



## ***Modular Program Report***

The following report(s) provides findings from an FDA-initiated query using its Mini-Sentinel pilot. While Mini-Sentinel queries may be undertaken to assess potential medical product safety risks, they may also be initiated for various other reasons. Some examples include determining a rate or count of an identified health outcome of interest, examining medical product use, exploring the feasibility of future, more detailed analyses within Mini-Sentinel, and seeking to better understand the capabilities of the Mini-Sentinel pilot.

Data obtained through Mini-Sentinel are intended to complement other types of evidence such as preclinical studies, clinical trials, postmarket studies, and adverse event reports, all of which are used by FDA to inform regulatory decisions regarding medical product safety. The information contained in this report is provided as part of FDA's commitment to place knowledge acquired from the Mini-Sentinel pilot in the public domain as soon as possible. Any public health actions taken by FDA regarding products involved in Mini-Sentinel queries will continue to be communicated through existing channels.

FDA wants to emphasize that the fact that FDA has initiated a query involving a medical product and is reporting findings related to that query does not mean that FDA is suggesting health care practitioners should change their prescribing practices for the medical product or that patients taking the medical product should stop using it. Patients who have questions about the use of an identified medical product should contact their health care practitioners.

The following report contains a description of the request, request specifications, and results from the modular program run(s).

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**Overview**

|                                   |   |
|-----------------------------------|---|
| <b><u>Request Description</u></b> | FDA requested execution of Modular Program #9 (MP9), version 3.0, to investigate prevalence and incidence of omalizumab and montelukast use among all eligible members and those with several pre-existing condition criteria (see specifications sheet for more detail) in the Mini-Sentinel Distributed Database (MSDD). This report presents results for Scenarios 1-12. Results for Scenarios 7 and 13-19 are presented in a separate report (TO09Y05_MPR_WP23, Report 2 of 2). The query was run against the MSDD for the time period of June 23, 2003 - May 31, 2013. The request was distributed to 18 Data Partners on March 4, 2014.<br><br>Results provide counts of prevalent and incident number of users, new starts, dispensings, days supplied, amount supplied, member-years, days supplied per user, dispensings per user, days supplied per dispensing, and users per 100,000 eligible members. |
| <b><u>Request ID</u></b>          | to09y05_mpr_wp23_v01 - Report 1 of 2  |
| <b><u>Specifications</u></b>      | Program parameter inputs and scenarios.   |
| <b><u>Glossary</u></b>            | List of terms found in this report and their definitions.   |
| <b><u>Table 1a</u></b>            | Table displaying the number of prevalent omalizumab users, dispensings, days supplied amount supplied, eligible members, member-years, days supplied per user, dispensings per user, days supplied per dispensing, and number of users per 100,000 eligible members by pre-existing condition criteria, code type, and washout period - June 23, 2003 - May 31, 2013.   |
| <b><u>Table 1b</u></b>            | Table displaying the number of incident omalizumab users, new starts, dispensings, days supplied amount supplied, eligible members, member-years, days supplied per user, dispensings per user, days supplied per dispensing, and number of users per 100,000 eligible members by pre-existing condition criteria, code type, and washout period - June 23, 2003 - May 31, 2013.  |
| <b><u>Table 2a</u></b>            | Table displaying the number of prevalent omalizumab users, dispensings, days supplied amount supplied, eligible members, member-years, days supplied per user, dispensings per user, days supplied per dispensing, and number of users per 100,000 eligible members by pre-existing condition criteria, code type, washout period, and age group - June 23, 2003 - May 31, 2013.  |
| <b><u>Table 2b</u></b>            | Table displaying the number of incident omalizumab users, new starts, dispensings, days supplied amount supplied, eligible members, member-years, days supplied per user, dispensings per user, days supplied per dispensing, and number of users per 100,000 eligible members by pre-existing condition criteria, code type, washout period, and age group - June 23, 2003 - May 31, 2013.   |
| <b><u>Table 3a</u></b>            | Table displaying the number of prevalent omalizumab users, dispensings, days supplied amount supplied, eligible members, member-years, days supplied per user, dispensings per user, days supplied per dispensing, and number of users per 100,000 eligible members by pre-existing condition criteria, code type, washout period, and sex - June 23, 2003 - May 31, 2013.  |
| <b><u>Table 3b</u></b>            | Table displaying the number of incident omalizumab users, new starts, dispensings, days supplied amount supplied, eligible members, member-years, days supplied per user, dispensings per user, days supplied per dispensing, and number of users per 100,000 eligible members by pre-existing condition criteria, code type, washout period, and sex - June 23, 2003 - May 31, 2013.   |
| <b><u>Table 4a</u></b>            | Table displaying the number of prevalent omalizumab users, dispensings, days supplied amount supplied, eligible members, member-years, days supplied per user, dispensings per user, days supplied per dispensing, and number of users per 100,000 eligible members by pre-existing condition criteria, code type, washout period, and year - June 23, 2003 - May 31, 2013.   |
| <b><u>Table 4b</u></b>            | Table displaying the number of incident omalizumab users, new starts, dispensings, days supplied amount supplied, eligible members, member-years, days supplied per user, dispensings per user, days supplied per dispensing, and number of users per 100,000 eligible members by pre-existing condition criteria, code type, washout period, and year - June 23, 2003 - May 31, 2013.  |

**Overview (Continued)**

**Appendix A** Exposure procedure (HCPCS).

**Appendix B** Pre-existing condition criteria diagnosis codes.

**Notes:** Please contact the Mini-Sentinel Operations Center ([MSOC\\_Requests@harvardpilgrim.org](mailto:MSOC_Requests@harvardpilgrim.org)) for questions and to provide comments/suggestions for future enhancements to this document.

**Modular Program Specifications T009Y05\_MPR\_WP23\_V01**

Modular Program #9 (MP9), version 3.0, was used to analyze prevalent and incident omalizumab or montelukast use among patients with differing pre-existing condition criteria. In total, 19 different scenarios were examined in this request. Report 1 presents scenarios 1-12 only.

|                             |                                     |
|-----------------------------|-------------------------------------|
| <b>Coverage Requirement</b> | Drug and Medical Coverage           |
| <b>Query Period</b>         | June 23, 2003 to May 31, 2013       |
| <b>Enrollment Gap</b>       | 45 Days                             |
| <b>Age Stratifications</b>  | 0-8, 9-11, 12-17, 18-44, 45-64, 65+ |

| Scenario | Drug/Exposure                    |                                  |                 |                |                 |              | Pre-Existing Condition |                        |                 |                |              |              |                     |
|----------|----------------------------------|----------------------------------|-----------------|----------------|-----------------|--------------|------------------------|------------------------|-----------------|----------------|--------------|--------------|---------------------|
|          | Incident exposure                | Incident w/ respect to:          | Enrollment Days | Washout (days) | Incidence Type* | Care Setting | Principal Diagnosis    | Pre-Existing Condition | Include/Exclude | Lookback Start | Lookback End | Care Setting | Principal Diagnosis |
| 1        | Omalizumab (NDC and HCPCS J2357) | Omalizumab (NDC and HCPCS J2357) | 183             | 183            | SING            | All          | All                    | none                   | NA              | NA             | NA           | NA           | NA                  |
| 2        | Omalizumab (NDC and HCPCS J2357) | Omalizumab (NDC and HCPCS J2357) | 365             | 365            | SING            | All          | All                    | none                   | NA              | NA             | NA           | NA           | NA                  |
| 3        | Omalizumab (NDC only)            | Omalizumab (NDC only)            | 183             | 183            | SING            | All          | All                    | none                   | NA              | NA             | NA           | NA           | NA                  |
| 4        | Omalizumab (NDC only)            | Omalizumab (NDC only)            | 365             | 365            | SING            | All          | All                    | none                   | NA              | NA             | NA           | NA           | NA                  |
| 5        | Omalizumab (HCPCS J2357 only)    | Omalizumab (HCPCS J2357 only)    | 183             | 183            | SING            | All          | All                    | none                   | NA              | NA             | NA           | NA           | NA                  |
| 6        | Omalizumab (HCPCS J2357 only)    | Omalizumab (HCPCS J2357 only)    | 365             | 365            | SING            | All          | All                    | none                   | NA              | NA             | NA           | NA           | NA                  |
| 7        | Omalizumab (NDC and HCPCS J2357) | Omalizumab (NDC and HCPCS J2357) | 183             | 183            | SING            | All          | All                    | Asthma (493*)          | Include         | -183           | -1           | All          | All                 |
| 8        | Omalizumab (NDC and HCPCS J2357) | Omalizumab (NDC and HCPCS J2357) | 365             | 365            | SING            | All          | All                    | Asthma (493*)          | Include         | -365           | -1           | All          | All                 |
| 9        | Omalizumab (NDC only)            | Omalizumab (NDC only)            | 183             | 183            | SING            | All          | All                    | Asthma (493*)          | Include         | -183           | -1           | All          | All                 |

|           |  |  |     |     |      |     |     |   |                               |      |    |     |     |
|-----------|--|--|-----|-----|------|-----|-----|---|-------------------------------|------|----|-----|-----|
| <b>10</b> | Omalizumab<br>(NDC only)               | Omalizumab<br>(NDC only)               | 365 | 365 | SING | All | All | Asthma (493*)   | Include                       | -365 | -1 | All | All |
| <b>11</b> | Omalizumab<br>(HCPCS J2357<br>only)    | Omalizumab<br>(HCPCS J2357<br>only)    | 183 | 183 | SING | All | All | Asthma (493*)   | Include                       | -183 | -1 | All | All |
| <b>12</b> | Omalizumab<br>(HCPCS J2357<br>only)    | Omalizumab<br>(HCPCS J2357<br>only)    | 365 | 365 | SING | All | All | Asthma (493*)   | Include                       | -365 | -1 | All | All |
| <b>13</b> | Omalizumab<br>(NDC and<br>HCPCS J2357) | Omalizumab<br>(NDC and<br>HCPCS J2357) | 183 | 183 | SING | All | All | Asthma (493*)<br><i>Inhaled corticosteroids (ICS<br/>include LABA combination<br/>products)</i> | Include<br>Exclude            | -183 | -1 | All | All |
| <b>14</b> | Omalizumab<br>(NDC and<br>HCPCS J2357) | Omalizumab<br>(NDC and<br>HCPCS J2357) | 183 | 183 | SING | All | All | ICS/ LABA combo products  | Include                       | -183 | -1 | All | All |
| <b>15</b> | Omalizumab<br>(NDC and<br>HCPCS J2357) | Omalizumab<br>(NDC and<br>HCPCS J2357) | 183 | 183 | SING | All | All | ICS (no LABA combos)<br>ICS/ LABA combo products  | Include<br>Exclude            | -183 | -1 | All | All |
| <b>16</b> | Omalizumab<br>(NDC and<br>HCPCS J2357) | Omalizumab<br>(NDC and<br>HCPCS J2357) | 183 | 183 | SING | All | All | ICS (no LABA combos)<br>LABA (no ICS combos)<br>ICS/ LABA combo products                        | Include<br>Exclude<br>Exclude | -183 | -1 | All | All |
| <b>17</b> | Montelukast                            | Montelukast                            | 183 | 183 | SING | All | All | ICS/ LABA combo products  | Include                       | -183 | -1 | All | All |
| <b>18</b> | Montelukast                            | Montelukast                            | 183 | 183 | SING | All | All | ICS (no LABA combos)<br>ICS/ LABA combo products  | Include<br>Exclude            | -183 | -1 | All | All |
| <b>19</b> | Montelukast                            | Montelukast                            | 183 | 183 | SING | All | All | ICS (no LABA combos)<br>LABA (no ICS combos)<br>ICS/ LABA combo products                        | Include<br>Exclude<br>Exclude | -183 | -1 | All | All |

\*Single incidence type for the exposure will only consider the first incident dispensing for each user during the query period that satisfies the Washout Period criteria (183 or 365 days).

NDC codes checked against First Data Bank's "National Drug Data File (NDDF®) Plus"

ICD-9-CM diagnosis and procedure codes checked against "Ingenix 2012 ICD-9-CM Data File" provided by OptumInsight

HCPCS codes checked against "Optum 2012 HCPCS Level II Data File" provided by OptumInsight

CPT codes checked against "Optum 2012 Current Procedure Codes & Relative Values Data File" provided by OptumInsight

## **Glossary of Terms in Modular Program 9\***

**Amount Supplied** - Number of units (pills, tablets, vials) dispensed. Net amount per NDC per dispensing. This is equivalent to the "RxAmt" value in the Mini-Sentinel Common Data Model (MSCDM).

**Care Setting** - Contains the care settings considered for the individual diagnosis or procedure codes of interest. The following are valid entries:

IP: inpatient hospital stays

IS: non-acute institutional stays

ED: emergency department visits

AV: ambulatory visits

OA: other ambulatory visits.

**Days Supplied** - Number of days supplied for all dispensings encountered by individuals in the prevalent or incident cohort. The number is reported by the pharmacist as the number of days that the medications supports, based on the number of doses. (To be included in the incident-based cohort, a member must have a dispensing that meets the criteria specified for incidence type and washout period. However, once a member is included in the incident cohort, all dispensings for that individual are counted as dispensings.)

**Dispensings** - Total number of dispensings for members included in the prevalent- or incident-based cohort. To be included in the incident-based cohort, a member must have a dispensing that meets the criteria specified for incidence type and washout period. However, once a member is included in the incident cohort, all dispensings for that individual are counted as dispensings.

**Eligible Members (incidence-based cohort)** - Number of members eligible for incident diagnosis (defined by the washout period) with drug and/or medical coverage during the query period.

**Eligible Members (prevalence-based cohort)** - Number of members with at least one day of drug and/or medical coverage during the query period.

**Enrollment Gap** - Number of days allowed between two consecutive enrollment periods without breaking a "continuously enrolled" sequence.

**Exposure** - NDC and/or procedure and/or diagnosis code values for the exposure(s) of interest.

**Incidence Type (incidence-based cohort)** - *Minimum incidence type* will only consider the first claim in the query period as long as it is the first claim in the user's entire available history. *Single incidence type* will consider the first claim in the query period that satisfies the washout period criteria. That is, incidence is defined as the first claim during the query period with no evidence of a prior claim during the previous washout period days. *Multiple incidence type* will use the washout period to establish incidence and consider all qualifying incident claims.

**"Incident with Respect to" Codes** - NDC and/or procedure and/or diagnosis codes to be used to refine the incident definition for the exposure(s) of interest. For example, if a requester is examining exposure to DRUG A but wants incidence of DRUG A to be defined with respect to DRUG A and DRUG B, the Incident with Respect to Code fields would include both DRUG A and DRUG B codes.

