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The following report contains a description of the request, request specifications, and results from the modular program run(s).

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Overview for Request cder_mpl1r_wp021_nsdp_v01

Request ID: cder_mpl1r_wp021_nsdp_v01

Query Description: This report contains estimates of drug use among patients with a prior genetic test and/or relevant cancer diagnosis.

Sentinel Modular Program Tool Used: Cohort Identification and Descriptive Analysis (CIDA) tool, version 2.2.1

Data Source: The query was run against the Sentinel Distributed Database (SDD) for the time period of January 1, 2013 to December 31, 2015. The request was distributed to 14 Data Partners on June 17, 2016. See Appendix A for a list of the latest dates of available data for each Data Partner.

Study Design: This request was designed to calculate background rates. The number of qualifying patients with the exposure of interest were calculated overall and stratified by age group, sex, and year.

Exposure of Interest: The exposures of interest were cancer treatments (Cetuximab, Panitumumab, Trametinib, Dabrafenib, Vemurafenib, Cobimetinib, Afatinib, Erlotinib, Tagrisso, Gefitinib, Dasatinib, Imatinib, Bosutinib, Nilotinib, and Ponatinib), which were defined using National Drug Codes (NDCs) and Healthcare Common Procedure Coding System (HCPCS) Level II procedure codes. Please see Appendix B, C, and D for specific codes.

Cohort Eligibility Criteria: Patients were required to be continuously enrolled in plans with both medical and drug coverage for at least 183 days before their testing date, during which gaps in coverage of up to 45 days were allowed. Half of the scenarios restricted inclusion to patients who also had a relevant cancer indication and/or had one of the following genetic tests in the prior 183 days: V-Ki-ras2 Kirsten rat sarcoma viral oncogene (KRAS), v-raf murine sarcoma viral oncogene homolog B1 (BRAF), epidermal growth factor receptor (EGFR), breakpoint cluster region-abelson (BCR-ABL), and breast cancer susceptibility gene (BRCA). Cancer indications were defined using International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) diagnosis codes. Please refer to Appendix D for specific codes. Genetic tests were defined using HCPCS and Current Procedural Terminology (CPT-4) procedure codes. Please refer to Appendix E for specific codes. The following age groups were included in the cohort: 0-21, 22-44, 45-64, and 65+ years.

Limitations: Algorithms to define exposures and events are imperfect and, therefore, may be misclassified.

Please see the Appendix F for the specifications of parameters used in the analyses for this request.

Notes: Please contact the Sentinel Operations Center Query Fulfillment Team (production@mini-sentinel.org) for questions and to provide comments/suggestions for future enhancements to this document.

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Glossary of Terms for Analyses Using Cohort Identification and Descriptive Analysis (CIDA) Tool*

Amount Supplied - number of units (pills, tablets, vials) dispensed. Net amount per NDC per dispensing. This is equivalent to the "RxAmt" value in the MSCDM.

Blackout Period - number of days at the beginning of a treatment episode that events are to be ignored. If an event occurs during the blackout period, the episode is excluded.

Care Setting - type of medical encounter or facility where the exposure, event, or condition code was recorded. Possible care settings include: Inpatient Hospital Stay (IP), Non-Acute Institutional Stay (IS), Emergency Department (ED), Ambulatory Visit (AV), and Other Ambulatory Visit (OA). For laboratory results, possible care settings include: Emergency department (E), Home (H), Inpatient (I), Outpatient (O), or Unknown or Missing (U). Along with the Principal Diagnosis Indicator, forms the Care Setting/PDX parameter.

Cohort Definition (drug/exposure) - indicates how the cohort will be defined: 1: Cohort includes only the first valid incident treatment episode during the query period; 2: Cohort includes all valid incident treatment episodes during the query period; 3: Cohort includes all valid incident treatment episodes during the query period until an event occurs.

Days Supplied - number of days supplied for all dispensings in qualifying treatment episodes.

Episodes - treatment episodes; length of episode is determined by days supplied in one dispensing or consecutive dispensings bridged by

Eligible Members - number of members eligible for an incident treatment episode (defined by the drug/exposure and event washout periods) with drug and medical coverage during the query period.

Years at Risk - number of days supplied plus any episode gaps and exposure extension periods all divided by 365.25.

Enrollment Gap - number of days allowed between two consecutive enrollment periods without breaking a "continuously enrolled"

Episode Gap - number of days allowed between two (or more) consecutive exposures (dispensings/procedures) to be considered the same treatment episode.

Event Deduplication - specifies how events are counted by the MP algorithm: 0: Counts all occurrences of an HOI during an exposure episode; 1: de-duplicates occurrences of the same HOI code and code type on the same day; 2: de-duplicates occurrences of the same HOI group on the same day (e.g., de-duplicates at the group level).

Exposure Extension Period - number of days post treatment period in which the outcomes/events are counted for a treatment episode.

Exposure Episode Length - number of days after exposure initiation that is considered "exposed time."

Lookback Period (pre-existing condition) - number of days wherein a member is required to have evidence of pre-existing condition (diagnosis/procedure/drug dispensing).

Member-Years - sum of all days of enrollment with medical and drug coverage** in the query period preceded by an exposure washout

Minimum Days Supplied - specifies a minimum number of days in length of the days supplied for the episode to be considered.

Minimum Episode Duration - specifies a minimum number of days in length of the episode for it to be considered.

Principal Diagnosis (PDX) - diagnosis or condition established to be chiefly responsible for admission of the patient to the hospital. 'P' = principal diagnosis, 'S' = secondary diagnosis, 'X' = unspecified diagnosis, '.' = blank. Along with the Care Setting values, forms the

Treatment Episode Truncation Indicator - indicates whether observation of the incident query code during follow-up requires truncation of valid treatment episodes. A value of Y indicates that the treatment episodes should be truncated at the first occurrence of an incident query code. A value of N indicates that the treatment episodes should not be truncated at the occurrence of the incident query code.

Users - number of members with exposure during the query period. Member must have no evidence of exposure(s) of interest (defined by incidence criteria) in the prior washout period. A user may only be counted once in a query period.

Washout Period (drug/exposure)** - number of days a user is required to have no evidence of prior exposure (drug dispensing/procedure) and continuous drug and medical coverage prior to an incident treatment episode.

Washout Period (event/outcome)** - number of days a user is required to have no evidence of a prior event (procedure/diagnosis) and continuous drug and medical coverage prior to an incident treatment episode.

*all terms may not be used in this report

**incident treatment episodes must be incident to both the exposure and the event

Table 1: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, and Genetic Test

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|-----------------------------|------------------|---------------|---------------------------------|
| Cetuximab and Panitumumab | | | | | |
| All New Users | 5,220 | 100.00% | 63,192,462 | 94,429,489.8 | 0.08 |
| New Users with Colorectal Cancer | 2,655 | 50.86% | 175,920 | 143,775.3 | 15.09 |
| New Users with a prior KRAS test | 710 | 13.60% | 17,020 | 6,343.3 | 41.72 |
| New Users with Colorectal Cancer and a prior KRAS test | 647 | 12.39% | Not Available | Not Available | Not Available |
| Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | | | | | |
| All New Users | 3,545 | 100.00% | 63,187,120 | 94,419,678.6 | 0.06 |
| New Users with Leukemia | 2,139 | 60.34% | 98,166 | 93,499.4 | 21.79 |
| New Users with a Previous BCR-ABL Test | 1,191 | 33.60% | 19,763 | 8,103.4 | 60.26 |
| New Users with Leukemia and a prior BCR-ABL test | 1,115 | 31.45% | Not Available | Not Available | Not Available |
| Olaparib | | | | | |
| All New Users | 141 | 100.00% | 63,193,377 | 94,434,448.9 | 0.00 |
| New Users with Ovarian Cancer | 125 | 88.65% | 49,746 | 40,840.9 | 2.51 |
| New Users with a Prior BRCA test | 30 | 21.28% | 111,916 | 43,524.0 | 0.27 |
| New Users with a Prior BRCA test and Ovarian Cancer | 23 | 16.31% | Not Available | Not Available | Not Available |

Table 1: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, and Genetic Test

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|--------------------------------|------------------|---------------|------------------------------------|
| Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | | | | | |
| All New Users | | | | | |
| | 9,179 | 100.00% | 63,190,877 | 94,424,568.7 | 0.15 |
| New Users with Lung or Colorectal Cancer | | | | | |
| | 4,189 | 45.64% | 163,059 | 117,240.4 | 25.69 |
| New Users with a Prior EGFR test | | | | | |
| | 1,022 | 11.13% | 18,167 | 6,472.8 | 56.26 |
| New Users with Lung or Colorectal Cancer and a Prior EGFR test | | | | | |
| | 879 | 9.58% | Not Available | Not Available | Not Available |
| Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | | | | | |
| All New Users | | | | | |
| | 1,078 | 100.00% | 63,193,109 | 94,433,523.9 | 0.02 |
| New Users with Melanoma | | | | | |
| | 913 | 84.69% | 160,176 | 98,127.2 | 5.70 |
| New Users with a Prior BRAF test | | | | | |
| | 338 | 31.35% | 16,048 | 5,975.0 | 21.06 |
| New Users with Melanoma and a Prior BRAF test | | | | | |
| | 287 | 26.62% | Not available | Not available | Not available |

Table 2: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, Genetic Test, and Age Group

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|--------------------------------|------------------|---------------|------------------------------------|
| Cetuximab and Panitumumab | | | | | |
| All New Users | | | | | |
| 0-21 Years | 10 | 100.00% | 16,837,978 | 23,987,670.6 | 0.00 |
| 22-44 Years | 315 | 100.00% | 23,352,856 | 29,366,624.6 | 0.01 |
| 45-64 Years | 2,443 | 100.00% | 19,195,622 | 28,412,051.4 | 0.13 |
| 65+ Years | 2,452 | 100.00% | 7,364,240 | 12,663,143.2 | 0.33 |
| New Users with Colorectal Cancer | | | | | |
| 0-21 Years | 2 | 20.00% | 390 | 183.0 | 5.13 |
| 22-44 Years | 226 | 71.75% | 9,955 | 7,130.1 | 22.70 |
| 45-64 Years | 1,316 | 53.87% | 72,565 | 56,307.1 | 18.14 |
| 65+ Years | 1,111 | 45.31% | 97,456 | 80,155.1 | 11.40 |
| New Users with a prior KRAS test | | | | | |
| 0-21 Years | 3 | 30.00% | 258 | 99.9 | 11.63 |
| 22-44 Years | 65 | 20.63% | 1,986 | 733.1 | 32.73 |
| 45-64 Years | 369 | 15.10% | 9,031 | 3,344.8 | 40.86 |
| 65+ Years | 273 | 11.13% | 5,977 | 2,165.4 | 45.68 |
| New Users with Colorectal Cancer and a prior KRAS test | | | | | |
| 0-21 Years | 2 | 20.00% | Not Available | Not Available | Not Available |
| 22-44 Years | 56 | 17.78% | Not Available | Not Available | Not Available |
| 45-64 Years | 335 | 13.71% | Not Available | Not Available | Not Available |
| 65+ Years | 254 | 10.36% | Not Available | Not Available | Not Available |
| Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | | | | | |
| All New Users | | | | | |
| 0-21 Years | 98 | 100.00% | 16,837,861 | 23,987,420.4 | 0.01 |
| 22-44 Years | 744 | 100.00% | 23,351,739 | 29,364,477.4 | 0.03 |
| 45-64 Years | 1,599 | 100.00% | 19,192,730 | 28,407,369.5 | 0.08 |
| 65+ Years | 1,104 | 100.00% | 7,362,590 | 12,660,411.4 | 0.15 |

Table 2: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, Genetic Test, and Age Group

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|--------------------------------|------------------|---------------|------------------------------------|
| New Users with Leukemia | | | | | |
| 0-21 Years | 57 | 58.16% | 8,956 | 8,538.4 | 6.36 |
| 22-44 Years | 525 | 70.56% | 10,842 | 6,608.9 | 48.42 |
| 45-64 Years | 958 | 59.91% | 32,835 | 27,664.7 | 29.18 |
| 65+ Years | 599 | 54.26% | 48,354 | 50,687.4 | 12.39 |
| New Users with a Previous BCR-ABL Test | | | | | |
| 0-21 Years | 15 | 15.31% | 360 | 155.0 | 41.67 |
| 22-44 Years | 293 | 39.38% | 4,615 | 1,793.4 | 63.49 |
| 45-64 Years | 582 | 36.40% | 9,721 | 3,890.8 | 59.87 |
| 65+ Years | 301 | 27.26% | 5,371 | 2,264.2 | 56.04 |
| New Users with Leukemia and a prior BCR-ABL test | | | | | |
| 0-21 Years | 13 | 13.27% | Not Available | Not Available | Not Available |
| 22-44 Years | 281 | 37.77% | Not Available | Not Available | Not Available |
| 45-64 Years | 542 | 33.90% | Not Available | Not Available | Not Available |
| 65+ Years | 279 | 25.27% | Not Available | Not Available | Not Available |

Olaparib

| | | | | | |
|--------------------------------------|-----|---------|------------|--------------|------|
| All New Users | | | | | |
| 0-21 Years | 0 | 100.00% | 16,837,979 | 23,987,679.4 | 0.00 |
| 22-44 Years | 12 | 100.00% | 23,352,936 | 29,366,917.2 | 0.00 |
| 45-64 Years | 100 | 100.00% | 19,196,142 | 28,414,362.2 | 0.01 |
| 65+ Years | 29 | 100.00% | 7,364,710 | 12,665,490.1 | 0.00 |
| New Users with Ovarian Cancer | | | | | |
| 0-21 Years | 0 | N/A | 593 | 403.3 | 0.00 |
| 22-44 Years | 8 | 66.67% | 7,595 | 4,789.8 | 1.05 |
| 45-64 Years | 91 | 91.00% | 25,302 | 20,623.0 | 3.60 |
| 65+ Years | 26 | 89.66% | 17,809 | 15,024.8 | 1.46 |

Table 2: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, Genetic Test, and Age Group

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|--------------------------------|------------------|---------------|------------------------------------|
| New Users with a Prior BRCA test | | | | | |
| 0-21 Years | 0 | N/A | 1,219 | 438.4 | 0.00 |
| 22-44 Years | 3 | 25.00% | 43,929 | 16,451.2 | 0.07 |
| 45-64 Years | 21 | 21.00% | 60,595 | 23,584.2 | 0.35 |
| 65+ Years | 6 | 20.69% | 8,137 | 3,050.2 | 0.74 |
| New Users with a Prior BRCA test and Ovarian Cancer | | | | | |
| 0-21 Years | 0 | N/A | Not Available | Not Available | Not Available |
| 22-44 Years | 1 | 8.33% | Not Available | Not Available | Not Available |
| 45-64 Years | 17 | 17.00% | Not Available | Not Available | Not Available |
| 65+ Years | 5 | 17.24% | Not Available | Not Available | Not Available |
| Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | | | | | |
| All New Users | | | | | |
| 0-21 Years | 19 | 100.00% | 16,837,973 | 23,987,659.6 | 0.00 |
| 22-44 Years | 446 | 100.00% | 23,352,804 | 29,366,474.5 | 0.02 |
| 45-64 Years | 4,009 | 100.00% | 19,194,850 | 28,410,106.4 | 0.21 |
| 65+ Years | 4,705 | 100.00% | 7,363,332 | 12,660,328.2 | 0.64 |
| New Users with Lung or Colorectal Cancer | | | | | |
| 0-21 Years | 1 | 5.26% | 451 | 222.3 | 2.22 |
| 22-44 Years | 122 | 27.35% | 4,646 | 2,314.8 | 26.26 |
| 45-64 Years | 1,652 | 41.21% | 54,144 | 35,424.9 | 30.51 |
| 65+ Years | 2,414 | 51.31% | 106,950 | 79,278.4 | 22.57 |
| New Users with a Prior EGFR test | | | | | |
| 0-21 Years | 2 | 10.53% | 129 | 50.7 | 15.50 |
| 22-44 Years | 56 | 12.56% | 1,239 | 444.1 | 45.20 |
| 45-64 Years | 430 | 10.73% | 8,382 | 2,982.6 | 51.30 |
| 65+ Years | 534 | 11.35% | 8,613 | 2,995.5 | 62.00 |

Table 2: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, Genetic Test, and Age Group

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|--------------------------------|------------------|---------------|------------------------------------|
| New Users with Lung or Colorectal Cancer and a Prior EGFR test | | | | | |
| 0-21 Years | 0 | 0.00% | Not Available | Not Available | Not Available |
| 22-44 Years | 38 | 8.52% | Not Available | Not Available | Not Available |
| 45-64 Years | 353 | 8.81% | Not Available | Not Available | Not Available |
| 65+ Years | 488 | 10.37% | Not Available | Not Available | Not Available |
| Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | | | | | |
| All New Users | | | | | |
| 0-21 Years | 20 | 100.00% | 16,837,975 | 23,987,660.6 | 0.00 |
| 22-44 Years | 176 | 100.00% | 23,352,873 | 29,366,744.6 | 0.01 |
| 45-64 Years | 556 | 100.00% | 19,195,967 | 28,413,911.3 | 0.03 |
| 65+ Years | 326 | 100.00% | 7,364,653 | 12,665,207.4 | 0.04 |
| New Users with Melanoma | | | | | |
| 0-21 Years | 7 | 35.00% | 1,369 | 678.8 | 5.11 |
| 22-44 Years | 156 | 88.64% | 22,629 | 12,251.0 | 6.89 |
| 45-64 Years | 467 | 83.99% | 72,951 | 43,264.5 | 6.40 |
| 65+ Years | 283 | 86.81% | 66,739 | 41,932.9 | 4.24 |
| New Users with a Prior BRAF test | | | | | |
| 0-21 Years | 5 | 25.00% | 342 | 134.6 | 14.62 |
| 22-44 Years | 43 | 24.43% | 2,381 | 880.4 | 18.06 |
| 45-64 Years | 176 | 31.65% | 8,284 | 3,065.8 | 21.25 |
| 65+ Years | 114 | 34.97% | 5,261 | 1,894.1 | 21.67 |
| New Users with Melanoma and a Prior BRAF test | | | | | |
| 0-21 Years | 2 | 10.00% | Not Available | Not Available | Not Available |
| 22-44 Years | 38 | 21.59% | Not Available | Not Available | Not Available |
| 45-64 Years | 148 | 26.62% | Not Available | Not Available | Not Available |
| 65+ Years | 99 | 30.37% | Not Available | Not Available | Not Available |

