

# **SENTINEL MODULAR PROGRAMS**

## **Querying Tools: Overview of Functionality and Technical Documentation**

**Prepared by the Sentinel Operations Center**

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Sentinel is sponsored by the U.S. Food and Drug Administration (FDA) to monitor the safety of FDA-regulated medical products. Sentinel is one piece of the Sentinel Initiative, a multi-faceted effort by the FDA to develop a national electronic system that complements previously existing methods of safety surveillance. Sentinel Collaborators include Data and Academic Partners that provide access to health care data and ongoing scientific, technical, methodological, and organizational expertise. The Sentinel Coordinating Center is funded by the FDA through the Department of Health and Human Services (HHS) Contract number HHSF223201400030I. This project was funded by the FDA through HHS Mini-Sentinel contract number HHSF223200910006I.

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## Modification History

Version	Date	Modification	By
5.4.1	05/22/2018	<ul style="list-style-type: none"> <li>Upversioned due to bug fix</li> </ul>	Sentinel Operations Center
5.4.0	05/16/2018	<ul style="list-style-type: none"> <li>Added the ability to optionally output the distribution of codes associated with index events for all analysis types</li> <li>Multiple enhancements to Type 4 pregnancy analyses, including 1) allowing users to include first or last only medical product use episodes during pregnancy; 2) “blackout” medical product exposures that occur within specified time period during pregnancy; 3) raw and adjusted code counts; 4) ability to define pre-pregnancy period instead of 90 day default.</li> <li>Replaced outputs for Dispensings with Adjusted code counts and Raw code counts for Types 1, 2, 5</li> </ul>	Sentinel Operations Center
5.3.1	04/18/2018	<ul style="list-style-type: none"> <li>Altered Type 5 output to provide episode length by individual episode (EpisodeLength), as well as cumulatively across all episodes (CumEpisodeLength)</li> <li>Restricted the PS distribution to the current look</li> </ul>	Sentinel Operations Center
5.3.0	03/30/2018	<ul style="list-style-type: none"> <li>Added ability to create a never-exposed cohort in a Type 2 request</li> <li>Added ability to use never-exposed cohort as the comparator in a propensity score analysis</li> <li>Added ability to perform multiple factor matching</li> </ul>	Sentinel Operations Center
5.2.1	02/02/2018	<ul style="list-style-type: none"> <li>Removed default requirement for post-index enrollment criteria when cohort exclusion, covariate, most frequent utilization, and high-dimensional propensity score evaluation windows extend beyond the index date. Post-index enrollment requirements must now be specified by the requester.</li> </ul>	Sentinel Operations Center
5.2.0	01/25/2018	<ul style="list-style-type: none"> <li>Added ability to create report for Type 1 and Type 2 requests</li> <li>Redesigned parameter definitions for comorbidity score</li> <li>Added ability to count multiple occurrences of codes in inclusion/exclusion and covariate criteria</li> </ul>	Sentinel Operations Center
5.1.2	12/12/2017	<ul style="list-style-type: none"> <li>Upversioned due to bug fix</li> </ul>	Sentinel Operations Center
5.1.1	12/07/2017	<ul style="list-style-type: none"> <li>Restricted covariate codes to valid enrollment spans</li> </ul>	Sentinel Operations Center

Version	Date	Modification	By
5.1.0	11/20/2017	<ul style="list-style-type: none"> <li>Added the ability to output strata by regions</li> <li>Added two new parameters to the Geography Lookup Table (formerly known as “Zip Code Lookup Table”)</li> <li>Updated QRP with new ICD-10 inclusive pregnancy algorithm</li> </ul>	Sentinel Operations Center
5.0.5	10/17/2017	<ul style="list-style-type: none"> <li>Upversioned due to bug fix</li> </ul>	Sentinel Operations Center
5.0.4	10/16/2017	<ul style="list-style-type: none"> <li>Upversioned due to bug fix</li> </ul>	Sentinel Operations Center
5.0.3	09/25/2017	<ul style="list-style-type: none"> <li>Upversioned due to bug fix</li> </ul>	Sentinel Operations Center
5.0.2	09/18/2017	<ul style="list-style-type: none"> <li>Upversioned due to bug fix</li> </ul>	Sentinel Operations Center
5.0.1	09/14/2017	<ul style="list-style-type: none"> <li>Upversioned due to bug fix</li> </ul>	Sentinel Operations Center
5.0.0	08/21/2017	<ul style="list-style-type: none"> <li>Integrated MP7 and MP8 into QRP</li> <li>Made Type 2 and Type 4 consistent with Type 5</li> </ul>	Sentinel Operations Center
4.1.3	07/13/2017	<ul style="list-style-type: none"> <li>Upversioned due to bug fix</li> </ul>	Sentinel Operations Center
4.1.2	07/12/2017	<ul style="list-style-type: none"> <li>Upversioned due to bug fix</li> </ul>	Sentinel Operations Center
4.1.1	07/05/2017	<ul style="list-style-type: none"> <li>Upversioned due to bug fix</li> </ul>	Sentinel Operations Center
4.1.0	06/26/2017	<ul style="list-style-type: none"> <li>Added the ability to allow subgroup analyses by RACE or HISPANIC</li> <li>Added YEAR values to Table 1 output</li> <li>AGESTRAT values can now be specified by scenario (vary across GROUP values)</li> <li>Enabled the creation of PS distributions locally</li> </ul>	Sentinel Operations Center

Version	Date	Modification	By
4.0.0	05/23/2017	<ul style="list-style-type: none"> <li>Made SEX, RACE, HISPANIC, ENRDAYSFT available to all Types</li> <li>Moved ENRDAYSFLOOR parameter from COHORTFILE to the TYPE4FILE</li> <li>Moved GEOG parameter from COHORTFILE to Type 1 and Type 2 files</li> <li>Renamed SubGroup input parameter to "StockGroup" and RawSubGroup to "RawStockGroup" in the combo tool</li> <li>Removed concept of "categories" for Type 4 analyses</li> <li>Redesigned Type 4 output</li> <li>Removed PDXSupplyFunc</li> <li>Covariates are now able to be defined within the Combo Tool</li> <li>CARESETTINGPRINCIPAL allowable values updated</li> <li>New section titled "Creation and Retention of First Valid Episodes" added</li> </ul>	Sentinel Operations Center
3.3.6	03/02/2017	<ul style="list-style-type: none"> <li>Updated riskdiff output to include only informative events; updated propensity score distribution figures</li> </ul>	Sentinel Operations Center
3.3.5	02/13/2017	<ul style="list-style-type: none"> <li>Added ability to output unmatched and matched propensity score distributions in .pdf format</li> </ul>	Sentinel Operations Center
3.3.4	02/09/2017	<ul style="list-style-type: none"> <li>Upversioned due to bug fix</li> </ul>	Sentinel Operations Center
3.3.3	01/12/2017	<ul style="list-style-type: none"> <li>Upversioned due to minor bug fix for attrition table</li> </ul>	Sentinel Operations Center
3.3.2	12/12/2016	<ul style="list-style-type: none"> <li>Upversioned to allow duplicate NDC codes across covariates</li> </ul>	Sentinel Operations Center
3.3.1	12/09/2016	<ul style="list-style-type: none"> <li>Removed KeepAllDum as a parameter in the Comorbfile</li> </ul>	Sentinel Operations Center
3.3.0	12/06/2016	<ul style="list-style-type: none"> <li>Added ability to truncate follow-up at query end date</li> <li>Added ability to output reason for follow-up time censoring</li> </ul>	Sentinel Operations Center
3.2.0	11/22/2016	<ul style="list-style-type: none"> <li>Added ability to stratify output by geographic location</li> <li>Added ability to turn off "envelope" macro</li> </ul>	Sentinel Operations Center
3.1.0	10/19/2016	<ul style="list-style-type: none"> <li>Added new prospective surveillance section and options for using the propensity score matched design</li> </ul>	Sentinel Operations Center
3.0.3	08/15/2016	<ul style="list-style-type: none"> <li>Updated HDPS to include two new ICD-10 data dimensions</li> </ul>	Sentinel Operations Center

Version	Date	Modification	By
3.0.2	07/20/2016	<ul style="list-style-type: none"> <li>Added ability to output additional information for patients identified in a self-controlled risk interval design (SCRI) cohort: event information for patients not meeting post-exposure enrollment requirements.</li> </ul>	Sentinel Operations Center
3.0.1	07/14/2016	<ul style="list-style-type: none"> <li>Added ability to specify a maximum episode duration for a “Type 2” analysis</li> </ul>	Sentinel Operations Center
3.0.0	07/14/2016	<ul style="list-style-type: none"> <li>Added ability to define complex inclusion/exclusion criteria algorithms outside of the Combo Tool</li> <li>Added ability to define varying covariate assessment windows</li> <li>Added ability to explicitly define covariates in propensity score estimation models (i.e., no forced variable inclusion)</li> <li>Added ability to return additional propensity score estimation diagnostic output</li> </ul>	Sentinel Operations Center
2.2.3	06/16/2016	<ul style="list-style-type: none"> <li>Up-versioned due to bug fix</li> </ul>	Sentinel Operations Center
2.2.2	06/13/2016	<ul style="list-style-type: none"> <li>Up-versioned due to bug fix</li> </ul>	Sentinel Operations Center
2.2.1	04/20/2016	<ul style="list-style-type: none"> <li>Up-versioned due to bug fix</li> </ul>	Sentinel Operations Center
2.2.0	04/15/2016	<ul style="list-style-type: none"> <li>Modified to include drug use during pregnancy “Type 4” analysis</li> </ul>	Sentinel Operations Center
2.1.1	02/19/2016	<ul style="list-style-type: none"> <li>Added ability to specify covariates and generate baseline “Table 1” output for evaluation prior to specifying comparative, adjusted analyses</li> <li>Updated lab lookup table for SCDM 5.0.1 compliance</li> </ul>	Sentinel Operations Center
2.1.0	12/14/2015	<ul style="list-style-type: none"> <li>Added risk set level analysis for propensity score matched design</li> </ul>	Sentinel Operations Center
2.0.8	07/21/2015	<ul style="list-style-type: none"> <li>Up-versioned due to minor bug fix</li> </ul>	Sentinel Operations Center
2.0.7	07/10/2015	<ul style="list-style-type: none"> <li>Up-versioned due to minor bug fix</li> </ul>	Sentinel Operations Center
2.0.6	07/07/2015	<ul style="list-style-type: none"> <li>Up-versioned due to minor bug fix</li> </ul>	Sentinel Operations Center
2.0.5	04/13/2015	<ul style="list-style-type: none"> <li>Up-versioned due to minor bug fix</li> </ul>	Sentinel Operations Center
2.0.4	03/31/2015	<ul style="list-style-type: none"> <li>Up-versioned due to minor bug fix</li> </ul>	Sentinel Operations Center



Version	Date	Modification	By
2.0.3	03/19/2015	<ul style="list-style-type: none"> <li>Changed output variable names in <i>[RUNID]_mstr</i> Output for Type 3 analyses</li> <li>Made minor bug fixes</li> </ul>	Sentinel Operations Center
2.0.2	02/20/2015	<ul style="list-style-type: none"> <li>Moved output of two datasets to SOC folder from DPLOCAL folder: <i>[RUNID]_varinfo_[COMP_ORDER]_[PERIODID]</i> and <i>[RUNID]_estimates_[COMP_ORDER]_[PERIODID]</i></li> <li>Made minor bug fixes</li> </ul>	Sentinel Operations Center
2.0.1	01/30/2015	<ul style="list-style-type: none"> <li>Up-versioned due to minor bug fix</li> </ul>	Sentinel Operations Center
2.0	01/16/2015	<ul style="list-style-type: none"> <li>Modified to include self-controlled risk interval design cohort identification strategy</li> <li>Modified to change variable matching ratio ceiling from 100 to 10</li> </ul>	Sentinel Operations Center
1.4.1	12/18/2014	<ul style="list-style-type: none"> <li>Up-versioned due to minor change to macro headers and comments</li> </ul>	Sentinel Operations Center
1.4	10/14/2014	<ul style="list-style-type: none"> <li>Up-versioned due to minor bug fix</li> </ul>	Sentinel Operations Center
1.3	10/02/2014	<ul style="list-style-type: none"> <li>Modified to make 1:100 variable ratio matching optional</li> </ul>	Sentinel Operations Center
1.2	09/16/2014	<ul style="list-style-type: none"> <li>Up-versioned due to minor code modifications</li> </ul>	Sentinel Operations Center
1.1	09/05/2014	<ul style="list-style-type: none"> <li>Modified to allow combo tool module updated to process combinations of combination items</li> </ul>	Sentinel Operations Center
1.0	07/31/2014	<ul style="list-style-type: none"> <li>Original published version</li> </ul>	Sentinel Operations Center

## I. OVERVIEW

Sentinel modular programs (MPs) allow rapid implementation of standard queries across the Sentinel Distributed Database (SDD). MPs are designed to run against the Sentinel Common Data Model (SCDM).<sup>1</sup> They are written in SAS and can be customized using various parameter settings that define exposures, outcomes, covariates, inclusion/exclusion criteria, date ranges, age ranges, and other implementation details.