**Incident Only Care Setting** - Contains the care settings considered for the individual diagnosis or procedure codes included as "Incident with Respect to" codes.

**Member-Years (incidence-based cohort)** - Sum of all years of enrollment with drug and/or medical coverage in the query period preceded by a washout period.

**Member-Years (prevalence-based cohort)** - Sum of all years members are enrolled with drug and/or medical coverage during the query period.

**New Starts**- Number of incident dispensings during the query period. Patient must have no prior dispensings of interest in the washout period. For a single and minimum incidence type, an individual may have no more than one new start. For a multiple incidence type, an individual may have more than one new start.

**New Users** - Number of members with incident claims during the query period. Member must have no evidence of claims of interest (defined by incidence criteria) in the prior washout period. A patient may only be counted once in a query period.

**Query Period** - Period in which the modular program looks for diagnoses of interest.

**Washout Period (incidence-based cohort)** - Number of days a patient is required to have no evidence of prior claim of interest and continuous drug and/or medical coverage.

\*all terms may not be used in this report

**Table 1a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, and Washout Period**

| Group                                     | Users  | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|---|--------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>No Pre-existing Condition Criteria</b> |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| <b>NDCs and Procedure Codes</b>           |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    | 16,491 | 254,581     | 3,694,583     | 553,983         | 108,520,893      | 271,868,837  | 224.04                 | 15.44                | 14.51                        | 15.20                           |
| 365 day washout period                    | 16,491 | 254,581     | 3,694,583     | 553,983         | 108,520,893      | 271,868,837  | 224.04                 | 15.44                | 14.51                        | 15.20                           |
| <b>NDCs only</b>                          |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    | 8,862  | 112,547     | 3,552,549     | 411,949         | 108,520,893      | 271,868,837  | 400.87                 | 12.70                | 31.57                        | 8.17                            |
| 365 day washout period                    | 8,862  | 112,547     | 3,552,549     | 411,949         | 108,520,893      | 271,868,837  | 400.87                 | 12.70                | 31.57                        | 8.17                            |
| <b>Procedure codes only</b>               |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    | 9,699  | 142,034     | 142,034       | 142,034         | 108,520,893      | 271,868,837  | 14.64                  | 14.64                | 1.00                         | 8.94                            |
| 365 day washout period                    | 9,699  | 142,034     | 142,034       | 142,034         | 108,520,893      | 271,868,837  | 14.64                  | 14.64                | 1.00                         | 8.94                            |
| <b>Pre-existing Asthma</b>                |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| <b>NDCs and Procedure Codes</b>           |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    | 15,803 | 248,426     | 3,572,451     | 538,579         | 8,350,957        | 8,487,128    | 226.06                 | 15.72                | 14.38                        | 189.24                          |
| 365 day washout period                    | 15,834 | 248,584     | 3,575,756     | 538,988         | 8,383,044        | 12,494,079   | 225.83                 | 15.70                | 14.38                        | 188.88                          |
| <b>NDCs only</b>                          |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    | 8,500  | 108,970     | 3,432,252     | 399,090         | 8,350,957        | 8,487,128    | 403.79                 | 12.82                | 31.50                        | 101.78                          |
| 365 day washout period                    | 8,515  | 109,058     | 3,435,514     | 399,432         | 8,383,044        | 12,494,079   | 403.47                 | 12.81                | 31.50                        | 101.57                          |
| <b>Procedure codes only</b>               |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    | 9,292  | 139,363     | 139,363       | 139,363         | 8,350,957        | 8,487,128    | 15.00                  | 15.00                | 1.00                         | 111.27                          |
| 365 day washout period                    | 9,316  | 139,486     | 139,486       | 139,486         | 8,383,044        | 12,494,079   | 14.97                  | 14.97                | 1.00                         | 111.13                          |

**Table 1b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, and Washout Period**

| Group                                     | New Users | New Starts | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|---|-----------|------------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>No Pre-existing Condition Criteria</b> |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| <b>NDCs and Procedure Codes</b>           |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    | 8,623     | 8,623      | 8,682       | 158,635       | 24,974          | 89,287,800       | 219,446,983  | 18.40                  | 1.01                 | 18.27                        | 9.66                                |
| 365 day washout period                    | 6,178     | 6,178      | 6,226       | 106,101       | 15,609          | 72,733,237       | 178,112,970  | 17.17                  | 1.01                 | 17.04                        | 8.49                                |
| <b>NDCs only</b>                          |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    | 4,788     | 4,788      | 4,788       | 167,762       | 22,432          | 89,289,753       | 219,459,846  | 35.04                  | 1.00                 | 35.04                        | 5.36                                |
| 365 day washout period                    | 3,416     | 3,416      | 3,416       | 113,668       | 13,899          | 72,735,665       | 178,123,906  | 33.28                  | 1.00                 | 33.28                        | 4.70                                |
| <b>Procedure codes only</b>               |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    | 5,434     | 5,434      | 5,474       | 5,474         | 5,474           | 89,289,874       | 219,459,874  | 1.01                   | 1.01                 | 1.00                         | 6.09                                |
| 365 day washout period                    | 4,103     | 4,103      | 4,135       | 4,135         | 4,135           | 72,735,760       | 178,123,823  | 1.01                   | 1.01                 | 1.00                         | 5.64                                |
| <b>Pre-existing Asthma</b>                |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| <b>NDCs and Procedure Codes</b>           |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    | 8,073     | 8,073      | 8,130       | 150,207       | 23,683          | 7,953,628        | 7,564,250    | 18.61                  | 1.01                 | 18.48                        | 101.50                              |
| 365 day washout period                    | 5,862     | 5,862      | 5,909       | 102,066       | 14,987          | 7,262,906        | 9,859,372    | 17.41                  | 1.01                 | 17.27                        | 80.71                               |
| <b>NDCs only</b>                          |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    | 4,554     | 4,554      | 4,554       | 159,489       | 21,455          | 7,955,746        | 7,576,145    | 35.02                  | 1.00                 | 35.02                        | 57.24                               |
| 365 day washout period                    | 3,297     | 3,297      | 3,297       | 109,777       | 13,479          | 7,265,458        | 9,869,908    | 33.30                  | 1.00                 | 33.30                        | 45.38                               |
| <b>Procedure codes only</b>               |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    | 5,080     | 5,080      | 5,118       | 5,118         | 5,118           | 7,955,786        | 7,575,848    | 1.01                   | 1.01                 | 1.00                         | 63.85                               |
| 365 day washout period                    | 3,892     | 3,892      | 3,923       | 3,923         | 3,923           | 7,265,456        | 9,869,721    | 1.01                   | 1.01                 | 1.00                         | 53.57                               |

**Table 2a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Age Group**

| Group                                     | Users | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|---|-------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>No Pre-existing Condition Criteria</b> |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| <b>NDCs and Procedure Codes</b>           |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years                            | 79    | 471         | 8,723         | 1,153           | 14,403,192       | 27,757,578   | 110.42                 | 5.96                 | 18.52                        | 0.55                            |
| 09 to 11 Years                            | 182   | 1,367       | 26,356        | 4,110           | 7,076,253        | 10,271,733   | 144.81                 | 7.51                 | 19.28                        | 2.57                            |
| 12-17 Years                               | 1,157 | 13,692      | 228,662       | 36,246          | 11,350,903       | 21,869,440   | 197.63                 | 11.83                | 16.70                        | 10.19                           |
| 18-44 Years                               | 6,061 | 66,679      | 1,006,637     | 149,038         | 51,436,778       | 103,940,615  | 166.08                 | 11.00                | 15.10                        | 11.78                           |
| 45-64 Years                               | 8,457 | 137,909     | 2,115,628     | 305,240         | 30,947,460       | 79,440,493   | 250.16                 | 16.31                | 15.34                        | 27.33                           |
| 65+ Years                                 | 1,790 | 34,463      | 308,577       | 58,196          | 9,319,348        | 28,576,936   | 172.39                 | 19.25                | 8.95                         | 19.21                           |
| 365 day washout period                    |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years                            | 79    | 471         | 8,723         | 1,153           | 14,403,192       | 27,757,578   | 110.42                 | 5.96                 | 18.52                        | 0.55                            |
| 09 to 11 Years                            | 182   | 1,367       | 26,356        | 4,110           | 7,076,253        | 10,271,733   | 144.81                 | 7.51                 | 19.28                        | 2.57                            |
| 12-17 Years                               | 1,157 | 13,692      | 228,662       | 36,246          | 11,350,903       | 21,869,440   | 197.63                 | 11.83                | 16.70                        | 10.19                           |
| 18-44 Years                               | 6,061 | 66,679      | 1,006,637     | 149,038         | 51,436,778       | 103,940,615  | 166.08                 | 11.00                | 15.10                        | 11.78                           |
| 45-64 Years                               | 8,457 | 137,909     | 2,115,628     | 305,240         | 30,947,460       | 79,440,493   | 250.16                 | 16.31                | 15.34                        | 27.33                           |
| 65+ Years                                 | 1,790 | 34,463      | 308,577       | 58,196          | 9,319,348        | 28,576,936   | 172.39                 | 19.25                | 8.95                         | 19.21                           |
| <b>NDCs only</b>                          |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years                            | 43    | 248         | 8,500         | 930             | 14,403,192       | 27,757,578   | 197.67                 | 5.77                 | 34.27                        | 0.30                            |
| 09 to 11 Years                            | 111   | 797         | 25,786        | 3,540           | 7,076,253        | 10,271,733   | 232.31                 | 7.18                 | 32.35                        | 1.57                            |
| 12-17 Years                               | 659   | 7,079       | 222,049       | 29,633          | 11,350,903       | 21,869,440   | 336.95                 | 10.74                | 31.37                        | 5.81                            |
| 18-44 Years                               | 3,297 | 30,648      | 970,606       | 113,007         | 51,436,778       | 103,940,615  | 294.39                 | 9.30                 | 31.67                        | 6.41                            |
| 45-64 Years                               | 4,674 | 64,514      | 2,042,233     | 231,845         | 30,947,460       | 79,440,493   | 436.93                 | 13.80                | 31.66                        | 15.10                           |
| 65+ Years                                 | 735   | 9,261       | 283,375       | 32,994          | 9,319,348        | 28,576,936   | 385.54                 | 12.60                | 30.60                        | 7.89                            |
| 365 day washout period                    |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years                            | 43    | 248         | 8,500         | 930             | 14,403,192       | 27,757,578   | 197.67                 | 5.77                 | 34.27                        | 0.30                            |
| 09 to 11 Years                            | 111   | 797         | 25,786        | 3,540           | 7,076,253        | 10,271,733   | 232.31                 | 7.18                 | 32.35                        | 1.57                            |
| 12-17 Years                               | 659   | 7,079       | 222,049       | 29,633          | 11,350,903       | 21,869,440   | 336.95                 | 10.74                | 31.37                        | 5.81                            |
| 18-44 Years                               | 3,297 | 30,648      | 970,606       | 113,007         | 51,436,778       | 103,940,615  | 294.39                 | 9.30                 | 31.67                        | 6.41                            |
| 45-64 Years                               | 4,674 | 64,514      | 2,042,233     | 231,845         | 30,947,460       | 79,440,493   | 436.93                 | 13.80                | 31.66                        | 15.10                           |
| 65+ Years                                 | 735   | 9,261       | 283,375       | 32,994          | 9,319,348        | 28,576,936   | 385.54                 | 12.60                | 30.60                        | 7.89                            |

**Table 2a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Age Group**

| Group   | Users | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|---|-------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>No Pre-existing Condition Criteria (Continued)</b> |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| <b>Procedure codes only</b>                           |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                                |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years  | 42    | 223         | 223           | 223             | 14,403,192       | 27,757,578   | 5.31                   | 5.31                 | 1.00                         | 0.29                            |
| 09 to 11 Years  | 82    | 570         | 570           | 570             | 7,076,253        | 10,271,733   | 6.95                   | 6.95                 | 1.00                         | 1.16                            |
| 12-17 Years   | 621   | 6,613       | 6,613         | 6,613           | 11,350,903       | 21,869,440   | 10.65                  | 10.65                | 1.00                         | 5.47                            |
| 18-44 Years   | 3,366 | 36,031      | 36,031        | 36,031          | 51,436,778       | 103,940,615  | 10.70                  | 10.70                | 1.00                         | 6.54                            |
| 45-64 Years   | 4,913 | 73,395      | 73,395        | 73,395          | 30,947,460       | 79,440,493   | 14.94                  | 14.94                | 1.00                         | 15.88                           |
| 65+ Years   | 1,271 | 25,202      | 25,202        | 25,202          | 9,319,348        | 28,576,936   | 19.83                  | 19.83                | 1.00                         | 13.64                           |
| 365 day washout period                                |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years  | 42    | 223         | 223           | 223             | 14,403,192       | 27,757,578   | 5.31                   | 5.31                 | 1.00                         | 0.29                            |
| 09 to 11 Years  | 82    | 570         | 570           | 570             | 7,076,253        | 10,271,733   | 6.95                   | 6.95                 | 1.00                         | 1.16                            |
| 12-17 Years   | 621   | 6,613       | 6,613         | 6,613           | 11,350,903       | 21,869,440   | 10.65                  | 10.65                | 1.00                         | 5.47                            |
| 18-44 Years   | 3,366 | 36,031      | 36,031        | 36,031          | 51,436,778       | 103,940,615  | 10.70                  | 10.70                | 1.00                         | 6.54                            |
| 45-64 Years   | 4,913 | 73,395      | 73,395        | 73,395          | 30,947,460       | 79,440,493   | 14.94                  | 14.94                | 1.00                         | 15.88                           |
| 65+ Years   | 1,271 | 25,202      | 25,202        | 25,202          | 9,319,348        | 28,576,936   | 19.83                  | 19.83                | 1.00                         | 13.64                           |
| <b>Pre-existing Asthma</b>                            |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| <b>NDCs and Procedure Codes</b>                       |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                                |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years  | 71    | 444         | 8,345         | 1,117           | 1,425,679        | 1,258,138    | 117.54                 | 6.25                 | 18.80                        | 4.98                            |
| 09 to 11 Years  | 170   | 1,309       | 25,104        | 3,909           | 649,626          | 453,649      | 147.67                 | 7.70                 | 19.18                        | 26.17                           |
| 12-17 Years   | 1,120 | 13,346      | 220,746       | 35,273          | 981,370          | 774,052      | 197.09                 | 11.92                | 16.54                        | 114.13                          |
| 18-44 Years   | 5,761 | 64,540      | 961,470       | 143,429         | 2,907,458        | 2,384,755    | 166.89                 | 11.20                | 14.90                        | 198.15                          |
| 45-64 Years   | 8,164 | 134,924     | 2,054,887     | 297,748         | 2,302,133        | 2,397,125    | 251.70                 | 16.53                | 15.23                        | 354.63                          |
| 65+ Years   | 1,719 | 33,863      | 301,899       | 57,103          | 975,826          | 1,219,385    | 175.62                 | 19.70                | 8.92                         | 176.16                          |
| 365 day washout period                                |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years  | 71    | 444         | 8,345         | 1,117           | 1,431,114        | 1,802,385    | 117.54                 | 6.25                 | 18.80                        | 4.96                            |
| 09 to 11 Years  | 171   | 1,310       | 25,132        | 3,911           | 689,115          | 675,369      | 146.97                 | 7.66                 | 19.18                        | 24.81                           |
| 12-17 Years   | 1,120 | 13,351      | 220,861       | 35,292          | 1,024,362        | 1,197,896    | 197.20                 | 11.92                | 16.54                        | 109.34                          |
| 18-44 Years   | 5,774 | 64,606      | 963,211       | 143,621         | 2,956,471        | 3,598,995    | 166.82                 | 11.19                | 14.91                        | 195.30                          |
| 45-64 Years   | 8,178 | 134,991     | 2,056,154     | 297,923         | 2,336,747        | 3,506,815    | 251.43                 | 16.51                | 15.23                        | 349.97                          |
| 65+ Years   | 1,722 | 33,882      | 302,053       | 57,124          | 994,388          | 1,712,524    | 175.41                 | 19.68                | 8.91                         | 173.17                          |