Table 3: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, Genetic Test, and Sex

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|--------------------------------|------------------|---------------|------------------------------------|
| Cetuximab and Panitumumab | | | | | |
| All New Users | | | | | |
| Female | 1,759 | 100.00% | 32,126,116 | 48,246,347.6 | 0.05 |
| Male | 3,459 | 100.00% | 31,063,571 | 46,179,370.5 | 0.11 |
| Unknown | 2 | 100.00% | 2,775 | 3,771.7 | 0.72 |
| New Users with Colorectal Cancer | | | | | |
| Female | 1,123 | 63.84% | 85,907 | 69,781.5 | 13.07 |
| Male | 1,532 | 44.29% | 90,000 | 73,982.6 | 17.02 |
| Unknown | 0 | 0.00% | 13 | 11.1 | 0.00 |
| New Users with a prior KRAS test | | | | | |
| Female | 307 | 17.45% | 9,292 | 3,508.2 | 33.04 |
| Male | 403 | 11.65% | 7,724 | 2,833.0 | 52.18 |
| Unknown | 0 | 0.00% | 4 | 2.0 | 0.00 |
| New Users with Colorectal Cancer and a prior KRAS test | | | | | |
| Female | 287 | 16.32% | Not Available | Not Available | Not Available |
| Male | 360 | 10.41% | Not Available | Not Available | Not Available |
| Unknown | 0 | 0.00% | Not Available | Not Available | Not Available |
| Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | | | | | |
| All New Users | | | | | |
| Female | 1,613 | 100.00% | 32,123,691 | 48,241,414.1 | 0.05 |
| Male | 1,932 | 100.00% | 31,060,654 | 46,174,491.7 | 0.06 |
| Unknown | 0 | 100.00% | 2,775 | 3,772.8 | 0.00 |
| New Users with Leukemia | | | | | |
| Female | 927 | 57.47% | 44,464 | 41,464.8 | 20.85 |
| Male | 1,212 | 62.73% | 53,697 | 52,030.5 | 22.57 |
| Unknown | 0 | N/A | 5 | 4.1 | 0.00 |
| New Users with a Previous BCR-ABL Test | | | | | |
| Female | 521 | 32.30% | 11,161 | 4,556.1 | 46.68 |
| Male | 670 | 34.68% | 8,600 | 3,546.7 | 77.91 |
| Unknown | 0 | N/A | 2 | 0.7 | 0.00 |

Table 3: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, Genetic Test, and Sex

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|--------------------------------|------------------|---------------|------------------------------------|
| New Users with Leukemia and a prior BCR-ABL test | | | | | |
| Female | 486 | 30.13% | Not Available | Not Available | Not Available |
| Male | 629 | 32.56% | Not Available | Not Available | Not Available |
| Unknown | 0 | N/A | Not Available | Not Available | Not Available |
| Olaparib | | | | | |
| All New Users | | | | | |
| Female | 135 | 100.00% | 32,126,399 | 48,247,974.7 | 0.00 |
| Male | 6 | 100.00% | 31,064,203 | 46,182,701.0 | 0.00 |
| Unknown | 0 | 100.00% | 2,775 | 3,773.3 | 0.00 |
| New Users with Ovarian Cancer | | | | | |
| Female | 125 | 92.59% | 49,328 | 40,653.8 | 2.53 |
| Male | 0 | 0.00% | 412 | 180.9 | 0.00 |
| Unknown | 0 | N/A | 6 | 6.3 | 0.00 |
| New Users with a Prior BRCA test | | | | | |
| Female | 26 | 19.26% | 106,777 | 41,610.3 | 0.24 |
| Male | 4 | 66.67% | 5,134 | 1,911.6 | 0.78 |
| Unknown | 0 | N/A | 5 | 2.1 | 0.00 |
| New Users with a Prior BRCA test and Ovarian Cancer | | | | | |
| Female | 23 | 17.04% | Not Available | Not Available | Not Available |
| Male | 0 | 0.00% | Not Available | Not Available | Not Available |
| Unknown | 0 | N/A | Not Available | Not Available | Not Available |
| Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | | | | | |
| All New Users | | | | | |
| Female | 3,998 | 100.00% | 32,125,144 | 48,243,291.4 | 0.12 |
| Male | 5,178 | 100.00% | 31,062,958 | 46,177,505.9 | 0.17 |
| Unknown | 3 | 100.00% | 2,775 | 3,771.4 | 1.08 |

Table 3: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, Genetic Test, and Sex

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|--------------------------------|------------------|---------------|------------------------------------|
| New Users with Lung or Colorectal Cancer | | | | | |
| Female | 2,275 | 56.90% | Not Available | Not Available | Not Available |
| Male | 1,913 | 36.94% | Not Available | Not Available | Not Available |
| Unknown | 1 | 33.33% | Not Available | Not Available | Not Available |
| New Users with a Prior EGFR test | | | | | |
| Female | 587 | 14.68% | 9,981 | 3,616.1 | 58.81 |
| Male | 435 | 8.40% | 8,183 | 2,855.2 | 53.16 |
| Unknown | 0 | 0.00% | 3 | 1.5 | 0.00 |
| New Users with Lung or Colorectal Cancer and a Prior EGFR test | | | | | |
| Female | 528 | 13.21% | 32,125,144 | 48,243,822.3 | 0.02 |
| Male | 351 | 6.78% | 31,062,958 | 46,178,395.9 | 0.01 |
| Unknown | 0 | 0.00% | 2,775 | 3,772.3 | 0.00 |
| Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | | | | | |
| All New Users | | | | | |
| Female | 408 | 100.00% | 32,126,289 | 48,247,637.5 | 0.01 |
| Male | 670 | 100.00% | 31,064,045 | 46,182,113.1 | 0.02 |
| Unknown | 0 | 100.00% | 2,775 | 3,773.3 | 0.00 |
| New Users with Melanoma | | | | | |
| Female | 334 | 81.86% | 75,126 | 45,001.5 | 4.45 |
| Male | 579 | 86.42% | 85,045 | 53,122.5 | 6.81 |
| Unknown | 0 | N/A | 5 | 3.2 | 0.00 |
| New Users with a Prior BRAF test | | | | | |
| Female | 124 | 30.39% | 8,931 | 3,353.3 | 13.88 |
| Male | 214 | 31.94% | 7,115 | 2,621.2 | 30.08 |
| Unknown | 0 | N/A | 2 | 0.5 | 0.00 |
| New Users with Melanoma and a Prior BRAF test | | | | | |
| Female | 103 | 25.25% | Not Available | Not Available | Not Available |
| Male | 184 | 27.46% | Not Available | Not Available | Not Available |
| Unknown | 0 | N/A | Not Available | Not Available | Not Available |

Table 4: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, Genetic Test, and Year

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|--------------------------------|------------------|---------------|------------------------------------|
| Cetuximab and Panitumumab | | | | | |
| All New Users | | | | | |
| 2013 | 1,974 | 100.00% | 44,428,764 | 35,277,704.1 | 0.04 |
| 2014 | 1,979 | 100.00% | 45,834,292 | 35,215,004.2 | 0.04 |
| 2015 | 1,267 | 100.00% | 40,569,004 | 23,936,781.5 | 0.03 |
| New Users with Colorectal Cancer | | | | | |
| 2013 | 1,003 | 50.81% | 101,791 | 53,775.6 | 9.85 |
| 2014 | 996 | 50.33% | 104,587 | 53,931.5 | 9.52 |
| 2015 | 656 | 51.78% | 87,083 | 36,068.2 | 7.53 |
| New Users with a prior KRAS test | | | | | |
| 2013 | 231 | 11.70% | 5,202 | 1,594.7 | 44.41 |
| 2014 | 306 | 15.46% | 9,463 | 2,743.8 | 32.34 |
| 2015 | 173 | 13.65% | 7,561 | 2,004.8 | 22.88 |
| New Users with Colorectal Cancer and a prior KRAS test | | | | | |
| 2013 | 217 | 10.99% | Not Available | Not Available | Not Available |
| 2014 | 279 | 14.10% | Not Available | Not Available | Not Available |
| 2015 | 151 | 11.92% | Not Available | Not Available | Not Available |
| Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | | | | | |
| All New Users | | | | | |
| 2013 | 1,368 | 100.00% | 44,424,405 | 35,274,061.0 | 0.03 |
| 2014 | 1,326 | 100.00% | 45,830,060 | 35,211,365.8 | 0.03 |
| 2015 | 851 | 100.00% | 40,565,080 | 23,934,251.9 | 0.02 |
| New Users with Leukemia | | | | | |
| 2013 | 845 | 61.77% | 58,935 | 34,182.2 | 14.34 |
| 2014 | 818 | 61.69% | 61,126 | 35,081.6 | 13.38 |
| 2015 | 476 | 55.93% | 52,116 | 24,235.5 | 9.13 |
| New Users with a Previous BCR-ABL Test | | | | | |
| 2013 | 417 | 30.48% | 6,148 | 2,007.1 | 67.83 |
| 2014 | 473 | 35.67% | 11,212 | 3,512.3 | 42.19 |
| 2015 | 301 | 35.37% | 9,039 | 2,584.0 | 33.30 |

Table 4: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, Genetic Test, and Year

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|--------------------------------|------------------|---------------|------------------------------------|
| New Users with Leukemia and a prior BCR-ABL test | | | | | |
| 2013 | 386 | 28.22% | Not Available | Not Available | Not Available |
| 2014 | 456 | 34.39% | Not Available | Not Available | Not Available |
| 2015 | 273 | 32.08% | Not Available | Not Available | Not Available |
| Olaparib | | | | | |
| All New Users | | | | | |
| 2013 | 0 | 100.00% | 44,429,494 | 35,279,176.2 | 0.00 |
| 2014 | 0 | 100.00% | 45,835,954 | 35,216,961.5 | 0.00 |
| 2015 | 141 | 100.00% | 40,571,096 | 23,938,311.2 | 0.00 |
| New Users with Ovarian Cancer | | | | | |
| 2013 | 0 | N/A | 29,349 | 15,690.8 | 0.00 |
| 2014 | 0 | N/A | 29,232 | 15,185.2 | 0.00 |
| 2015 | 125 | 88.65% | 23,649 | 9,964.9 | 5.29 |
| New Users with a Prior BRCA test | | | | | |
| 2013 | 0 | N/A | 44,727 | 14,289.1 | 0.00 |
| 2014 | 0 | N/A | 57,315 | 17,393.0 | 0.00 |
| 2015 | 30 | 21.28% | 43,858 | 11,841.9 | 0.68 |
| New Users with a Prior BRCA test and Ovarian Cancer | | | | | |
| 2013 | 0 | N/A | Not Available | Not Available | Not Available |
| 2014 | 0 | N/A | Not Available | Not Available | Not Available |
| 2015 | 23 | 16.31% | Not Available | Not Available | Not Available |
| Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | | | | | |
| All New Users | | | | | |
| 2013 | 3,631 | 100.00% | 44,427,377 | 35,275,972.5 | 0.08 |
| 2014 | 3,449 | 100.00% | 45,832,487 | 35,213,161.4 | 0.08 |
| 2015 | 2,099 | 100.00% | 40,567,154 | 23,935,434.8 | 0.05 |
| New Users with Lung or Colorectal Cancer | | | | | |
| 2013 | 1,697 | 46.74% | 88,031 | 43,206.7 | 19.28 |
| 2014 | 1,590 | 46.10% | 91,124 | 44,218.9 | 17.45 |
| 2015 | 902 | 42.97% | 74,970 | 29,814.8 | 12.03 |

Table 4: Summary of Incident Cancer Treatment in the SDD between January 1, 2013 and December 31, 2015, by Drug Group, Cancer Diagnosis, Genetic Test, and Year

| | New Users | Percentage of All New Users | Eligible Members | Member- Years | New Users / 1K Eligible Members |
|---|-----------|--------------------------------|------------------|---------------|------------------------------------|
| Patients with a Prior EGFR test | | | | | |
| 2013 | 293 | 8.07% | 5,372 | 1,551.2 | 54.54 |
| 2014 | 434 | 12.58% | 10,035 | 2,875.9 | 43.25 |
| 2015 | 295 | 14.05% | 7,961 | 2,045.7 | 37.06 |
| New Users with Lung or Colorectal Cancer and a Prior EGFR test | | | | | |
| 2013 | 267 | 7.35% | Not Available | Not Available | Not Available |
| 2014 | 371 | 10.76% | Not Available | Not Available | Not Available |
| 2015 | 241 | 11.48% | Not Available | Not Available | Not Available |
| Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | | | | | |
| All New Users | | | | | |
| 2013 | 373 | 100.00% | 44,429,292 | 35,278,898.1 | 0.01 |
| 2014 | 451 | 100.00% | 45,835,609 | 35,216,579.5 | 0.01 |
| 2015 | 254 | 100.00% | 40,570,673 | 23,938,046.4 | 0.01 |
| New Users with Melanoma | | | | | |
| 2013 | 329 | 88.20% | 85,071 | 36,660.6 | 3.87 |
| 2014 | 382 | 84.70% | 86,599 | 36,600.5 | 4.41 |
| 2015 | 202 | 79.53% | 70,316 | 24,866.0 | 2.87 |
| New Users with a Prior BRAF test | | | | | |
| 2013 | 100 | 26.81% | 4,171 | 1,291.0 | 23.98 |
| 2014 | 146 | 32.37% | 8,666 | 2,559.7 | 16.85 |
| 2015 | 92 | 36.22% | 7,968 | 2,124.3 | 11.55 |
| New Users with Melanoma and a Prior BRAF test | | | | | |
| 2013 | 87 | 23.32% | Not Available | Not Available | Not Available |
| 2014 | 122 | 27.05% | Not Available | Not Available | Not Available |
| 2015 | 78 | 30.71% | Not Available | Not Available | Not Available |

Appendix A: Latest Date of Available Data for Each Data Partner up to Request End Date (5/15/2016)

| DP ID | End Date |
|--------------|-----------------|
| DP0001 | 6/30/2015 |
| DP0002 | 4/30/2015 |
| DP0003 | 12/31/2014 |
| DP0004 | 10/31/2014 |
| DP0005 | 11/30/2015 |
| DP0006 | 2/28/2015 |
| DP0007 | 12/31/2015 |
| DP0008 | 9/30/2015 |
| DP0009 | 11/30/2015 |
| DP0010 | 7/31/2015 |
| DP0011 | 7/31/2014 |
| DP0012 | 9/30/2015 |
| DP0013 | 6/30/2015 |
| DP0014 | 10/31/2015 |

Appendix B: Generic and Brand Names used to Define Exposures in this Request

| Generic Name | Brand Name |
|-------------------------------|-------------------|
| CETUXIMAB | Erbitux |
| PANITUMUMAB | Vectibix |
| COBIMETINIB FUMARATE | Cotellic |
| TRAMETINIB DIMETHYL SULFOXIDE | Mekinist |
| DABRAFENIB MESYLATE | Tafinlar |
| VEMURAFENIB | Zelboraf |
| AFATINIB DIMALEATE | Gilotrif |
| GEFITINIB | Iressa |
| OSIMERTINIB MESYLATE | Tagrisso |
| ERLOTINIB HCL | Tarceva |
| BOSUTINIB | Bosulif |
| IMATINIB MESYLATE | Gleevec |
| PONATINIB HCL | Iclusig |
| DASATINIB | Sprycel |
| NILOTINIB HCL | Tasigna |
| OLAPARIB | Lynparza |

Appendix C: List of Procedure Codes Used to Define Exposures in this Request

| Code | Description | Code Type |
|---------------------------|---------------------------------|------------------|
| KRAS Drug Pairs | | |
| J9055 | Injection, cetuximab, 10 mg | HCPCS Procedure |
| C9235 | Injection, panitumumab, 10 mg | HCPCS Procedure |
| C9215 | Injection, cetuximab, per 10 mg | HCPCS Procedure |
| J9303 | Injection, panitumumab, 10 mg | HCPCS Procedure |
| EGFR Drug Pairs | | |
| J9055 | Injection, cetuximab, 10 mg | HCPCS Procedure |
| C9235 | Injection, panitumumab, 10 mg | HCPCS Procedure |
| C9215 | Injection, cetuximab, per 10 mg | HCPCS Procedure |
| J9303 | Injection, panitumumab, 10 mg | HCPCS Procedure |
| J8565 | Gefitinib, oral, 250 mg | HCPCS Procedure |
| BCR-ABL Drug Pairs | | |
| S0088 | Imatinib, 100 mg | HCPCS Procedure |