## II. AVAILABLE MODULAR PROGRAMS

Several modular programs are available to execute Sentinel data queries. The **Cohort Identification and Descriptive Analysis (CIDA) tool** identifies and extracts cohorts of interest from the SDD based on the specification of a number of requester-defined options (e.g., exposures, outcomes, continuous enrollment requirements, incidence criteria, inclusion/exclusion criteria, relevant age groups, demographic criteria such as sex or race). The CIDA tool calculates descriptive statistics for the cohort(s) of interest and outputs datasets that may be useful for additional analyses (e.g., to calculate unadjusted and adjusted effect estimates and 95% confidence intervals).

The CIDA tool generates output containing information on exposures, outcomes, and covariates that can be used as input to the **Propensity Score Analysis (PSA) tool**. The PSA tool uses the information output by the CIDA tool to estimate a propensity score based on predefined covariates and/or via a high-dimensional propensity score approach. The PSA tool then matches individuals in an exposed cohort to individuals in a comparator cohort based on propensity score and calculates hazard ratios, incidence rate differences and 95% confidence intervals.

The CIDA tool generates output containing information on exposures and outcomes that can be used as input to the **Multiple Factor Analysis (MFM) tool**. The MFM tool uses the information output by the CIDA tool to find an exact match between an exposure and comparator cohort based on predefined options. The MFM tool calculates hazard ratios, incidence rate differences and 95% confidence intervals.

Additional querying tool, **Modular Program 4 (MP4)**, can also be used to characterize cohorts of interest. This tool characterizes concomitant use of medical products and occurrence of health outcomes of interest (HOIs). The functionality of this MP will be integrated into the CIDA tool in a future release.

This document provides an overview of the CIDA tool, PSA tool, and MFM tool functionality, and includes appendices detailing program specification requirements, formats, and default values of all parameters.

Until MP4 is integrated into the CIDA tool, separate documentation for this program will continue to be maintained. Use the hyperlink above to navigate to the corresponding MP4 documentation.

<sup>1</sup> See <https://www.sentinelinitiative.org/sentinel/data/distributed-database-common-data-model> for more information about the SCDM.

### III. COHORT IDENTIFICATION AND DESCRIPTIVE ANALYSIS TOOL

The main purpose of the CIDA tool is to identify and extract cohorts of interest from the SDD based on the specification of requester-defined options (e.g., exposures, outcomes, continuous enrollment requirements, incidence criteria, inclusion/exclusion criteria, relevant age groups and demographic criteria such as sex and race). The CIDA tool may be used alone or in conjunction with additional tools that perform more complex adjustment for confounders.

#### A. COHORT IDENTIFICATION STRATEGIES

There are five cohort identification strategies currently available with the CIDA tool:

1. Extract information to calculate background rates: The program identifies an exposure, outcome, or medical condition, and calculates the rate of that event in the SDD. Output includes the number of individuals with the exposure/outcome/medical condition, eligible members, and eligible member-days. Rates are reported overall and stratified by requester-defined age group, sex, year, and year-month. An attrition table is also provided upon request.

2. Extract information on exposures and follow-up time: The program identifies an exposure of interest, determines exposed time as either requester-defined number of days after exposure initiation or based on drug dispensing days supply, and looks for the occurrence of HOIs during exposed time. Output metrics include number of exposure episodes and number of individuals, number of HOIs, and days at-risk. Events per person-day at risk are reported overall and stratified by requester-defined age group, sex, year, and year-month. Incidence rate ratios (IRRs) can be calculated using two identified cohorts (e.g., exposed vs. active-comparator cohort). Unadjusted IRRs and IRRs adjusted by age group, sex, year, and Data Partner are reported upon request. An attrition table is also provided upon request.

The exposures and follow-up time cohort identification strategy is designed to be compatible with the PSA tool. For this cohort identification strategy the CIDA tool will 1) extract covariates of interest during requester-defined evaluation windows for the propensity score estimation model; and 2) output an analytic dataset containing the necessary information for the PSA tool to execute.

3. Extract information for a self-controlled risk interval design: The program identifies an exposure of interest, identifies a requester-defined risk and control window relative to the exposure date, and examines the occurrence of HOIs during the risk and control windows. Output metrics include number of exposure episodes, exposed individuals, individuals with an HOI in the risk and/or control windows, and censored individuals, overall and stratified by requester-defined age group, sex, year, year-month, and time-to-event in days. An attrition table is provided upon request.

4. Extract information to construct pregnancy episodes and identify medical product use: The program identifies live births, computes pregnancy episodes based on those live birth events, and assesses the use of specific medical products both during pregnancy episodes and in a comparator group of women likely to not have delivered a live birth during the same time frame. Output includes the number of pregnancy episodes stratified by year, maternal age, and existence of a pre-term or postterm pregnancy code. Medical product use is reported for both pregnancy episodes and comparator episodes according to trimester of use, maternal age, and calendar year of delivery.

**5. Extract information for medical product utilization:** The program identifies the “first valid” exposure episode (i.e., the first episode during the query period that meets cohort entry criteria) as the index date, and then includes all subsequent exposure episodes. Output metrics include the number of patients, episodes, dispensings, and days supply by sex, age group and month of study start (for the first patient episode or all observed episodes during the query period); number of episodes by episode number, episode length, sex and age group, reason(s) for censoring; number of episode gaps by gap number, gap length, sex and age group.

While many options available in the CIDA tool are specific to the cohort identification strategy employed, some are not. The next sections describe functionality common across strategies, and are followed by descriptions of functionality specific to the cohort identification strategy selected.

## **B. UNIVERSAL OPTIONS**

### **1. Defining the Query Period, Age and Demographic Criteria**

All CIDA tool requests must specify a query start date and query end date. Available data before the query start date may be used to determine if specified enrollment and incidence criteria are met and evaluate inclusion/exclusion criteria and presence/absence of covariates. For the exposures and follow-up cohort identification strategy, exposure episodes may also extend beyond the query end date, as long as the episode was initiated during the query period and all enrollment requirements are still met (requesters may optionally turn this functionality off, to censor episodes at query end date). CIDA tool requests can also restrict cohorts to a requester-defined age range or demographic criteria such as sex and race, and stratify output by requester-defined age groups. Index date (e.g., exposure initiation date) is used to calculate patient age.

For the pregnancy episodes cohort identification strategy ([Section F](#)), query start date and query end date binds the delivery date only. Available data before the query start date may be used to determine pregnancy start date, if specified enrollment and incidence criteria are met and evaluate inclusion/exclusion criteria. Delivery date is used to calculate maternal age in the pregnancy episodes cohort identification strategy.

### **2. Enrollment Requirements**

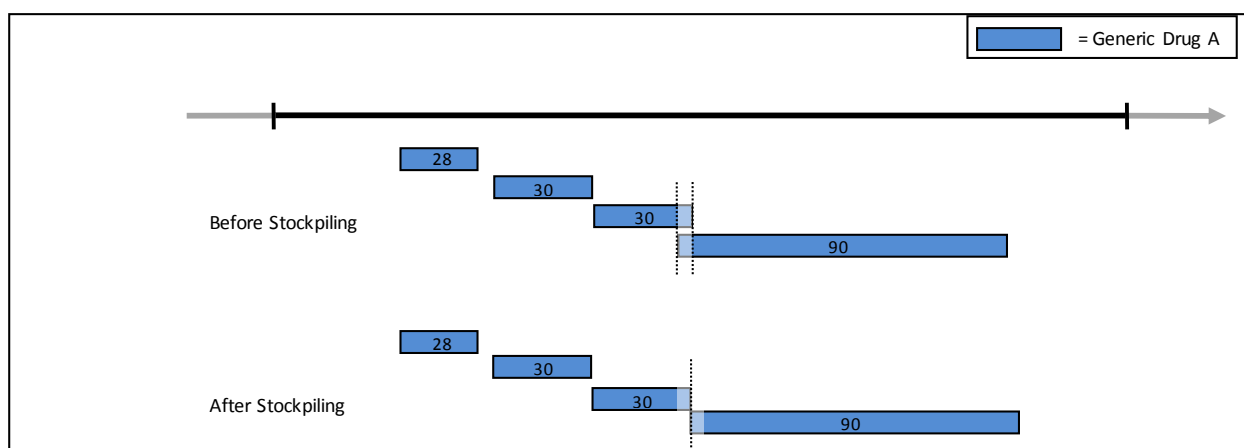
All data used by the CIDA tool to select cohorts of interest must be observed during valid enrollment periods of a specific coverage type. Requesters must select the type of coverage required based on whether medical, drug, or both medical and drug coverage are required during enrollment periods. This may be driven by the query of interest (e.g., if an exposure is defined using outpatient pharmacy dispensings and the HOI is defined using ICD diagnosis codes, requesters will want to ensure that periods with both medical and drug coverage are considered). Requesters can also require to exclude members for whom medical charts cannot be requested for the entire study period.

Once coverage type and chart availability restriction are established, continuous enrollment periods are constructed by bridging consecutive enrollment periods of the specified coverage type. Requesters may specify a maximum enrollment gap that will instruct the CIDA tool to “bridge” consecutive enrollment periods that are separated by (no more than) the specified number of days. These continuous enrollment periods define the time period that patients are eligible to contribute information used to create the cohort.

### 3. National Drug Code Processing and the Stockpiling Algorithm

National Drug Codes (NDCs) used to define exposures, HOIs, inclusion/exclusion criteria and covariates are pre-processed by the CIDA tool. In the rare case that an NDC has days supplied or amount supplied value equal to or lower than zero, the program will, by default, disregard the dispensing. Additionally, because members may refill their drug prescriptions before the end of days supply of the prior prescription, a stockpiling algorithm is used to account for dispensings with overlapping days of supply. Since this early-refill pattern may artificially reduce the length of exposed time (and therefore days at-risk), the dispensing date of the subsequent overlapping dispensing is adjusted. Drug dispensings are typically stockpiled by generic name (e.g., if the exposure of interest is all statins, atorvastatin, fluvastatin, lovastatin, etc. will be stockpiled independently). Figure 1 illustrates the stockpiling algorithm and how dispensing dates are adjusted by generic name.

**Figure 1. Stockpiling Algorithm to Adjust Dispensing Dates**



Note that while stockpiling is performed by default, augmenting how and when drug dispensings are stockpiled is possible upon request.

### 4. Defining Complex algorithms

The CIDA tool is integrated with the Combo tool, a re-usable SAS macro that allows requesters to define events (i.e., exposures, HOIs, and inclusion/exclusion criteria) using complex algorithms. Utilizing the Combo tool, the CIDA tool can do the following to define a single event: combine any NDCs, diagnoses, procedures, encounter types, enrollment episodes and lab values (using “and” and “or” joins); use same-day, same-encounter, or time intervals (e.g., diagnoses X and procedure Y within 2 weeks of each other); and define a specific exposure length for any codes that comprise an event definition (similar to days of supply or length of stay). Detailed documentation of Combo tool functionality can be found in a separate document: [‘Sentinel Toolkit Combo Tool Documentation’](#).