**Table 2a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Age Group**

| Group                                  | Users | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|--|-------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>Pre-existing Asthma (Continued)</b> |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| <b>NDCs only</b>                       |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                 |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years                         | 42    | 235         | 8,136         | 908             | 1,425,679        | 1,258,138    | 193.71                 | 5.60                 | 34.62                        | 2.95                            |
| 09 to 11 Years                         | 102   | 755         | 24,550        | 3,355           | 649,626          | 453,649      | 240.69                 | 7.40                 | 32.52                        | 15.70                           |
| 12-17 Years                            | 634   | 6,864       | 214,264       | 28,791          | 981,370          | 774,052      | 337.96                 | 10.83                | 31.22                        | 64.60                           |
| 18-44 Years                            | 3,124 | 29,388      | 926,318       | 108,277         | 2,907,458        | 2,384,755    | 296.52                 | 9.41                 | 31.52                        | 107.45                          |
| 45-64 Years                            | 4,520 | 62,669      | 1,981,889     | 225,460         | 2,302,133        | 2,397,125    | 438.47                 | 13.86                | 31.62                        | 196.34                          |
| 65+ Years                              | 714   | 9,059       | 277,095       | 32,299          | 975,826          | 1,219,385    | 388.09                 | 12.69                | 30.59                        | 73.17                           |
| 365 day washout period                 |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years                         | 42    | 235         | 8,136         | 908             | 1,431,114        | 1,802,385    | 193.71                 | 5.60                 | 34.62                        | 2.93                            |
| 09 to 11 Years                         | 103   | 756         | 24,578        | 3,357           | 689,115          | 675,369      | 238.62                 | 7.34                 | 32.51                        | 14.95                           |
| 12-17 Years                            | 634   | 6,866       | 214,376       | 28,807          | 1,024,362        | 1,197,896    | 338.13                 | 10.83                | 31.22                        | 61.89                           |
| 18-44 Years                            | 3,131 | 29,433      | 928,038       | 108,448         | 2,956,471        | 3,598,995    | 296.40                 | 9.40                 | 31.53                        | 105.90                          |
| 45-64 Years                            | 4,527 | 62,704      | 1,983,151     | 225,606         | 2,336,747        | 3,506,815    | 438.07                 | 13.85                | 31.63                        | 193.73                          |
| 65+ Years                              | 714   | 9,064       | 277,235       | 32,306          | 994,388          | 1,712,524    | 388.28                 | 12.69                | 30.59                        | 71.80                           |
| <b>Procedure codes only</b>            |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                 |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years                         | 35    | 209         | 209           | 209             | 1,425,679        | 1,258,138    | 5.97                   | 5.97                 | 1.00                         | 2.45                            |
| 09 to 11 Years                         | 78    | 554         | 554           | 554             | 649,626          | 453,649      | 7.10                   | 7.10                 | 1.00                         | 12.01                           |
| 12-17 Years                            | 607   | 6,482       | 6,482         | 6,482           | 981,370          | 774,052      | 10.68                  | 10.68                | 1.00                         | 61.85                           |
| 18-44 Years                            | 3,207 | 35,122      | 35,122        | 35,122          | 2,907,458        | 2,384,755    | 10.95                  | 10.95                | 1.00                         | 110.30                          |
| 45-64 Years                            | 4,733 | 72,196      | 72,196        | 72,196          | 2,302,133        | 2,397,125    | 15.25                  | 15.25                | 1.00                         | 205.59                          |
| 65+ Years                              | 1,215 | 24,800      | 24,800        | 24,800          | 975,826          | 1,219,385    | 20.41                  | 20.41                | 1.00                         | 124.51                          |
| 365 day washout period                 |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 00 to 08 Years                         | 35    | 209         | 209           | 209             | 1,431,114        | 1,802,385    | 5.97                   | 5.97                 | 1.00                         | 2.45                            |
| 09 to 11 Years                         | 78    | 554         | 554           | 554             | 689,115          | 675,369      | 7.10                   | 7.10                 | 1.00                         | 11.32                           |
| 12-17 Years                            | 607   | 6,485       | 6,485         | 6,485           | 1,024,362        | 1,197,896    | 10.68                  | 10.68                | 1.00                         | 59.26                           |
| 18-44 Years                            | 3,215 | 35,168      | 35,168        | 35,168          | 2,956,471        | 3,598,995    | 10.94                  | 10.94                | 1.00                         | 108.74                          |
| 45-64 Years                            | 4,745 | 72,253      | 72,253        | 72,253          | 2,336,747        | 3,506,815    | 15.23                  | 15.23                | 1.00                         | 203.06                          |
| 65+ Years                              | 1,219 | 24,817      | 24,817        | 24,817          | 994,388          | 1,712,524    | 20.36                  | 20.36                | 1.00                         | 122.59                          |

**Table 2b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Age Group**

| Group                                     | New Users | New Starts | New Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|---|-----------|------------|-----------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>No Pre-existing Condition Criteria</b> |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| <b>NDCs and Procedure Codes</b>           |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years                            | 40        | 40         | 40              | 904           | 111             | 11,018,673       | 20,933,635   | 22.60                  | 1.00                 | 22.60                        | 0.36                                |
| 09 to 11 Years                            | 73        | 73         | 74              | 1,793         | 280             | 5,825,300        | 8,321,267    | 24.56                  | 1.01                 | 24.23                        | 1.25                                |
| 12-17 Years                               | 589       | 589        | 593             | 11,991        | 1,840           | 9,431,015        | 17,882,311   | 20.36                  | 1.01                 | 20.22                        | 6.25                                |
| 18-44 Years                               | 3,018     | 3,018      | 3,033           | 56,680        | 8,227           | 41,062,904       | 80,484,942   | 18.78                  | 1.00                 | 18.69                        | 7.35                                |
| 45-64 Years                               | 4,075     | 4,075      | 4,099           | 77,307        | 12,848          | 26,407,108       | 66,651,884   | 18.97                  | 1.01                 | 18.86                        | 15.43                               |
| 65+ Years                                 | 828       | 828        | 843             | 9,960         | 1,668           | 8,333,706        | 25,161,517   | 12.03                  | 1.02                 | 11.81                        | 9.94                                |
| 365 day washout period                    |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years                            | 27        | 27         | 27              | 564           | 81              | 8,459,071        | 15,843,948   | 20.89                  | 1.00                 | 20.89                        | 0.32                                |
| 09 to 11 Years                            | 52        | 52         | 53              | 1,145         | 185             | 4,762,768        | 6,763,266    | 22.02                  | 1.02                 | 21.60                        | 1.09                                |
| 12-17 Years                               | 432       | 432        | 435             | 8,151         | 1,204           | 7,796,537        | 14,667,724   | 18.87                  | 1.01                 | 18.74                        | 5.54                                |
| 18-44 Years                               | 2,096     | 2,096      | 2,107           | 36,782        | 5,355           | 32,058,195       | 62,793,081   | 17.55                  | 1.01                 | 17.46                        | 6.54                                |
| 45-64 Years                               | 2,920     | 2,920      | 2,941           | 52,080        | 7,519           | 22,582,538       | 55,916,324   | 17.84                  | 1.01                 | 17.71                        | 12.93                               |
| 65+ Years                                 | 651       | 651        | 663             | 7,379         | 1,265           | 7,585,350        | 22,117,863   | 11.33                  | 1.02                 | 11.13                        | 8.58                                |
| <b>NDCs only</b>                          |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years                            | 26        | 26         | 26              | 917           | 98              | 11,018,680       | 20,933,657   | 35.27                  | 1.00                 | 35.27                        | 0.24                                |
| 09 to 11 Years                            | 49        | 49         | 49              | 1,768         | 255             | 5,825,329        | 8,321,322    | 36.08                  | 1.00                 | 36.08                        | 0.84                                |
| 12-17 Years                               | 364       | 364        | 364             | 12,580        | 1,714           | 9,431,178        | 17,882,897   | 34.56                  | 1.00                 | 34.56                        | 3.86                                |
| 18-44 Years                               | 1,686     | 1,686      | 1,686           | 58,910        | 7,195           | 41,063,650       | 80,488,627   | 34.94                  | 1.00                 | 34.94                        | 4.11                                |
| 45-64 Years                               | 2,335     | 2,335      | 2,335           | 82,748        | 11,864          | 26,408,380       | 66,658,397   | 35.44                  | 1.00                 | 35.44                        | 8.84                                |
| 65+ Years                                 | 328       | 328        | 328             | 10,839        | 1,306           | 8,334,064        | 25,163,519   | 33.05                  | 1.00                 | 33.05                        | 3.94                                |
| 365 day washout period                    |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years                            | 16        | 16         | 16              | 553           | 70              | 8,459,077        | 15,843,961   | 34.56                  | 1.00                 | 34.56                        | 0.19                                |
| 09 to 11 Years                            | 32        | 32         | 32              | 1,124         | 164             | 4,762,794        | 6,763,314    | 35.13                  | 1.00                 | 35.13                        | 0.67                                |
| 12-17 Years                               | 265       | 265        | 265             | 8,662         | 1,110           | 7,796,705        | 14,668,219   | 32.69                  | 1.00                 | 32.69                        | 3.40                                |
| 18-44 Years                               | 1,164     | 1,164      | 1,164           | 38,815        | 4,680           | 32,059,050       | 62,796,139   | 33.35                  | 1.00                 | 33.35                        | 3.63                                |
| 45-64 Years                               | 1,683     | 1,683      | 1,683           | 56,341        | 6,884           | 22,584,024       | 55,921,879   | 33.48                  | 1.00                 | 33.48                        | 7.45                                |
| 65+ Years                                 | 256       | 256        | 256             | 8,173         | 991             | 7,585,741        | 22,119,631   | 31.93                  | 1.00                 | 31.93                        | 3.37                                |

**Table 2b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Age Group**

| Group   | New Users | New Starts | New Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|---|-----------|------------|-----------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>No Pre-existing Condition Criteria (Continued)</b> |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| <b>Procedure codes only</b>                           |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                                |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years  | 20        | 20         | 20              | 20            | 20              | 11,018,683       | 20,933,666   | 1.00                   | 1.00                 | 1.00                         | 0.18                                |
| 09 to 11 Years  | 32        | 32         | 32              | 32            | 32              | 5,825,336        | 8,321,367    | 1.00                   | 1.00                 | 1.00                         | 0.55                                |
| 12-17 Years   | 328       | 328        | 329             | 329           | 329             | 9,431,203        | 17,883,118   | 1.00                   | 1.00                 | 1.00                         | 3.48                                |
| 18-44 Years   | 1,763     | 1,763      | 1,772           | 1,772         | 1,772           | 41,063,727       | 80,488,871   | 1.01                   | 1.01                 | 1.00                         | 4.29                                |
| 45-64 Years   | 2,612     | 2,612      | 2,625           | 2,625         | 2,625           | 26,408,563       | 66,658,968   | 1.00                   | 1.00                 | 1.00                         | 9.89                                |
| 65+ Years   | 679       | 679        | 696             | 696           | 696             | 8,334,028        | 25,162,456   | 1.03                   | 1.03                 | 1.00                         | 8.15                                |
| 365 day washout period                                |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years  | 13        | 13         | 13              | 13            | 13              | 8,459,080        | 15,843,973   | 1.00                   | 1.00                 | 1.00                         | 0.15                                |
| 09 to 11 Years  | 28        | 28         | 28              | 28            | 28              | 4,762,809        | 6,763,352    | 1.00                   | 1.00                 | 1.00                         | 0.59                                |
| 12-17 Years   | 250       | 250        | 251             | 251           | 251             | 7,796,749        | 14,668,402   | 1.00                   | 1.00                 | 1.00                         | 3.21                                |
| 18-44 Years   | 1,286     | 1,286      | 1,291           | 1,291         | 1,291           | 32,059,114       | 62,796,311   | 1.00                   | 1.00                 | 1.00                         | 4.01                                |
| 45-64 Years   | 1,972     | 1,972      | 1,984           | 1,984         | 1,984           | 22,584,186       | 55,922,320   | 1.01                   | 1.01                 | 1.00                         | 8.73                                |
| 65+ Years   | 554       | 554        | 568             | 568           | 568             | 7,585,678        | 22,118,703   | 1.03                   | 1.03                 | 1.00                         | 7.30                                |
| <b>Pre-existing Asthma</b>                            |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| <b>NDCs and Procedure Codes</b>                       |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                                |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years  | 36        | 36         | 36              | 873           | 106             | 1,342,619        | 1,101,707    | 24.25                  | 1.00                 | 24.25                        | 2.68                                |
| 09 to 11 Years  | 66        | 66         | 67              | 1,591         | 250             | 616,557          | 406,336      | 24.11                  | 1.02                 | 23.75                        | 10.70                               |
| 12-17 Years   | 562       | 562        | 566             | 11,481        | 1,767           | 941,899          | 697,810      | 20.43                  | 1.01                 | 20.28                        | 59.67                               |
| 18-44 Years   | 2,797     | 2,797      | 2,812           | 52,986        | 7,696           | 2,734,929        | 2,069,218    | 18.94                  | 1.01                 | 18.84                        | 102.27                              |
| 45-64 Years   | 3,854     | 3,854      | 3,877           | 73,794        | 12,306          | 2,204,648        | 2,158,657    | 19.15                  | 1.01                 | 19.03                        | 174.81                              |
| 65+ Years   | 758       | 758        | 772             | 9,482         | 1,558           | 940,601          | 1,130,499    | 12.51                  | 1.02                 | 12.28                        | 80.59                               |
| 365 day washout period                                |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years  | 25        | 25         | 25              | 562           | 79              | 1,190,472        | 1,346,449    | 22.48                  | 1.00                 | 22.48                        | 2.10                                |
| 09 to 11 Years  | 47        | 47         | 48              | 1,055         | 175             | 589,891          | 534,575      | 22.45                  | 1.02                 | 21.98                        | 7.97                                |
| 12-17 Years   | 416       | 416        | 419             | 7,828         | 1,167           | 902,512          | 965,969      | 18.82                  | 1.01                 | 18.68                        | 46.09                               |
| 18-44 Years   | 1,976     | 1,976      | 1,987           | 35,111        | 5,101           | 2,468,999        | 2,702,029    | 17.77                  | 1.01                 | 17.67                        | 80.03                               |
| 45-64 Years   | 2,792     | 2,792      | 2,812           | 50,448        | 7,264           | 2,069,177        | 2,843,175    | 18.07                  | 1.01                 | 17.94                        | 134.93                              |
| 65+ Years   | 606       | 606        | 618             | 7,062         | 1,201           | 911,885          | 1,467,081    | 11.65                  | 1.02                 | 11.43                        | 66.46                               |