Appendix D: List of Diagnosis Codes used to Define Cancer Inclusion Criteria in this Request

| Code | Description |
|--------------------------|--|
| Colorectal Cancer | |
| 153 | Malignant neoplasm of colon |
| 153.1 | Malignant neoplasm of transverse colon |
| 153.2 | Malignant neoplasm of descending colon |
| 153.3 | Malignant neoplasm of sigmoid colon |
| 153.6 | Malignant neoplasm of ascending colon |
| 153.9 | Malignant neoplasm of colon, unspecified site |
| 154 | Malignant neoplasm of rectum, rectosigmoid junction, and anus |
| 154.1 | Malignant neoplasm of rectum |
| 154.8 | Malignant neoplasm of other sites of rectum, rectosigmoid junction, and anus |
| 230.3 | Carcinoma in situ of colon |
| 230.4 | Carcinoma in situ of rectum |
| Melanoma | |
| 172 | Malignant melanoma of skin |
| 172.5 | Malignant melanoma of skin of trunk, except scrotum |
| 172.3 | Malignant melanoma of skin of other and unspecified parts of face |
| 172.8 | Malignant melanoma of other specified sites of skin |
| 172.2 | Malignant melanoma of skin of ear and external auditory canal |
| 172.6 | Malignant melanoma of skin of upper limb, including shoulder |
| 172.4 | Malignant melanoma of skin of scalp and neck |
| 172.1 | Malignant melanoma of skin of eyelid, including canthus |
| 172.9 | Melanoma of skin, site unspecified |
| 172.0 | Malignant melanoma of skin of lip |
| 172.7 | Malignant melanoma of skin of lower limb, including hip |
| Lung Cancer | |
| 162 | Malignant neoplasm of trachea, bronchus, and lung |
| 162.3 | Malignant neoplasm of upper lobe, bronchus, or lung |
| 162.4 | Malignant neoplasm of middle lobe, bronchus, or lung |
| 162.5 | Malignant neoplasm of lower lobe, bronchus, or lung |
| 162.8 | Malignant neoplasm of other parts of bronchus or lung |
| 162.9 | Malignant neoplasm of bronchus and lung, unspecified site |
| 231.2 | Carcinoma in situ of bronchus and lung |
| Leukemia | |
| 204 | Lymphoid leukemia |
| 204.0 | Acute lymphoid leukemia |
| 204.00 | Acute lymphoid leukemia, without mention of having achieved remission |
| 204.01 | Acute lymphoid leukemia in remission |
| 204.02 | Acute lymphoid leukemia, in relapse |
| 204.1 | Chronic lymphoid leukemia |
| 204.10 | Chronic lymphoid leukemia, without mention of having achieved remission |
| 204.11 | Chronic lymphoid leukemia in remission |
| 204.12 | Chronic lymphoid leukemia, in relapse |
| 204.2 | Subacute lymphoid leukemia |
| 204.20 | Subacute lymphoid leukemia, without mention of having achieved remission |
| 204.21 | Subacute lymphoid leukemia in remission |
| 204.22 | Subacute lymphoid leukemia, in relapse |
| 204.8 | Other lymphoid leukemia |
| 204.80 | Other lymphoid leukemia, without mention of having achieved remission |
| 204.81 | Other lymphoid leukemia in remission |
| 204.82 | Other lymphoid leukemia, in relapse |

| | |
|--------|--|
| 204.9 | Unspecified lymphoid leukemia |
| 204.90 | Unspecified lymphoid leukemia, without mention of having achieved remission |
| 204.91 | Unspecified lymphoid leukemia in remission |
| 204.92 | Unspecified lymphoid leukemia, in relapse |
| 205 | Myeloid leukemia |
| 205.0 | Acute myeloid leukemia |
| 205.00 | Acute myeloid leukemia, without mention of having achieved remission |
| 205.01 | Acute myeloid leukemia in remission |
| 205.02 | Acute myeloid leukemia, in relapse |
| 205.1 | Chronic myeloid leukemia |
| 205.10 | Chronic myeloid leukemia, without mention of having achieved remission |
| 205.11 | Chronic myeloid leukemia in remission |
| 205.12 | Chronic myeloid leukemia, in relapse |
| 205.2 | Subacute myeloid leukemia |
| 205.20 | Subacute myeloid leukemia, without mention of having achieved remission |
| 205.21 | Subacute myeloid leukemia in remission |
| 205.22 | Subacute myeloid leukemia, in relapse |
| 205.8 | Other myeloid leukemia |
| 205.80 | Other myeloid leukemia, without mention of having achieved remission |
| 205.81 | Other myeloid leukemia in remission |
| 205.82 | Other myeloid leukemia, in relapse |
| 205.9 | Unspecified myeloid leukemia |
| 205.90 | Unspecified myeloid leukemia, without mention of having achieved remission |
| 205.91 | Unspecified myeloid leukemia in remission |
| 205.92 | Unspecified myeloid leukemia, in relapse |
| 206 | Monocytic leukemia |
| 206.0 | Acute monocytic leukemia |
| 206.00 | Acute monocytic leukemia, without mention of having achieved remission |
| 206.01 | Acute monocytic leukemia in remission |
| 206.02 | Acute monocytic leukemia, in relapse |
| 206.1 | Chronic monocytic leukemia |
| 206.10 | Chronic monocytic leukemia, without mention of having achieved remission |
| 206.11 | Chronic monocytic leukemia in remission |
| 206.12 | Chronic monocytic leukemia, in relapse |
| 206.2 | Subacute monocytic leukemia |
| 206.20 | Subacute monocytic leukemia, without mention of having achieved remission |
| 206.21 | Subacute monocytic leukemia in remission |
| 206.22 | Subacute monocytic leukemia, in relapse |
| 206.8 | Other monocytic leukemia |
| 206.80 | Other monocytic leukemia, without mention of having achieved remission |
| 206.81 | Other monocytic leukemia in remission |
| 206.82 | Other monocytic leukemia, in relapse |
| 206.9 | Unspecified monocytic leukemia |
| 206.90 | Unspecified monocytic leukemia, without mention of having achieved remission |
| 206.91 | Unspecified monocytic leukemia in remission |
| 206.92 | Unspecified monocytic leukemia, in relapse |
| 207 | Other specified leukemia |

| | |
|--------|--|
| 207.0 | Acute erythremia and erythroleukemia |
| 207.00 | Acute erythremia and erythroleukemia, without mention of having achieved remission |
| 207.01 | Acute erythremia and erythroleukemia in remission |
| 207.02 | Acute erythremia and erythroleukemia, in relapse |
| 207.2 | Megakaryocytic leukemia |
| 207.20 | Megakaryocytic leukemia, without mention of having achieved remission |
| 207.21 | Megakaryocytic leukemia in remission |
| 207.22 | Megakaryocytic leukemia, in relapse |
| 207.8 | Other specified leukemia |
| 207.80 | Other specified leukemia, without mention of having achieved remission |
| 207.81 | Other specified leukemia in remission |
| 207.82 | Other specified leukemia, in relapse |
| 208 | Leukemia of unspecified cell type |
| 208.0 | Acute leukemia of unspecified cell type |
| 208.00 | Acute leukemia of unspecified cell type, without mention of having achieved remission |
| 208.01 | Acute leukemia of unspecified cell type in remission |
| 208.02 | Acute leukemia of unspecified cell type, in relapse |
| 208.1 | Chronic leukemia of unspecified cell type |
| 208.10 | Chronic leukemia of unspecified cell type, without mention of having achieved remission |
| 208.11 | Chronic leukemia of unspecified cell type in remission |
| 208.12 | Chronic leukemia of unspecified cell type, in relapse |
| 208.2 | Subacute leukemia of unspecified cell type |
| 208.20 | Subacute leukemia of unspecified cell type, without mention of having achieved remission |
| 208.21 | Subacute leukemia of unspecified cell type in remission |
| 208.22 | Subacute leukemia of unspecified cell type, in relapse |
| 208.8 | Other leukemia of unspecified cell type |
| 208.80 | Other leukemia of unspecified cell type, without mention of having achieved remission |
| 208.81 | Other leukemia of unspecified cell type in remission |
| 208.82 | Other leukemia of unspecified cell type, in relapse |
| 208.9 | Unspecified leukemia |
| 208.90 | Unspecified leukemia, without mention of having achieved remission |
| 208.91 | Unspecified leukemia in remission |
| 208.92 | Unspecified leukemia, in relapse |

Ovarian Cancer

| | |
|-------|--|
| 183 | Malignant neoplasm of ovary and other uterine adnexa |
| 183.0 | Malignant neoplasm of ovary |

Appendix E: List of Procedure Codes used to Define Genetic Tests Inclusion Criteria in this Request

| Code | Description | Code Type |
|----------------|--|-----------------|
| KRAS | | |
| 81275 | KRAS (v-Ki-ras2 Kirsten rat sarcoma viral oncogene) (eg, carcinoma) gene analysis, variants in codons 12 and 13 | CPT-4 Procedure |
| S3713 | Kras mutation analysis testing | HCPCS Procedure |
| BRAF | | |
| 81210 | BRAF (v-raf murine sarcoma viral oncogene homolog B1) (eg, colon cancer), gene analysis, V600E variant | CPT-4 Procedure |
| EGFR | | |
| 81235 | EGFR (epidermal growth factor receptor) (eg, non-small cell lung cancer) gene analysis, common variants (eg, exon 19 LREA deletion, L858R, T790M, G719A, G719S, L861Q) | CPT-4 Procedure |
| BCR-ABL | | |
| 81207 | BCR/ABL1 (t(9;22)) (eg, chronic myelogenous leukemia) translocation analysis; minor breakpoint, qualitative or quantitative | CPT-4 Procedure |
| 81206 | BCR/ABL1 (t(9;22)) (eg, chronic myelogenous leukemia) translocation analysis; major breakpoint, qualitative or quantitative | CPT-4 Procedure |
| 81208 | BCR/ABL1 (t(9;22)) (eg, chronic myelogenous leukemia) translocation analysis; other breakpoint, qualitative or quantitative | CPT-4 Procedure |
| BRCA | | |
| 81211 | BRCA1, BRCA2 (breast cancer 1 and 2) (eg, hereditary breast and ovarian cancer) gene analysis; full sequence analysis and common duplication/deletion variants in BRCA1 (ie, exon 13 del 3.835kb, exon 13 dup 6kb, exon 14-20 del 26kb, exon 22 del 510bp, exon 8-9 del 7.1kb) | CPT-4 Procedure |
| 81212 | BRCA1, BRCA2 (breast cancer 1 and 2) (eg, hereditary breast and ovarian cancer) gene analysis; 185delAG, 5385insC, 6174delT variants | CPT-4 Procedure |
| 81213 | BRCA1, BRCA2 (breast cancer 1 and 2) (eg, hereditary breast and ovarian cancer) gene analysis; uncommon duplication/deletion variants | CPT-4 Procedure |
| 81214 | BRCA1 (breast cancer 1) (eg, hereditary breast and ovarian cancer) gene analysis; full sequence analysis and common duplication/deletion variants (ie, exon 13 del 3.835kb, exon 13 dup 6kb, exon 14-20 del 26kb, exon 22 del 510bp, exon 8-9 del 7.1kb) | CPT-4 Procedure |
| 81215 | BRCA1 (breast cancer 1) (eg, hereditary breast and ovarian cancer) gene analysis; known familial variant | CPT-4 Procedure |
| 81216 | BRCA2 (breast cancer 2) (eg, hereditary breast and ovarian cancer) gene analysis; full sequence analysis | CPT-4 Procedure |
| 81217 | BRCA2 (breast cancer 2) (eg, hereditary breast and ovarian cancer) gene analysis; known familial variant | CPT-4 Procedure |
| S3818 | Complete gene sequence analysis; BRCA1 gene | HCPCS Procedure |
| S3819 | Complete gene sequence analysis; BRCA2 gene | HCPCS Procedure |
| S3820 | Complete BRCA1 and BRCA2 gene sequence analysis for susceptibility to breast and ovarian cancer | HCPCS Procedure |
| S3822 | Single mutation analysis (in individual with a known BRCA1 or BRCA2 mutation in the family) for susceptibility to breast and ovarian cancer | HCPCS Procedure |
| S3823 | Three-mutation BRCA1 and BRCA2 analysis for susceptibility to breast and ovarian cancer in Ashkenazi individuals | HCPCS Procedure |

Appendix F: Modular Program Specifications for cder_mpl1r_wp021_nsdp_v01

The Cohort Identification and Descriptive Analysis (CIDA) tool, version 2.2.1, will be used to investigate drug initiation among those with a genetic test and/or cancer diagnosis within the 183 days prior to drug initiation. The query period was from January 1, 2013 - current, and the enrollment gap was set at 45 days. Age groups were split as follows: 0-21, 22-44, 45-64, 65+. In total, 20 scenarios were examined in this report.

Enrollment Gap: 45 Days
Age Groups: 0-21, 22-44, 45-64, 65+
Query Period: January 1, 2013 - Current
Coverage Requirement: Medical and Drug

| Scenario | Enrollment Requirement (days) | Drug/Exposure | | | | Inclusion/Exclusion | | | | |
|----------|-------------------------------|--|--|----------------|-------------------|--|-----------------|----------------|--------------|-------------|
| | | Incident exposure | Incident w/ respect to: | Washout (days) | Cohort Definition | Criteria | Include/Exclude | Lookback Start | Lookback End | Caresetting |
| 1 | 183 | Cetuximab, Panitumumab | Cetuximab, Panitumumab | 183 | 01 | N/A | N/A | N/A | N/A | N/A |
| 2 | 183 | Cetuximab, Panitumumab | Cetuximab, Panitumumab | 183 | 01 | KRAS | Include | -183 | 0 | Any |
| 3 | 183 | Cetuximab, Panitumumab | Cetuximab, Panitumumab | 183 | 01 | KRAS + Colorectal Cancer | Include | -183 | 0 | Any |
| 4 | 183 | Cetuximab, Panitumumab | Cetuximab, Panitumumab | 183 | 01 | Colorectal Cancer | Include | -183 | 0 | Any |
| 5 | 183 | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | 183 | 01 | N/A | N/A | N/A | N/A | N/A |
| 6 | 183 | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | 183 | 01 | BRAF | Include | -183 | 0 | Any |
| 7 | 183 | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | 183 | 01 | BRAF + Melanoma | Include | -183 | 0 | Any |
| 8 | 183 | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | 183 | 01 | Melanoma | Include | -183 | 0 | Any |
| 9 | 183 | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | 183 | 01 | N/A | N/A | N/A | N/A | N/A |
| 10 | 183 | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | 183 | 01 | EGFR | Include | -183 | 0 | Any |
| 11 | 183 | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | 183 | 01 | EGFR + Colorectal Cancer OR Non-Small Cell Lung Cancer | Include | -183 | 0 | Any |
| 12 | 183 | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | 183 | 01 | Colorectal Cancer OR Non-Small Cell Lung Cancer | Include | -183 | 0 | Any |
| 13 | 183 | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | 183 | 01 | N/A | N/A | -183 | 0 | N/A |

| | | | | | | | | | | |
|-----------|-----|--|--|-----|----|-----------------------|---------|------|---|-----|
| 14 | 183 | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | 183 | 01 | BCR-ABL | Include | -183 | 0 | Any |
| 15 | 183 | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | 183 | 01 | BCR-ABL + Leukemia | Include | -183 | 0 | Any |
| 16 | 183 | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | 183 | 01 | Leukemia | Include | -183 | 0 | Any |
| 17 | 183 | Olaparib | Olaparib | 183 | 01 | N/A | N/A | -183 | 0 | N/A |
| 18 | 183 | Olaparib | Olaparib | 183 | 01 | BRCA | Include | -183 | 0 | Any |
| 19 | 183 | Olaparib | Olaparib | 183 | 01 | BRCA + Ovarian Cancer | Include | -183 | 0 | Any |
| 20 | 183 | Olaparib | Olaparib | 183 | 01 | Ovarian Cancer | Include | -183 | 0 | Any |

Note: ICD-9, ICD-10, HCPCS, and CPT codes are provided by Optum360. NDC codes are checked against First Data Bank's "National Drug Data File (NDDF®) Plus"

Disclaimer

Data obtained through Sentinel are intended to complement other types of evidence such as preclinical studies, clinical trials, postmarket studies, and adverse event reports, all of which are used by FDA to inform regulatory decisions regarding medical product safety. The information contained in this report is provided as part of FDA's commitment to place knowledge acquired from Sentinel in the public domain as soon as possible. Any public health actions taken by FDA regarding products involved in Sentinel queries will continue to be communicated through existing channels.

FDA wants to emphasize that the fact that FDA has initiated a query involving a medical product and is reporting findings related to that query does not mean that FDA is suggesting health care practitioners should change their prescribing practices for the medical product or that patients taking the medical product should stop using it. Patients who have questions about the use of an identified medical product should contact their health care practitioners.

The following report contains a description of the request, request specifications, and results from the modular program run(s).

If you are using a web page screen reader and are unable to access this document, please contact the Sentinel Operations Center for assistance at info@sentinelssystem.org.

Overview for Request cder_mpl1r_wp022_nsdp_v02

Request ID: cder_mpl1r_wp022_nsdp_v02

Query Description: This report contains estimated distribution of baseline covariates among members receiving various genetic tests: KRAS, BRAF, EGFR, BCR-ABL, and BRCA.

Sentinel Modular Program Tool Used: Cohort Identification and Descriptive Analysis (CIDA) tool, version 2.2.1

Data Source: The query was run against the Sentinel Distributed Database (SDD) for the time period of January 1, 2013 to December 31, 2015. The request was distributed to 14 Data Partners on June 17, 2016. See Appendix A for a list of the latest dates of available data for each Data Partner.

Study Design: This request was designed to assess baseline covariates for each cohort of interest.

Exposure of Interest: The exposures of interest were five different genetic tests of the respective genes V-Ki-ras2 Kirsten rat sarcoma viral oncogene (KRAS), v-raf murine sarcoma viral oncogene homolog B1 (BRAF), epidermal growth factor receptor (EGFR), breakpoint cluster region-abelson (BCR-ABL), and the breast cancer susceptibility gene (BRCA). These tests were defined using Healthcare Common Procedure Coding System (HCPCS) Level II procedure codes and Current Procedural Terminology (CPT), 4th Edition procedure codes. Please refer to Appendix B for specific codes.

Cohort Eligibility Criteria: Those included in the cohort were required to be continuously enrolled in plans with both medical and drug coverage for at least 6 months (183 days) prior to their genetic test date, during which gaps in coverage of up to 45 days were allowed. The first valid incident genetic test in the query period to occur was examined.

Baseline Covariates: The following covariates were assessed during the baseline period: age, sex, comorbidity score, and health service utilization. Occurrence of these covariates was evaluated in the 6 months (183 days) prior to the date of genetic test.

Limitations: Algorithms to define exposures are imperfect and, therefore, may be misclassified.

Please see the Appendix C for the specifications of parameters used in the analyses for this request.

Notes: Please contact the Sentinel Operations Center Query Fulfillment Team (production@mini-sentinel.org) for questions and to provide comments/suggestions for future enhancements to this document.