### 5. Inclusion/Exclusion Criteria

The CIDA tool allows the application of additional inclusion/exclusion criteria for cohort selection. Inclusion/exclusion criteria can be defined using any combination of NDCs, procedure and/or diagnosis codes, and laboratory result values found in the SCDM. Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient) and diagnosis codes can be

restricted by position (e.g., principal discharge diagnosis, secondary diagnosis). Each inclusion/exclusion criteria can further be defined by the number of days the code occurs.

Additional inclusion/exclusion criteria are assessed during a requester-defined number of days before, on, or after the index date. This allows assessments to be restricted, for example, to patients with a specific indication for treatment.

Patients are required to have continuous enrollment in the coverage type specified during the lookup period prior to index date for assessment of *exclusion* criteria but not inclusion criteria. If this condition is not met, the *exposure episode* is excluded from analysis. Patients are not required to have continuous enrollment post-index date for neither exclusion nor inclusion criteria.

## 6. Covariate Assessment

The CIDA tool can extract covariate information for a requester-defined number of days around the index date.

Covariates can be defined using NDCs, procedure codes and/or diagnosis codes found in the SCDM. If NDCs are used, dispensings are processed via the [stockpiling algorithm](#). Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient), and diagnosis codes can be restricted by position (e.g., principal discharge diagnosis, secondary diagnosis).

Patients are required to have continuous enrollment in the coverage type specified during covariate evaluation window prior to index date. If this condition is not met, the *exposure episode* is excluded from analysis. Continuous enrollment is not required post index date.

Note that the user also has the ability to use the Combo Tool (and combo codes) to define covariates or define covariates with laboratory result values.

The user has the option of specifying for the CIDA tool to generate the baseline covariate table (i.e., “Table 1”) for the cohorts of interest. In order to achieve this, the user has to define a baseline period (i.e., a covariate lookback window) and a list of covariates of interest. CIDA will generate an output table containing the baseline prevalence of covariates of interest.

## C. EXPOSURES AND FOLLOW-UP TIME COHORT IDENTIFICATION STRATEGY

The exposures and follow-up time cohort creation strategy defines episodes of new use of a medical product of interest and evaluates the occurrence of HOIs. There are numerous requester options, including defining new use, exposed time, and episode censoring rules.

### 1. Identifying Exposure and Creating Exposure Episodes

An exposure can be defined using any set of NDCs, procedure and/or diagnosis codes, and laboratory result values found in the SCDM. Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient) and diagnosis codes can be restricted by position (e.g., principal discharge diagnosis, secondary diagnosis). For example, exposure to a drug product dispensed in the outpatient setting can be defined as observation of one or more NDCs in the pharmacy dispensing table, whereas exposure to a vaccine can be defined based on observation of specific procedure codes in the procedure table.

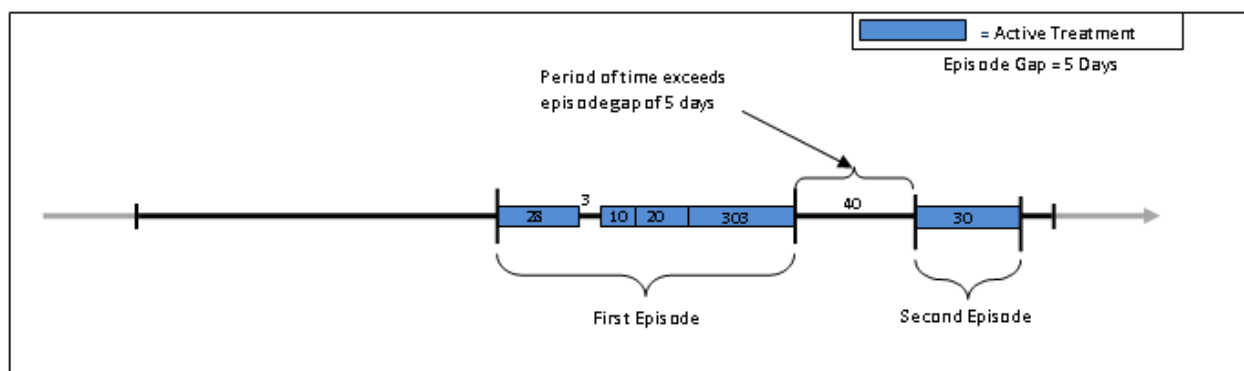
The CIDA tool queries the SDD and extracts all codes indicative of exposure during the query period. NDCs are processed and those with a part of their days supply outside enrollment episodes are truncated to constrain the supply within eligibility. Dispensing dates are modified using the [stockpiling algorithm](#) and supply is truncated again to make sure they are still in eligibility periods (stockpiling can push claims outside enrollment period).

After dispensing dates are adjusted using the stockpiling algorithm, exposure episodes are created. Exposure episodes can be defined in one of two ways: a) using outpatient pharmacy dispensing days supplied to create a sequence of continuous exposure, and b) defining a specific number of days after exposure initiation as exposed time.

### a) Creating Exposure Episodes using Dispensing Days Supplied

An exposure episode using outpatient pharmacy dispensing days supplied is defined as a sequence of treatment that ends when interrupted by a gap in days supply greater than a requester-defined episode gap. Consider an example where five outpatient pharmacy dispensings of the exposure of interest are observed during the query period (Figure 2).

**Figure 2. Exposure Episode Creation and Episode Gap**



In this example, the CIDA tool is instructed to allow an episode gap of five days between drug dispensings. The “active treatment” (in blue) corresponds to the days supply for dispensings. Four dispensings make up the first exposure episode since there is only a three-day interruption in exposure between the first and second dispensing; that gap is “bridged” to create a single exposure episode. The fifth dispensing, observed 40 days after the end of the previous dispensing’s end of supply, will initiate a second exposure episode.

### b) Creating Exposure Episodes as Defined Number of Days

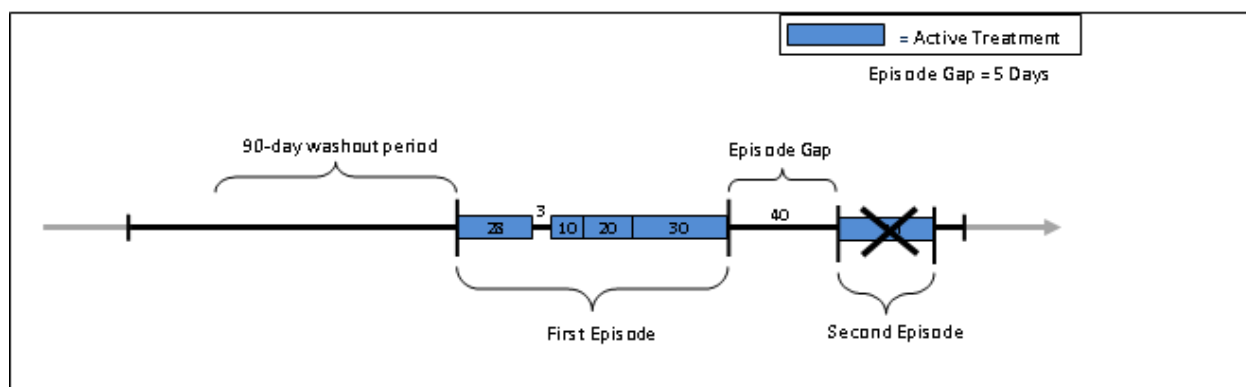
An exposure episode defined as a number of days after exposure initiation allows exposed time to be defined at the discretion of the requester. The same duration is specified for all exposed patients. Note, however, that due to [censoring](#) this does not necessarily mean that all patients have the same exposure duration.

### c) Incident Medical Product Exposure

The CIDA tool is designed to identify both incident and prevalent use of a medical product of interest. To define new use, requesters must specify a number of days before the exposure index date that the patient must be free of the medical product of interest (i.e., a washout period). If a new user cohort is requested, only exposure episodes meeting the requester-defined incidence definition are included in the cohort. Patients are required to have continuous enrollment in the coverage type specified during the washout period to ensure that new use can be assessed.

Consider the previous example where we observe two exposure episodes for a member during the query period (Figure 2). Suppose the requester requires a 90-day washout period to define new use of the exposure. In this case, given that the second exposure episode begins 40 days after the end of the first episode's last day of supply, the second episode is deemed ineligible for inclusion in the cohort (Figure 3).

**Figure 3. Determining Incident Medical Product Exposure**



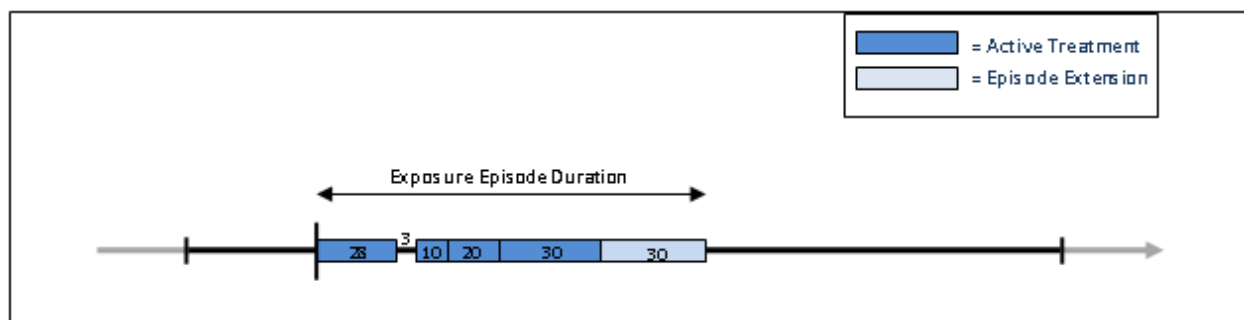
Instead of defining the number of washout days to assess incidence, requesters also have the option to require that a patient never have evidence of the exposure of interest during their entire available enrollment history (to identify the first observed use of the medical product). Note that while this option is available, interpretation may be challenging as available enrollment history is variable across patients.

### d) Exposure Episode Extension

After medical product exposure incidence is assessed, the CIDA tool has the option to extend exposure episodes (and thus exposed time) by a requester-defined number of days. Note that this option is only relevant for exposure episodes created based on dispensing days supply. Figure 4 depicts a scenario where the episode extension is set to 30 days.



**Figure 4. Exposure Episode Creation and Episode Extension**



When an episode extension is used, the end date of the episode is adjusted to reflect the additional days of extension. These additional days contribute to days at risk metrics, and HOIs observed during extension days will be attributed to the exposure.

Note that if an episode extension extends into another exposure episode (*i.e.*, episode extension > allowable episode gap), the episode extension is truncated, and no “bridging” of exposure episodes occurs.

#### **e) Exposure Episode Duration and Censoring**

The exposure episode start date (or index date) is the date associated with the first code of interest used to define the episode. The exposure episode end date corresponds to the earliest of 1) end of exposure episode, 2) end of enrollment, 3) end date of SDD, or 4) occurrence of requester-defined censoring criteria (including an option to censor of query end date). The length of an exposure episode is defined as the difference between the episode end date and the episode start date plus one.

Exposure episodes may be censored based on requester-defined criteria. This could be based on the observation of any NDC, procedure code, diagnosis code, or laboratory result value of interest, or based on medical utilization like the occurrence of a hospitalization. If censoring criteria are observed during an exposure episode, the episode is truncated at the date of the observed criterion.

#### **f) Number of Valid Exposure Episodes per Patient**

Requesters have the ability to specify the number of valid exposure episodes each patient can contribute to the final cohort. Options include:

- Include only the first valid exposure episode during the query period: selects the first valid exposure episode during the query period that meets all requester criteria. Required option if output of the CIDA tool will be used for propensity score matched analyses.
- Include all valid exposure episodes during the query period: selects all valid exposure episodes during the query period that meet all requester criteria.
- Include all valid exposure episodes during the query period until an event occurs: selects all valid exposure episodes during the query period until an HOI is observed during exposure.

## 2. Identifying Health Outcome of Interest (HOI)

An HOI can be defined using any combination of NDCs, procedure and/or diagnosis codes, and laboratory result values found in the SCDM. Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient) and diagnosis codes can be restricted by position (e.g., principal discharge diagnosis, secondary diagnosis).

The CIDA tool queries the SDD and extracts all codes indicative of the HOI for exposed patients. If NDCs are used to define the HOI, they will undergo additional processing with the stockpiling algorithm.