**Table 2b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Age Group**

| Group                                  | New Users | New Starts | New Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|--|-----------|------------|-----------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>Pre-existing Asthma (Continued)</b> |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| <b>NDCs only</b>                       |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                 |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years                         | 25        | 25         | 25              | 889           | 96              | 1,342,628        | 1,101,722    | 35.56                  | 1.00                 | 35.56                        | 1.86                                |
| 09 to 11 Years                         | 44        | 44         | 44              | 1,568         | 227             | 616,586          | 406,390      | 35.64                  | 1.00                 | 35.64                        | 7.14                                |
| 12-17 Years                            | 350       | 350        | 350             | 12,056        | 1,654           | 942,077          | 698,365      | 34.45                  | 1.00                 | 34.45                        | 37.15                               |
| 18-44 Years                            | 1,588     | 1,588      | 1,588           | 55,284        | 6,786           | 2,735,755        | 2,072,578    | 34.81                  | 1.00                 | 34.81                        | 58.05                               |
| 45-64 Years                            | 2,232     | 2,232      | 2,232           | 79,273        | 11,438          | 2,205,963        | 2,164,744    | 35.52                  | 1.00                 | 35.52                        | 101.18                              |
| 65+ Years                              | 315       | 315        | 315             | 10,419        | 1,254           | 940,967          | 1,132,323    | 33.08                  | 1.00                 | 33.08                        | 33.48                               |
| 365 day washout period                 |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years                         | 16        | 16         | 16              | 553           | 70              | 1,190,480        | 1,346,461    | 34.56                  | 1.00                 | 34.56                        | 1.34                                |
| 09 to 11 Years                         | 29        | 29         | 29              | 1,036         | 156             | 589,916          | 534,620      | 35.72                  | 1.00                 | 35.72                        | 4.92                                |
| 12-17 Years                            | 257       | 257        | 257             | 8,320         | 1,081           | 902,693          | 966,451      | 32.37                  | 1.00                 | 32.37                        | 28.47                               |
| 18-44 Years                            | 1,117     | 1,117      | 1,117           | 37,217        | 4,503           | 2,469,912        | 2,704,971    | 33.32                  | 1.00                 | 33.32                        | 45.22                               |
| 45-64 Years                            | 1,630     | 1,630      | 1,630           | 54,758        | 6,705           | 2,070,704        | 2,848,553    | 33.59                  | 1.00                 | 33.59                        | 78.72                               |
| 65+ Years                              | 248       | 248        | 248             | 7,893         | 964             | 912,287          | 1,468,756    | 31.83                  | 1.00                 | 31.83                        | 27.18                               |
| <b>Procedure codes only</b>            |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                 |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years                         | 17        | 17         | 17              | 17            | 17              | 1,342,629        | 1,101,735    | 1.00                   | 1.00                 | 1.00                         | 1.27                                |
| 09 to 11 Years                         | 30        | 30         | 30              | 30            | 30              | 616,594          | 406,429      | 1.00                   | 1.00                 | 1.00                         | 4.87                                |
| 12-17 Years                            | 314       | 314        | 315             | 315           | 315             | 942,086          | 698,555      | 1.00                   | 1.00                 | 1.00                         | 33.33                               |
| 18-44 Years                            | 1,627     | 1,627      | 1,636           | 1,636         | 1,636           | 2,735,796        | 2,072,630    | 1.01                   | 1.01                 | 1.00                         | 59.47                               |
| 45-64 Years                            | 2,476     | 2,476      | 2,488           | 2,488         | 2,488           | 2,206,121        | 2,165,110    | 1.00                   | 1.00                 | 1.00                         | 112.23                              |
| 65+ Years                              | 616       | 616        | 632             | 632           | 632             | 940,913          | 1,131,366    | 1.03                   | 1.03                 | 1.00                         | 65.47                               |
| 365 day washout period                 |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 00 to 08 Years                         | 11        | 11         | 11              | 11            | 11              | 1,190,481        | 1,346,473    | 1.00                   | 1.00                 | 1.00                         | 0.92                                |
| 09 to 11 Years                         | 26        | 26         | 26              | 26            | 26              | 589,931          | 534,655      | 1.00                   | 1.00                 | 1.00                         | 4.41                                |
| 12-17 Years                            | 242       | 242        | 243             | 243           | 243             | 902,723          | 966,622      | 1.00                   | 1.00                 | 1.00                         | 26.81                               |
| 18-44 Years                            | 1,209     | 1,209      | 1,214           | 1,214         | 1,214           | 2,469,936        | 2,705,054    | 1.00                   | 1.00                 | 1.00                         | 48.95                               |
| 45-64 Years                            | 1,889     | 1,889      | 1,900           | 1,900         | 1,900           | 2,070,829        | 2,848,931    | 1.01                   | 1.01                 | 1.00                         | 91.22                               |
| 65+ Years                              | 515       | 515        | 529             | 529           | 529             | 912,208          | 1,467,891    | 1.03                   | 1.03                 | 1.00                         | 56.46                               |

**Table 3a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Sex**

| Group                                     | Users  | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|---|--------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>No Pre-existing Condition Criteria</b> |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| <b>NDCs and Procedure Codes</b>           |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                                    | 10,307 | 158,412     | 2,356,174     | 334,118         | 54,755,089       | 139,141,846  | 228.60                 | 15.37                | 14.87                        | 18.82                           |
| Male                                      | 6,181  | 96,147      | 1,338,329     | 219,841         | 53,730,089       | 132,668,277  | 216.52                 | 15.56                | 13.92                        | 11.50                           |
| Unknown                                   | 3      | 22          | 80            | 24              | 35,715           | 58,715       | 26.67                  | 7.33                 | 3.64                         | 8.40                            |
| 365 day washout period                    |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                                    | 10,307 | 158,412     | 2,356,174     | 334,118         | 54,755,089       | 139,141,846  | 228.60                 | 15.37                | 14.87                        | 18.82                           |
| Male                                      | 6,181  | 96,147      | 1,338,329     | 219,841         | 53,730,089       | 132,668,277  | 216.52                 | 15.56                | 13.92                        | 11.50                           |
| Unknown                                   | 3      | 22          | 80            | 24              | 35,715           | 58,715       | 26.67                  | 7.33                 | 3.64                         | 8.40                            |
| <b>NDCs only</b>                          |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                                    | 5,549  | 71,288      | 2,269,050     | 246,994         | 54,755,089       | 139,141,846  | 408.91                 | 12.85                | 31.83                        | 10.13                           |
| Male                                      | 3,312  | 41,257      | 1,283,439     | 164,951         | 53,730,089       | 132,668,277  | 387.51                 | 12.46                | 31.11                        | 6.16                            |
| Unknown                                   | 1      | 2           | 60            | 4               | 35,715           | 58,715       | 60.00                  | 2.00                 | 30.00                        | 2.80                            |
| 365 day washout period                    |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                                    | 5,549  | 71,288      | 2,269,050     | 246,994         | 54,755,089       | 139,141,846  | 408.91                 | 12.85                | 31.83                        | 10.13                           |
| Male                                      | 3,312  | 41,257      | 1,283,439     | 164,951         | 53,730,089       | 132,668,277  | 387.51                 | 12.46                | 31.11                        | 6.16                            |
| Unknown                                   | 1      | 2           | 60            | 4               | 35,715           | 58,715       | 60.00                  | 2.00                 | 30.00                        | 2.80                            |
| <b>Procedure codes only</b>               |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                                    | 6,057  | 87,124      | 87,124        | 87,124          | 54,755,089       | 139,141,846  | 14.38                  | 14.38                | 1.00                         | 11.06                           |
| Male                                      | 3,640  | 54,890      | 54,890        | 54,890          | 53,730,089       | 132,668,277  | 15.08                  | 15.08                | 1.00                         | 6.77                            |
| Unknown                                   | 2      | 20          | 20            | 20              | 35,715           | 58,715       | 10.00                  | 10.00                | 1.00                         | 5.60                            |
| 365 day washout period                    |        |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                                    | 6,057  | 87,124      | 87,124        | 87,124          | 54,755,089       | 139,141,846  | 14.38                  | 14.38                | 1.00                         | 11.06                           |
| Male                                      | 3,640  | 54,890      | 54,890        | 54,890          | 53,730,089       | 132,668,277  | 15.08                  | 15.08                | 1.00                         | 6.77                            |
| Unknown                                   | 2      | 20          | 20            | 20              | 35,715           | 58,715       | 10.00                  | 10.00                | 1.00                         | 5.60                            |

**Table 3a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Sex**

| Group                           | Users | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|---------------------------------|-------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>Pre-existing Asthma</b>      |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| <b>NDCs and Procedure Codes</b> |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period          |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                          | 9,888 | 154,667     | 2,279,919     | 324,999         | 4,723,113        | 4,948,036    | 230.57                 | 15.64                | 14.74                        | 209.35                          |
| Male                            | 5,912 | 93,737      | 1,292,452     | 213,556         | 3,625,432        | 3,537,057    | 218.62                 | 15.86                | 13.79                        | 163.07                          |
| Unknown                         | 3     | 22          | 80            | 24              | 2,412            | 2,034        | 26.67                  | 7.33                 | 3.64                         | 124.38                          |
| 365 day washout period          |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                          | 9,912 | 154,775     | 2,282,630     | 325,305         | 4,740,572        | 7,228,300    | 230.29                 | 15.61                | 14.75                        | 209.09                          |
| Male                            | 5,919 | 93,787      | 1,293,046     | 213,659         | 3,640,060        | 5,262,933    | 218.46                 | 15.85                | 13.79                        | 162.61                          |
| Unknown                         | 3     | 22          | 80            | 24              | 2,412            | 2,846        | 26.67                  | 7.33                 | 3.64                         | 124.38                          |
| <b>NDCs only</b>                |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period          |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                          | 5,323 | 69,067      | 2,193,576     | 239,366         | 4,723,113        | 4,948,036    | 412.09                 | 12.98                | 31.76                        | 112.70                          |
| Male                            | 3,176 | 39,901      | 1,238,616     | 159,720         | 3,625,432        | 3,537,057    | 389.99                 | 12.56                | 31.04                        | 87.60                           |
| Unknown                         | 1     | 2           | 60            | 4               | 2,412            | 2,034        | 60.00                  | 2.00                 | 30.00                        | 41.46                           |
| 365 day washout period          |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                          | 5,335 | 69,137      | 2,196,276     | 239,637         | 4,740,572        | 7,228,300    | 411.67                 | 12.96                | 31.77                        | 112.54                          |
| Male                            | 3,179 | 39,919      | 1,239,178     | 159,791         | 3,640,060        | 5,262,933    | 389.80                 | 12.56                | 31.04                        | 87.33                           |
| Unknown                         | 1     | 2           | 60            | 4               | 2,412            | 2,846        | 60.00                  | 2.00                 | 30.00                        | 41.46                           |
| <b>Procedure codes only</b>     |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period          |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                          | 5,806 | 85,512      | 85,512        | 85,512          | 4,723,113        | 4,948,036    | 14.73                  | 14.73                | 1.00                         | 122.93                          |
| Male                            | 3,484 | 53,831      | 53,831        | 53,831          | 3,625,432        | 3,537,057    | 15.45                  | 15.45                | 1.00                         | 96.10                           |
| Unknown                         | 2     | 20          | 20            | 20              | 2,412            | 2,034        | 10.00                  | 10.00                | 1.00                         | 82.92                           |
| 365 day washout period          |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| Female                          | 5,826 | 85,600      | 85,600        | 85,600          | 4,740,572        | 7,228,300    | 14.69                  | 14.69                | 1.00                         | 122.90                          |
| Male                            | 3,488 | 53,866      | 53,866        | 53,866          | 3,640,060        | 5,262,933    | 15.44                  | 15.44                | 1.00                         | 95.82                           |
| Unknown                         | 2     | 20          | 20            | 20              | 2,412            | 2,846        | 10.00                  | 10.00                | 1.00                         | 82.92                           |

