode (defined by the drug/exposure and event washout periods) with drug and medical coverage during the query period.

**Sum of all days a member is eligible for an incident exposure/lookup period (i.e., days that the member meets all inclusion criteria such as incidence, pre-existing condition, and enrollment requirements).

Appendix A. List of Dates of Available Data for Each Data Partner as of Request Send Date (May 3, 2013)

| DP ID | Start Date | End Date |
|--------------|-------------------|-----------------|
| DP0001 | 10/1/2010 | 6/30/2012 |
| DP0002 | 10/1/2010 | 12/31/2012 |
| DP0003 | 10/1/2010 | 7/31/2012 |
| DP0004 | 10/1/2010 | 8/31/2012 |
| DP0005 | 10/1/2010 | 12/31/2012 |
| DP0006 | 10/1/2010 | 12/31/2012 |
| DP0007 | 10/1/2010 | 5/30/2012 |
| DP0008 | 10/1/2010 | 6/30/2012 |
| DP0009 | 10/1/2010 | 10/31/2012 |
| DP0010 | 10/1/2010 | 6/30/2012 |
| DP0011 | 10/1/2010 | 12/31/2012 |

Appendix B. List of Generic and Brand Names Included in this Request

| Code Type | Generic Name | Brand Name |
|--------------------|-----------------|-----------------|
| National Drug Code | Warfarin Sodium | Warfarin Sodium |
| National Drug Code | Warfarin Sodium | Athrombin-K |
| National Drug Code | Warfarin Sodium | Coumadin |
| National Drug Code | Warfarin Sodium | Panwarfarin |
| National Drug Code | Warfarin Sodium | Sofarin |
| National Drug Code | Warfarin Sodium | Coufarin |
| National Drug Code | Warfarin Sodium | Jantoven |

Appendix C. Modular Program 6 Specifications for Request MSY4_MPR32

Modular Program 6 was used to describe the presence of International Normalized Ratio (INR) lab results in a pre-specified period following incident warfarin use. The query period was from October 1, 2010 to December 31, 2012, and the enrollment gap was set at 45 days. Age groups were split as follows: 0 - 20, 21 - 44, 45 - 54, 55 - 64, 65 - 74, 75 - 84, and 85+ years. In total, four different scenarios were examined in this report with differing incidence criteria and washout periods. See below for a description of each of these scenarios.

| Scenario | Events (Warfarin Use) | | | | | | | | | | Post-Event Treatment (INR Lab result) | | | | | | | | | |
|----------|-----------------------|-------------------------------|--------------------|---------------------------|-----------------------------------|----------------------------------|--------------|----------------|------------------------|--------------------------------|---------------------------------------|--------------------------|---|------------------------|---------------------------|-----------------------------------|----------------------------|--------------|----------------|-------------|
| | Event | Principal Diagnosis Indicator | Event Care Setting | Incident with respect to: | Incident only Principal Diagnosis | Incident only Event Care Setting | Washout Type | Washout Period | Lookup Period Duration | Minimum Lookup Period Duration | Treatment | Lab Frequency Categories | Treatment Principal Diagnosis Indicator | Treatment Care Setting | Incident with respect to: | Incident only Principal Diagnosis | Incident only Care Setting | Washout Type | Washout Period | Episode Gap |
| 1 | Warfarin | N/A | N/A | Warfarin | N/A | N/A | Single | 183 Days | 30 days | 0 Days | INR Lab Result | 0, 1, 2-3, ≥4 | NO | Any | INR Lab Result | NO | Any | Multiple | 1 Day | 0 Days |
| 2 | Warfarin | N/A | N/A | Warfarin | N/A | N/A | Single | 365 Days | 30 days | 0 Days | INR Lab Result | 0, 1, 2-3, ≥4 | NO | Any | INR Lab Result | NO | Any | Multiple | 1 Day | 0 Days |
| 3 | Warfarin | N/A | N/A | Warfarin and dabigatran | N/A | N/A | Single | 183 days | 30 days | 0 days | INR Lab Result | 0, 1, 2-3, ≥4 | NO | Any | INR Lab Result | NO | Any | Multiple | 1 Day | 0 Days |
| 4 | Warfarin | N/A | N/A | Warfarin and dabigatran | N/A | N/A | Single | 365 days | 30 days | 0 days | INR Lab Result | 0, 1, 2-3, ≥4 | NO | Any | INR Lab Result | NO | Any | Multiple | 1 Day | 0 Days |

National Drug Codes (NDCs) checked against First Data Bank's "National Drug Data File (NDDF®) Plus"

International Classification of Diseases, Ninth Edition, Clinical Modification (ICD-9-CM) diagnosis and procedure codes checked against "Ingenix 2012 ICD-9-CM Data File" provided by OptumInsight

Healthcare Common Procedure Coding System (HCPCS) codes checked against "Optum 2012 HCPCS Level II Data File" provided by OptumInsight

Current Procedural Terminology, Fourth Revision (CPT-4) codes checked against "Optum 2012 Current Procedure Codes & Relative Values Data File" provided by OptumInsight