### a) Days at-Risk and Blackout Period

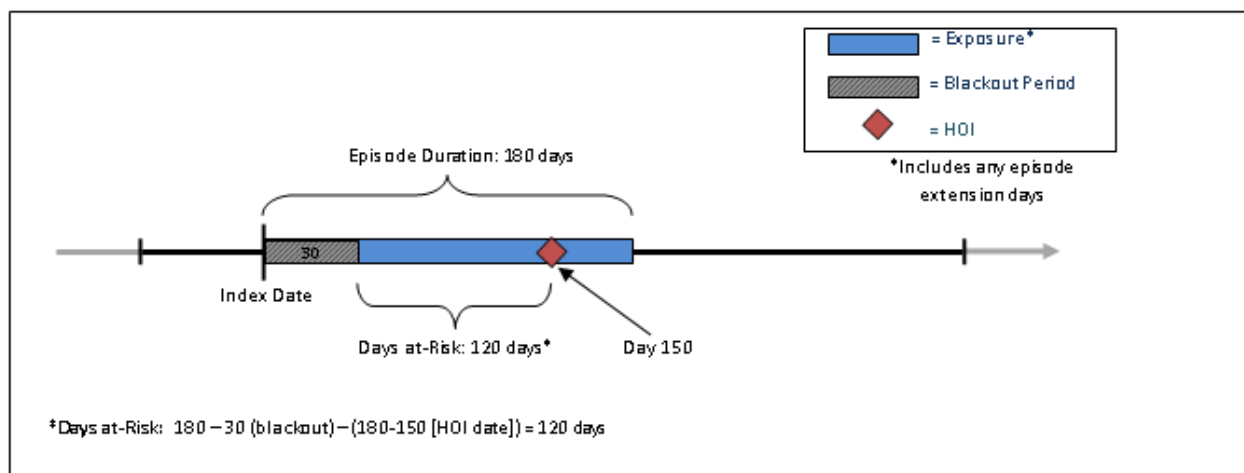
Days at-risk for the HOI are calculated as the number of days each patient is exposed and at-risk for the HOI. Days at-risk start accumulating at the exposure episode start date (or index date). Days at-risk stop accumulating at the earliest of 1) end of exposure episode (including episode extension days); 2) end of enrollment; 3) end date of SDD; 4) occurrence of requester-defined censoring criteria (including censoring at query end date); or 5) HOI date.

The CIDA tool has an additional option to include a “blackout” period after the exposure index date. This is a period of time on and after the index date during which HOIs are not attributed to the exposure. When a blackout period is defined, the effective start of the exposure episode remains the same, but days at-risk do not start accumulating until the end of the blackout period.

For example, if an episode starts on January 1<sup>st</sup>, 2010 and the blackout period is equal to 5 days, any HOIs occurring between January 1<sup>st</sup>, 2010 and January 5<sup>th</sup>, 2010 will not be attributed to the exposure episode. The episode start date, however, will remain January 1<sup>st</sup>, 2010. Note that if an HOI is observed during the blackout period, the *exposure episode* is discarded from the analysis.

Figure 5 illustrates HOI assessment and days at-risk accumulation when a blackout period is specified.

**Figure 5. HOI Ascertainment, Blackout Period, and Days at Risk**



In Figure 5, the number of days at risk begins accumulating at the end of the blackout period and extends until the occurrence of the HOI.

## b) HOI Incidence Assessment

In addition to determining exposure episode incidence, requesters can also specify criteria for a new occurrence of an HOI. Specifying HOI incidence criteria instructs the CIDA tool to evaluate a requester-defined number of days before the *exposure episode index date* (not the HOI date) to determine if the HOI is “new.” If an HOI is observed during the requester-defined number of days before the exposure index date, the *exposure episode* is discarded from analysis.

Patients are required to have continuous enrollment in the coverage type specified during the HOI incidence assessment period. If this condition is not met, the *exposure episode* is excluded from analysis.

## 3. Charlson/Elixhauser Combined Comorbidity Score

The CIDA tool can calculate a combined Charlson/Elixhauser comorbidity score<sup>2</sup> for all patients in the cohort. The score is calculated based on comorbidities observed during a requester-defined window around the exposure episode start date (index date).

For requests utilizing the CIDA tool only, this functionality is optional. If requested, the CIDA tool will stratify output (including HOIs per days at-risk metrics) by requester-defined comorbidity score groupings (e.g., -2-0, 1, 2-3, 4+).

For requests that will use the PSA tool, the raw score is available to be used as a predefined covariate to estimate the propensity score.

## 4. Medical Utilization Metrics

The CIDA tool can calculate medical utilization metrics for all patients in the cohort. Medical utilization is defined as a number of encounters with the health system observed during a requester-defined number of days around the exposure episode start date (index date). Metrics can be calculated overall (total number of visits) or by encounter type (e.g., number of inpatient stays, number of emergency department visits, etc.).

For requests utilizing the CIDA tool only, this functionality is optional. If requested, the CIDA tool will stratify all output generated (including HOIs per person-day at risk metrics) by requester-defined medical utilization groupings (e.g., 0 visits, 1-2 visits, 3+ visits).

For requests that will use the PSA tool, calculation of medical utilization is optional. Five metrics will be calculated and used as predefined covariates to estimate the propensity score: number of 1) inpatient stays, 2) outpatient visits, 3) emergency department visits, 4) institutional stays, and 5) other ambulatory encounters (e.g., telemedicine, email consult). These five metrics correspond to the available encounter types in the SCDM.

<sup>2</sup> Gagne JJ, Glynn RJ, Avorn J, Levin R, Schneeweiss S. A combined comorbidity score predicted mortality in elderly patients better than existing scores. *J Clin Epidemiol.* 2011 Jul;64(7):749-59. doi: 10.1016/j.jclinepi.2010.10.004. Epub 2011 Jan 5.

## 5. Drug Utilization Metrics

The CIDA tool can calculate drug utilization metrics for all patients in the cohort. Metrics calculated are 1) number of dispensings; 2) number of unique generics dispensed; and 3) number of unique drug classes dispensed during a requester-defined number of days around the episode start date (index date). Calculation of drug utilization metrics is optional for both CIDA tool and PSA tool requests.

## 6. Additional Enrollment Requirements

By default, the CIDA tool will require continuous enrollment in the coverage type specified before the index date for the longest duration defined by the following: 1) exposure washout period, 2) HOI washout period, 3) exclusion criteria lookup period (if specified), 4) most frequent use window (if specified) and 6) covariate evaluation window (if specified). Requesters have the option to define a longer enrollment period duration before index date.

By default, the CIDA will not require continuous enrollment in the coverage type specified for any evaluation windows that are after the index date. Users must explicitly define post-index enrollment requirements.

## 7. Optional Incidence Rate Ratio (IRR) Calculation

A standalone analysis tool compatible with the CIDA tool exposures and follow-up time cohort identification strategy output is available to calculate incidence rate ratios (IRRs) for exposure and comparator cohorts. The IRR tool utilizes a Poisson regression and a large sample approximation for calculation of the IRR; the tool may not be robust against samples with small HOI rates.

The tool outputs a comparison of two requester-defined cohorts from the CIDA tool output and provides both the unadjusted and adjusted IRRs and the corresponding 95% confidence intervals. The requester can adjust for any combination of age, sex, year of exposure, and Data Partner site. One output table is generated that contains: number of new users, person-years of follow-up, number of HOIs, incidence per 1,000 persons, and incidence rate per 1,000 person-years. Person-years of follow-up are estimated using person-days at risk standardized into years. Incidence per 1,000 persons is calculated as the number of HOIs divided by the number of new users, standardized to 1,000 persons. Incidence rate per 1,000 person-years is calculated as the number of HOIs divided by person-years of follow-up, standardized to 1,000 person-years.

## 8. Creation and Retention of First Valid Episodes

The CIDA tool goes through the following steps when determining a valid episode:

1. Creates a list of all potential index dates. These are dates with cohort defining codes that meet washout criteria. Additionally, in analyses where exposed time is requester-defined number of days, that exposed time is treated as a washout.
2. Using the list created in step (1), a list of all potential index dates that do not meet incidence criteria gets created.
3. Using the list created in step (1), a list of all potential index dates that meet both inclusion and exclusion criteria gets created.
4. Using the list created in step (1), episodes get created.
  - a. When exposed time is assessed using dispensings' days supply, episodes are created by bridging claims (using the allowed number of days between two consecutive claims to consider them as part of the same treatment episode). Subsequent claims are automatically bridged. Due to this, except in the scenario for exposure extension, episodes don't get censored because of the start of a subsequent episode.
  - b. When exposed time is requester-defined number of days, then the number of days after exposure initiation is what is considered "exposed time." After creating episodes, exposure extension is applied and follow-up truncated if the exposure extension overlaps a subsequent episode.
5. Creation of a master list of potential episodes by taking episodes from step (1), removing those that are in step (2), and removing those that aren't in steps (3 and 4).
6. Using this master list of patients, CIDA a) Applies enrollment criteria; b) Truncates episodes based on whether codes should be used to assess cohort index incidence only or enrollment end date or query end date or death or end of Data Partner data; c) Applies Minimum episode duration and maximum episode duration (NOTE: this is applied to the episode after exposure extension has been applied); and d) Removes episodes with no at risk time because of blackout.
7. Minimum day supply criteria is applied. This is not done in step 6 because actual dispensing information (without episode gaps/extensions) needs to be gathered.
8. Finds all events and removes episodes with an event during the event washout.
9. Applies the requirement of how many index dates an individual can contribute to the cohort (only the first valid index date or all valid index dates per individual during the query period). This is the very last criteria that gets applied to the cohort selection. All valid episodes are gathered, and if specified by the requester, then restricted to only the first valid index date. This means that if an individual has 5 index dates in step (1), and the first 3 aren't valid (no inclusion criteria, doesn't meet enrollment requirements, etc.), the 4th index date is still eligible for inclusion when only the first valid index date per individual during the query period is requested.

## 9. Creation of a Never-Exposed Cohort and Identification of Events

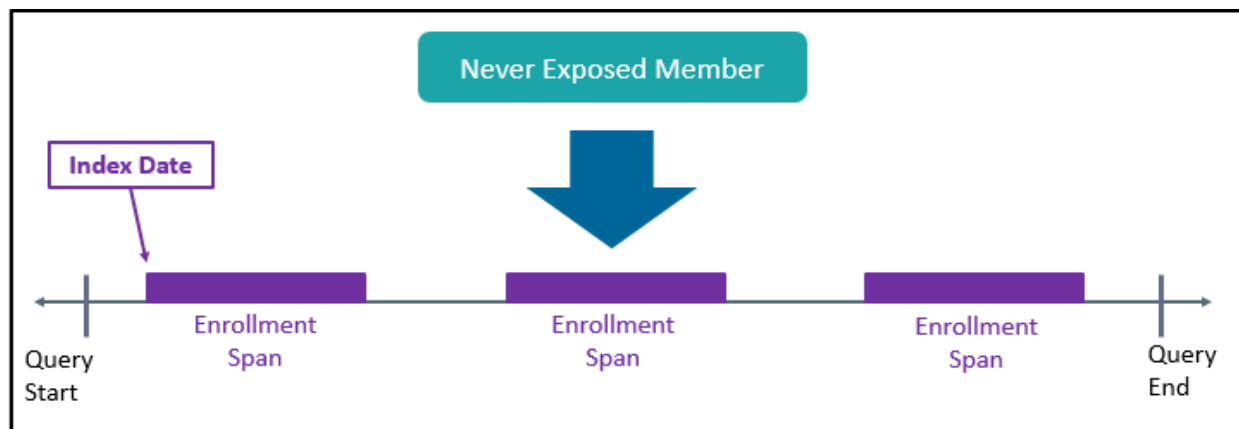
After identifying an exposed cohort in a type 2 (exposures and follow-up time) analysis, the CIDA tool creates a never-exposed cohort by bridging all enrollment spans that meet the demographic criteria and coverage type and removing enrollment spans with:

1. Days that do not meet lookback and lookforward enrollment criteria
2. Days outside of the query period
3. Days outside age-group criteria
4. Days that do not meet inclusion and exclusion criteria
5. Days that do not meet washout criteria
6. Days that do not meet follow-up event/washout criteria
7. Days that do not have at least 1 day of follow-up during query period after taking the blackout period into account

Then, CIDA will remove any members with evidence of an index defining code (i.e., exposure) at any point during the member's enrollment history. The result will be a list of enrollment spans during the query period that meet all enrollment criteria, index incidence, event incidence, demographic criteria, inclusion/exclusion criteria, and do not have evidence of an index-defining code.