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Glossary of Terms for Analyses Using Cohort Identification and Descriptive Analysis (CIDA) Tool*

Amount Supplied - number of units (pills, tablets, vials) dispensed. Net amount per NDC per dispensing. This is equivalent to the "RxAmt" value in the MSCDM.

Blackout Period - number of days at the beginning of a treatment episode that events are to be ignored. If an event occurs during the blackout period, the episode is excluded.

Care Setting - type of medical encounter or facility where the exposure, event, or condition code was recorded. Possible care settings include: Inpatient Hospital Stay (IP), Non-Acute Institutional Stay (IS), Emergency Department (ED), Ambulatory Visit (AV), and Other Ambulatory Visit (OA). For laboratory results, possible care settings include: Emergency department (E), Home (H), Inpatient (I), Outpatient (O), or Unknown or Missing (U). Along with the Principal Diagnosis Indicator, forms the Care Setting/PDX parameter.

Cohort Definition (drug/exposure) - indicates how the cohort will be defined: 1: Cohort includes only the first valid incident treatment episode during the query period; 2: Cohort includes all valid incident treatment episodes during the query period; 3: Cohort includes all valid incident treatment episodes during the query period until an event occurs.

Days Supplied - number of days supplied for all dispensings in qualifying treatment episodes.

Episodes - treatment episodes; length of episode is determined by days supplied in one dispensing or consecutive dispensings bridged by

Eligible Members - number of members eligible for an incident treatment episode (defined by the drug/exposure and event washout periods) with drug and medical coverage during the query period.

Years at Risk - number of days supplied plus any episode gaps and exposure extension periods all divided by 365.25.

Enrollment Gap - number of days allowed between two consecutive enrollment periods without breaking a "continuously enrolled"

Episode Gap - number of days allowed between two (or more) consecutive exposures (dispensings/procedures) to be considered the same treatment episode.

Event Deduplication - specifies how events are counted by the MP algorithm: 0: Counts all occurrences of an HOI during an exposure episode; 1: de-duplicates occurrences of the same HOI code and code type on the same day; 2: de-duplicates occurrences of the same HOI group on the same day (e.g., de-duplicates at the group level).

Exposure Extension Period - number of days post treatment period in which the outcomes/events are counted for a treatment episode.

Exposure Episode Length - number of days after exposure initiation that is considered "exposed time."

Lookback Period (pre-existing condition) - number of days wherein a member is required to have evidence of pre-existing condition (diagnosis/procedure/drug dispensing).

Member-Years - sum of all days of enrollment with medical and drug coverage** in the query period preceded by an exposure washout

Minimum Days Supplied - specifies a minimum number of days in length of the days supplied for the episode to be considered.

Minimum Episode Duration - specifies a minimum number of days in length of the episode for it to be considered.

Principal Diagnosis (PDX) - diagnosis or condition established to be chiefly responsible for admission of the patient to the hospital. 'P' = principal diagnosis, 'S' = secondary diagnosis, 'X' = unspecified diagnosis, '.' = blank. Along with the Care Setting values, forms the

Treatment Episode Truncation Indicator - indicates whether observation of the incident query code during follow-up requires truncation of valid treatment episodes. A value of Y indicates that the treatment episodes should be truncated at the first occurrence of an incident query code. A value of N indicates that the treatment episodes should not be truncated at the occurrence of the incident query code.

Users - number of members with exposure during the query period. Member must have no evidence of exposure(s) of interest (defined by incidence criteria) in the prior washout period. A user may only be counted once in a query period.

Washout Period (drug/exposure)** - number of days a user is required to have no evidence of prior exposure (drug dispensing/procedure) and continuous drug and medical coverage prior to an incident treatment episode.

Washout Period (event/outcome)** - number of days a user is required to have no evidence of a prior event (procedure/diagnosis) and continuous drug and medical coverage prior to an incident treatment episode.

*all terms may not be used in this report

**incident treatment episodes must be incident to both the exposure and the event

Table 1. Baseline Characteristics of Cohort of Patients Receiving the KRAS Genetic Test¹ from January 1, 2013 to December 31, 2015

| Characteristic | KRAS | |
|--|------|------------------------|
| | N | %/Std Dev ² |
| Patients | 5210 | 100.0% |
| Patient Characteristics | | |
| Mean age (std dev) | 57.5 | 13.8 |
| Age: 0-21 years | 90 | 1.7% |
| Age: 22-44 years | 674 | 12.9% |
| Age: 45-64 years | 3161 | 60.7% |
| Age: 65+ years | 1285 | 24.7% |
| Gender (Female) | 2926 | 56.2% |
| Gender (Male) | 2284 | 43.8% |
| Recorded History of: | | |
| Combined Comorbidity Score | 4.3 | 3.3 |
| Health Service Utilization Intensity: | | |
| Mean number of generic drugs | 20.6 | 16.2 |
| Mean number of unique drug classes | 0.7 | 1.5 |
| Mean number of filled prescriptions | 14.9 | 14 |
| Mean number of inpatient hospital encounters (IP) | 7 | 5.5 |
| Mean number of non-acute institutional encounters (IS) | 0.5 | 0.9 |
| Mean number of emergency room encounters (ED) | 0 | 0.2 |
| Mean number of ambulatory encounters (AV) | 2.7 | 7.1 |
| Mean number of other ambulatory encounters (OA) | 6.6 | 5 |

¹See Appendix B for the list of codes used to define exposures

²Value represents standard deviation where no % follows the value

Table 2. Baseline Characteristics of Cohort of Patients Receiving the BRAF Genetic Test¹ from January 1, 2013 to December 31, 2015

| Characteristic | BRAF | |
|--|------|------------------------|
| | N | %/Std Dev ² |
| Patients | 4907 | 100.0% |
| Patient Characteristics | | |
| Mean age (std dev) | 55.6 | 14.5 |
| Age: 0-21 years | 121 | 2.5% |
| Age: 22-44 years | 821 | 16.7% |
| Age: 45-64 years | 2919 | 59.5% |
| Age: 65+ years | 1046 | 21.3% |
| Gender (Female) | 2789 | 56.8% |
| Gender (Male) | 2118 | 43.2% |
| Recorded History of: | | |
| Combined Comorbidity Score | 3.9 | 3.3 |
| Health Service Utilization Intensity: | | |
| Mean number of generic drugs | 20 | 15.8 |
| Mean number of unique drug classes | 0.7 | 1.3 |
| Mean number of filled prescriptions | 14.6 | 13.7 |
| Mean number of inpatient hospital encounters (IP) | 6.8 | 5.4 |
| Mean number of non-acute institutional encounters (IS) | 0.5 | 0.9 |
| Mean number of emergency room encounters (ED) | 0 | 0.2 |
| Mean number of ambulatory encounters (AV) | 2.4 | 7 |
| Mean number of other ambulatory encounters (OA) | 6.4 | 4.9 |

¹See Appendix B for the list of codes used to define exposures

²Value represents standard deviation where no % follows the value

Table 3. Baseline Characteristics of Cohort of Patients Receiving the EGFR Genetic Test¹ from January 1, 2013 to December 31, 2015

| Characteristic | EGFR | |
|--|------|------------------------|
| | N | %/Std Dev ² |
| Patients | 4730 | 100.0% |
| Patient Characteristics | | |
| Mean age (std dev) | 60.4 | 12.9 |
| Age: 0-21 years | 40 | 0.8% |
| Age: 22-44 years | 408 | 8.6% |
| Age: 45-64 years | 2803 | 59.3% |
| Age: 65+ years | 1479 | 31.3% |
| Gender (Female) | 2641 | 55.8% |
| Gender (Male) | 2089 | 44.2% |
| Recorded History of: | | |
| Combined Comorbidity Score | 4.4 | 3.3 |
| Health Service Utilization Intensity: | | |
| Mean number of generic drugs | 20.5 | 15.6 |
| Mean number of unique drug classes | 0.8 | 1.4 |
| Mean number of filled prescriptions | 16 | 14.1 |
| Mean number of inpatient hospital encounters (IP) | 7.6 | 5.6 |
| Mean number of non-acute institutional encounters (IS) | 0.5 | 0.9 |
| Mean number of emergency room encounters (ED) | 0 | 0.2 |
| Mean number of ambulatory encounters (AV) | 2.5 | 7.1 |
| Mean number of other ambulatory encounters (OA) | 7.2 | 5.1 |

¹See Appendix B for the list of codes used to define exposures

²Value represents standard deviation where no % follows the value

Table 4. Baseline Characteristics of Cohort of Patients Receiving the BCR-ABL Genetic Test¹ from January 1, 2013 to December 31, 2015

| Characteristic | BCR-ABL | |
|--|---------|------------------------|
| | N | %/Std Dev ² |
| Patients | 5,360 | 100.0% |
| Patient Characteristics | | |
| Mean age (std dev) | 53.5 | 14.8 |
| Age: 0-21 years | 98 | 1.8% |
| Age: 22-44 years | 1314 | 24.5% |
| Age: 45-64 years | 2952 | 55.1% |
| Age: 65+ years | 996 | 18.6% |
| Gender (Female) | 2868 | 53.5% |
| Gender (Male) | 2492 | 46.5% |
| Recorded History of: | | |
| Combined Comorbidity Score | 2.2 | 2.9 |
| Health Service Utilization Intensity: | | |
| Mean number of generic drugs | 15.3 | 15.1 |
| Mean number of unique drug classes | 0.6 | 1.5 |
| Mean number of filled prescriptions | 15.5 | 14.7 |
| Mean number of inpatient hospital encounters (IP) | 6.5 | 5.4 |
| Mean number of non-acute institutional encounters (IS) | 0.3 | 0.8 |
| Mean number of emergency room encounters (ED) | 0 | 0.1 |
| Mean number of ambulatory encounters (AV) | 1.7 | 6.1 |
| Mean number of other ambulatory encounters (OA) | 6.2 | 5 |

¹See Appendix B for the list of codes used to define exposures

²Value represents standard deviation where no % follows the value

Table 5. Baseline Characteristics of Cohort of Patients Receiving the BRCA Genetic Test¹ from January 1, 2013 to December 31, 2015

| Characteristic | BRCA | |
|--|--------|------------------------|
| | N | %/Std Dev ² |
| Patients | 23,111 | 100.0% |
| Patient Characteristics | | |
| Mean age (std dev) | 48.4 | 11.8 |
| Age: 0-21 years | 247 | 1.1% |
| Age: 22-44 years | 8527 | 36.9% |
| Age: 45-64 years | 12992 | 56.2% |
| Age: 65+ years | 1345 | 5.8% |
| Gender (Female) | 21776 | 94.2% |
| Gender (Male) | 1335 | 5.8% |
| Recorded History of: | | |
| Combined Comorbidity Score | 1.2 | 2.3 |
| Health Service Utilization Intensity: | | |
| Mean number of generic drugs | 11.8 | 12 |
| Mean number of unique drug classes | 0.3 | 0.8 |
| Mean number of filled prescriptions | 9.7 | 11 |
| Mean number of inpatient hospital encounters (IP) | 4.5 | 4.3 |
| Mean number of non-acute institutional encounters (IS) | 0.1 | 0.5 |
| Mean number of emergency room encounters (ED) | 0 | 0.1 |
| Mean number of ambulatory encounters (AV) | 0.7 | 3.5 |
| Mean number of other ambulatory encounters (OA) | 4.3 | 4.1 |

¹See Appendix B for the list of codes used to define exposures

²Value represents standard deviation where no % follows the value

Appendix A: Latest Date of Available Data for Each Data Partner up to Request End Date (12/31/2015)

| DP ID | End Date |
|--------------|-----------------|
| DP0001 | 6/30/2015 |
| DP0002 | 4/30/2015 |
| DP0003 | 12/31/2014 |
| DP0004 | 10/31/2014 |
| DP0005 | 11/30/2015 |
| DP0006 | 2/28/2015 |
| DP0007 | 12/31/2015 |
| DP0008 | 9/30/2015 |
| DP0009 | 11/30/2015 |
| DP0010 | 7/31/2015 |
| DP0011 | 7/31/2014 |
| DP0012 | 9/30/2015 |
| DP0013 | 6/30/2015 |
| DP0014 | 10/31/2015 |

Appendix B: List of Procedure Codes used to Define Exposures in this Request

| Code | Description | Code Type |
|----------------|--|------------------|
| KRAS | | |
| 81275 | KRAS (v-Ki-ras2 Kirsten rat sarcoma viral oncogene) (eg, carcinoma) gene analysis, variants in codons 12 and 13 | CPT-4 Procedure |
| S3713 | Kras mutation analysis testing | HCPCS Procedure |
| BRAF | | |
| 81210 | BRAF (v-raf murine sarcoma viral oncogene homolog B1) (eg, colon cancer), gene analysis, V600E variant | CPT-4 Procedure |
| EGFR | | |
| 81235 | EGFR (epidermal growth factor receptor) (eg, non-small cell lung cancer) gene analysis, common variants (eg, exon 19 LREA deletion, L858R, T790M, G719A, G719S, L861Q) | CPT-4 Procedure |
| BCR-ABL | | |
| 81207 | BCR/ABL1 (t(9;22)) (eg, chronic myelogenous leukemia) translocation analysis; minor breakpoint, qualitative or quantitative | CPT-4 Procedure |
| 81206 | BCR/ABL1 (t(9;22)) (eg, chronic myelogenous leukemia) translocation analysis; major breakpoint, qualitative or quantitative | CPT-4 Procedure |
| 81208 | BCR/ABL1 (t(9;22)) (eg, chronic myelogenous leukemia) translocation analysis; other breakpoint, qualitative or quantitative | CPT-4 Procedure |
| BRCA | | |
| 81211 | BRCA1, BRCA2 (breast cancer 1 and 2) (eg, hereditary breast and ovarian cancer) gene analysis; full sequence analysis and common duplication/deletion variants in BRCA1 (ie, exon 13 del 3.835kb, exon 13 dup 6kb, exon 14-20 del 26kb, exon 22 del 510bp, exon 8-9 del 7.1kb) | CPT-4 Procedure |
| 81212 | BRCA1, BRCA2 (breast cancer 1 and 2) (eg, hereditary breast and ovarian cancer) gene analysis; 185delAG, 5385insC, 6174delT variants | CPT-4 Procedure |
| 81213 | BRCA1, BRCA2 (breast cancer 1 and 2) (eg, hereditary breast and ovarian cancer) gene analysis; uncommon duplication/deletion variants | CPT-4 Procedure |
| 81214 | BRCA1 (breast cancer 1) (eg, hereditary breast and ovarian cancer) gene analysis; full sequence analysis and common duplication/deletion variants (ie, exon 13 del 3.835kb, exon 13 dup 6kb, exon 14-20 del 26kb, exon 22 del 510bp, exon 8-9 del 7.1kb) | CPT-4 Procedure |
| 81215 | BRCA1 (breast cancer 1) (eg, hereditary breast and ovarian cancer) gene analysis; known familial variant | CPT-4 Procedure |
| 81216 | BRCA2 (breast cancer 2) (eg, hereditary breast and ovarian cancer) gene analysis; full sequence analysis | CPT-4 Procedure |
| 81217 | BRCA2 (breast cancer 2) (eg, hereditary breast and ovarian cancer) gene analysis; known familial variant | CPT-4 Procedure |
| S3818 | Complete gene sequence analysis; BRCA1 gene | HCPCS Procedure |
| S3819 | Complete gene sequence analysis; BRCA2 gene | HCPCS Procedure |
| S3820 | Complete BRCA1 and BRCA2 gene sequence analysis for susceptibility to breast and ovarian cancer | HCPCS Procedure |
| S3822 | Single mutation analysis (in individual with a known BRCA1 or BRCA2 mutation in the family) for susceptibility to breast and ovarian cancer | HCPCS Procedure |
| S3823 | Three-mutation BRCA1 and BRCA2 analysis for susceptibility to breast and ovarian cancer in Ashkenazi individuals | HCPCS Procedure |

Appendix C: Modular Program Specifications for cder_mpl1r_wp022_nsdp_v02

Sentinel's Cohort Identification and Descriptive Analysis (CIDA) tool, version 2.2.1, will be used to investigate the characteristics of individuals receiving the tests of interest. Covariates will be calculated based on a 183 Day Look-Back Period. The query period was from January 1, 2013 - December 31, 2015, and the enrollment gap was set at 45 days. Age groups were split as follows: 0-21, 22-44, 45-64, 65+. In total, 5 scenarios were examined in this request.

Enrollment Gap: 45 Days
Age Groups: 0-21, 22-44, 45-64, 65+
Query Period: January 1, 2013 - December 31, 2015
Coverage Requirement: Medical and Drug
Covariate Evaluation Window: 183 Days

| Scenario | Enrollment Requirement (days) | Drug/Exposure | | | Cohort Definition | Covariates to Consider: |
|----------|-------------------------------|-------------------|-------------------------|----------------|-------------------|---|
| | | Incident exposure | Incident w/ respect to: | Washout (days) | | |
| 1 | 183 | KRAS | KRAS | 183 | 01 | Age, Gender, Combined Comorbidity Index |
| 2 | 183 | BRAF | BRAF | 183 | 01 | Age, Gender, Combined Comorbidity Index |
| 3 | 183 | EGFR | EGFR | 183 | 01 | Age, Gender, Combined Comorbidity Index |
| 4 | 183 | BCR-ABL | BCR-ABL | 183 | 01 | Age, Gender, Combined Comorbidity Index |
| 5 | 183 | BRCA | BRCA | 183 | 01 | Age, Gender, Combined Comorbidity Index |

ICD-9, ICD-10, HCPCS, and CPT codes are provided by Optum360. NDC codes are checked against First Data Bank's "National Drug Data File (NDDF®) Plus"

Cohort Definition of 01 will only consider the first incident episode for each user during the query period that satisfies the washout period.