**Table 3b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Sex**

| Group                                     | New Users | New Starts | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|---|-----------|------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>No Pre-existing Condition Criteria</b> |           |            |               |                 |                  |              |                        |                      |                              |                                     |
| <b>NDCs and Procedure Codes</b>           |           |            |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    |           |            |               |                 |                  |              |                        |                      |                              |                                     |
| Female                                    | 5,376     | 5,376      | 5,412         | 99,577          | 15,617           | 45,295,070   | 112,677,172            | 18.52                | 1.01                         | 18.40                               |
| Male                                      | 3,246     | 3,246      | 3,269         | 59,028          | 9,355            | 43,972,104   | 106,727,556            | 18.18                | 1.01                         | 18.06                               |
| Unknown                                   | 1         | 1          | 1             | 30              | 2                | 20,626       | 42,255                 | 30.00                | 1.00                         | 30.00                               |
| 365 day washout period                    |           |            |               |                 |                  |              |                        |                      |                              |                                     |
| Female                                    | 3,860     | 3,860      | 3,889         | 66,346          | 9,231            | 36,992,378   | 91,721,676             | 17.19                | 1.01                         | 17.06                               |
| Male                                      | 2,317     | 2,317      | 2,336         | 39,725          | 6,376            | 35,722,813   | 86,360,164             | 17.15                | 1.01                         | 17.01                               |
| Unknown                                   | 1         | 1          | 1             | 30              | 2                | 18,046       | 31,129                 | 30.00                | 1.00                         | 30.00                               |
| <b>NDCs only</b>                          |           |            |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    |           |            |               |                 |                  |              |                        |                      |                              |                                     |
| Female                                    | 2,972     | 2,972      | 2,972         | 104,807         | 13,973           | 45,296,292   | 112,685,113            | 35.26                | 1.00                         | 35.26                               |
| Male                                      | 1,815     | 1,815      | 1,815         | 62,925          | 8,457            | 43,972,834   | 106,732,477            | 34.67                | 1.00                         | 34.67                               |
| Unknown                                   | 1         | 1          | 1             | 30              | 2                | 20,627       | 42,256                 | 30.00                | 1.00                         | 30.00                               |
| 365 day washout period                    |           |            |               |                 |                  |              |                        |                      |                              |                                     |
| Female                                    | 2,119     | 2,119      | 2,119         | 70,523          | 8,102            | 36,993,894   | 91,728,432             | 33.28                | 1.00                         | 33.28                               |
| Male                                      | 1,296     | 1,296      | 1,296         | 43,115          | 5,795            | 35,723,724   | 86,364,344             | 33.27                | 1.00                         | 33.27                               |
| Unknown                                   | 1         | 1          | 1             | 30              | 2                | 18,047       | 31,130                 | 30.00                | 1.00                         | 30.00                               |
| <b>Procedure codes only</b>               |           |            |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    |           |            |               |                 |                  |              |                        |                      |                              |                                     |
| Female                                    | 3,389     | 3,389      | 3,417         | 3,417           | 3,417            | 45,296,359   | 112,685,231            | 1.01                 | 1.01                         | 1.00                                |
| Male                                      | 2,045     | 2,045      | 2,057         | 2,057           | 2,057            | 43,972,889   | 106,732,388            | 1.01                 | 1.01                         | 1.00                                |
| Unknown                                   | 0         | 0          | 0             | 0               | 0                | 20,626       | 42,255                 | 0.00                 | 0.00                         | 0.00                                |
| 365 day washout period                    |           |            |               |                 |                  |              |                        |                      |                              |                                     |
| Female                                    | 2,568     | 2,568      | 2,591         | 2,591           | 2,591            | 36,993,985   | 91,728,490             | 1.01                 | 1.01                         | 1.00                                |
| Male                                      | 1,535     | 1,535      | 1,544         | 1,544           | 1,544            | 35,723,729   | 86,364,204             | 1.01                 | 1.01                         | 1.00                                |
| Unknown                                   | 0         | 0          | 0             | 0               | 0                | 18,046       | 31,130                 | 0.00                 | 0.00                         | 0.00                                |

**Table 3b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Sex**

| Group                           | New Users | New Starts | New Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|---------------------------------|-----------|------------|-----------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>Pre-existing Asthma</b>      |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| <b>NDCs and Procedure Codes</b> |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period          |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| Female                          | 5,047     | 5,047      | 5,083           | 94,418        | 14,864          | 4,496,820        | 4,414,462    | 18.71                  | 1.01                 | 18.58                        | 112.23                              |
| Male                            | 3,025     | 3,025      | 3,046           | 55,759        | 8,817           | 3,454,694        | 3,148,029    | 18.43                  | 1.01                 | 18.31                        | 87.56                               |
| Unknown                         | 1         | 1          | 1               | 30            | 2               | 2,114            | 1,759        | 30.00                  | 1.00                 | 30.00                        | 47.30                               |
| 365 day washout period          |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| Female                          | 3,673     | 3,673      | 3,702           | 64,090        | 8,887           | 4,105,933        | 5,721,252    | 17.45                  | 1.01                 | 17.31                        | 89.46                               |
| Male                            | 2,188     | 2,188      | 2,206           | 37,946        | 6,098           | 3,154,992        | 4,136,081    | 17.34                  | 1.01                 | 17.20                        | 69.35                               |
| Unknown                         | 1         | 1          | 1               | 30            | 2               | 1,981            | 2,039        | 30.00                  | 1.00                 | 30.00                        | 50.48                               |
| <b>NDCs only</b>                |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period          |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| Female                          | 2,832     | 2,832      | 2,832           | 99,756        | 13,409          | 4,498,137        | 4,421,847    | 35.22                  | 1.00                 | 35.22                        | 62.96                               |
| Male                            | 1,721     | 1,721      | 1,721           | 59,703        | 8,044           | 3,455,494        | 3,152,538    | 34.69                  | 1.00                 | 34.69                        | 49.80                               |
| Unknown                         | 1         | 1          | 1               | 30            | 2               | 2,115            | 1,760        | 30.00                  | 1.00                 | 30.00                        | 47.28                               |
| 365 day washout period          |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| Female                          | 2,050     | 2,050      | 2,050           | 68,304        | 7,875           | 4,107,519        | 5,727,775    | 33.32                  | 1.00                 | 33.32                        | 49.91                               |
| Male                            | 1,246     | 1,246      | 1,246           | 41,443        | 5,602           | 3,155,957        | 4,140,092    | 33.26                  | 1.00                 | 33.26                        | 39.48                               |
| Unknown                         | 1         | 1          | 1               | 30            | 2               | 1,982            | 2,040        | 30.00                  | 1.00                 | 30.00                        | 50.45                               |
| <b>Procedure codes only</b>     |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period          |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| Female                          | 3,175     | 3,175      | 3,203           | 3,203         | 3,203           | 4,498,163        | 4,421,814    | 1.01                   | 1.01                 | 1.00                         | 70.58                               |
| Male                            | 1,905     | 1,905      | 1,915           | 1,915         | 1,915           | 3,455,509        | 3,152,276    | 1.01                   | 1.01                 | 1.00                         | 55.13                               |
| Unknown                         | 0         | 0          | 0               | 0             | 0               | 2,114            | 1,759        | 0.00                   | 0.00                 | 0.00                         | 0.00                                |
| 365 day washout period          |           |            |                 |               |                 |                  |              |                        |                      |                              |                                     |
| Female                          | 2,442     | 2,442      | 2,465           | 2,465         | 2,465           | 4,107,562        | 5,727,803    | 1.01                   | 1.01                 | 1.00                         | 59.45                               |
| Male                            | 1,450     | 1,450      | 1,458           | 1,458         | 1,458           | 3,155,913        | 4,139,878    | 1.01                   | 1.01                 | 1.00                         | 45.95                               |
| Unknown                         | 0         | 0          | 0               | 0             | 0               | 1,981            | 2,040        | 0.00                   | 0.00                 | 0.00                         | 0.00                                |

**Table 4a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group                                     | Users | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|---|-------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>No Pre-existing Condition Criteria</b> |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| <b>NDCs and Procedure Codes</b>           |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                    |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003                                      | 29    | 77          | 2,228         | 292             | 6,777,112        | 3,287,321    | 76.83                  | 2.66                 | 28.94                        | 0.43                            |
| 2004                                      | 103   | 589         | 16,681        | 1,926           | 7,897,923        | 6,779,170    | 161.95                 | 5.72                 | 28.32                        | 1.30                            |
| 2005                                      | 201   | 1,528       | 26,028        | 3,530           | 8,236,050        | 7,039,146    | 129.49                 | 7.60                 | 17.03                        | 2.44                            |
| 2006                                      | 1,874 | 11,337      | 264,783       | 34,534          | 22,470,544       | 18,437,469   | 141.29                 | 6.05                 | 23.36                        | 8.34                            |
| 2007                                      | 2,264 | 13,367      | 286,917       | 38,238          | 25,864,037       | 20,274,117   | 126.73                 | 5.90                 | 21.46                        | 8.75                            |
| 2008                                      | 6,028 | 43,683      | 618,046       | 95,608          | 52,941,997       | 42,438,832   | 102.53                 | 7.25                 | 14.15                        | 11.39                           |
| 2009                                      | 5,920 | 43,467      | 620,234       | 92,715          | 51,225,531       | 41,742,543   | 104.77                 | 7.34                 | 14.27                        | 11.56                           |
| 2010                                      | 5,501 | 40,418      | 567,495       | 85,659          | 49,314,493       | 40,213,609   | 103.16                 | 7.35                 | 14.04                        | 11.15                           |
| 2011                                      | 5,469 | 41,147      | 544,368       | 83,684          | 47,992,398       | 39,400,309   | 99.54                  | 7.52                 | 13.23                        | 11.40                           |
| 2012                                      | 5,391 | 42,096      | 539,222       | 84,513          | 46,760,194       | 37,859,890   | 100.02                 | 7.81                 | 12.81                        | 11.53                           |
| 2013                                      | 4,178 | 16,872      | 208,581       | 33,284          | 39,540,672       | 14,396,433   | 49.92                  | 4.04                 | 12.36                        | 10.57                           |
| 365 day washout period                    |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003                                      | 29    | 77          | 2,228         | 292             | 6,777,112        | 3,287,321    | 76.83                  | 2.66                 | 28.94                        | 0.43                            |
| 2004                                      | 103   | 589         | 16,681        | 1,926           | 7,897,923        | 6,779,170    | 161.95                 | 5.72                 | 28.32                        | 1.30                            |
| 2005                                      | 201   | 1,528       | 26,028        | 3,530           | 8,236,050        | 7,039,146    | 129.49                 | 7.60                 | 17.03                        | 2.44                            |
| 2006                                      | 1,874 | 11,337      | 264,783       | 34,534          | 22,470,544       | 18,437,469   | 141.29                 | 6.05                 | 23.36                        | 8.34                            |
| 2007                                      | 2,264 | 13,367      | 286,917       | 38,238          | 25,864,037       | 20,274,117   | 126.73                 | 5.90                 | 21.46                        | 8.75                            |
| 2008                                      | 6,028 | 43,683      | 618,046       | 95,608          | 52,941,997       | 42,438,832   | 102.53                 | 7.25                 | 14.15                        | 11.39                           |
| 2009                                      | 5,920 | 43,467      | 620,234       | 92,715          | 51,225,531       | 41,742,543   | 104.77                 | 7.34                 | 14.27                        | 11.56                           |
| 2010                                      | 5,501 | 40,418      | 567,495       | 85,659          | 49,314,493       | 40,213,609   | 103.16                 | 7.35                 | 14.04                        | 11.15                           |
| 2011                                      | 5,469 | 41,147      | 544,368       | 83,684          | 47,992,398       | 39,400,309   | 99.54                  | 7.52                 | 13.23                        | 11.40                           |
| 2012                                      | 5,391 | 42,096      | 539,222       | 84,513          | 46,760,194       | 37,859,890   | 100.02                 | 7.81                 | 12.81                        | 11.53                           |
| 2013                                      | 4,178 | 16,872      | 208,581       | 33,284          | 39,540,672       | 14,396,433   | 49.92                  | 4.04                 | 12.36                        | 10.57                           |

**Table 4a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group   | Users | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|---|-------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>No Pre-existing Condition Criteria (Continued)</b> |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| <b>NDCs only</b>                                      |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                                |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003  | 29    | 77          | 2,228         | 292             | 6,777,112        | 3,287,321    | 76.83                  | 2.66                 | 28.94                        | 0.43                            |
| 2004  | 102   | 588         | 16,680        | 1,925           | 7,897,923        | 6,779,170    | 163.53                 | 5.76                 | 28.37                        | 1.29                            |
| 2005  | 130   | 871         | 25,371        | 2,873           | 8,236,050        | 7,039,146    | 195.16                 | 6.70                 | 29.13                        | 1.58                            |
| 2006  | 1,229 | 6,024       | 259,470       | 29,221          | 22,470,544       | 18,437,469   | 211.12                 | 4.90                 | 43.07                        | 5.47                            |
| 2007  | 1,540 | 7,077       | 280,627       | 31,948          | 25,864,037       | 20,274,117   | 182.23                 | 4.60                 | 39.65                        | 5.95                            |
| 2008  | 3,081 | 19,061      | 593,424       | 70,986          | 52,941,997       | 42,438,832   | 192.61                 | 6.19                 | 31.13                        | 5.82                            |
| 2009  | 3,084 | 19,539      | 596,306       | 68,787          | 51,225,531       | 41,742,543   | 193.35                 | 6.34                 | 30.52                        | 6.02                            |
| 2010  | 2,874 | 18,008      | 545,085       | 63,249          | 49,314,493       | 40,213,609   | 189.66                 | 6.27                 | 30.27                        | 5.83                            |
| 2011  | 2,746 | 17,437      | 520,658       | 59,974          | 47,992,398       | 39,400,309   | 189.61                 | 6.35                 | 29.86                        | 5.72                            |
| 2012  | 2,689 | 17,247      | 514,373       | 59,664          | 46,760,194       | 37,859,890   | 191.29                 | 6.41                 | 29.82                        | 5.75                            |
| 2013  | 2,052 | 6,618       | 198,327       | 23,030          | 39,540,672       | 14,396,433   | 96.65                  | 3.23                 | 29.97                        | 5.19                            |
| 365 day washout period                                |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003  | 29    | 77          | 2,228         | 292             | 6,777,112        | 3,287,321    | 76.83                  | 2.66                 | 28.94                        | 0.43                            |
| 2004  | 102   | 588         | 16,680        | 1,925           | 7,897,923        | 6,779,170    | 163.53                 | 5.76                 | 28.37                        | 1.29                            |
| 2005  | 130   | 871         | 25,371        | 2,873           | 8,236,050        | 7,039,146    | 195.16                 | 6.70                 | 29.13                        | 1.58                            |
| 2006  | 1,229 | 6,024       | 259,470       | 29,221          | 22,470,544       | 18,437,469   | 211.12                 | 4.90                 | 43.07                        | 5.47                            |
| 2007  | 1,540 | 7,077       | 280,627       | 31,948          | 25,864,037       | 20,274,117   | 182.23                 | 4.60                 | 39.65                        | 5.95                            |
| 2008  | 3,081 | 19,061      | 593,424       | 70,986          | 52,941,997       | 42,438,832   | 192.61                 | 6.19                 | 31.13                        | 5.82                            |
| 2009  | 3,084 | 19,539      | 596,306       | 68,787          | 51,225,531       | 41,742,543   | 193.35                 | 6.34                 | 30.52                        | 6.02                            |
| 2010  | 2,874 | 18,008      | 545,085       | 63,249          | 49,314,493       | 40,213,609   | 189.66                 | 6.27                 | 30.27                        | 5.83                            |
| 2011  | 2,746 | 17,437      | 520,658       | 59,974          | 47,992,398       | 39,400,309   | 189.61                 | 6.35                 | 29.86                        | 5.72                            |
| 2012  | 2,689 | 17,247      | 514,373       | 59,664          | 46,760,194       | 37,859,890   | 191.29                 | 6.41                 | 29.82                        | 5.75                            |
| 2013  | 2,052 | 6,618       | 198,327       | 23,030          | 39,540,672       | 14,396,433   | 96.65                  | 3.23                 | 29.97                        | 5.19                            |