After identifying all never-exposed enrollment spans, CIDA will take the 1<sup>st</sup> enrollment span per member (PATID) and set the index date to the 1<sup>st</sup> eligible day. Figure 6. Determine a Never-Exposed Member's Index Date and Enrollment Time illustrates how the index date is determined.

**Figure 6. Determine a Never-Exposed Member's Index Date and Enrollment Time**



CIDA will remove episodes that do not meet the minimum episode duration (MinEpisDur) requirement.

If exposure is defined using outpatient pharmacy dispensing days supplied, the episode end date will be the earliest of:

- End of query period (if censor\_qry = Y)
- DP end date (if censor\_dp = Y)
- Disenrollment (end of eligible enrollment span)
- Evidence of death (if censor\_dth = Y)
- The maximum episode duration has been reached (MaxEpisDur)
- Occurrence of requester defining censoring criteria

If exposure is defined using user-defined number of days of follow-up (ITTDAYS), the episode end date will be the earliest of:

- End of user defined number of days of follow-up (ITTDAYS)
- End of query period (if censor\_qry = Y)
- DP end date (if censor\_dp = Y)
- Disenrollment
- Evidence of death (if censor\_dth = Y)
- Occurrence of requester defining censoring criteria

Once the never-exposed cohort has been defined, members will be followed for events. CIDA will output the number of events and the first event date. Time to event (TTE) will be censored at occurrence of the first event. If the censoring output table is requested for the exposed cohort, the never-exposed cohort will be included in the censor table.

If requested, CIDA will extract covariates, medical utilization, drug utilization, calculate comorbidity score, and output a baseline table for the never-exposed cohort.

If requested, CIDA will perform MFU analysis, determine covariate profile and extract HDPS files for the never-exposed cohort.

Group name will be the group name of the exposed cohort concatenated with the suffix “\_nvrexp.” For example, if the group name is DrugA, the never-exposed group name is DrugA\_nvrexp.

#### **D. SELF-CONTROLLED RISK INTERVAL (SCRI) DESIGN COHORT IDENTIFICATION STRATEGY**

The self-controlled risk interval (SCRI) design cohort identification strategy defines new use of a medical product of interest, identifies a risk and control window relative to exposure, and examines the occurrence of HOIs. Risk and control windows may be of the same or different duration, and the control window may be specified before exposure or after the risk window. To avoid bias by contraindication, requesters specifying a control window before exposure should have confidence that the occurrence of an HOI does not influence receipt of treatment.<sup>3</sup>

Two cohorts are identified using the self-controlled design: an exposure cohort and an analytic cohort. The exposure cohort includes patients with the exposure of interest that meet cohort inclusion criteria; the analytic cohort is a subset of the exposure cohort that includes patients that also have an HOI during the risk and/or control windows and sufficient post-exposure continuous enrollment.

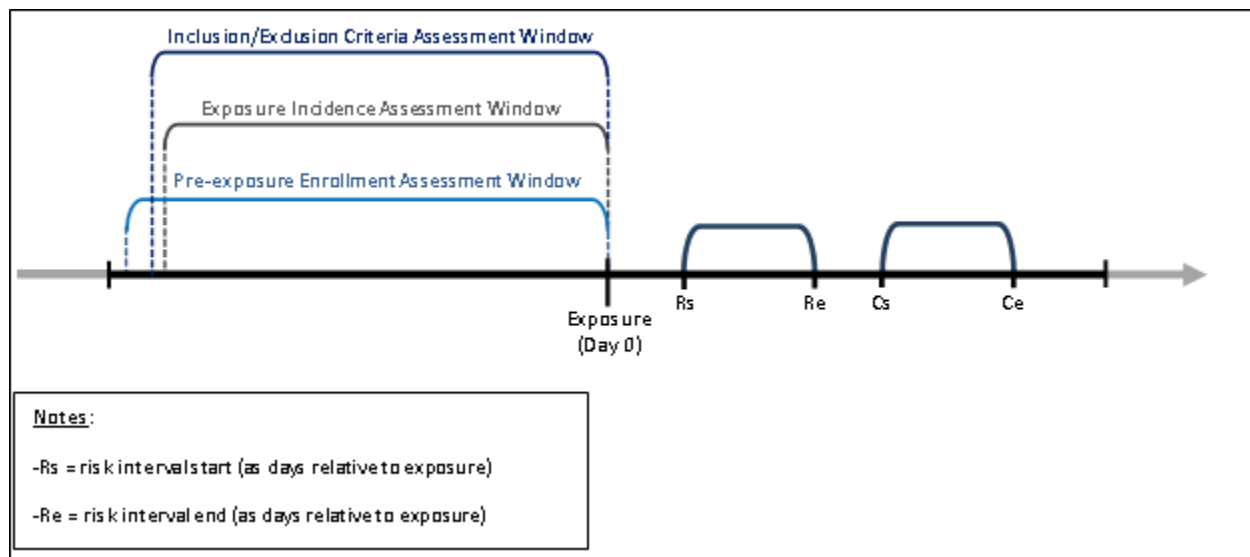
While the analytic cohort is the one of interest for prospective surveillance activities, delineating an exposure cohort allows requesters to characterize users of medical products of interest regardless of the occurrence of an HOI. The sections below provide additional details on requirements for exposure and analytic cohort entry.

<sup>3</sup> Platt R, Archdeacon P, Bell C, et al. Mini-Sentinel Methods: Prospective Routine Observational Monitoring Program Tools (PROMPT) Users' Guide, Version 1.0. Appendix 2, Section 1. June 13, 2014. Available at: [https://www.sentinelinitiative.org/sites/default/files/Methods/Mini-Sentinel\\_PROMPT\\_Users-Guide\\_0.pdf](https://www.sentinelinitiative.org/sites/default/files/Methods/Mini-Sentinel_PROMPT_Users-Guide_0.pdf) [Accessed January 9, 2015].

## 1. Exposure Cohort

To be included in the exposure cohort, patients must have a valid exposure of interest. Valid means that all pre-exposure enrollment, incidence, and inclusion/exclusion criteria specified by the requester are met. Criteria are assessed during a requester-defined number of days before exposure; assessment window duration may vary by criterion (Figure 7).

**Figure 7. Defining an Exposed Cohort**



An exposure can be defined using any set of NDCs, procedure and/or diagnosis codes, and laboratory result values found in the SCDM. Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient) and diagnosis codes can be restricted by position (e.g., principal discharge diagnosis, secondary diagnosis). For example, exposure to a drug product dispensed in the outpatient setting can be defined as observation of one or more NDCs in the pharmacy dispensing table, whereas exposure to a vaccine can be defined based on observation of specific procedure codes in the procedure table.

The CIDA tool queries the SDD and extracts all codes indicative of exposure during the query period. NDCs are processed and dispensing dates are modified using the [stockpiling algorithm](#). To define new medical product use, requesters may specify a number of days before the exposure index date that the patient must be free of the medical product of interest (i.e., exposure incidence assessment period). If a new user cohort is requested, only exposures meeting the requester-defined incidence definition are included in the cohort.

### a) Pre-exposure Enrollment Requirements

At minimum, patients in the exposure cohort must be continuously enrolled in the coverage type specified for the duration of the 1) exposure incidence assessment period, 2) pre-exposure evaluation period for exclusion criteria, and 3) HOI incidence assessment period. If desired, requesters may specify longer pre-exposure enrollment requirements.



## 2. Analytic Cohort

To be included in the analytic cohort, patients in the exposure cohort must have an incident HOI in the risk or control window.

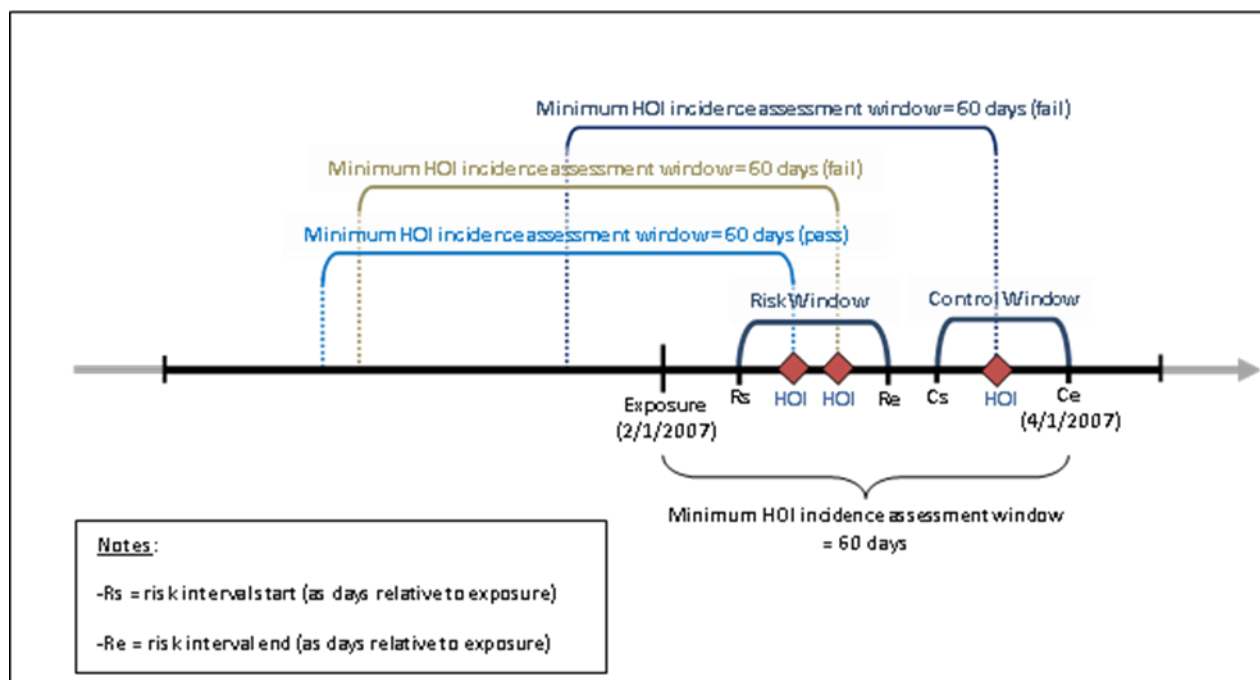
### a) HOI Incidence Assessment

An HOI can be defined using any set of NDCs, procedure and/or diagnosis codes, and laboratory result values found in the SCDM. Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient) and diagnosis codes can be restricted by position (e.g., principal discharge diagnosis, secondary diagnosis). Unlike the exposures and follow-up cohort identification strategy, the SCRI design requires that HOI incidence is assessed relative to the HOI date (rather than the exposure date). This means that incidence criteria are only assessed if an HOI is observed during the risk or control window. Note also that the CIDA tool enforces a minimum HOI incidence assessment period for the self-controlled risk interval design, to ensure that patients can only contribute an incident HOI to either the risk or control window (but not both). The minimum HOI incidence assessment period is:

$[\text{Maximum (Risk interval end date, Control interval end date, Exposure date)}] - [\text{Minimum (Control interval start date, Risk interval start date, Exposure date)}] + 1$  days in duration.