Disclaimer

Data obtained through Sentinel are intended to complement other types of evidence such as preclinical studies, clinical trials, postmarket studies, and adverse event reports, all of which are used by FDA to inform regulatory decisions regarding medical product safety. The information contained in this report is provided as part of FDA's commitment to place knowledge acquired from Sentinel in the public domain as soon as possible. Any public health actions taken by FDA regarding products involved in Sentinel queries will continue to be communicated through existing channels.

FDA wants to emphasize that the fact that FDA has initiated a query involving a medical product and is reporting findings related to that query does not mean that FDA is suggesting health care practitioners should change their prescribing practices for the medical product or that patients taking the medical product should stop using it. Patients who have questions about the use of an identified medical product should contact their health care practitioners.

The following report contains a description of the request, request specifications, and results from the modular program run(s).

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Overview for Request cder_mpl1r_wp031_nsdp_v01

Request ID: cder_mpl1r_wp031_nsdp_v01

Query Description: This report contains estimates of drug initiation following genetic testing among patients with relevant cancers.

Sentinel Modular Program Tool Used: Cohort Identification and Descriptive Analysis (CIDA) tool, version 2.2.1

Data Source: The query was run against the Sentinel Distributed Database (SDD) for the time period of January 1, 2013 to December 31, 2015. The request was distributed to 14 Data Partners on June 17, 2016. See Appendix A for a list of the latest dates of available data for each Data Partner.

Study Design: This request was designed to calculate exposures and outcomes. The number of qualifying patients with the exposure, event, number of eligible members, and member days were calculated overall and stratified by age group, sex, and year.

Exposures of Interest: The exposures of interest were genetic tests V-Ki-ras2 Kirsten rat sarcoma viral oncogene (KRAS), v-raf murine sarcoma viral oncogene homolog B1 (BRAF), epidermal growth factor receptor (EGFR), breakpoint cluster region-abelson (BCR-ABL), and breast cancer susceptibility gene (BRCA). These were defined using Healthcare Common Procedure Coding System (HCPCS) and Current Procedural Terminology (CPT-4) procedure codes. Please refer to Appendix B for specific codes.

Cohort Eligibility Criteria: Patients were required to be continuously enrolled in plans with both medical and drug coverage for either at least 183 days, 365 days, or 720 days before their testing date, during which gaps in coverage of up to 45 days were allowed. Half of the scenarios restricted inclusion to patients who also had the relevant cancer indication. Cancer indications were defined using International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) diagnosis codes. Please refer to Appendix C for specific codes. Members were excluded if they had the exposure of interest in 6 months (183 days) prior to the testing date. The following age groups were included in the cohort: 0-21, 22-44, 45-64, and 65+ years.

Follow-Up Time: Follow-up began on the day on which the first exposure of interest and continued until the first occurrence of any of the following: 1) disenrollment; 2) the study end date (December 31, 2015); 3) the end date of the data provided by each Data Partner (see Appendix A); 4) the end of follow-up (183 or 365 days); or 5) initiation of cancer treatment. Duration of follow-up was examined for 183 and 365 days for each genetic test. For the BRCA tests, patients were also followed for 720 days. Only the first valid incident genetic test that occurred during the study period was included per patient.

Event of Interest: The event of interest was cancer treatment (Cetuximab, Panitumumab, Trametinib, Dabrafenib, Vemurafenib, Cobimetinib, Afatinib, Erlotinib, Tagrisso, Gefitinib, Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib) which was defined using National Drug Codes (NDCs) and HCPCS. Please see Appendix D and E generic and brand names, and HCPCS procedure codes used to define events in this request..

Limitations: Algorithms to define exposures and events are imperfect and, therefore, may be misclassified.

Please see the Appendix F for the specifications of parameters used in the analyses for this request.

Notes: Please contact the Sentinel Operations Center Query Fulfillment Team (production@mini-sentinel.org) for questions and to provide comments/suggestions for future enhancements to this document.

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Glossary of Terms for Analyses Using Cohort Identification and Descriptive Analysis (CIDA) Tool*

Amount Supplied - number of units (pills, tablets, vials) dispensed. Net amount per NDC per dispensing. This is equivalent to the "RxAmt" value in the MSCDM.

Blackout Period - number of days at the beginning of a treatment episode that events are to be ignored. If an event occurs during the blackout period, the episode is excluded.

Care Setting - type of medical encounter or facility where the exposure, event, or condition code was recorded. Possible care settings include: Inpatient Hospital Stay (IP), Non-Acute Institutional Stay (IS), Emergency Department (ED), Ambulatory Visit (AV), and Other Ambulatory Visit (OA). For laboratory results, possible care settings include: Emergency department (E), Home (H), Inpatient (I), Outpatient (O), or Unknown or Missing (U). Along with the Principal Diagnosis Indicator, forms the Care Setting/PDX parameter.

Cohort Definition (drug/exposure) - indicates how the cohort will be defined: 1: Cohort includes only the first valid incident treatment episode during the query period; 2: Cohort includes all valid incident treatment episodes during the query period; 3: Cohort includes all valid incident treatment episodes during the query period until an event occurs.

Days Supplied - number of days supplied for all dispensings in qualifying treatment episodes.

Episodes - treatment episodes; length of episode is determined by days supplied in one dispensing or consecutive dispensings bridged by

Eligible Members - number of members eligible for an incident treatment episode (defined by the drug/exposure and event washout periods) with drug and medical coverage during the query period.

Years at Risk - number of days supplied plus any episode gaps and exposure extension periods all divided by 365.25.

Enrollment Gap - number of days allowed between two consecutive enrollment periods without breaking a "continuously enrolled"

Episode Gap - number of days allowed between two (or more) consecutive exposures (dispensings/procedures) to be considered the same treatment episode.

Event Deduplication - specifies how events are counted by the MP algorithm: 0: Counts all occurrences of an HOI during an exposure episode; 1: de-duplicates occurrences of the same HOI code and code type on the same day; 2: de-duplicates occurrences of the same HOI group on the same day (e.g., de-duplicates at the group level).

Exposure Extension Period - number of days post treatment period in which the outcomes/events are counted for a treatment episode.

Exposure Episode Length - number of days after exposure initiation that is considered "exposed time."

Lookback Period (pre-existing condition) - number of days wherein a member is required to have evidence of pre-existing condition (diagnosis/procedure/drug dispensing).

Member-Years - sum of all days of enrollment with medical and drug coverage** in the query period preceded by an exposure washout

Minimum Days Supplied - specifies a minimum number of days in length of the days supplied for the episode to be considered.

Minimum Episode Duration - specifies a minimum number of days in length of the episode for it to be considered.

Principal Diagnosis (PDX) - diagnosis or condition established to be chiefly responsible for admission of the patient to the hospital. 'P' = principal diagnosis, 'S' = secondary diagnosis, 'X' = unspecified diagnosis, '.' = blank. Along with the Care Setting values, forms the

Treatment Episode Truncation Indicator - indicates whether observation of the incident query code during follow-up requires truncation of valid treatment episodes. A value of Y indicates that the treatment episodes should be truncated at the first occurrence of an incident query code. A value of N indicates that the treatment episodes should not be truncated at the occurrence of the incident query code.

Users - number of members with exposure during the query period. Member must have no evidence of exposure(s) of interest (defined by incidence criteria) in the prior washout period. A user may only be counted once in a query period.

Washout Period (drug/exposure)** - number of days a user is required to have no evidence of prior exposure (drug dispensing/procedure) and continuous drug and medical coverage prior to an incident treatment episode.

Washout Period (event/outcome)** - number of days a user is required to have no evidence of a prior event (procedure/diagnosis) and continuous drug and medical coverage prior to an incident treatment episode.

*all terms may not be used in this report

**incident treatment episodes must be incident to both the exposure and the event

Table 1: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria

| | Patients Receiving Test | Percentage of Patients Tested with Colorectal / All Patients Tested | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Percentage of Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|--|-------------------------|---|---------------|---|---|------------------|---------------|--|--|
| KRAS | | | | | | | | | |
| 183-day follow-up | | | | | | | | | |
| All Patients Tested | 15,082 | | 5,716.4 | 655 | 4.34% | 63,191,881 | 94,419,105.2 | 0.24 | 1145.83 |
| Patients Tested with Colorectal Cancer | 5,786 | 38% | 2,172.6 | 576 | 9.96% | 175,507 | 139,629.2 | 32.96 | 2651.23 |
| 365-day follow-up | | | | | | | | | |
| All Patients Tested | 13,145 | | 7,621.0 | 716 | 5.45% | 50,653,633 | 81,067,435.9 | 0.26 | 939.51 |
| Patients Tested with Colorectal Cancer | 5,111 | 39% | 2,998.6 | 645 | 12.62% | 166,904 | 169,191.6 | 30.62 | 2151.02 |
| BRAF | | | | | | | | | |
| 183-day follow-up | | | | | | | | | |
| All Patients Tested | 14,276 | | 5,421.4 | 315 | 2.21% | 63,192,570 | 94,422,799.5 | 0.23 | 581.03 |
| Patients Tested with Melanoma | 2,188 | 15% | 809.9 | 253 | 11.56% | 160,016 | 96,519.4 | 13.67 | 3123.94 |
| 365-day follow-up | | | | | | | | | |
| All Patients Tested | 12,481 | | 7,221.7 | 337 | 2.70% | 50,654,778 | 81,071,781.9 | 0.25 | 466.65 |
| Patients Tested with Melanoma | 2,020 | 16% | 1,154.2 | 272 | 13.47% | 161,322 | 138,465.8 | 12.52 | 2356.60 |
| EGFR | | | | | | | | | |
| 183-day follow-up | | | | | | | | | |
| All Patients Tested | 16,388 | | 5,921.2 | 950 | 5.80% | 63,190,269 | 94,414,887.8 | 0.26 | 1604.41 |
| Patients Tested with Colorectal Cancer OR Non-Small Cell Lung Cancer | 10,557 | 64% | 3,767.1 | 785 | 7.44% | 162,279 | 110,465.4 | 65.05 | 2083.83 |
| 365-day follow-up | | | | | | | | | |
| All Patients Tested | 14,452 | | 7,737.7 | 993 | 6.87% | 50,651,807 | 81,063,002.0 | 0.29 | 1283.33 |
| Patients Tested with Colorectal Cancer OR Non-Small Cell Lung Cancer | 9,509 | 66% | 5,046.8 | 840 | 8.83% | 150,374 | 124,099.4 | 63.24 | 1664.42 |
| BCR-ABL | | | | | | | | | |
| 183-day follow-up | | | | | | | | | |
| All Patients Tested | 17,107 | | 6,714.6 | 939 | 5.49% | 63,186,225 | 94,404,382.9 | 0.27 | 1398.45 |
| Patients Tested with Leukemia | 2,685 | 16% | 909.4 | 644 | 23.99% | 97,271 | 91,262.6 | 27.60 | 7081.69 |
| 365-day follow-up | | | | | | | | | |
| All Patients Tested | 14,701 | | 9,401.1 | 784 | 5.33% | 50,648,701 | 81,054,430.4 | 0.29 | 833.95 |
| Patients Tested with Leukemia | 2,156 | 15% | 1,226.8 | 521 | 24.17% | 91,045 | 102,434.4 | 23.68 | 4246.76 |

Table 1: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria

| | Patients Receiving Test | Percentage of Patients Tested with Colorectal / All Patients Tested | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Percentage of Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|-------------------------------------|-------------------------|---|---------------|---|---|------------------|---------------|--|--|
| BRCA | | | | | | | | | |
| 183-day follow-up | | | | | | | | | |
| All Patients Tested | 90,072 | | 37,419.4 | 26 | 0.03% | 63,190,371 | 94,340,887.2 | 1.43 | 6.95 |
| Patients Tested with Ovarian Cancer | 3,850 | 4% | 1,557.1 | 20 | 0.52% | 49,448 | 37,517.6 | 77.86 | 128.44 |
| 365-day follow-up | | | | | | | | | |
| All Patients Tested | 77,129 | | 53,426.8 | 29 | 0.04% | 50,648,976 | 80,990,808.3 | 1.52 | 5.43 |
| Patients Tested with Ovarian Cancer | 3,494 | 5% | 2,276.6 | 23 | 0.66% | 47,028 | 44,337.4 | 74.30 | 101.03 |
| 720-day follow-up | | | | | | | | | |
| All Patients Tested | 58,706 | | 56,831.2 | 35 | 0.06% | 38,274,244 | 61,877,382.7 | 1.53 | 6.16 |
| Patients Tested with Ovarian Cancer | 2,809 | 5% | 2,469.6 | 29 | 1.03% | 43,829 | 47,342.1 | 64.09 | 117.43 |

¹ Cancer treatments differ for each genetic test: KRAS scenarios include Cetuximab and Panitumumab; BRAF scenarios include Trametinib, Dabrafenib, Vemurafenib, and Cobimetinib; EGFR scenarios include Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, and Gefitinib; BCR-ABL scenarios include Dasatinib, Imatinib, Bosutinib, Nilotinib, and Ponatinib; BRCA scenarios include Olaparib.



Table 2: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Age Group

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Percentage of Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|--|-------------------------|---------------|---|---|------------------|---------------|--|--|
| KRAS | | | | | | | | |
| 183-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 0-21 Years | 228 | 91.0 | 2 | 0.88% | 16,837,970 | 23,987,496.6 | 0.01 | 219.90 |
| 22-44 Years | 1,660 | 649.7 | 60 | 3.61% | 23,352,746 | 29,365,356.4 | 0.07 | 923.49 |
| 45-64 Years | 7,921 | 3,006.8 | 332 | 4.19% | 19,195,183 | 28,406,560.3 | 0.41 | 1104.16 |
| 65+ Years | 5,273 | 1,968.9 | 261 | 4.95% | 7,363,854 | 12,659,691.8 | 0.72 | 1325.62 |
| Patients Tested with Colorectal Cancer | | | | | | | | |
| 0-21 Years | 7 | 3.0 | 2 | 28.57% | 389 | 177.0 | 17.99 | 6726.52 |
| 22-44 Years | 511 | 201.3 | 52 | 10.18% | 9,901 | 6,753.3 | 51.61 | 2582.85 |
| 45-64 Years | 3,027 | 1,137.7 | 289 | 9.55% | 72,293 | 54,153.1 | 41.87 | 2540.22 |
| 65+ Years | 2,240 | 830.6 | 233 | 10.40% | 97,226 | 78,545.8 | 23.04 | 2805.28 |
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 0-21 Years | 196 | 120.4 | 2 | 1.02% | 13,538,793 | 20,362,603.3 | 0.01 | 166.13 |
| 22-44 Years | 1,385 | 842.9 | 66 | 4.77% | 17,979,544 | 24,087,134.9 | 0.08 | 783.02 |
| 45-64 Years | 6,817 | 3,972.5 | 357 | 5.24% | 15,870,616 | 24,967,862.5 | 0.43 | 898.67 |
| 65+ Years | 4,747 | 2,685.2 | 291 | 6.13% | 6,315,258 | 11,649,835.2 | 0.75 | 1083.71 |
| Patients Tested with Colorectal Cancer | | | | | | | | |
| 0-21 Years | 5 | 3.0 | 2 | 40.00% | 392 | 256.5 | 12.76 | 6592.96 |
| 22-44 Years | 442 | 279.4 | 57 | 12.90% | 8,980 | 7,448.4 | 49.22 | 2040.30 |
| 45-64 Years | 2,619 | 1,531.8 | 320 | 12.22% | 67,396 | 62,811.9 | 38.86 | 2089.02 |
| 65+ Years | 2,045 | 1,184.4 | 266 | 13.01% | 94,847 | 98,674.8 | 21.56 | 2245.95 |
| BRAF | | | | | | | | |
| 183-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 0-21 Years | 297 | 120.6 | 5 | 1.68% | 16,837,967 | 23,987,414.9 | 0.02 | 414.44 |
| 22-44 Years | 2,002 | 787.1 | 43 | 2.15% | 23,352,755 | 29,365,130.3 | 0.09 | 546.31 |
| 45-64 Years | 7,312 | 2,781.3 | 164 | 2.24% | 19,195,549 | 28,408,447.7 | 0.38 | 589.66 |
| 65+ Years | 4,665 | 1,732.4 | 103 | 2.21% | 7,364,257 | 12,661,806.6 | 0.63 | 594.56 |



Table 2: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Age Group

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Percentage of Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|--|-------------------------|---------------|---|---|------------------|---------------|--|--|
| Patients Tested with Melanoma | | | | | | | | |
| 0-21 Years | 10 | 3.4 | 2 | 20.00% | 1,366 | 670.4 | 7.32 | 5830.01 |
| 22-44 Years | 255 | 98.9 | 38 | 14.90% | 22,615 | 12,055.8 | 11.28 | 3841.44 |
| 45-64 Years | 959 | 357.2 | 127 | 13.24% | 72,869 | 42,532.3 | 13.16 | 3555.71 |
| 65+ Years | 964 | 350.4 | 86 | 8.92% | 66,633 | 41,260.9 | 14.47 | 2454.68 |
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 0-21 Years | 252 | 162.9 | 5 | 1.98% | 13,538,785 | 20,362,529.0 | 0.02 | 306.99 |
| 22-44 Years | 1,666 | 1,031.5 | 49 | 2.94% | 17,979,553 | 24,086,949.6 | 0.09 | 475.06 |
| 45-64 Years | 6,337 | 3,676.4 | 172 | 2.71% | 15,871,253 | 24,970,008.8 | 0.40 | 467.85 |
| 65+ Years | 4,226 | 2,350.9 | 111 | 2.63% | 6,315,877 | 11,652,294.5 | 0.67 | 472.15 |
| Patients Tested with Melanoma | | | | | | | | |
| 0-21 Years | 9 | 4.6 | 2 | 22.22% | 1,388 | 954.2 | 6.48 | 4387.39 |
| 22-44 Years | 228 | 140.2 | 44 | 19.30% | 22,181 | 16,518.8 | 10.28 | 3138.99 |
| 45-64 Years | 871 | 500.2 | 134 | 15.38% | 73,666 | 60,433.9 | 11.82 | 2678.72 |
| 65+ Years | 912 | 509.2 | 92 | 10.09% | 68,605 | 60,558.9 | 13.29 | 1806.63 |
| EGFR | | | | | | | | |
| 183-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 0-21 Years | 109 | 44.0 | 1 | 0.92% | 16,837,967 | 23,987,580.2 | 0.01 | 227.09 |
| 22-44 Years | 1,064 | 402.2 | 50 | 4.70% | 23,352,738 | 29,365,802.3 | 0.05 | 1243.14 |
| 45-64 Years | 7,442 | 2,707.7 | 401 | 5.39% | 19,194,496 | 28,405,742.9 | 0.39 | 1480.94 |
| 65+ Years | 7,773 | 2,767.2 | 498 | 6.41% | 7,362,897 | 12,655,762.4 | 1.06 | 1799.64 |
| Patients Tested with Colorectal Cancer OR Non-Small Cell Lung Cancer | | | | | | | | |
| 0-21 Years | 10 | 4.6 | 0 | 0.00% | 451 | 214.8 | 22.17 | 0.00 |
| 22-44 Years | 253 | 92.0 | 33 | 13.04% | 4,604 | 2,155.7 | 54.95 | 3588.88 |
| 45-64 Years | 4,113 | 1,475.6 | 315 | 7.66% | 53,750 | 32,804.9 | 76.52 | 2134.71 |
| 65+ Years | 6,181 | 2,195.0 | 437 | 7.07% | 106,440 | 75,289.9 | 58.07 | 1990.91 |