**Table 4a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group   | Users | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|---|-------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>No Pre-existing Condition Criteria (Continued)</b> |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| <i>Procedure codes only</i>                           |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                                |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003  | 0     | 0           | 0             | 0               | 6,777,112        | 3,287,321    | 0.00                   | 0.00                 | 0.00                         | 0.00                            |
| 2004  | 1     | 1           | 1             | 1               | 7,897,923        | 6,779,170    | 1.00                   | 1.00                 | 1.00                         | 0.01                            |
| 2005  | 90    | 657         | 657           | 657             | 8,236,050        | 7,039,146    | 7.30                   | 7.30                 | 1.00                         | 1.09                            |
| 2006  | 748   | 5,313       | 5,313         | 5,313           | 22,470,544       | 18,437,469   | 7.10                   | 7.10                 | 1.00                         | 3.33                            |
| 2007  | 858   | 6,290       | 6,290         | 6,290           | 25,864,037       | 20,274,117   | 7.33                   | 7.33                 | 1.00                         | 3.32                            |
| 2008  | 3,233 | 24,622      | 24,622        | 24,622          | 52,941,997       | 42,438,832   | 7.62                   | 7.62                 | 1.00                         | 6.11                            |
| 2009  | 3,215 | 23,928      | 23,928        | 23,928          | 51,225,531       | 41,742,543   | 7.44                   | 7.44                 | 1.00                         | 6.28                            |
| 2010  | 2,923 | 22,410      | 22,410        | 22,410          | 49,314,493       | 40,213,609   | 7.67                   | 7.67                 | 1.00                         | 5.93                            |
| 2011  | 3,029 | 23,710      | 23,710        | 23,710          | 47,992,398       | 39,400,309   | 7.83                   | 7.83                 | 1.00                         | 6.31                            |
| 2012  | 3,335 | 24,849      | 24,849        | 24,849          | 46,760,194       | 37,859,890   | 7.45                   | 7.45                 | 1.00                         | 7.13                            |
| 2013  | 2,489 | 10,254      | 10,254        | 10,254          | 39,540,672       | 14,396,433   | 4.12                   | 4.12                 | 1.00                         | 6.29                            |
| 365 day washout period                                |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003  | 0     | 0           | 0             | 0               | 6,777,112        | 3,287,321    | 0.00                   | 0.00                 | 0.00                         | 0.00                            |
| 2004  | 1     | 1           | 1             | 1               | 7,897,923        | 6,779,170    | 1.00                   | 1.00                 | 1.00                         | 0.01                            |
| 2005  | 90    | 657         | 657           | 657             | 8,236,050        | 7,039,146    | 7.30                   | 7.30                 | 1.00                         | 1.09                            |
| 2006  | 748   | 5,313       | 5,313         | 5,313           | 22,470,544       | 18,437,469   | 7.10                   | 7.10                 | 1.00                         | 3.33                            |
| 2007  | 858   | 6,290       | 6,290         | 6,290           | 25,864,037       | 20,274,117   | 7.33                   | 7.33                 | 1.00                         | 3.32                            |
| 2008  | 3,233 | 24,622      | 24,622        | 24,622          | 52,941,997       | 42,438,832   | 7.62                   | 7.62                 | 1.00                         | 6.11                            |
| 2009  | 3,215 | 23,928      | 23,928        | 23,928          | 51,225,531       | 41,742,543   | 7.44                   | 7.44                 | 1.00                         | 6.28                            |
| 2010  | 2,923 | 22,410      | 22,410        | 22,410          | 49,314,493       | 40,213,609   | 7.67                   | 7.67                 | 1.00                         | 5.93                            |
| 2011  | 3,029 | 23,710      | 23,710        | 23,710          | 47,992,398       | 39,400,309   | 7.83                   | 7.83                 | 1.00                         | 6.31                            |
| 2012  | 3,335 | 24,849      | 24,849        | 24,849          | 46,760,194       | 37,859,890   | 7.45                   | 7.45                 | 1.00                         | 7.13                            |
| 2013  | 2,489 | 10,254      | 10,254        | 10,254          | 39,540,672       | 14,396,433   | 4.12                   | 4.12                 | 1.00                         | 6.29                            |

**Table 4a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group                           | Users | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|---------------------------------|-------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>Pre-existing Asthma</b>      |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| <i>NDCs and Procedure Codes</i> |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period          |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003                            | 29    | 77          | 2,228         | 292             | 337,281          | 99,602       | 76.83                  | 2.66                 | 28.94                        | 8.60                            |
| 2004                            | 101   | 579         | 16,428        | 1,897           | 449,205          | 202,447      | 162.65                 | 5.73                 | 28.37                        | 22.48                           |
| 2005                            | 197   | 1,508       | 25,489        | 3,464           | 521,890          | 242,394      | 129.39                 | 7.65                 | 16.90                        | 37.75                           |
| 2006                            | 1,764 | 10,389      | 235,302       | 31,240          | 1,091,215        | 502,029      | 133.39                 | 5.89                 | 22.65                        | 161.65                          |
| 2007                            | 2,205 | 12,971      | 274,945       | 36,939          | 1,353,246        | 615,442      | 124.69                 | 5.88                 | 21.20                        | 162.94                          |
| 2008                            | 5,793 | 41,683      | 586,451       | 91,208          | 2,564,215        | 1,183,462    | 101.23                 | 7.20                 | 14.07                        | 225.92                          |
| 2009                            | 5,801 | 42,845      | 607,802       | 91,099          | 2,875,900        | 1,332,347    | 104.78                 | 7.39                 | 14.19                        | 201.71                          |
| 2010                            | 5,405 | 39,905      | 558,532       | 84,553          | 2,828,285        | 1,321,891    | 103.34                 | 7.38                 | 14.00                        | 191.11                          |
| 2011                            | 5,363 | 40,607      | 535,155       | 82,487          | 2,739,146        | 1,288,130    | 99.79                  | 7.57                 | 13.18                        | 195.79                          |
| 2012                            | 5,247 | 41,420      | 528,086       | 82,991          | 2,646,583        | 1,237,183    | 100.65                 | 7.89                 | 12.75                        | 198.26                          |
| 2013                            | 4,055 | 16,442      | 202,033       | 32,409          | 1,790,356        | 462,202      | 49.82                  | 4.05                 | 12.29                        | 226.49                          |
| 365 day washout period          |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003                            | 29    | 77          | 2,228         | 292             | 409,694          | 160,239      | 76.83                  | 2.66                 | 28.94                        | 7.08                            |
| 2004                            | 101   | 580         | 16,456        | 1,901           | 531,145          | 317,630      | 162.93                 | 5.74                 | 28.37                        | 19.02                           |
| 2005                            | 197   | 1,510       | 25,518        | 3,471           | 597,467          | 366,777      | 129.53                 | 7.66                 | 16.90                        | 32.97                           |
| 2006                            | 1,767 | 10,395      | 235,445       | 31,255          | 1,185,965        | 689,044      | 133.25                 | 5.88                 | 22.65                        | 148.99                          |
| 2007                            | 2,209 | 12,990      | 275,997       | 37,055          | 1,551,507        | 919,159      | 124.94                 | 5.88                 | 21.25                        | 142.38                          |
| 2008                            | 5,800 | 41,702      | 586,715       | 91,256          | 2,763,968        | 1,621,882    | 101.16                 | 7.19                 | 14.07                        | 209.84                          |
| 2009                            | 5,811 | 42,872      | 608,209       | 91,153          | 3,299,402        | 1,974,468    | 104.67                 | 7.38                 | 14.19                        | 176.12                          |
| 2010                            | 5,410 | 39,935      | 559,075       | 84,609          | 3,231,602        | 1,982,259    | 103.34                 | 7.38                 | 14.00                        | 167.41                          |
| 2011                            | 5,368 | 40,627      | 535,526       | 82,534          | 3,148,088        | 1,931,920    | 99.76                  | 7.57                 | 13.18                        | 170.52                          |
| 2012                            | 5,255 | 41,442      | 528,299       | 83,032          | 3,056,529        | 1,854,283    | 100.53                 | 7.89                 | 12.75                        | 171.93                          |
| 2013                            | 4,061 | 16,454      | 202,288       | 32,430          | 2,262,078        | 676,418      | 49.81                  | 4.05                 | 12.29                        | 179.53                          |

**Table 4a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group                                  | Users | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|--|-------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>Pre-existing Asthma (Continued)</b> |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| <i>NDCs only</i>                       |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                 |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003                                   | 29    | 77          | 2,228         | 292             | 337,281          | 99,602       | 76.83                  | 2.66                 | 28.94                        | 8.60                            |
| 2004                                   | 100   | 578         | 16,427        | 1,896           | 449,205          | 202,447      | 164.27                 | 5.78                 | 28.42                        | 22.26                           |
| 2005                                   | 127   | 854         | 24,835        | 2,810           | 521,890          | 242,394      | 195.55                 | 6.72                 | 29.08                        | 24.33                           |
| 2006                                   | 1,138 | 5,346       | 230,259       | 26,197          | 1,091,215        | 502,029      | 202.34                 | 4.70                 | 43.07                        | 104.29                          |
| 2007                                   | 1,490 | 6,763       | 268,737       | 30,731          | 1,353,246        | 615,442      | 180.36                 | 4.54                 | 39.74                        | 110.11                          |
| 2008                                   | 2,949 | 18,064      | 562,832       | 67,589          | 2,564,215        | 1,183,462    | 190.86                 | 6.13                 | 31.16                        | 115.01                          |
| 2009                                   | 3,027 | 19,143      | 584,100       | 67,397          | 2,875,900        | 1,332,347    | 192.96                 | 6.32                 | 30.51                        | 105.25                          |
| 2010                                   | 2,815 | 17,730      | 536,357       | 62,378          | 2,828,285        | 1,321,891    | 190.54                 | 6.30                 | 30.25                        | 99.53                           |
| 2011                                   | 2,697 | 17,131      | 511,409       | 59,000          | 2,739,146        | 1,288,130    | 189.62                 | 6.35                 | 29.85                        | 98.46                           |
| 2012                                   | 2,627 | 16,879      | 503,207       | 58,437          | 2,646,583        | 1,237,183    | 191.55                 | 6.43                 | 29.81                        | 99.26                           |
| 2013                                   | 1,982 | 6,405       | 191,861       | 22,363          | 1,790,356        | 462,202      | 96.80                  | 3.23                 | 29.95                        | 110.70                          |
| 365 day washout period                 |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003                                   | 29    | 77          | 2,228         | 292             | 409,694          | 160,239      | 76.83                  | 2.66                 | 28.94                        | 7.08                            |
| 2004                                   | 100   | 579         | 16,455        | 1,900           | 531,145          | 317,630      | 164.55                 | 5.79                 | 28.42                        | 18.83                           |
| 2005                                   | 127   | 855         | 24,863        | 2,816           | 597,467          | 366,777      | 195.77                 | 6.73                 | 29.08                        | 21.26                           |
| 2006                                   | 1,140 | 5,349       | 230,399       | 26,209          | 1,185,965        | 689,044      | 202.10                 | 4.69                 | 43.07                        | 96.12                           |
| 2007                                   | 1,494 | 6,778       | 269,785       | 30,843          | 1,551,507        | 919,159      | 180.58                 | 4.54                 | 39.80                        | 96.29                           |
| 2008                                   | 2,952 | 18,071      | 563,084       | 67,625          | 2,763,968        | 1,621,882    | 190.75                 | 6.12                 | 31.16                        | 106.80                          |
| 2009                                   | 3,031 | 19,155      | 584,492       | 67,436          | 3,299,402        | 1,974,468    | 192.84                 | 6.32                 | 30.51                        | 91.87                           |
| 2010                                   | 2,819 | 17,749      | 536,889       | 62,423          | 3,231,602        | 1,982,259    | 190.45                 | 6.30                 | 30.25                        | 87.23                           |
| 2011                                   | 2,698 | 17,145      | 511,801       | 59,044          | 3,148,088        | 1,931,920    | 189.70                 | 6.35                 | 29.85                        | 85.70                           |
| 2012                                   | 2,629 | 16,886      | 503,405       | 58,463          | 3,056,529        | 1,854,283    | 191.48                 | 6.42                 | 29.81                        | 86.01                           |
| 2013                                   | 1,986 | 6,414       | 192,113       | 22,381          | 2,262,078        | 676,418      | 96.73                  | 3.23                 | 29.95                        | 87.80                           |