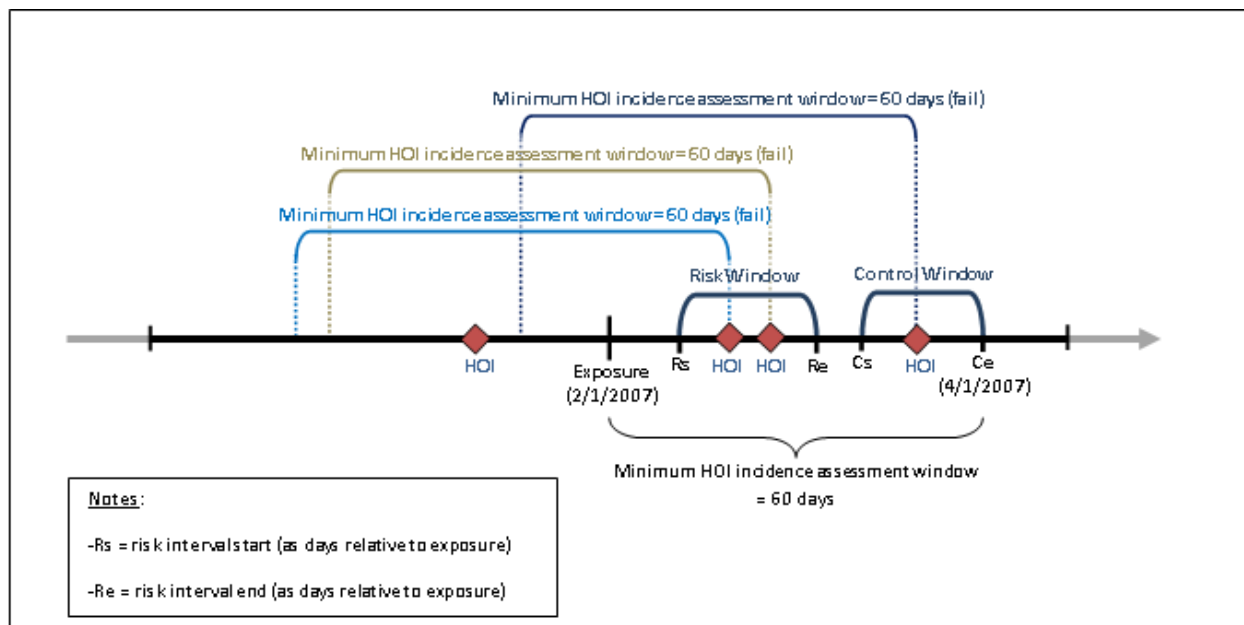
Figure 8 displays a scenario where three HOIs are observed during the risk and control windows. Because the minimum HOI incidence assessment period is 60 days (4/1/2007 – 2/1/2007 + 1 days) only the first HOI can potentially be considered an incident HOI. In this example, the patient will contribute an HOI to the risk window for the analysis.

**Figure 8. Minimum HOI Incidence Assessment Period (HOI in Risk Window)**



However, if the first HOI did not meet incidence criteria (Figure 9), the patient would not be included in the analytic cohort (as there are no valid HOIs in the risk or control window).

**Figure 9. Minimum HOI Incidence Assessment Period (No Valid HOI)**

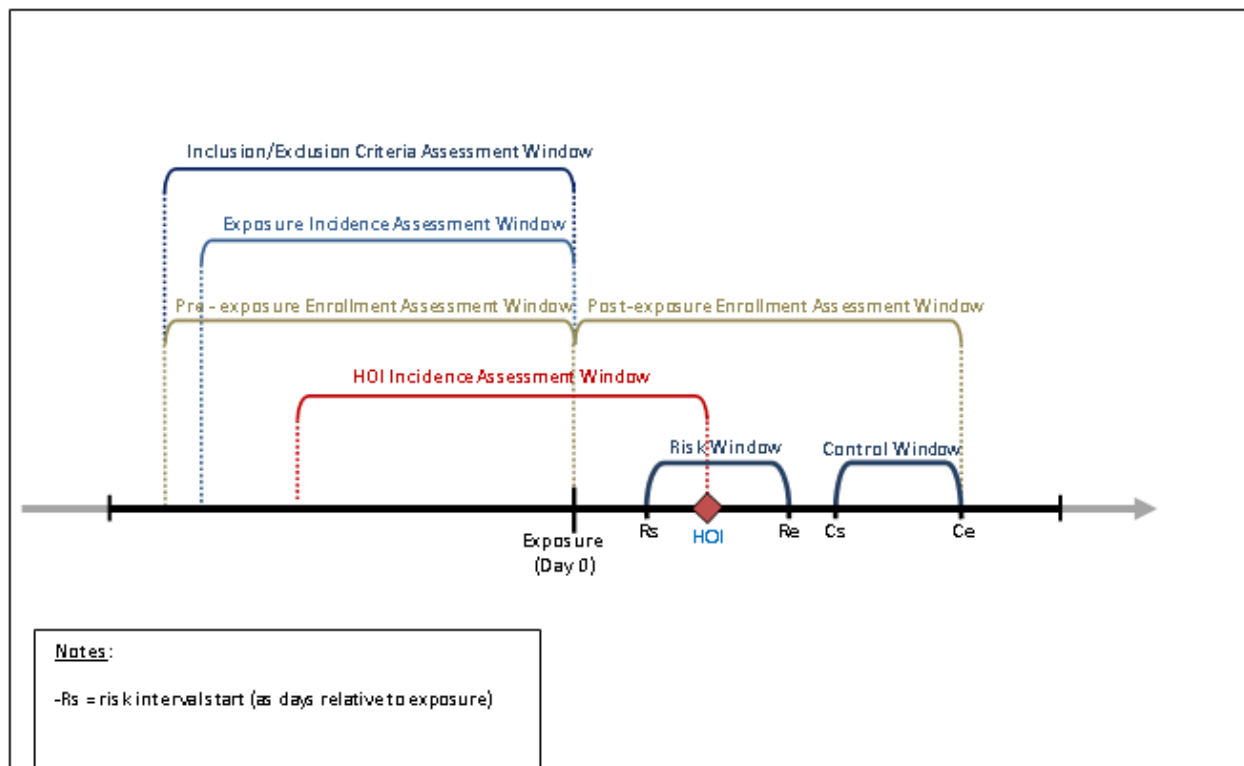


### b) Post-exposure Enrollment Requirements

Patients must also have sufficient post-exposure continuous enrollment to contribute to the analytic cohort. If the control window is after the risk window, patients must be continually enrolled from the exposure date through the end of the control window. If the control window is before exposure, patients must be continually enrolled from the control window start date to the risk window end date.

Figure 10 displays the requirements for analytic cohort entry, using an observed incident HOI in the risk window as an example (i.e., patients may also enter the analytic cohort if an HOI is observed in the control window).

**Figure 10. Defining an Analytic Cohort (Example with HOI in Risk Window)**



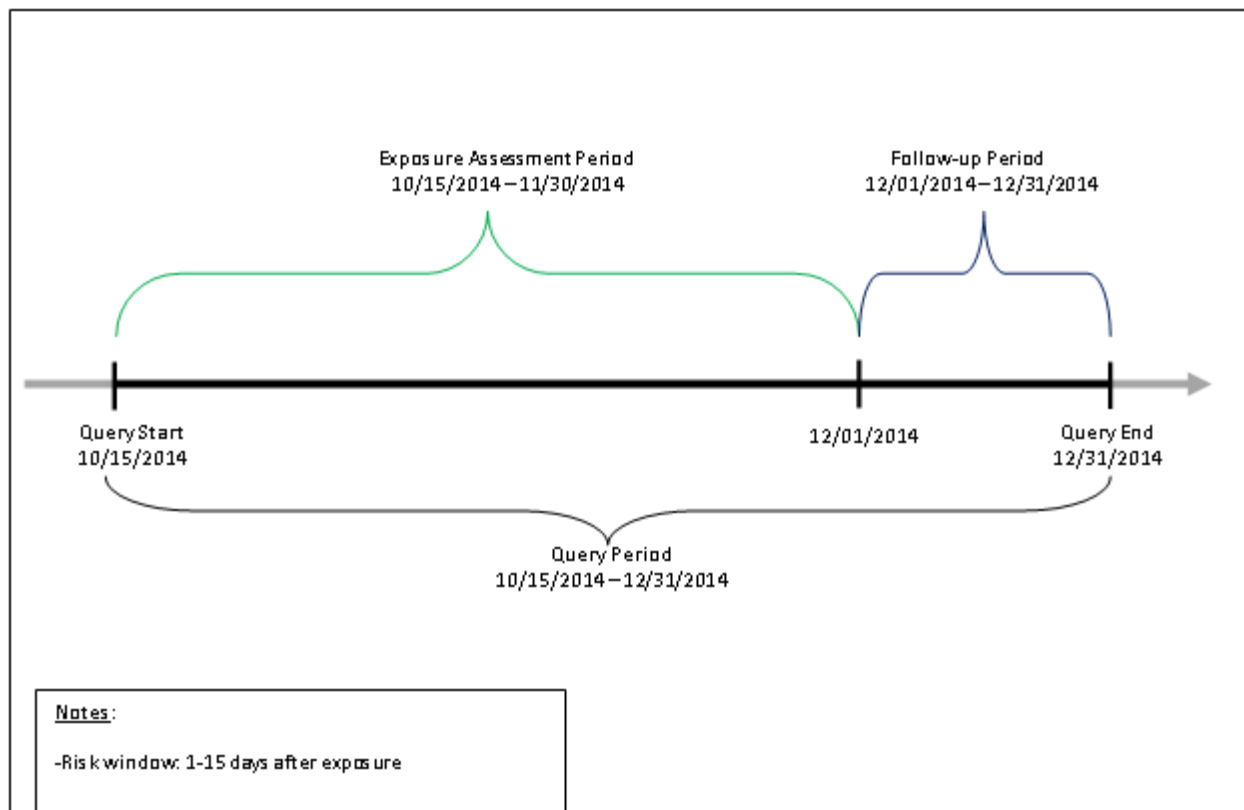
### c) Characterizing Exposed Patients Excluded from Analytic Cohort

Patients in the exposure cohort may be excluded from the analytic cohort due to 1) insufficient post-exposure enrollment during risk and control windows, 2) absence of an incident HOI in the risk or control window, 3) insufficient enrollment prior to risk window start date or control window start date or 4) indication of death (optional). For all patients excluded from the analytic cohort, the CIDA tool will characterize the reason for exclusion. This allows requesters to determine whether patients were excluded due to insufficient follow-up time or lack of valid HOIs.

### 3. Exposure Assessment and Follow-up Periods

Because the SCRI design requires post-exposure eligibility, the query period and the time period for which patients may contribute an exposure to the cohort are not the same. Consider an example where a Data Partner’s database contains information through December 31, 2014. A requester is examining exposure to a new vaccine with a risk window 1-15 days after exposure, a control window 20-31 days after exposure, and a query start date of October 15, 2014. Since patients are required to be enrolled for the duration of the follow-up period (i.e., during the risk and control windows), the latest date a patient can potentially contribute an exposure to the cohort is November 30, 2014 (with 31 days post-exposure follow-up). Therefore, it is useful in this design to differentiate the exposure assessment period (in the above example, the query period start date through November 30, 2014) and the follow-up assessment period (December 1, 2014 -December 31, 2014) as distinct time periods within the query period, since no patient will be able to contribute an exposure from December 1, 2014 -December 31, 2014 (Figure 11).

**Figure 11. Exposure Assessment and Follow-up Periods**



Note that the exposure assessment period will always be rounded down to the latest complete month. That is, if in the example above the required follow-up period duration was 25 days, the exposure assessment period would still end on 11/30/2014 (as 12/6/2014 would be rounded down to 11/30/2014).

#### **d) Data Completeness**

Another consideration for the SCRI design is the concept of Data Partner data completeness. In the above example, it was noted that the Data Partner's database contained information through December 31, 2014. While it is useful to know the latest date for which information is available for a Data Partner, a more useful date is one that represents a date where information is likely to be complete (i.e., all or most claims have been adjudicated, no information is missing due to lags in processing or receipt of information, etc.). In the context of the SCRI design cohort identification strategy, setting an appropriate query end date and Data Partner data completeness date improves confidence that HOIs that occur during the follow-up period are captured by the program. Determining Data Partner data completeness involves a combination of examining available data and working with partner sites to understand potential lags in data receipt and adjudication.

### **E. BACKGROUND RATE CALCULATION COHORT IDENTIFICATION STRATEGY**

The background rate cohort identification strategy identifies prevalent or incident use of an event of interest (i.e., exposure to a medical product or occurrence of an HOI) during the requester-defined query period. This type of request is often used in preparation for a more in-depth analysis, to determine the rate of new use of a medical product, or the prevalence/incidence of an HOI in the SDD. There are several requester options, including defining incidence and additional inclusion/exclusion criteria.