Table 2: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Age Group

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Percentage of Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|--|-------------------------|---------------|---|---|------------------|---------------|--|--|
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 0-21 Years | 89 | 53.8 | 1 | 1.12% | 13,538,796 | 20,362,679.2 | 0.01 | 185.85 |
| 22-44 Years | 882 | 502.6 | 47 | 5.33% | 17,979,550 | 24,087,508.6 | 0.05 | 935.09 |
| 45-64 Years | 6,426 | 3,463.9 | 413 | 6.43% | 15,869,841 | 24,966,974.1 | 0.40 | 1192.30 |
| 65+ Years | 7,055 | 3,717.4 | 532 | 7.54% | 6,314,144 | 11,645,840.1 | 1.12 | 1431.12 |
| Patients Tested with Colorectal Cancer OR Non-Small Cell Lung Cancer | | | | | | | | |
| 0-21 Years | 8 | 6.1 | 0 | 0.00% | 459 | 308.1 | 17.43 | 0.00 |
| 22-44 Years | 223 | 124.1 | 31 | 13.90% | 4,284 | 2,710.2 | 52.05 | 2498.46 |
| 45-64 Years | 3,607 | 1,922.7 | 338 | 9.37% | 48,519 | 35,834.8 | 74.34 | 1757.91 |
| 65+ Years | 5,671 | 2,993.9 | 471 | 8.31% | 100,275 | 85,246.3 | 56.55 | 1573.20 |
| BCR-ABL | | | | | | | | |
| 183-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 0-21 Years | 307 | 127.1 | 12 | 3.91% | 16,837,841 | 23,987,155.5 | 0.02 | 944.23 |
| 22-44 Years | 3,816 | 1,503.7 | 217 | 5.69% | 23,351,412 | 29,361,139.7 | 0.16 | 1443.14 |
| 45-64 Years | 8,334 | 3,239.7 | 464 | 5.57% | 19,192,063 | 28,400,040.8 | 0.43 | 1432.23 |
| 65+ Years | 4,650 | 1,844.2 | 246 | 5.29% | 7,362,119 | 12,656,046.9 | 0.63 | 1333.95 |
| Patients Tested with Leukemia | | | | | | | | |
| 0-21 Years | 100 | 39.0 | 9 | 9.00% | 8,937 | 8,446.4 | 11.19 | 2309.76 |
| 22-44 Years | 551 | 172.3 | 168 | 30.49% | 10,629 | 6,226.2 | 51.84 | 9750.21 |
| 45-64 Years | 1,148 | 374.8 | 311 | 27.09% | 32,391 | 26,739.8 | 35.44 | 8297.32 |
| 65+ Years | 886 | 323.3 | 156 | 17.61% | 48,057 | 49,850.1 | 18.44 | 4825.25 |
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 0-21 Years | 252 | 173.8 | 9 | 3.57% | 13,538,659 | 20,362,271.7 | 0.02 | 517.91 |
| 22-44 Years | 3,102 | 2,018.6 | 168 | 5.42% | 17,978,196 | 24,083,328.6 | 0.17 | 832.26 |
| 45-64 Years | 7,213 | 4,526.0 | 386 | 5.35% | 15,868,019 | 24,962,151.5 | 0.45 | 852.86 |
| 65+ Years | 4,134 | 2,682.8 | 221 | 5.35% | 6,313,803 | 11,646,678.6 | 0.65 | 823.78 |

Table 2: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Age Group

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Percentage of Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|-------------------------------------|-------------------------|---------------|---|---|------------------|---------------|--|--|
| Patients Tested with Leukemia | | | | | | | | |
| 0-21 Years | 80 | 53.3 | 7 | 8.75% | 8,361 | 9,644.2 | 9.57 | 1312.77 |
| 22-44 Years | 401 | 214.8 | 122 | 30.42% | 9,849 | 7,549.6 | 40.71 | 5679.10 |
| 45-64 Years | 923 | 500.8 | 251 | 27.19% | 30,229 | 29,631.6 | 30.53 | 5012.23 |
| 65+ Years | 752 | 457.9 | 141 | 18.75% | 45,528 | 55,608.9 | 16.52 | 3079.29 |
| BRCA | | | | | | | | |
| 183-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 0-21 Years | 972 | 404.4 | 0 | 0.00% | 16,837,921 | 23,986,874.8 | 0.06 | 0.00 |
| 22-44 Years | 34,040 | 14,090.9 | 2 | 0.01% | 23,351,066 | 29,333,661.9 | 1.46 | 1.42 |
| 45-64 Years | 48,492 | 20,264.9 | 18 | 0.04% | 19,191,931 | 28,361,816.8 | 2.53 | 8.88 |
| 65+ Years | 6,568 | 2,659.1 | 6 | 0.09% | 7,363,435 | 12,658,533.8 | 0.89 | 22.56 |
| Patients Tested with Ovarian Cancer | | | | | | | | |
| 0-21 Years | 7 | 2.5 | 0 | 0.00% | 592 | 400.2 | 11.82 | 0.00 |
| 22-44 Years | 491 | 197.4 | 1 | 0.20% | 7,517 | 4,399.9 | 65.32 | 50.66 |
| 45-64 Years | 2,408 | 988.6 | 14 | 0.58% | 25,084 | 18,475.1 | 96.00 | 141.62 |
| 65+ Years | 944 | 368.6 | 5 | 0.53% | 17,695 | 14,242.4 | 53.35 | 135.63 |
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 0-21 Years | 843 | 580.4 | 0 | 0.00% | 13,538,720 | 20,361,997.3 | 0.06 | 0.00 |
| 22-44 Years | 28,127 | 19,469.5 | 1 | 0.00% | 17,976,024 | 24,056,559.3 | 1.56 | 0.51 |
| 45-64 Years | 42,362 | 29,540.2 | 20 | 0.05% | 15,865,955 | 24,923,182.0 | 2.67 | 6.77 |
| 65+ Years | 5,797 | 3,836.6 | 8 | 0.14% | 6,315,039 | 11,649,069.7 | 0.92 | 20.85 |
| Patients Tested with Ovarian Cancer | | | | | | | | |
| 0-21 Years | 4 | 2.7 | 0 | 0.00% | 567 | 499.4 | 7.05 | 0.00 |
| 22-44 Years | 427 | 270.1 | 0 | 0.00% | 7,036 | 5,326.9 | 60.69 | 0.00 |
| 45-64 Years | 2,199 | 1,472.5 | 16 | 0.73% | 23,908 | 21,537.7 | 91.98 | 108.66 |
| 65+ Years | 864 | 531.2 | 7 | 0.81% | 17,108 | 16,973.5 | 50.50 | 131.77 |



Table 2: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Age Group

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Percentage of Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|-------------------------------------|-------------------------|---------------|---|---|------------------|---------------|--|--|
| 720-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 0-21 Years | 649 | 612.3 | 0 | 0.00% | 10,057,689 | 15,200,394.2 | 0.06 | 0.00 |
| 22-44 Years | 19,971 | 19,357.8 | 2 | 0.01% | 12,706,105 | 17,048,076.7 | 1.57 | 1.03 |
| 45-64 Years | 33,456 | 32,678.8 | 25 | 0.07% | 12,480,653 | 19,747,110.7 | 2.68 | 7.65 |
| 65+ Years | 4,630 | 4,182.3 | 8 | 0.17% | 5,384,672 | 9,881,801.1 | 0.86 | 19.13 |
| Patients Tested with Ovarian Cancer | | | | | | | | |
| 0-21 Years | 4 | 3.4 | 0 | 0.00% | 557 | 562.3 | 7.18 | 0.00 |
| 22-44 Years | 304 | 268.4 | 1 | 0.33% | 6,165 | 5,535.3 | 49.31 | 37.26 |
| 45-64 Years | 1,797 | 1,631.2 | 21 | 1.17% | 21,974 | 22,488.5 | 81.78 | 128.74 |
| 65+ Years | 704 | 566.6 | 7 | 0.99% | 16,841 | 18,755.9 | 41.80 | 123.55 |

¹ Cancer treatments differ for each genetic test: KRAS scenarios include Cetuximab and Panitumumab; BRAF scenarios include Trametinib, Dabrafenib, Vemurafenib, and Cobimetinib; EGRF scenarios include Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, and Gefitinib; BCR-ABL scenarios include Dasatinib, Imatinib, Bosutinib, Nilotinib, and Ponatinib; BRCA scenarios include Olaparib.



Table 3: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Sex

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|--|-------------------------|---------------|---|---|------------------|---------------|--|--|
| KRAS | | | | | | | | |
| 183-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| Female | 8,251 | 3,164.8 | 281 | 3.41% | 32,125,807 | 48,240,210.8 | 0.26 | 887.89 |
| Male | 6,827 | 2,549.9 | 374 | 5.48% | 31,063,299 | 46,175,125.3 | 0.22 | 1466.73 |
| Unknown | 4 | 1.7 | 0 | 0.00% | 2,775 | 3,769.1 | 1.44 | 0.00 |
| Patients Tested with Colorectal Cancer | | | | | | | | |
| Female | 2,637 | 994.6 | 255 | 9.67% | 85,718 | 67,887.2 | 30.76 | 2563.74 |
| Male | 3,146 | 1,176.9 | 321 | 10.20% | 89,776 | 71,733.3 | 35.04 | 2727.43 |
| Unknown | 2 | 1.0 | 0 | 0.00% | 13 | 8.7 | 153.85 | 0.00 |
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| Female | 7,198 | 4,258.2 | 316 | 4.39% | 25,794,490 | 41,512,796.3 | 0.28 | 742.09 |
| Male | 5,943 | 3,359.9 | 400 | 6.73% | 24,857,011 | 39,551,316.8 | 0.24 | 1190.51 |
| Unknown | 4 | 2.9 | 0 | 0.00% | 2,132 | 3,322.7 | 1.88 | 0.00 |
| Patients Tested with Colorectal Cancer | | | | | | | | |
| Female | 2,345 | 1,385.3 | 285 | 12.15% | 82,061 | 83,295.1 | 28.58 | 2057.25 |
| Male | 2,764 | 1,611.5 | 360 | 13.02% | 84,830 | 85,883.0 | 32.58 | 2233.99 |
| Unknown | 2 | 1.8 | 0 | 0.00% | 13 | 13.5 | 153.85 | 0.00 |
| BRAF | | | | | | | | |
| 183-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| Female | 7,917 | 3,034.0 | 117 | 1.48% | 32,125,993 | 48,241,517.9 | 0.25 | 385.63 |
| Male | 6,357 | 2,386.9 | 198 | 3.11% | 31,063,802 | 46,177,508.8 | 0.20 | 829.54 |
| Unknown | 2 | 0.5 | 0 | 0.00% | 2,775 | 3,772.8 | 0.72 | 0.00 |
| Patients Tested with Melanoma | | | | | | | | |
| Female | 817 | 303.2 | 92 | 11.26% | 75,059 | 44,394.6 | 10.88 | 3034.05 |
| Male | 1,371 | 506.7 | 161 | 11.74% | 84,952 | 52,121.7 | 16.14 | 3177.73 |
| Unknown | 0 | 0.0 | 0 | | 5 | 3.2 | 0.00 | --- |



Table 3: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Sex

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|--|-------------------------|---------------|---|---|------------------|---------------|--|--|
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| Female | 6,910 | 4,055.8 | 125 | 1.81% | 25,794,804 | 41,514,299.2 | 0.27 | 308.20 |
| Male | 5,569 | 3,165.4 | 212 | 3.81% | 24,857,842 | 39,554,155.8 | 0.22 | 669.75 |
| Unknown | 2 | 0.5 | 0 | 0.00% | 2,132 | 3,326.9 | 0.94 | 0.00 |
| Patients Tested with Melanoma | | | | | | | | |
| Female | 768 | 439.3 | 100 | 13.02% | 75,791 | 64,196.2 | 10.13 | 2276.35 |
| Male | 1,252 | 714.9 | 172 | 13.74% | 85,523 | 74,264.0 | 14.64 | 2405.91 |
| Unknown | 0 | 0.0 | 0 | | 8 | 5.6 | 0.00 | --- |
| EGFR | | | | | | | | |
| 183-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| Female | 9,040 | 3,307.5 | 552 | 6.11% | 32,124,842 | 48,237,438.9 | 0.28 | 1668.92 |
| Male | 7,345 | 2,612.5 | 398 | 5.42% | 31,062,652 | 46,173,678.8 | 0.24 | 1523.44 |
| Unknown | 3 | 1.2 | 0 | 0.00% | 2,775 | 3,770.1 | 1.08 | 0.00 |
| Patients Tested with Colorectal Cancer OR Non-Small Cell Lung Cancer | | | | | | | | |
| Female | 5,519 | 1,987.9 | 475 | 8.61% | 82,725 | 58,206.9 | 66.72 | 2389.45 |
| Male | 5,036 | 1,778.2 | 310 | 6.16% | 79,546 | 52,253.9 | 63.31 | 1743.34 |
| Unknown | 2 | 1.0 | 0 | 0.00% | 8 | 4.7 | 250.00 | 0.00 |
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| Female | 7,967 | 4,375.4 | 571 | 7.17% | 25,793,341 | 41,509,909.6 | 0.31 | 1305.02 |
| Male | 6,482 | 3,360.2 | 422 | 6.51% | 24,856,334 | 39,549,768.7 | 0.26 | 1255.88 |
| Unknown | 3 | 2.1 | 0 | 0.00% | 2,132 | 3,323.7 | 1.41 | 0.00 |
| Patients Tested with Colorectal Cancer OR Non-Small Cell Lung Cancer | | | | | | | | |
| Female | 4,974 | 2,701.2 | 499 | 10.03% | 76,927 | 65,897.7 | 64.66 | 1847.34 |
| Male | 4,533 | 2,343.7 | 341 | 7.52% | 73,438 | 58,196.9 | 61.73 | 1454.98 |
| Unknown | 2 | 1.9 | 0 | 0.00% | 9 | 4.8 | 222.22 | 0.00 |

Table 3: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Sex

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|-------------------------------------|-------------------------|---------------|---|---|------------------|---------------|--|--|
| BCR-ABL | | | | | | | | |
| <i>183-day follow-up</i> | | | | | | | | |
| All Patients Tested | | | | | | | | |
| Female | 9,627 | 3,831.5 | 394 | 4.09% | 32,123,204 | 48,232,651.5 | 0.30 | 1028.32 |
| Male | 7,478 | 2,882.5 | 545 | 7.29% | 31,060,246 | 46,167,960.0 | 0.24 | 1890.74 |
| Unknown | 2 | 0.7 | 0 | 0.00% | 2,775 | 3,771.5 | 0.72 | 0.00 |
| Patients Tested with Leukemia | | | | | | | | |
| Female | 1,195 | 411.3 | 264 | 22.09% | 44,036 | 40,447.8 | 27.14 | 6419.07 |
| Male | 1,490 | 498.1 | 380 | 25.50% | 53,230 | 50,810.7 | 27.99 | 7628.78 |
| Unknown | 0 | 0.0 | 0 | | 5 | 4.1 | 0.00 | --- |
| <i>365-day follow-up</i> | | | | | | | | |
| All Patients Tested | | | | | | | | |
| Female | 8,287 | 5,381.4 | 329 | 3.97% | 25,792,126 | 41,505,918.6 | 0.32 | 611.36 |
| Male | 6,412 | 4,018.5 | 455 | 7.10% | 24,854,443 | 39,545,186.1 | 0.26 | 1132.26 |
| Unknown | 2 | 1.1 | 0 | 0.00% | 2,132 | 3,325.6 | 0.94 | 0.00 |
| Patients Tested with Leukemia | | | | | | | | |
| Female | 961 | 555.4 | 212 | 22.06% | 41,534 | 46,147.1 | 23.14 | 3817.31 |
| Male | 1,195 | 671.5 | 309 | 25.86% | 49,506 | 56,282.6 | 24.14 | 4601.96 |
| Unknown | 0 | 0.0 | 0 | | 5 | 4.6 | 0.00 | --- |
| BRCA | | | | | | | | |
| <i>183-day follow-up</i> | | | | | | | | |
| All Patients Tested | | | | | | | | |
| Female | 85,649 | 35,711.7 | 23 | 0.03% | 32,123,556 | 48,157,994.9 | 2.67 | 6.44 |
| Male | 4,419 | 1,705.8 | 3 | 0.07% | 31,064,041 | 46,179,124.2 | 0.14 | 17.59 |
| Unknown | 4 | 1.9 | 0 | 0.00% | 2,774 | 3,768.1 | 1.44 | 0.00 |
| Patients Tested with Ovarian Cancer | | | | | | | | |
| Female | 3,847 | 1,555.9 | 20 | 0.52% | 49,034 | 37,334.7 | 78.46 | 128.54 |
| Male | 2 | 0.7 | 0 | 0.00% | 409 | 178.2 | 4.89 | 0.00 |
| Unknown | 1 | 0.5 | 0 | 0.00% | 5 | 4.7 | 200.00 | 0.00 |

Table 3: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Sex

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|-------------------------------------|-------------------------|---------------|---|---|------------------|---------------|--|--|
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| Female | 73,321 | 51,147.9 | 26 | 0.04% | 25,788,793 | 41,431,919.8 | 2.84 | 5.08 |
| Male | 3,804 | 2,275.5 | 3 | 0.08% | 24,858,051 | 39,555,566.1 | 0.15 | 13.18 |
| Unknown | 4 | 3.4 | 0 | 0.00% | 2,132 | 3,322.5 | 1.88 | 0.00 |
| Patients Tested with Ovarian Cancer | | | | | | | | |
| Female | 3,491 | 2,274.3 | 23 | 0.66% | 46,572 | 44,046.5 | 74.96 | 101.13 |
| Male | 2 | 1.2 | 0 | 0.00% | 451 | 285.1 | 4.43 | 0.00 |
| Unknown | 1 | 1.0 | 0 | 0.00% | 5 | 5.8 | 200.00 | 0.00 |
| 720-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| Female | 55,785 | 54,572.0 | 32 | 0.06% | 19,562,558 | 31,799,333.0 | 2.85 | 5.86 |
| Male | 2,918 | 2,256.1 | 3 | 0.10% | 18,710,076 | 30,075,232.7 | 0.16 | 13.30 |
| Unknown | 3 | 3.1 | 0 | 0.00% | 1,610 | 2,817.0 | 1.86 | 0.00 |
| Patients Tested with Ovarian Cancer | | | | | | | | |
| Female | 2,807 | 2,466.9 | 29 | 1.03% | 43,279 | 46,907.1 | 64.86 | 117.56 |
| Male | 1 | 1.3 | 0 | 0.00% | 544 | 427.8 | 1.84 | 0.00 |
| Unknown | 1 | 1.4 | 0 | 0.00% | 6 | 7.2 | 166.67 | 0.00 |

¹ Cancer treatments differ for each genetic test: KRAS scenarios include Cetuximab and Panitumumab; BRAF scenarios include Trametinib, Dabrafenib, Vemurafenib, and Cobimetinib; EGRF scenarios include Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, and Gefitinib; BCR-ABL scenarios include Dasatinib, Imatinib, Bosutinib, Nilotinib, and Ponatinib; BRCA scenarios include Olaparib.