**Table 4a. Summary of Prevalent Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group                                  | Users | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | Users per 100K Eligible Members |
|--|-------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|---------------------------------|
| <b>Pre-existing Asthma (Continued)</b> |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| <i>Procedure codes only</i>            |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 183 day washout period                 |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003                                   | 0     | 0           | 0             | 0               | 337,281          | 99,602       | 0.00                   | 0.00                 | 0.00                         | 0.00                            |
| 2004                                   | 1     | 1           | 1             | 1               | 449,205          | 202,447      | 1.00                   | 1.00                 | 1.00                         | 0.22                            |
| 2005                                   | 89    | 654         | 654           | 654             | 521,890          | 242,394      | 7.35                   | 7.35                 | 1.00                         | 17.05                           |
| 2006                                   | 718   | 5,042       | 5,042         | 5,042           | 1,091,215        | 502,029      | 7.02                   | 7.02                 | 1.00                         | 65.80                           |
| 2007                                   | 846   | 6,206       | 6,206         | 6,206           | 1,353,246        | 615,442      | 7.34                   | 7.34                 | 1.00                         | 62.52                           |
| 2008                                   | 3,113 | 23,618      | 23,618        | 23,618          | 2,564,215        | 1,183,462    | 7.59                   | 7.59                 | 1.00                         | 121.40                          |
| 2009                                   | 3,145 | 23,700      | 23,700        | 23,700          | 2,875,900        | 1,332,347    | 7.54                   | 7.54                 | 1.00                         | 109.36                          |
| 2010                                   | 2,881 | 22,173      | 22,173        | 22,173          | 2,828,285        | 1,321,891    | 7.70                   | 7.70                 | 1.00                         | 101.86                          |
| 2011                                   | 2,960 | 23,464      | 23,464        | 23,464          | 2,739,146        | 1,288,130    | 7.93                   | 7.93                 | 1.00                         | 108.06                          |
| 2012                                   | 3,237 | 24,495      | 24,495        | 24,495          | 2,646,583        | 1,237,183    | 7.57                   | 7.57                 | 1.00                         | 122.31                          |
| 2013                                   | 2,421 | 10,010      | 10,010        | 10,010          | 1,790,356        | 462,202      | 4.13                   | 4.13                 | 1.00                         | 135.22                          |
| 365 day washout period                 |       |             |               |                 |                  |              |                        |                      |                              |                                 |
| 2003                                   | 0     | 0           | 0             | 0               | 409,694          | 160,239      | 0.00                   | 0.00                 | 0.00                         | 0.00                            |
| 2004                                   | 1     | 1           | 1             | 1               | 531,145          | 317,630      | 1.00                   | 1.00                 | 1.00                         | 0.19                            |
| 2005                                   | 89    | 655         | 655           | 655             | 597,467          | 366,777      | 7.36                   | 7.36                 | 1.00                         | 14.90                           |
| 2006                                   | 719   | 5,046       | 5,046         | 5,046           | 1,185,965        | 689,044      | 7.02                   | 7.02                 | 1.00                         | 60.63                           |
| 2007                                   | 848   | 6,212       | 6,212         | 6,212           | 1,551,507        | 919,159      | 7.33                   | 7.33                 | 1.00                         | 54.66                           |
| 2008                                   | 3,117 | 23,631      | 23,631        | 23,631          | 2,763,968        | 1,621,882    | 7.58                   | 7.58                 | 1.00                         | 112.77                          |
| 2009                                   | 3,152 | 23,717      | 23,717        | 23,717          | 3,299,402        | 1,974,468    | 7.52                   | 7.52                 | 1.00                         | 95.53                           |
| 2010                                   | 2,883 | 22,186      | 22,186        | 22,186          | 3,231,602        | 1,982,259    | 7.70                   | 7.70                 | 1.00                         | 89.21                           |
| 2011                                   | 2,965 | 23,472      | 23,472        | 23,472          | 3,148,088        | 1,931,920    | 7.92                   | 7.92                 | 1.00                         | 94.18                           |
| 2012                                   | 3,245 | 24,536      | 24,536        | 24,536          | 3,056,529        | 1,854,283    | 7.56                   | 7.56                 | 1.00                         | 106.17                          |
| 2013                                   | 2,426 | 10,030      | 10,030        | 10,030          | 2,262,078        | 676,418      | 4.13                   | 4.13                 | 1.00                         | 107.25                          |

**Table 4b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group                                     | New Users | New Starts | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|---|-----------|------------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>No Pre-existing Condition Criteria</b> |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| <b>NDCs and Procedure Codes</b>           |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                    |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003                                      | 25        | 25         | 25          | 764           | 100             | 6,318,111        | 3,005,766    | 30.56                  | 1.00                 | 30.56                        | 0.40                                |
| 2004                                      | 59        | 59         | 59          | 1,625         | 198             | 7,224,766        | 5,867,222    | 27.54                  | 1.00                 | 27.54                        | 0.82                                |
| 2005                                      | 99        | 99         | 99          | 1,600         | 234             | 7,484,254        | 6,257,169    | 16.16                  | 1.00                 | 16.16                        | 1.32                                |
| 2006                                      | 417       | 417        | 418         | 14,430        | 1,802           | 18,720,089       | 11,297,900   | 34.60                  | 1.00                 | 34.52                        | 2.23                                |
| 2007                                      | 642       | 642        | 649         | 24,085        | 3,171           | 22,567,324       | 16,444,252   | 37.52                  | 1.01                 | 37.11                        | 2.84                                |
| 2008                                      | 1,261     | 1,261      | 1,276       | 22,471        | 5,149           | 43,820,626       | 27,674,325   | 17.82                  | 1.01                 | 17.61                        | 2.88                                |
| 2009                                      | 1,625     | 1,625      | 1,640       | 23,999        | 3,765           | 45,702,241       | 35,793,169   | 14.77                  | 1.01                 | 14.63                        | 3.56                                |
| 2010                                      | 1,357     | 1,357      | 1,366       | 20,164        | 3,113           | 43,879,358       | 34,484,290   | 14.86                  | 1.01                 | 14.76                        | 3.09                                |
| 2011                                      | 1,348     | 1,348      | 1,358       | 20,842        | 3,153           | 42,693,384       | 33,895,705   | 15.46                  | 1.01                 | 15.35                        | 3.16                                |
| 2012                                      | 1,294     | 1,294      | 1,295       | 20,115        | 3,058           | 41,608,958       | 32,684,011   | 15.54                  | 1.00                 | 15.53                        | 3.11                                |
| 2013                                      | 496       | 496        | 497         | 8,540         | 1,231           | 33,139,230       | 12,043,175   | 17.22                  | 1.00                 | 17.18                        | 1.50                                |
| 365 day washout period                    |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003                                      | 23        | 23         | 23          | 708           | 95              | 5,582,673        | 2,730,785    | 30.78                  | 1.00                 | 30.78                        | 0.41                                |
| 2004                                      | 51        | 51         | 51          | 1,399         | 168             | 6,498,067        | 5,175,035    | 27.43                  | 1.00                 | 27.43                        | 0.78                                |
| 2005                                      | 79        | 79         | 79          | 1,086         | 155             | 6,412,197        | 5,598,035    | 13.75                  | 1.00                 | 13.75                        | 1.23                                |
| 2006                                      | 88        | 88         | 88          | 1,351         | 201             | 6,628,828        | 5,791,127    | 15.35                  | 1.00                 | 15.35                        | 1.33                                |
| 2007                                      | 470       | 470        | 477         | 17,159        | 2,276           | 16,867,418       | 14,244,820   | 36.51                  | 1.01                 | 35.97                        | 2.79                                |
| 2008                                      | 523       | 523        | 532         | 10,287        | 1,433           | 36,277,380       | 15,693,192   | 19.67                  | 1.02                 | 19.34                        | 1.44                                |
| 2009                                      | 1,289     | 1,289      | 1,302       | 18,404        | 2,910           | 37,047,853       | 30,628,910   | 14.28                  | 1.01                 | 14.14                        | 3.48                                |
| 2010                                      | 1,077     | 1,077      | 1,085       | 15,475        | 2,409           | 35,563,042       | 29,827,096   | 14.37                  | 1.01                 | 14.26                        | 3.03                                |
| 2011                                      | 1,115     | 1,115      | 1,124       | 17,154        | 2,539           | 34,880,144       | 29,329,922   | 15.38                  | 1.01                 | 15.26                        | 3.20                                |
| 2012                                      | 1,043     | 1,043      | 1,044       | 16,195        | 2,454           | 36,966,729       | 28,381,882   | 15.53                  | 1.00                 | 15.51                        | 2.82                                |
| 2013                                      | 420       | 420        | 421         | 6,883         | 969             | 29,244,622       | 10,712,166   | 16.39                  | 1.00                 | 16.35                        | 1.44                                |

**Table 4b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group   | New Users | New Starts | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|---|-----------|------------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>No Pre-existing Condition Criteria (Continued)</b> |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| <b>NDCs only</b>                                      |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                                |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003  | 25        | 25         | 25          | 764           | 100             | 6,318,111        | 3,005,766    | 30.56                  | 1.00                 | 30.56                        | 0.40                                |
| 2004  | 58        | 58         | 58          | 1,624         | 197             | 7,224,766        | 5,867,222    | 28.00                  | 1.00                 | 28.00                        | 0.80                                |
| 2005  | 47        | 47         | 47          | 1,602         | 188             | 7,484,261        | 6,257,204    | 34.09                  | 1.00                 | 34.09                        | 0.63                                |
| 2006  | 284       | 284        | 284         | 15,064        | 1,737           | 18,720,518       | 11,298,170   | 53.04                  | 1.00                 | 53.04                        | 1.52                                |
| 2007  | 464       | 464        | 464         | 25,361        | 3,130           | 22,567,828       | 16,444,785   | 54.66                  | 1.00                 | 54.66                        | 2.06                                |
| 2008  | 707       | 707        | 707         | 23,614        | 4,719           | 43,822,555       | 27,675,743   | 33.40                  | 1.00                 | 33.40                        | 1.61                                |
| 2009  | 855       | 855        | 855         | 25,735        | 3,329           | 45,704,327       | 35,795,434   | 30.10                  | 1.00                 | 30.10                        | 1.87                                |
| 2010  | 687       | 687        | 687         | 21,185        | 2,597           | 43,881,587       | 34,486,569   | 30.84                  | 1.00                 | 30.84                        | 1.57                                |
| 2011  | 718       | 718        | 718         | 21,880        | 2,666           | 42,695,884       | 33,898,185   | 30.47                  | 1.00                 | 30.47                        | 1.68                                |
| 2012  | 663       | 663        | 663         | 21,665        | 2,657           | 41,611,632       | 32,686,579   | 32.68                  | 1.00                 | 32.68                        | 1.59                                |
| 2013  | 280       | 280        | 280         | 9,268         | 1,112           | 33,141,748       | 12,044,190   | 33.10                  | 1.00                 | 33.10                        | 0.84                                |
| 365 day washout period                                |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003  | 23        | 23         | 23          | 708           | 95              | 5,582,673        | 2,730,785    | 30.78                  | 1.00                 | 30.78                        | 0.41                                |
| 2004  | 50        | 50         | 50          | 1,398         | 167             | 6,498,067        | 5,175,035    | 27.96                  | 1.00                 | 27.96                        | 0.77                                |
| 2005  | 35        | 35         | 35          | 1,096         | 117             | 6,412,203        | 5,598,066    | 31.31                  | 1.00                 | 31.31                        | 0.55                                |
| 2006  | 48        | 48         | 48          | 1,365         | 165             | 6,628,888        | 5,791,191    | 28.44                  | 1.00                 | 28.44                        | 0.72                                |
| 2007  | 351       | 351        | 351         | 18,465        | 2,289           | 16,867,906       | 14,245,344   | 52.61                  | 1.00                 | 52.61                        | 2.08                                |
| 2008  | 326       | 326        | 326         | 11,087        | 1,309           | 36,279,588       | 15,693,793   | 34.01                  | 1.00                 | 34.01                        | 0.90                                |
| 2009  | 678       | 678        | 678         | 20,112        | 2,619           | 37,049,866       | 30,631,104   | 29.66                  | 1.00                 | 29.66                        | 1.83                                |
| 2010  | 545       | 545        | 545         | 16,554        | 2,030           | 35,565,011       | 29,829,180   | 30.37                  | 1.00                 | 30.37                        | 1.53                                |
| 2011  | 598       | 598        | 598         | 17,952        | 2,124           | 34,882,290       | 29,332,131   | 30.02                  | 1.00                 | 30.02                        | 1.71                                |
| 2012  | 534       | 534        | 534         | 17,539        | 2,130           | 36,969,250       | 28,384,182   | 32.84                  | 1.00                 | 32.84                        | 1.44                                |
| 2013  | 228       | 228        | 228         | 7,392         | 854             | 29,246,911       | 10,713,094   | 32.42                  | 1.00                 | 32.42                        | 0.78                                |

**Table 4b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group   | New Users | New Starts | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|---|-----------|------------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>No Pre-existing Condition Criteria (Continued)</b> |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| <i>Procedure codes only</i>                           |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                                |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003  | 0         | 0          | 0           | 0             | 0               | 6,318,115        | 3,005,772    | 0.00                   | 0.00                 | 0.00                         | 0.00                                |
| 2004  | 1         | 1          | 1           | 1             | 1               | 7,224,806        | 5,867,279    | 1.00                   | 1.00                 | 1.00                         | 0.01                                |
| 2005  | 75        | 75         | 75          | 75            | 75              | 7,484,349        | 6,257,257    | 1.00                   | 1.00                 | 1.00                         | 1.00                                |
| 2006  | 180       | 180        | 181         | 181           | 181             | 18,720,807       | 11,298,374   | 1.01                   | 1.01                 | 1.00                         | 0.96                                |
| 2007  | 280       | 280        | 285         | 285           | 285             | 22,568,297       | 16,445,192   | 1.02                   | 1.02                 | 1.00                         | 1.24                                |
| 2008  | 714       | 714        | 727         | 727           | 727             | 43,822,694       | 27,676,027   | 1.02                   | 1.02                 | 1.00                         | 1.63                                |
| 2009  | 1,047     | 1,047      | 1,057       | 1,057         | 1,057           | 45,704,483       | 35,795,445   | 1.01                   | 1.01                 | 1.00                         | 2.29                                |
| 2010  | 860       | 860        | 864         | 864           | 864             | 43,881,682       | 34,486,528   | 1.00                   | 1.00                 | 1.00                         | 1.96                                |
| 2011  | 849       | 849        | 856         | 856           | 856             | 42,695,715       | 33,897,924   | 1.01                   | 1.01                 | 1.00                         | 1.99                                |
| 2012  | 1,074     | 1,074      | 1,074       | 1,074         | 1,074           | 41,611,250       | 32,686,139   | 1.00                   | 1.00                 | 1.00                         | 2.58                                |
| 2013  | 354       | 354        | 354         | 354           | 354             | 33,141,149       | 12,043,938   | 1.00                   | 1.00                 | 1.00                         | 1.07                                |
| 365 day washout period                                |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003  | 0         | 0          | 0           | 0             | 0               | 5,582,674        | 2,730,789    | 0.00                   | 0.00                 | 0.00                         | 0.00                                |
| 2004  | 1         | 1          | 1           | 1             | 1               | 6,498,103        | 5,175,086    | 1.00                   | 1.00                 | 1.00                         | 0.02                                |
| 2005  | 65        | 65         | 65          | 65            | 65              | 6,412,286        | 5,598,115    | 1.00                   | 1.00                 | 1.00                         | 1.01                                |
| 2006  | 51        | 51         | 51          | 51            | 51              | 6,628,926        | 5,791,231    | 1.00                   | 1.00                 | 1.00                         | 0.77                                |
| 2007  | 219       | 219        | 224         | 224           | 224             | 16,868,229       | 14,245,733   | 1.02                   | 1.02                 | 1.00                         | 1.30                                |
| 2008  | 280       | 280        | 288         | 288           | 288             | 36,279,570       | 15,694,250   | 1.03                   | 1.03                 | 1.00                         | 0.77                                |
| 2009  | 859       | 859        | 867         | 867           | 867             | 37,049,885       | 30,631,013   | 1.01                   | 1.01                 | 1.00                         | 2.32                                |
| 2010  | 700       | 700        | 704         | 704           | 704             | 35,565,061       | 29,829,096   | 1.01                   | 1.01                 | 1.00                         | 1.97                                |
| 2011  | 714       | 714        | 721         | 721           | 721             | 34,882,137       | 29,331,914   | 1.01                   | 1.01                 | 1.00                         | 2.05                                |
| 2012  | 904       | 904        | 904         | 904           | 904             | 36,968,858       | 28,383,755   | 1.00                   | 1.00                 | 1.00                         | 2.45                                |
| 2013  | 310       | 310        | 310         | 310           | 310             | 29,246,323       | 10,712,842   | 1.00                   | 1.00                 | 1.00                         | 1.06                                |