#### **1. Identifying Events**

An event can be defined using any set of NDCs, procedure and/or diagnosis codes, and laboratory result values found in the SCDM. Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient), and diagnosis codes can be restricted by position (e.g., principal discharge diagnosis, secondary diagnosis). For example, exposure to a drug product dispensed in the outpatient setting can be defined as observation of one or more NDCs in the pharmacy dispensing table; occurrence of an HOI can be defined based on observation of specific diagnosis codes in the diagnosis table.

The CIDA tool queries the SDD and extracts all codes indicative of the event during the query period. NDCs identified undergo additional processing through the [stockpiling algorithm](#).

#### **2. Event Incidence**

If an incidence rate calculation is needed, requesters must specify the criteria to define an incident occurrence of the event of interest. Specifying incidence criteria instructs the CIDA tool to evaluate a requester-defined number of days before the event date (index date) to determine if the event is "new."

Patients are required to have continuous enrollment in the coverage type specified during the incidence assessment period. If this condition is not met, the event is excluded from analysis.

### 3. Number of Valid Events per Patient

Requesters have the ability to specify the number of valid events each patient can contribute to the final cohort. Options include:

- Include the first valid event per patient that meets all requester criteria during the query period.
- Include all valid events per patient that meet all requester criteria during the query period.

### 4. Eligible Patients and Eligible Days

In order to calculate prevalence and incidence, the program calculates both the number of eligible patients and the number of eligible days for the denominator(s).

#### a) Eligible Patients

For prevalent cohorts, the number of eligible patients includes those patients enrolled in the coverage type and demographic criteria specified for at least one day during the query period. For incident cohorts, the number of eligible patients includes those patients enrolled in the coverage type and demographic criteria specified for at least the washout period number of days plus one day during the query period. If additional inclusion/exclusion criteria are specified, the patient must also satisfy those requirements to be included.

#### b) Eligible Days

Eligible days for prevalent and incident cohorts are calculated as all the days during the query period that an eligible patient is eligible for inclusion in the cohort.

## F. PREGNANCY EPISODES COHORT IDENTIFICATION STRATEGY

The pregnancy episodes cohort identification strategy identifies live births and calculates the length of the pregnancy episode based on ICD-10-CM codes indicative of weeks of gestation, ICD-10-CM and ICD-9-CM codes for preterm and postterm deliveries. A comparator episode from a woman of the same age, meeting all inclusion/exclusion criteria and likely to not have a live birth is also selected. This type of request is used to characterize medical product use requester-specified number of days prior to the start of pregnancy, and during each trimester. There are several requester options, including defining how to calculate pregnancy start date (index date) and defining how to categorize medical product use.

### 1. Identifying Live Births

A live birth can be defined using any set of NDCs, procedure and/or diagnosis codes, and laboratory result values found in the SCDM. Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient), and diagnosis codes can be restricted by position (e.g., principal discharge diagnosis, secondary diagnosis).

By default, live births are defined using a code list developed by the Medication Exposure in Pregnancy Risk Evaluation Program (MEPREP) and other Sentinel and non Sentinel pregnancy-related projects, but it is adaptable as needed. Identification of live births based on the original work in MEPREP has been updated to incorporate ICD-10-CM and ICD-10 Procedure Coding System (ICD-10-PCS) codes.

## 2. Calculating Index Date and Length of Pregnancy Episode

In the three other cohort identification strategies, the index date is determined via the occurrence of a code of interest. In the pregnancy episodes cohort identification strategy, delivery date is determined by identifying a live birth, and the index date (start of pregnancy episode) must be calculated by subtracting the length of the pregnancy episode from the date of live birth. The length of the pregnancy episode can be defined by using a list of prioritized gestational age codes. Overall, this algorithm prioritizes ICD-10 codes over ICD-9-CM codes to assign gestational age. These codes can be any set of NDCs, procedure and/or diagnosis codes, and laboratory result values found in the SCDM. Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient), and diagnosis codes can be restricted by position (e.g., principal discharge diagnosis, secondary diagnosis).

Each gestational age code is assigned an associated pregnancy duration and priority. If a code is observed within a requester defined window before and after delivery, the duration associated with the code is used to calculate pregnancy duration. If multiple codes are observed, priority is used to determine appropriate duration. If no codes are observed, the requester defines the number of days used to define pregnancy duration.

By default, pregnancy duration is defined using an algorithm developed by the Medication Exposure in Pregnancy Risk Evaluation Program (MEPREP) and other Sentinel and non Sentinel pregnancy-related projects, but it is adaptable as needed.<sup>4,5,6</sup> Identification of gestational age based on the original work in MEPREP was updated to incorporate ICD-10-CM codes.

## 3. Identifying Medical Products of Interest and Creating Medical Product Episodes

Medical products of interest can be defined using any set of NDCs, procedure and/or diagnosis codes, and laboratory result values found in the SCDM. Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient), and diagnosis codes can be restricted by position (e.g., principal discharge diagnosis, secondary diagnosis).

To create episodes, NDCs are processed and dispensing dates are modified using the stockpiling algorithm. After dispensing dates are adjusted using the stockpiling algorithm, exposure episodes are created. Exposure episodes can be defined in one of two ways: a) using outpatient pharmacy dispensing days supplied to create a sequence of continuous exposure, and b) defining a specific number of days after exposure initiation as exposed time. Requesters may optionally restrict evaluation of medical product use to “new use” by requiring a specified period of time (i.e., a “washout” period) before exposure initiation where the individual has no evidence of prior medical product use.

<sup>4</sup> Kawai AT, Li L, Kulldorff M, et al. Mini-Sentinel CBER/PRISM Surveillance Protocol Influenza Vaccines and Pregnancy Outcome. Version 2. [https://www.sentinelinitiative.org/sites/default/files/Drugs/Assessments/Mini-Sentinel\\_PRISM\\_Influenza-Vaccines-and-Pregnancy-Outcomes-Protocol\\_0.pdf](https://www.sentinelinitiative.org/sites/default/files/Drugs/Assessments/Mini-Sentinel_PRISM_Influenza-Vaccines-and-Pregnancy-Outcomes-Protocol_0.pdf) 2014; Accessed July 7, 2014.

<sup>5</sup> Andrade SE, Davis RL, Cheetham TC, et al. Medication Exposure in Pregnancy Risk Evaluation Program. *Matern Child Health J.* 2012 Oct;16(7):1349-54. doi: 10.1007/s10995-011-0902-x.

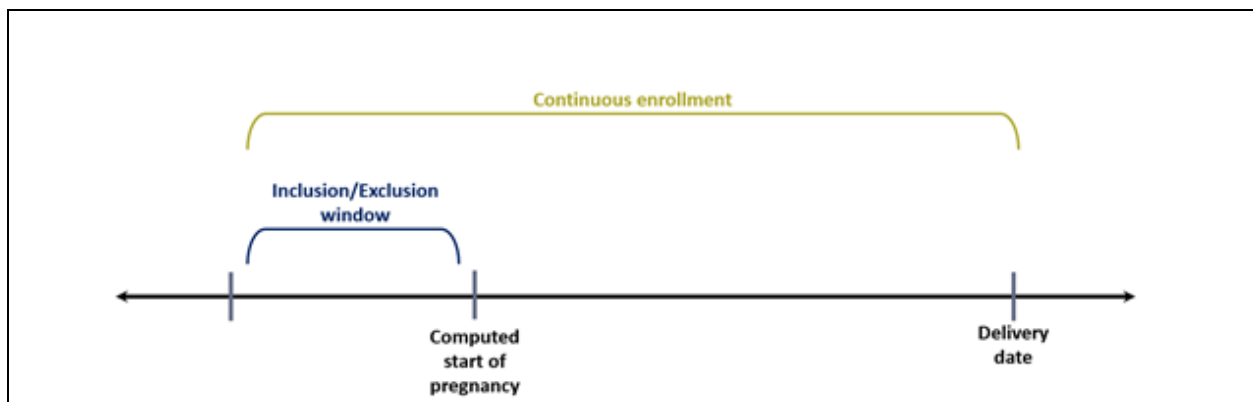
<sup>6</sup> Li Q, Andrade SE, Cooper WO, et al. Validation of an algorithm to estimate gestational age in electronic health plan databases. *Pharmacoepidemiol Drug Saf.* 2013 May;22(5):524-32. doi:10.1002/pds.3407

A unique feature of the pregnancy episodes cohort identification strategy is the option to define an exposure duration associated with individual procedure and diagnosis codes (and be used just as “days supply” would be used to determine exposure duration for individual NDCs).

#### 4. Eligible Pregnancy Episodes

Requester-specified continuous enrollment criteria are assessed relative to delivery date. Requesters must ensure continuous enrollment 1) during the entire pregnancy episode, and 2) during any pre-index date period assessing cohort exclusion criteria (Figure 12). These requirements impose stricter (lengthier) continuous enrollment requirements compared to other cohort identification strategies. In order to evaluate implications of these strict enrollment requirements, requesters will be able to evaluate output for 1) deliveries meeting all cohort inclusion criteria, and 2) deliveries meeting all cohort inclusion criteria *except* specified continuous enrollment criteria.

**Figure 12. Ensuring Appropriate Pre-delivery Continuous Enrollment Requirements**



#### 5. Number of Valid Pregnancies per Patient

All valid pregnancies per patient that meet requester criteria during the query period are identified.

#### 6. Identifying Comparator Episodes

For each identified pregnancy episode, a comparator episode is selected in order to compare medical product use during a defined period for which a woman is likely to not be pregnant. Pregnancy episodes are matched within Data Partner, to the first enrollment episode without a live birth that meets all inclusion/exclusion criteria, is the same (integer) age, and where pregnancy index date overlaps the eligible enrollment span. Women and comparator episodes are allowed to be used multiple times as controls, and women with a pregnancy episode are allowed to contribute a separate comparator episode.



## G. MEDICAL PRODUCT UTILIZATION COHORT IDENTIFICATION STRATEGY

The medical product utilization cohort identification strategy is used to characterize patterns of drug use. This strategy creates episodes of medical product exposure and outputs metrics characterizing patient use and dispensing patterns (e.g., the distribution of days supply per dispensing used to create the treatment episode, the distribution of treatment episode length, reason(s) for treatment episode censoring, and the number of gaps between treatment episodes).

### 1. Identifying Exposure and Creating Exposure Episodes

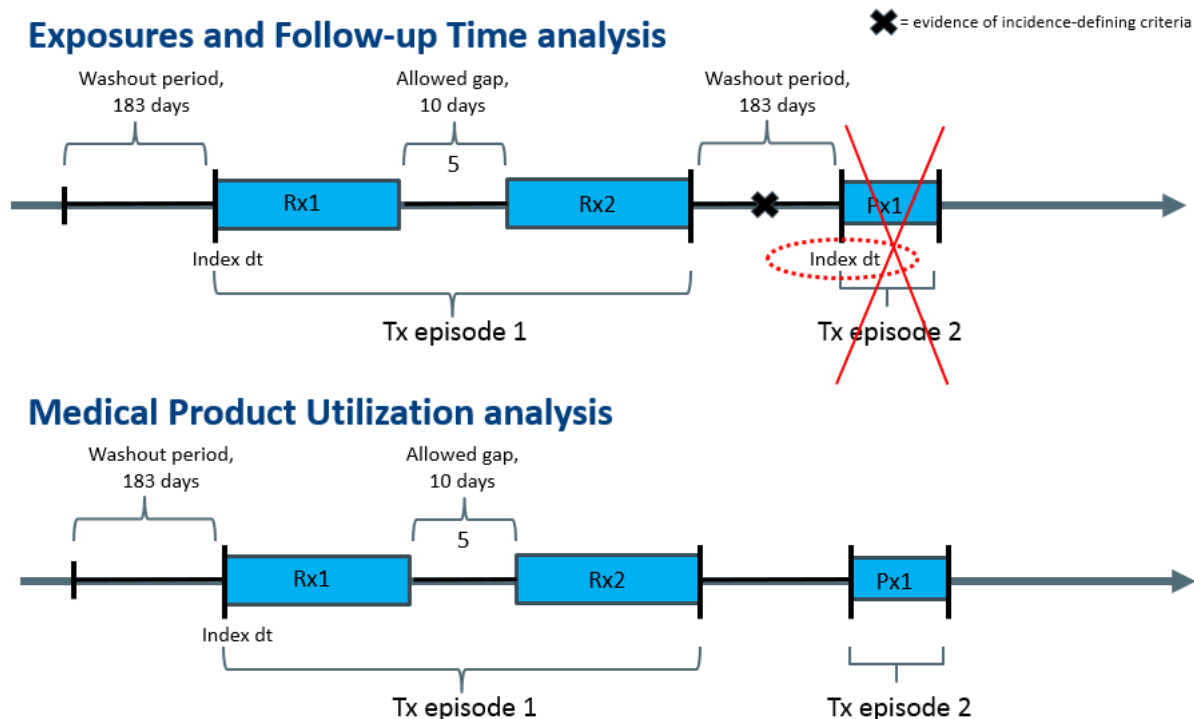
An exposure can be defined using any set of NDCs, procedure and/or diagnosis codes, and laboratory result values found in the SCDM. Procedure and diagnosis codes can be restricted to those observed in specific care settings (e.g., inpatient, outpatient) and diagnosis codes can be restricted by position (e.g., principal discharge diagnosis, secondary diagnosis). For example, exposure to a drug product dispensed in the outpatient setting can be defined as observation of one or more NDCs in the pharmacy dispensing table, whereas exposure to a vaccine can be defined based on observation of specific procedure codes in the procedure table.