Table 4: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Year

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|--|-------------------------|---------------|---|---|------------------|---------------|--|--|
| KRAS | | | | | | | | |
| <i>183-day follow-up</i> | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 2013 | 4,728 | 1,972.0 | 272 | 5.75% | 44,428,580 | 35,275,868.1 | 0.11 | 1379.28 |
| 2014 | 6,313 | 2,580.7 | 269 | 4.26% | 45,831,521 | 35,210,459.3 | 0.14 | 1042.36 |
| 2015 | 4,041 | 1,163.6 | 114 | 2.82% | 40,564,031 | 23,932,777.8 | 0.10 | 979.68 |
| Patients Tested with Colorectal Cancer | | | | | | | | |
| 2013 | 2,112 | 860.9 | 247 | 11.70% | 101,650 | 52,930.9 | 20.78 | 2869.20 |
| 2014 | 2,357 | 943.0 | 234 | 9.93% | 103,260 | 52,084.6 | 22.83 | 2481.35 |
| 2015 | 1,316 | 368.7 | 95 | 7.22% | 85,120 | 34,613.6 | 15.46 | 2576.81 |
| <i>365-day follow-up</i> | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 2013 | 4,185 | 2,963.1 | 313 | 7.48% | 36,308,724 | 30,688,325.0 | 0.12 | 1056.32 |
| 2014 | 5,343 | 3,506.4 | 298 | 5.58% | 35,617,463 | 29,697,790.4 | 0.15 | 849.88 |
| 2015 | 3,617 | 1,151.5 | 105 | 2.90% | 33,072,113 | 20,681,320.4 | 0.11 | 911.82 |
| Patients Tested with Colorectal Cancer | | | | | | | | |
| 2013 | 1,912 | 1,321.2 | 288 | 15.06% | 101,786 | 64,669.3 | 18.78 | 2179.77 |
| 2014 | 2,023 | 1,316.5 | 267 | 13.20% | 98,430 | 61,748.1 | 20.55 | 2028.15 |
| 2015 | 1,176 | 360.9 | 90 | 7.65% | 86,562 | 42,774.2 | 13.59 | 2493.97 |
| BRAF | | | | | | | | |
| <i>183-day follow-up</i> | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 2013 | 3,809 | 1,622.2 | 118 | 3.10% | 44,429,155 | 35,277,362.6 | 0.09 | 727.40 |
| 2014 | 6,025 | 2,515.4 | 130 | 2.16% | 45,832,702 | 35,212,048.7 | 0.13 | 516.81 |
| 2015 | 4,442 | 1,283.7 | 67 | 1.51% | 40,564,836 | 23,933,388.2 | 0.11 | 521.92 |
| Patients Tested with Melanoma | | | | | | | | |
| 2013 | 760 | 308.9 | 92 | 12.11% | 85,003 | 36,350.9 | 8.94 | 2978.33 |
| 2014 | 845 | 331.4 | 107 | 12.66% | 86,058 | 35,917.5 | 9.82 | 3228.78 |
| 2015 | 583 | 169.6 | 54 | 9.26% | 69,541 | 24,251.0 | 8.38 | 3184.29 |



Table 4: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Year

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|--|-------------------------|---------------|---|---|------------------|---------------|--|--|
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 2013 | 3,391 | 2,477.8 | 131 | 3.86% | 36,309,768 | 30,690,088.5 | 0.09 | 528.70 |
| 2014 | 5,109 | 3,452.1 | 139 | 2.72% | 35,618,884 | 29,699,571.8 | 0.14 | 402.65 |
| 2015 | 3,981 | 1,291.8 | 67 | 1.68% | 33,073,254 | 20,682,121.6 | 0.12 | 518.67 |
| Patients Tested with Melanoma | | | | | | | | |
| 2013 | 699 | 478.6 | 104 | 14.88% | 92,129 | 52,424.6 | 7.59 | 2173.06 |
| 2014 | 763 | 490.7 | 113 | 14.81% | 89,894 | 50,469.6 | 8.49 | 2302.81 |
| 2015 | 558 | 184.9 | 55 | 9.86% | 77,685 | 35,571.5 | 7.18 | 2974.39 |
| EGFR | | | | | | | | |
| 183-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 2013 | 5,020 | 1,972.5 | 334 | 6.65% | 44,427,209 | 35,274,234.3 | 0.11 | 1693.30 |
| 2014 | 6,923 | 2,713.0 | 385 | 5.56% | 45,830,126 | 35,208,875.8 | 0.15 | 1419.09 |
| 2015 | 4,445 | 1,235.7 | 231 | 5.20% | 40,562,910 | 23,931,777.6 | 0.11 | 1869.37 |
| Patients Tested with Colorectal Cancer OR Non-Small Cell Lung Cancer | | | | | | | | |
| 2013 | 3,670 | 1,420.7 | 289 | 7.87% | 87,768 | 41,835.0 | 41.81 | 2034.16 |
| 2014 | 4,282 | 1,636.2 | 321 | 7.50% | 88,803 | 41,196.0 | 48.22 | 1961.84 |
| 2015 | 2,605 | 710.2 | 175 | 6.72% | 71,726 | 27,434.4 | 36.32 | 2464.26 |
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 2013 | 4,508 | 2,874.4 | 385 | 8.54% | 36,307,240 | 30,686,613.7 | 0.12 | 1339.40 |
| 2014 | 5,918 | 3,626.1 | 385 | 6.51% | 35,615,967 | 29,696,180.1 | 0.17 | 1061.75 |
| 2015 | 4,026 | 1,237.2 | 223 | 5.54% | 33,070,771 | 20,680,208.2 | 0.12 | 1802.44 |
| Patients Tested with Colorectal Cancer OR Non-Small Cell Lung Cancer | | | | | | | | |
| 2013 | 3,335 | 2,069.6 | 342 | 10.25% | 84,177 | 47,114.0 | 39.62 | 1652.53 |
| 2014 | 3,754 | 2,242.5 | 326 | 8.68% | 82,007 | 45,452.7 | 45.78 | 1453.75 |
| 2015 | 2,420 | 734.7 | 172 | 7.11% | 69,958 | 31,532.7 | 34.59 | 2340.94 |



Table 4: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Year

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|-------------------------------------|-------------------------|---------------|---|---|------------------|---------------|--|--|
| BCR-ALB | | | | | | | | |
| <i>183-day follow-up</i> | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 2013 | 5,373 | 2,308.8 | 384 | 7.15% | 44,424,058 | 35,271,700.4 | 0.12 | 1663.21 |
| 2014 | 7,110 | 3,005.6 | 341 | 4.80% | 45,825,676 | 35,204,813.0 | 0.16 | 1134.53 |
| 2015 | 4,624 | 1,400.2 | 214 | 4.63% | 40,557,089 | 23,927,869.6 | 0.11 | 1528.39 |
| Patients Tested with Leukemia | | | | | | | | |
| 2013 | 1,198 | 451.1 | 273 | 22.79% | 58,567 | 33,586.2 | 20.46 | 6051.48 |
| 2014 | 883 | 300.1 | 236 | 26.73% | 59,975 | 34,143.5 | 14.72 | 7865.09 |
| 2015 | 604 | 158.2 | 135 | 22.35% | 50,993 | 23,532.9 | 11.84 | 8533.58 |
| <i>365-day follow-up</i> | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 2013 | 4,699 | 3,677.8 | 337 | 7.17% | 36,304,979 | 30,684,671.9 | 0.13 | 916.32 |
| 2014 | 6,016 | 4,339.9 | 273 | 4.54% | 35,612,594 | 29,692,845.3 | 0.17 | 629.04 |
| 2015 | 3,986 | 1,383.4 | 174 | 4.37% | 33,066,051 | 20,676,913.2 | 0.12 | 1257.78 |
| Patients Tested with Leukemia | | | | | | | | |
| 2013 | 1,013 | 689.3 | 237 | 23.40% | 56,784 | 38,134.7 | 17.84 | 3438.49 |
| 2014 | 695 | 405.5 | 183 | 26.33% | 55,576 | 37,515.9 | 12.51 | 4513.00 |
| 2015 | 448 | 132.1 | 101 | 22.54% | 50,061 | 26,783.8 | 8.95 | 7647.71 |
| BRCA | | | | | | | | |
| <i>183-day follow-up</i> | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 2013 | 32,042 | 14,747.9 | 0 | 0.00% | 44,427,624 | 35,262,365.6 | 0.72 | 0.00 |
| 2014 | 34,721 | 15,779.5 | 7 | 0.02% | 45,808,125 | 35,177,231.0 | 0.76 | 4.44 |
| 2015 | 23,309 | 6,892.0 | 19 | 0.08% | 40,523,141 | 23,901,290.6 | 0.58 | 27.57 |
| Patients Tested with Ovarian Cancer | | | | | | | | |
| 2013 | 1,268 | 576.9 | 0 | 0.00% | 29,203 | 15,023.4 | 43.42 | 0.00 |
| 2014 | 1,488 | 661.1 | 4 | 0.27% | 28,135 | 13,799.0 | 52.89 | 60.50 |
| 2015 | 1,094 | 319.1 | 16 | 1.46% | 21,895 | 8,695.2 | 49.97 | 501.43 |



Table 4: Summary of Genetic Testing and Subsequent Cancer Treatment in the Sentinel Distributed Database between January 1, 2013 and December 31, 2015, by Follow-up Period and Inclusion Criteria and Year

| | Patients Receiving Test | Years at Risk | Patients Receiving Cancer Treatment ¹ within Follow-up | Patients Receiving Cancer Treatment / Patients Tested | Eligible Members | Member- Years | Patients Receiving Tests / 1K Eligible Members | Patients Receiving Cancer Treatments / 10K Years at Risk |
|-------------------------------------|-------------------------|---------------|---|---|------------------|---------------|--|--|
| 365-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 2013 | 27,853 | 23,702.6 | 0 | 0.00% | 36,306,350 | 30,671,002.6 | 0.77 | 0.00 |
| 2014 | 29,119 | 23,019.4 | 11 | 0.04% | 35,595,788 | 29,667,268.4 | 0.82 | 4.78 |
| 2015 | 20,157 | 6,704.8 | 18 | 0.09% | 33,035,398 | 20,652,537.3 | 0.61 | 26.85 |
| Patients Tested with Ovarian Cancer | | | | | | | | |
| 2013 | 1,173 | 973.4 | 0 | 0.00% | 29,080 | 17,822.5 | 40.34 | 0.00 |
| 2014 | 1,289 | 971.6 | 8 | 0.62% | 26,511 | 15,987.4 | 48.62 | 82.34 |
| 2015 | 1,032 | 331.6 | 15 | 1.45% | 22,023 | 10,527.5 | 46.86 | 452.41 |
| 720-day follow-up | | | | | | | | |
| All Patients Tested | | | | | | | | |
| 2013 | 20,945 | 30,010.2 | 8 | 0.04% | 29,687,740 | 23,079,937.7 | 0.71 | 2.67 |
| 2014 | 22,829 | 21,843.6 | 14 | 0.06% | 29,033,950 | 23,245,395.5 | 0.79 | 6.41 |
| 2015 | 14,932 | 4,977.4 | 13 | 0.09% | 24,738,209 | 15,552,049.4 | 0.60 | 26.12 |
| Patients Tested with Ovarian Cancer | | | | | | | | |
| 2013 | 928 | 1,247.1 | 8 | 0.86% | 29,889 | 18,766.9 | 31.05 | 64.15 |
| 2014 | 1,070 | 954.8 | 11 | 1.03% | 27,420 | 17,468.0 | 39.02 | 115.21 |
| 2015 | 811 | 267.7 | 10 | 1.23% | 21,372 | 11,107.2 | 37.95 | 373.60 |

¹ Cancer treatments differ for each genetic test: KRAS scenarios include Cetuximab and Panitumumab; BRAF scenarios include Trametinib, Dabrafenib, Vemurafenib, and Cobimetinib; EGRF scenarios include Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, and Gefitinib; BCR-ABL scenarios include Dasatinib, Imatinib, Bosutinib, Nilotinib, and Ponatinib; BRCA scenarios include Olaparib.

Appendix A: Latest Date of Available Data for Each Data Partner up to Request End Date (5/15/2016)

| DP ID | End Date |
|--------------|-----------------|
| DP0001 | 6/30/2015 |
| DP0002 | 4/30/2015 |
| DP0003 | 12/31/2014 |
| DP0004 | 10/31/2014 |
| DP0005 | 11/30/2015 |
| DP0006 | 2/28/2015 |
| DP0007 | 12/31/2015 |
| DP0008 | 9/30/2015 |
| DP0009 | 11/30/2015 |
| DP0010 | 7/31/2015 |
| DP0011 | 7/31/2014 |
| DP0012 | 9/30/2015 |
| DP0013 | 6/30/2015 |
| DP0014 | 10/31/2015 |

Appendix B: List of Procedure Codes used to Define Exposures in this Request

| Code | Description | Code Type |
|----------------|--|-----------------|
| KRAS | | |
| 81275 | KRAS (v-Ki-ras2 Kirsten rat sarcoma viral oncogene) (eg, carcinoma) gene analysis, variants in codons 12 and 13 | CPT-4 Procedure |
| S3713 | Kras mutation analysis testing | HCPCS Procedure |
| BRAF | | |
| 81210 | BRAF (v-raf murine sarcoma viral oncogene homolog B1) (eg, colon cancer), gene analysis, V600E variant | CPT-4 Procedure |
| EGFR | | |
| 81235 | EGFR (epidermal growth factor receptor) (eg, non-small cell lung cancer) gene analysis, common variants (eg, exon 19 LREA deletion, L858R, T790M, G719A, G719S, L861Q) | CPT-4 Procedure |
| BCR-ABL | | |
| 81207 | BCR/ABL1 (t(9;22)) (eg, chronic myelogenous leukemia) translocation analysis; minor breakpoint, qualitative or quantitative | CPT-4 Procedure |
| 81206 | BCR/ABL1 (t(9;22)) (eg, chronic myelogenous leukemia) translocation analysis; major breakpoint, qualitative or quantitative | CPT-4 Procedure |
| 81208 | BCR/ABL1 (t(9;22)) (eg, chronic myelogenous leukemia) translocation analysis; other breakpoint, qualitative or quantitative | CPT-4 Procedure |
| BRCA | | |
| 81211 | BRCA1, BRCA2 (breast cancer 1 and 2) (eg, hereditary breast and ovarian cancer) gene analysis; full sequence analysis and common duplication/deletion variants in BRCA1 (ie, exon 13 del 3.835kb, exon 13 dup 6kb, exon 14-20 del 26kb, exon 22 del 510bp, exon 8-9 del 7.1kb) | CPT-4 Procedure |
| 81212 | BRCA1, BRCA2 (breast cancer 1 and 2) (eg, hereditary breast and ovarian cancer) gene analysis; 185delAG, 5385insC, 6174delT variants | CPT-4 Procedure |
| 81213 | BRCA1, BRCA2 (breast cancer 1 and 2) (eg, hereditary breast and ovarian cancer) gene analysis; uncommon duplication/deletion variants | CPT-4 Procedure |
| 81214 | BRCA1 (breast cancer 1) (eg, hereditary breast and ovarian cancer) gene analysis; full sequence analysis and common duplication/deletion variants (ie, exon 13 del 3.835kb, exon 13 dup 6kb, exon 14-20 del 26kb, exon 22 del 510bp, exon 8-9 del 7.1kb) | CPT-4 Procedure |
| 81215 | BRCA1 (breast cancer 1) (eg, hereditary breast and ovarian cancer) gene analysis; known familial variant | CPT-4 Procedure |
| 81216 | BRCA2 (breast cancer 2) (eg, hereditary breast and ovarian cancer) gene analysis; full sequence analysis | CPT-4 Procedure |
| 81217 | BRCA2 (breast cancer 2) (eg, hereditary breast and ovarian cancer) gene analysis; known familial variant | CPT-4 Procedure |
| S3818 | Complete gene sequence analysis; BRCA1 gene | HCPCS Procedure |
| S3819 | Complete gene sequence analysis; BRCA2 gene | HCPCS Procedure |
| S3820 | Complete BRCA1 and BRCA2 gene sequence analysis for susceptibility to breast and ovarian cancer | HCPCS Procedure |
| S3822 | Single mutation analysis (in individual with a known BRCA1 or BRCA2 mutation in the family) for susceptibility to breast and ovarian cancer | HCPCS Procedure |
| S3823 | Three-mutation BRCA1 and BRCA2 analysis for susceptibility to breast and ovarian cancer in Ashkenazi individuals | HCPCS Procedure |