**Table 4b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group                           | New Users | New Starts | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|---------------------------------|-----------|------------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>Pre-existing Asthma</b>      |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| <b>NDCs and Procedure Codes</b> |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period          |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003                            | 25        | 25         | 25          | 764           | 100             | 327,797          | 94,619       | 30.56                  | 1.00                 | 30.56                        | 7.63                                |
| 2004                            | 58        | 58         | 58          | 1,597         | 194             | 435,347          | 187,414      | 27.53                  | 1.00                 | 27.53                        | 13.32                               |
| 2005                            | 97        | 97         | 97          | 1,488         | 230             | 504,756          | 226,555      | 15.34                  | 1.00                 | 15.34                        | 19.22                               |
| 2006                            | 375       | 375        | 376         | 13,102        | 1,623           | 1,027,558        | 383,451      | 34.94                  | 1.00                 | 34.85                        | 36.49                               |
| 2007                            | 611       | 611        | 618         | 22,939        | 3,008           | 1,296,487        | 549,593      | 37.54                  | 1.01                 | 37.12                        | 47.13                               |
| 2008                            | 1,180     | 1,180      | 1,194       | 21,529        | 4,988           | 2,404,999        | 922,535      | 18.24                  | 1.01                 | 18.03                        | 49.06                               |
| 2009                            | 1,524     | 1,524      | 1,539       | 22,945        | 3,568           | 2,774,455        | 1,226,784    | 15.06                  | 1.01                 | 14.91                        | 54.93                               |
| 2010                            | 1,280     | 1,280      | 1,288       | 19,216        | 2,940           | 2,731,774        | 1,220,170    | 15.01                  | 1.01                 | 14.92                        | 46.86                               |
| 2011                            | 1,287     | 1,287      | 1,297       | 20,268        | 3,053           | 2,640,701        | 1,188,564    | 15.75                  | 1.01                 | 15.63                        | 48.74                               |
| 2012                            | 1,194     | 1,194      | 1,195       | 18,665        | 2,851           | 2,552,157        | 1,143,899    | 15.63                  | 1.00                 | 15.62                        | 46.78                               |
| 2013                            | 442       | 442        | 443         | 7,694         | 1,128           | 1,638,981        | 420,666      | 17.41                  | 1.00                 | 17.37                        | 26.97                               |
| 365 day washout period          |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003                            | 23        | 23         | 23          | 708           | 95              | 366,881          | 142,711      | 30.78                  | 1.00                 | 30.78                        | 6.27                                |
| 2004                            | 51        | 51         | 51          | 1,399         | 168             | 486,103          | 274,854      | 27.43                  | 1.00                 | 27.43                        | 10.49                               |
| 2005                            | 77        | 77         | 77          | 974           | 151             | 526,481          | 317,681      | 12.65                  | 1.00                 | 12.65                        | 14.63                               |
| 2006                            | 84        | 84         | 84          | 1,237         | 187             | 582,327          | 355,183      | 14.73                  | 1.00                 | 14.73                        | 14.42                               |
| 2007                            | 454       | 454        | 461         | 16,815        | 2,224           | 1,267,741        | 763,506      | 37.04                  | 1.02                 | 36.48                        | 35.81                               |
| 2008                            | 498       | 498        | 507         | 10,026        | 1,381           | 2,321,464        | 865,082      | 20.13                  | 1.02                 | 19.78                        | 21.45                               |
| 2009                            | 1,221     | 1,221      | 1,234       | 17,792        | 2,782           | 2,807,835        | 1,655,169    | 14.57                  | 1.01                 | 14.42                        | 43.49                               |
| 2010                            | 1,034     | 1,034      | 1,041       | 15,074        | 2,340           | 2,764,533        | 1,682,165    | 14.58                  | 1.01                 | 14.48                        | 37.40                               |
| 2011                            | 1,068     | 1,068      | 1,077       | 16,727        | 2,469           | 2,701,638        | 1,639,256    | 15.66                  | 1.01                 | 15.53                        | 39.53                               |
| 2012                            | 973       | 973        | 974         | 15,020        | 2,291           | 2,777,536        | 1,581,098    | 15.44                  | 1.00                 | 15.42                        | 35.03                               |
| 2013                            | 379       | 379        | 380         | 6,294         | 899             | 1,947,061        | 582,668      | 16.61                  | 1.00                 | 16.56                        | 19.47                               |

**Table 4b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group                                  | New Users | New Starts | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|--|-----------|------------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>Pre-existing Asthma (Continued)</b> |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| <i>NDCs only</i>                       |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                 |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003                                   | 25        | 25         | 25          | 764           | 100             | 327,797          | 94,619       | 30.56                  | 1.00                 | 30.56                        | 7.63                                |
| 2004                                   | 57        | 57         | 57          | 1,596         | 193             | 435,347          | 187,414      | 28.00                  | 1.00                 | 28.00                        | 13.09                               |
| 2005                                   | 45        | 45         | 45          | 1,490         | 184             | 504,764          | 226,591      | 33.11                  | 1.00                 | 33.11                        | 8.92                                |
| 2006                                   | 257       | 257        | 257         | 13,696        | 1,572           | 1,028,006        | 383,719      | 53.29                  | 1.00                 | 53.29                        | 25.00                               |
| 2007                                   | 445       | 445        | 445         | 24,227        | 2,979           | 1,296,999        | 550,115      | 54.44                  | 1.00                 | 54.44                        | 34.31                               |
| 2008                                   | 674       | 674        | 674         | 22,721        | 4,607           | 2,406,955        | 923,907      | 33.71                  | 1.00                 | 33.71                        | 28.00                               |
| 2009                                   | 826       | 826        | 826         | 24,753        | 3,204           | 2,776,551        | 1,228,944    | 29.97                  | 1.00                 | 29.97                        | 29.75                               |
| 2010                                   | 655       | 655        | 655         | 20,283        | 2,470           | 2,733,925        | 1,222,277    | 30.97                  | 1.00                 | 30.97                        | 23.96                               |
| 2011                                   | 694       | 694        | 694         | 21,262        | 2,600           | 2,643,084        | 1,190,823    | 30.64                  | 1.00                 | 30.64                        | 26.26                               |
| 2012                                   | 622       | 622        | 622         | 20,247        | 2,509           | 2,554,677        | 1,146,175    | 32.55                  | 1.00                 | 32.55                        | 24.35                               |
| 2013                                   | 254       | 254        | 254         | 8,450         | 1,037           | 1,641,281        | 421,562      | 33.27                  | 1.00                 | 33.27                        | 15.48                               |
| 365 day washout period                 |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003                                   | 23        | 23         | 23          | 708           | 95              | 366,881          | 142,711      | 30.78                  | 1.00                 | 30.78                        | 6.27                                |
| 2004                                   | 50        | 50         | 50          | 1,398         | 167             | 486,103          | 274,854      | 27.96                  | 1.00                 | 27.96                        | 10.29                               |
| 2005                                   | 33        | 33         | 33          | 984           | 113             | 526,487          | 317,712      | 29.82                  | 1.00                 | 29.82                        | 6.27                                |
| 2006                                   | 44        | 44         | 44          | 1,251         | 151             | 582,387          | 355,247      | 28.43                  | 1.00                 | 28.43                        | 7.56                                |
| 2007                                   | 343       | 343        | 343         | 18,129        | 2,245           | 1,268,242        | 764,026      | 52.85                  | 1.00                 | 52.85                        | 27.05                               |
| 2008                                   | 317       | 317        | 317         | 10,842        | 1,273           | 2,323,669        | 865,674      | 34.20                  | 1.00                 | 34.20                        | 13.64                               |
| 2009                                   | 660       | 660        | 660         | 19,550        | 2,541           | 2,809,870        | 1,657,324    | 29.62                  | 1.00                 | 29.62                        | 23.49                               |
| 2010                                   | 532       | 532        | 532         | 16,184        | 1,992           | 2,766,478        | 1,684,181    | 30.42                  | 1.00                 | 30.42                        | 19.23                               |
| 2011                                   | 583       | 583        | 583         | 17,530        | 2,086           | 2,703,732        | 1,641,372    | 30.07                  | 1.00                 | 30.07                        | 21.56                               |
| 2012                                   | 502       | 502        | 502         | 16,375        | 2,009           | 2,779,974        | 1,583,269    | 32.62                  | 1.00                 | 32.62                        | 18.06                               |
| 2013                                   | 210       | 210        | 210         | 6,826         | 807             | 1,949,235        | 583,537      | 32.50                  | 1.00                 | 32.50                        | 10.77                               |

**Table 4b. Summary of Incident Omalizumab Use in the MSDD between June 23, 2003 and May 31, 2013, by Pre-existing Condition Criteria, Code Type, Washout Period, and Year**

| Group                                  | New Users | New Starts | Dispensings | Days Supplied | Amount Supplied | Eligible Members | Member-Years | Days Supplied per user | Dispensings per User | Days Supplied per Dispensing | New Users per 100K Eligible Members |
|--|-----------|------------|-------------|---------------|-----------------|------------------|--------------|------------------------|----------------------|------------------------------|-------------------------------------|
| <b>Pre-existing Asthma (Continued)</b> |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| <i>Procedure codes only</i>            |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 183 day washout period                 |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003                                   | 0         | 0          | 0           | 0             | 0               | 327,801          | 94,624       | 0.00                   | 0.00                 | 0.00                         | 0.00                                |
| 2004                                   | 1         | 1          | 1           | 1             | 1               | 435,386          | 187,469      | 1.00                   | 1.00                 | 1.00                         | 0.23                                |
| 2005                                   | 75        | 75         | 75          | 75            | 75              | 504,849          | 226,638      | 1.00                   | 1.00                 | 1.00                         | 14.86                               |
| 2006                                   | 163       | 163        | 164         | 164           | 164             | 1,028,267        | 383,887      | 1.01                   | 1.01                 | 1.00                         | 15.85                               |
| 2007                                   | 265       | 265        | 270         | 270           | 270             | 1,297,442        | 550,466      | 1.02                   | 1.02                 | 1.00                         | 20.42                               |
| 2008                                   | 661       | 661        | 673         | 673           | 673             | 2,407,002        | 924,106      | 1.02                   | 1.02                 | 1.00                         | 27.46                               |
| 2009                                   | 974       | 974        | 984         | 984           | 984             | 2,776,626        | 1,228,877    | 1.01                   | 1.01                 | 1.00                         | 35.08                               |
| 2010                                   | 811       | 811        | 814         | 814           | 814             | 2,734,004        | 1,222,191    | 1.00                   | 1.00                 | 1.00                         | 29.66                               |
| 2011                                   | 803       | 803        | 810         | 810           | 810             | 2,642,898        | 1,190,530    | 1.01                   | 1.01                 | 1.00                         | 30.38                               |
| 2012                                   | 1,005     | 1,005      | 1,005       | 1,005         | 1,005           | 2,554,317        | 1,145,743    | 1.00                   | 1.00                 | 1.00                         | 39.35                               |
| 2013                                   | 322       | 322        | 322         | 322           | 322             | 1,640,713        | 421,316      | 1.00                   | 1.00                 | 1.00                         | 19.63                               |
| 365 day washout period                 |           |            |             |               |                 |                  |              |                        |                      |                              |                                     |
| 2003                                   | 0         | 0          | 0           | 0             | 0               | 366,882          | 142,716      | 0.00                   | 0.00                 | 0.00                         | 0.00                                |
| 2004                                   | 1         | 1          | 1           | 1             | 1               | 486,139          | 274,904      | 1.00                   | 1.00                 | 1.00                         | 0.21                                |
| 2005                                   | 65        | 65         | 65          | 65            | 65              | 526,570          | 317,759      | 1.00                   | 1.00                 | 1.00                         | 12.34                               |
| 2006                                   | 51        | 51         | 51          | 51            | 51              | 582,421          | 355,282      | 1.00                   | 1.00                 | 1.00                         | 8.76                                |
| 2007                                   | 211       | 211        | 216         | 216           | 216             | 1,268,535        | 764,384      | 1.02                   | 1.02                 | 1.00                         | 16.63                               |
| 2008                                   | 264       | 264        | 272         | 272           | 272             | 2,323,611        | 866,102      | 1.03                   | 1.03                 | 1.00                         | 11.36                               |
| 2009                                   | 809       | 809        | 817         | 817           | 817             | 2,809,840        | 1,657,200    | 1.01                   | 1.01                 | 1.00                         | 28.79                               |
| 2010                                   | 669       | 669        | 672         | 672           | 672             | 2,766,504        | 1,684,080    | 1.00                   | 1.00                 | 1.00                         | 24.18                               |
| 2011                                   | 678       | 678        | 685         | 685           | 685             | 2,703,577        | 1,641,147    | 1.01                   | 1.01                 | 1.00                         | 25.08                               |
| 2012                                   | 860       | 860        | 860         | 860           | 860             | 2,779,592        | 1,582,852    | 1.00                   | 1.00                 | 1.00                         | 30.94                               |
| 2013                                   | 284       | 284        | 284         | 284           | 284             | 1,948,671        | 583,295      | 1.00                   | 1.00                 | 1.00                         | 14.57                               |

**Appendix A. HCPCS for Exposure Included in this Request**

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| Description | HCPCS |
|-------------|-------|
| OMALIZUMAB  | J2357 |

**Appendix B. ICD-9 Diagnosis Code for Pre-existing Condition Criteria Included in this Request**

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| Description | Diagnosis Code |
|-------------|----------------|
| Asthma      | 493*           |