Appendix C: List of ICD-9 Diagnosis Codes used to Define Inclusion Criteria in this Request

| Code | Description |
|--------------------------|--|
| Colorectal Cancer | |
| 153 | Malignant neoplasm of colon |
| 153.1 | Malignant neoplasm of transverse colon |
| 153.2 | Malignant neoplasm of descending colon |
| 153.3 | Malignant neoplasm of sigmoid colon |
| 153.6 | Malignant neoplasm of ascending colon |
| 153.9 | Malignant neoplasm of colon, unspecified site |
| 154 | Malignant neoplasm of rectum, rectosigmoid |
| 154.1 | Malignant neoplasm of rectum |
| 154.8 | Malignant neoplasm of other sites of rectum, |
| 230.3 | Carcinoma in situ of colon |
| 230.4 | Carcinoma in situ of rectum |
| Melanoma | |
| 172 | Malignant melanoma of skin |
| 172.5 | Malignant melanoma of skin of trunk, except scrotum |
| 172.3 | Malignant melanoma of skin of other and unspecified parts of face |
| 172.8 | Malignant melanoma of other specified sites of skin |
| 172.2 | Malignant melanoma of skin of ear and external auditory canal |
| 172.6 | Malignant melanoma of skin of upper limb, including shoulder |
| 172.4 | Malignant melanoma of skin of scalp and neck |
| 172.1 | Malignant melanoma of skin of eyelid, including canthus |
| 172.9 | Melanoma of skin, site unspecified |
| 172.0 | Malignant melanoma of skin of lip |
| 172.7 | Malignant melanoma of skin of lower limb, including hip |
| Lung Cancer | |
| 162 | Malignant neoplasm of trachea, bronchus, and lung |
| 162.3 | Malignant neoplasm of upper lobe, bronchus, or lung |
| 162.4 | Malignant neoplasm of middle lobe, bronchus, or lung |
| 162.5 | Malignant neoplasm of lower lobe, bronchus, or lung |
| 162.8 | Malignant neoplasm of other parts of bronchus or lung |
| 162.9 | Malignant neoplasm of bronchus and lung, unspecified site |
| 231.2 | Carcinoma in situ of bronchus and lung |
| Leukemia | |
| 204 | Lymphoid leukemia |
| 204.0 | Acute lymphoid leukemia |
| 204.00 | Acute lymphoid leukemia, without mention of having achieved remission |
| 204.01 | Acute lymphoid leukemia in remission |
| 204.02 | Acute lymphoid leukemia, in relapse |
| 204.1 | Chronic lymphoid leukemia |
| 204.10 | Chronic lymphoid leukemia, without mention of having achieved remission |
| 204.11 | Chronic lymphoid leukemia in remission |
| 204.12 | Chronic lymphoid leukemia, in relapse |
| 204.2 | Subacute lymphoid leukemia |
| 204.20 | Subacute lymphoid leukemia, without mention of having achieved remission |
| 204.21 | Subacute lymphoid leukemia in remission |
| 204.22 | Subacute lymphoid leukemia, in relapse |
| 204.8 | Other lymphoid leukemia |
| 204.80 | Other lymphoid leukemia, without mention of having achieved remission |
| 204.81 | Other lymphoid leukemia in remission |
| 204.82 | Other lymphoid leukemia, in relapse |

204.9 Unspecified lymphoid leukemia
204.90 Unspecified lymphoid leukemia, without mention of having achieved remission
204.91 Unspecified lymphoid leukemia in remission
204.92 Unspecified lymphoid leukemia, in relapse
205 Myeloid leukemia
205.0 Acute myeloid leukemia
205.00 Acute myeloid leukemia, without mention of having achieved remission
205.01 Acute myeloid leukemia in remission
205.02 Acute myeloid leukemia, in relapse
205.1 Chronic myeloid leukemia
205.10 Chronic myeloid leukemia, without mention of having achieved remission
205.11 Chronic myeloid leukemia in remission
205.12 Chronic myeloid leukemia, in relapse
205.2 Subacute myeloid leukemia
205.20 Subacute myeloid leukemia, without mention of having achieved remission
205.21 Subacute myeloid leukemia in remission
205.22 Subacute myeloid leukemia, in relapse
205.8 Other myeloid leukemia
205.80 Other myeloid leukemia, without mention of having achieved remission
205.81 Other myeloid leukemia in remission
205.82 Other myeloid leukemia, in relapse
205.9 Unspecified myeloid leukemia
205.90 Unspecified myeloid leukemia, without mention of having achieved remission
205.91 Unspecified myeloid leukemia in remission
205.92 Unspecified myeloid leukemia, in relapse
206 Monocytic leukemia
206.0 Acute monocytic leukemia
206.00 Acute monocytic leukemia, without mention of having achieved remission
206.01 Acute monocytic leukemia in remission
206.02 Acute monocytic leukemia, in relapse
206.1 Chronic monocytic leukemia
206.10 Chronic monocytic leukemia, without mention of having achieved remission
206.11 Chronic monocytic leukemia in remission
206.12 Chronic monocytic leukemia, in relapse
206.2 Subacute monocytic leukemia
206.20 Subacute monocytic leukemia, without mention of having achieved remission
206.21 Subacute monocytic leukemia in remission
206.22 Subacute monocytic leukemia, in relapse
206.8 Other monocytic leukemia
206.80 Other monocytic leukemia, without mention of having achieved remission
206.81 Other monocytic leukemia in remission
206.82 Other monocytic leukemia, in relapse
206.9 Unspecified monocytic leukemia
206.90 Unspecified monocytic leukemia, without mention of having achieved remission
206.91 Unspecified monocytic leukemia in remission
206.92 Unspecified monocytic leukemia, in relapse
207 Other specified leukemia
207.0 Acute erythremia and erythroleukemia
207.00 Acute erythremia and erythroleukemia, without mention of having achieved remission
207.01 Acute erythremia and erythroleukemia in remission
207.02 Acute erythremia and erythroleukemia, in relapse
207.2 Megakaryocytic leukemia
207.20 Megakaryocytic leukemia, without mention of having achieved remission
207.21 Megakaryocytic leukemia in remission

| | |
|--------|--|
| 207.22 | Megakaryocytic leukemia, in relapse |
| 207.8 | Other specified leukemia |
| 207.80 | Other specified leukemia, without mention of having achieved remission |
| 207.81 | Other specified leukemia in remission |
| 207.82 | Other specified leukemia, in relapse |
| 208 | Leukemia of unspecified cell type |
| 208.0 | Acute leukemia of unspecified cell type |
| 208.00 | Acute leukemia of unspecified cell type, without mention of having achieved remission |
| 208.01 | Acute leukemia of unspecified cell type in remission |
| 208.02 | Acute leukemia of unspecified cell type, in relapse |
| 208.1 | Chronic leukemia of unspecified cell type |
| 208.10 | Chronic leukemia of unspecified cell type, without mention of having achieved remission |
| 208.11 | Chronic leukemia of unspecified cell type in remission |
| 208.12 | Chronic leukemia of unspecified cell type, in relapse |
| 208.2 | Subacute leukemia of unspecified cell type |
| 208.20 | Subacute leukemia of unspecified cell type, without mention of having achieved remission |
| 208.21 | Subacute leukemia of unspecified cell type in remission |
| 208.22 | Subacute leukemia of unspecified cell type, in relapse |
| 208.8 | Other leukemia of unspecified cell type |
| 208.80 | Other leukemia of unspecified cell type, without mention of having achieved remission |
| 208.81 | Other leukemia of unspecified cell type in remission |
| 208.82 | Other leukemia of unspecified cell type, in relapse |
| 208.9 | Unspecified leukemia |
| 208.90 | Unspecified leukemia, without mention of having achieved remission |
| 208.91 | Unspecified leukemia in remission |
| 208.92 | Unspecified leukemia, in relapse |

Ovarian Cancer

| | |
|-------|--|
| 183 | Malignant neoplasm of ovary and other uterine adnexa |
| 183.0 | Malignant neoplasm of ovary |

Appendix D: Generic and Brand Names used to Define Events in this Request

| Generic Name | Brand Name |
|-------------------------------|-------------------|
| CETUXIMAB | Erbitux |
| PANITUMUMAB | Vectibix |
| COBIMETINIB FUMARATE | Cotellic |
| TRAMETINIB DIMETHYL SULFOXIDE | Mekinist |
| DABRAFENIB MESYLATE | Tafinlar |
| VEMURAFENIB | Zelboraf |
| AFATINIB DIMALEATE | Gilotrif |
| GEFITINIB | Iressa |
| OSIMERTINIB MESYLATE | Tagrisso |
| ERLOTINIB HCL | Tarceva |
| BOSUTINIB | Bosulif |
| IMATINIB MESYLATE | Gleevec |
| PONATINIB HCL | Iclusig |
| DASATINIB | Sprycel |
| NILOTINIB HCL | Tasigna |
| OLAPARIB | Lynparza |

Appendix E: List of Procedure Codes used to Define Events in this Request

| Code | Description | Code Type |
|---------------------------|---------------------------------|------------------|
| KRAS Drug Pairs | | |
| J9055 | Injection, cetuximab, 10 mg | HCPCS Procedure |
| C9235 | Injection, panitumumab, 10 mg | HCPCS Procedure |
| C9215 | Injection, cetuximab, per 10 mg | HCPCS Procedure |
| J9303 | Injection, panitumumab, 10 mg | HCPCS Procedure |
| EGFR Drug Pairs | | |
| J9055 | Injection, cetuximab, 10 mg | HCPCS Procedure |
| C9235 | Injection, panitumumab, 10 mg | HCPCS Procedure |
| C9215 | Injection, cetuximab, per 10 mg | HCPCS Procedure |
| J9303 | Injection, panitumumab, 10 mg | HCPCS Procedure |
| J8565 | Gefitinib, oral, 250 mg | HCPCS Procedure |
| BCR-ABL Drug Pairs | | |
| S0088 | Imatinib, 100 mg | HCPCS Procedure |

Appendix F: Modular Program Specifications for cder_mpl1r_wp031_nsdp_v01

The Cohort Identification and Descriptive Analysis (CIDA) tool, version 2.2.1, will assess the rates of drug initiation within 183 or 365 days after testing among individuals who received tests of interest (among those with relevant cancers). The query period was from January 1, 2013 - Current, and the enrollment gap was set at 45 days. Age groups were split as follows: 0-21, 22-44, 45-64, 65+. In total, 22 scenarios were examined in this request.

Enrollment Gap: 45 Days
Age Groups: 0-21, 22-44, 45-64, 65+
Query Period: January 1, 2013 - Current
Coverage Requirement: Medical and Drug

| Drug/Exposure | | | | | | | | | | Inclusion/Exclusion | | | | | Event/Outcome | | | | | | |
|---------------|-------------------|-------------------------|----------------|-------------------|--------------|---------------------------|---------------------------|----------------------|-------------------|---|-----------------|----------------|--------------|--------------|--|-------------------|---|---|----------------|-----------------|--|
| Scenario | Incident exposure | Incident w/ respect to: | Washout (days) | Cohort Definition | Exposure Gap | Exposure Extension Period | Follow up Duration (Days) | Min Episode Duration | Min Days Supplied | Criteria | Include/Exclude | Lookback Start | Lookback End | Care Setting | Event/ Outcome | Care Setting/P DX | Incident with Respect to | Incident w/ respect to Care Setting/PDX | Washout (days) | Blackout Period | |
| | | | | | | | | | | | | | | | | | | | | | |
| 1 | KRAS | KRAS | 183 | 01 | 0 | 0 | 183 | 0 | 0 | Colorectal Cancer | Include | -183 | 0 | Any | Cetuximab, Panitumumab | Any | Cetuximab, Panitumumab | Any | 183 | 0 | |
| 2 | KRAS | KRAS | 365 | 01 | 0 | 0 | 365 | 0 | 0 | Colorectal Cancer | Include | -365 | 0 | Any | Cetuximab, Panitumumab | Any | Cetuximab, Panitumumab | Any | 365 | 0 | |
| 3 | KRAS | KRAS | 183 | 01 | 0 | 0 | 183 | 0 | 0 | N/A | N/A | N/A | N/A | N/A | Cetuximab, Panitumumab | Any | Cetuximab, Panitumumab | Any | 183 | 0 | |
| 4 | KRAS | KRAS | 365 | 01 | 0 | 0 | 365 | 0 | 0 | N/A | N/A | N/A | N/A | N/A | Cetuximab, Panitumumab | Any | Cetuximab, Panitumumab | Any | 365 | 0 | |
| 5 | BRAF | BRAF | 183 | 01 | 0 | 0 | 183 | 0 | 0 | Metastatic Melanoma | Include | -183 | 0 | Any | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Any | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Any | 183 | 0 | |
| 6 | BRAF | BRAF | 365 | 01 | 0 | 0 | 365 | 0 | 0 | Metastatic Melanoma | Include | -365 | 0 | Any | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Any | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Any | 365 | 0 | |
| 7 | BRAF | BRAF | 183 | 01 | 0 | 0 | 183 | 0 | 0 | N/A | N/A | N/A | N/A | N/A | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Any | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Any | 183 | 0 | |
| 8 | BRAF | BRAF | 365 | 01 | 0 | 0 | 365 | 0 | 0 | N/A | N/A | N/A | N/A | N/A | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Any | Trametinib, Dabrafenib, Vemurafenib, Cobimetinib | Any | 365 | 0 | |
| 9 | EGFR | EGFR | 183 | 01 | 0 | 0 | 183 | 0 | 0 | Colorectal Cancer OR Non-Small Cell Lung Cancer | Include | -183 | 0 | Any | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | Any | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib, Targresso | Any | 183 | 0 | |
| 10 | EGFR | EGFR | 365 | 01 | 0 | 0 | 365 | 0 | 0 | Colorectal Cancer OR Non-Small Cell Lung Cancer | Include | -365 | 0 | Any | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | Any | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib, Targresso | Any | 365 | 0 | |
| 11 | EGFR | EGFR | 183 | 01 | 0 | 0 | 183 | 0 | 0 | N/A | N/A | N/A | N/A | N/A | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | Any | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib, Targresso | Any | 183 | 0 | |
| 12 | EGFR | EGFR | 365 | 01 | 0 | 0 | 365 | 0 | 0 | N/A | N/A | N/A | N/A | N/A | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib | Any | Cetuximab, Panitumumab, Afatinib, Erlotinib, Tagrisso, Gefitinib, Targresso | Any | 365 | 0 | |
| 13 | BCR-ABL | BCR-ABL | 183 | 01 | 0 | 0 | 183 | 0 | 0 | Leukemia | Include | -183 | 0 | Any | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Any | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Any | 183 | 0 | |
| 14 | BCR-ABL | BCR-ABL | 365 | 01 | 0 | 0 | 365 | 0 | 0 | Leukemia | Include | -365 | 0 | Any | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Any | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Any | 365 | 0 | |
| 15 | BCR-ABL | BCR-ABL | 183 | 01 | 0 | 0 | 183 | 0 | 0 | N/A | N/A | N/A | N/A | N/A | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Any | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Any | 183 | 0 | |
| 16 | BCR-ABL | BCR-ABL | 365 | 01 | 0 | 0 | 365 | 0 | 0 | N/A | N/A | N/A | N/A | N/A | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Any | Dasatinib, Imatinib, Bosutinib, Nilotinib, Ponatinib | Any | 365 | 0 | |
| 17 | BRCA | BRCA | 183 | 01 | 0 | 0 | 183 | 0 | 0 | Ovarian Cancer | Include | -183 | 0 | Any | Olaparib | Any | Olaparib | Any | 183 | 0 | |
| 18 | BRCA | BRCA | 365 | 01 | 0 | 0 | 365 | 0 | 0 | Ovarian Cancer | Include | -365 | 0 | Any | Olaparib | Any | Olaparib | Any | 365 | 0 | |
| 19 | BRCA | BRCA | 720 | 01 | 0 | 0 | 720 | 0 | 0 | Ovarian Cancer | Include | -720 | 0 | Any | Olaparib | Any | Olaparib | Any | 720 | 0 | |
| 20 | BRCA | BRCA | 183 | 01 | 0 | 0 | 183 | 0 | 0 | N/A | N/A | N/A | N/A | N/A | Olaparib | Any | Olaparib | Any | 183 | 0 | |
| 21 | BRCA | BRCA | 365 | 01 | 0 | 0 | 365 | 0 | 0 | N/A | N/A | N/A | N/A | N/A | Olaparib | Any | Olaparib | Any | 365 | 0 | |
| 22 | BRCA | BRCA | 720 | 01 | 0 | 0 | 720 | 0 | 0 | N/A | N/A | N/A | N/A | N/A | Olaparib | Any | Olaparib | Any | 720 | 0 | |

ICD-9, ICD-10, HCPCS, and CPT codes are provided by Optum360. NDC codes are checked against First Data Bank's "National Drug Data File (NDDF) Plus"

Cohort Definition of 01 will only consider the first incident episode for each user during the query period that satisfies the washout period.

Note: Episode is automatically truncated at outcome.