The CIDA tool queries the SDD and extracts all codes indicative of exposure during the query period. NDCs are processed and dispensing dates are modified using the [stockpiling algorithm](#).

After dispensing dates are adjusted using the stockpiling algorithm, exposure episodes are created. Exposure episodes can be defined using outpatient pharmacy dispensing days supplied to create a) sequence of continuous exposure, and b) defining a specific number of days after exposure initiation as exposed time. The CIDA tool allows the option to censor exposure episodes based on requester-defined criteria. This could be based on the observation of any NDC, procedure code, diagnosis code, or laboratory result value of interest, or based on medical utilization like the occurrence of a hospitalization. If censoring criteria are observed during an exposure episode, the episode is truncated at the date of the observed criterion.

Unlike the [Exposures and Follow-up Time](#) cohort identification strategy, the Medical Product Utilization cohort identification strategy only defines one index date per patient. Incidence and pre-index enrollment requirements are only assessed for the first treatment episode during the query period, and all subsequent treatment episodes are included for evaluation. If the first episode does not meet cohort inclusion requirements, it is discarded along with all subsequent episodes for the patient (Figure 13).

**Figure 13. Difference Between Exposures and Follow-up and Medical Product Utilization Cohort Identification Strategies**



## IV. PROPENSITY SCORE ANALYSIS (PSA) TOOL

### A. OVERVIEW

The PSA tool performs effect estimation by comparing exposure propensity-score matched parallel new user cohorts or comparing a new user cohort to a never-exposed cohort. Propensity score estimation and matching are conducted within each Sentinel Data Partner site via distributed programming code; data are returned to the Sentinel Operations Center (SOC), aggregated, and used to calculate effect estimates.

Propensity scores may be estimated using requester-defined covariates and/or empirically identified covariates via a high dimensional propensity score (hdPS) approach. Patients in exposed and comparator cohorts are matched in 1:1 or variable 1:n ( $n \leq 10$ ) ratios within a requester-defined caliper.

As the PSA tool functions in a distributed database environment, propensity scores are estimated at each Data Partner site separately. Additionally, as the PSA tool is designed to support sequential analysis, patients are matched in each monitoring period and propensity scores are estimated for each monitoring period.

Note that empirical selection of covariates and fixed and variable ratio propensity score matching functionality was developed based on macros from the Pharmacoepidemiology Toolbox. The Pharmacoepidemiology Toolbox is developed and maintained by the Division of Pharmacoepidemiology

and Pharmacoeconomics in the Department of Medicine at Brigham and Women's Hospital (<http://www.drugepi.org/dope-downloads/>).

## **B. CIDA TOOL REQUIREMENTS AND OUTPUT PRE-PROCESSING**

Both exposure and comparator (active or never-exposed) cohorts for analysis are identified by the CIDA tool. These cohorts are created within the CIDA tool and are further processed by the PSA tool. If the PSA tool is executed, requesters must specify the following in the CIDA tool:

- Use the exposures and follow-up time cohort identification strategy
  - Can define exposed time using episodes created by outpatient pharmacy dispensings days supplied or requester-defined number of days after exposure initiation
- Allow only one exposure period per patient for exposure and comparator cohorts
- Exclude same day initiators of the exposure and comparator product of interest
  - This requires specifying an exclusion criterion on the index date for both cohorts
- Specify covariate list and evaluation window(s) for estimating propensity score

The PSA tool will also perform the following pre-processing steps on the CIDA tool output:

- If the same patient is identified in the exposure and comparator cohort by the CIDA tool, the patient is only retained in the cohort of earliest exposure
- If in the CIDA tool output a patient initiates treatment with the exposure and comparator product on the same day, the patient is discarded from analysis
  - This should be specified by the CIDA tool, but the PSA tool will automatically check to see if the exposure and comparators of interest are initiated on the same day and exclude the patient from analysis.

## **C. PROPENSITY SCORE ESTIMATION**

A propensity score is estimated for every patient using logistic regression with exposure as the dependent variable and potential confounders as independent variables. Each patient's predicted probability of exposure (given their observed covariates) is their propensity score.

Requesters may specify covariates for inclusion in the propensity score estimation model and/or empirically identify covariates via a hdPS approach.

### **1. Requester-defined Covariates**

Requesters may define a list of binary covariates to include in the propensity score estimation model (e.g., history of diabetes, heart failure, etc.). If a clinical concept can be defined using any combination of NDCs, diagnosis and/or procedure codes, it can be included as a binary covariate in the propensity score estimation model.

Requesters may also choose to add any of the following categorical, continuous, or count metrics to the propensity score estimation model:

1. Age (continuous)
2. Sex
3. Race
4. Hispanic
5. Time period (i.e., monitoring period for sequential analyses)
6. Year of exposure
7. Comorbidity score
8. Medical utilization – number of inpatient stays
9. Medical utilization – number of institutional stays
10. Medical utilization – number of emergency department visits
11. Medical utilization – number of outpatient visits
12. Health care utilization – number of other ambulatory encounters (e.g., telemedicine, email consults)
13. Drug utilization – number of dispensings
14. Drug utilization – number of unique generics dispensed
15. Drug Utilization – number of unique drug classes dispensed

## 2. Empirically Identified Covariates

Requesters may also empirically-identify covariates for inclusion in the propensity score estimation model via a hdPS approach. The hdPS option allows for selection of empirically identified covariates based on the potential for confounding the exposure/outcome association under investigation. There are several requester options available for hdPS estimation:

- Number of covariates to consider for inclusion in the hdPS model for each *data dimension*. There are seven data dimensions considered: 1) drug class; 2) ICD-9-CM diagnosis codes; 3) ICD-10-CM diagnosis codes; 4) ICD-9-CM procedure codes; 5) ICD-10-CM procedure codes; 6) Healthcare Common Procedure Coding System (HCPCS) codes; and 7) Current Procedural Terminology (CPT) codes.
- Maximum number of covariates to include in the hdPS model. Note that this requester-defined maximum is overwritten by the smallest number of new users in either the exposure or comparator cohort.
- Method for ranking/prioritizing covariates for inclusion in the hdPS model. Options include:
  - Exposure association ranking (default): yields a variable list in which the variables are selected as ranked by the strength of the relationship between covariate and exposure. This is most suitable for cases where there are fewer than 150 exposed outcomes.
  - Outcome association ranking: yields a variable list in which the variables are selected as ranked by the strength of the relationship between confounder and the outcome. This is most suitable for disease risk scores.
  - Bias ranking: yields a variable list in which variables are selected as ranked by the Bross bias formula.<sup>7</sup>

<sup>7</sup> Bross IDJ. Spurious effects from an extraneous variable. J Chronic Dis. 1966 Jun;19(6):637-47.

## D. PROPENSITY SCORE MATCHING

In propensity score matched analyses, patients in an exposed group are matched to patients in a comparator group with similar propensity scores. The comparator group can be an active-comparator or a never-exposed comparator. Patients in exposed and comparator cohorts may be matched in 1:1 or variable 1:n ( $n \leq 10$ ) ratios within a requester-defined caliper. A caliper specifies a maximum matching distance and is specified on the natural scale (e.g., 0.01, 0.025, 0.05) of the propensity score. A caliper can be any number between 0 and 1 (value can be specified to the eighth decimal place). The objective of the matching algorithm is to minimize the global absolute difference between matched pairs across all matches. [Section VII.C](#) provides a detailed description of the matching algorithm and examples.

## E. EFFECT ESTIMATION

Data returned to the SOC by participating Data Partners are aggregated and analyzed to produce effect estimates and p-values. How effect estimation is performed is dependent on the level of data requested from participating Data Partner sites.

### 1. Individual-level Data Return

The program may return individual-level, de-identified datasets to SOC for exposed and active-comparator cohorts. While the datasets contain a single row per patient for each specified analysis, patient identifiers such as PatID are not included in the output. Individual-level datasets are returned to the SOC, aggregated, and used to calculate effect estimates via Cox (proportional hazards) regression. Based on requester needs, the program can calculate an effect estimate for the base population (i.e., all patients eligible to be matched) adjusted by Data Partner, and two effect estimates for the matched population: a conditional and unconditional analysis.

- Unmatched analysis: a Cox model, stratified by Data Partner site, is run on the eligible population.
- Matched analysis (conditional): a Cox model, stratified by Data Partner site and matched set, is run on the matched population. This can be done for both the both 1:1 and 1:n matched cohorts.
- Matched analysis (unconditional): a Cox model, stratified by Data Partner site only, is run on the matched population. This can be done for the 1:1 matched cohort only.

### 2. Risk-set-level Data Return

An alternative to the patient-level data return approach is risk-set level data return. In this approach, the PSA tool will produce de-identified, risk-set level datasets instead of or in addition to individual-level output. Whereas each observation in the patient-level datasets represents one patient in the cohort, each observation in the risk set dataset represents one event. Risk sets are created at the Data Partner

site, returned to the SOC, aggregated, and used to calculate effect estimates via case-centered logistic regression.<sup>8</sup>

Risk sets are created to support unmatched analyses, conditional matched analyses for the 1:1 and 1:n matched populations, and unconditional matched analyses for the 1:1 matched population.

#### a) Creating Risk-set Level Datasets for Unmatched Analyses

For unmatched analyses, risk sets are created within the entire eligible population. To convert individual-level datasets to risk set-level datasets, the following steps are taken:

1. The individual-level data is sorted by follow-up time. Follow-up time is the number of days a patient is followed post-exposure until they are either censored or have an event.
2. Each patient with an event is selected to contribute to a risk set.
3. The probability of exposure in the risk set is calculated using each patient with follow-up time greater than or equal to the follow-up time of the case.

Table 1 includes example output for an individual-level, de-identified dataset; Table 2 includes an example of a translating the individual-level dataset to a risk-set level dataset.

**Table 1. Example individual-level output, unmatched analyses**

Exposure (1=treated)	Follow-Up Time (days)	Study Class	Event (1=event)	Add to Risk Level Data Set?
1	39	exposure	1	Yes
1	39	exposure	1	Yes
0	71	comparator	1	Yes
0	72	comparator	0	No

**Table 2. Example translation to risk set level output, unmatched analyses**

Risk Set ID (event indicator)	Case Exposure (1=treated)	Exposure Probability (in risk set)	Follow-Up Time (days)	Number Exposed In Risk Set	Number In Risk Set
1	1	0.5	39	2	4
2	1	0.5	39	2	4
3	0	0	71	0	2
	0		72		

In Table 1 and Table 2, four patients in an unmatched analysis are converted to three risk sets.

There are three events, all of which are output to the risk set dataset with their corresponding follow-up time and exposure status. The exposure probability for the first risk set (Risk Set ID =1) is calculated by taking the number of exposed individuals in the eligible population with follow-up greater than or equal

<sup>8</sup> Fireman B, Lee J, Lewis N, et al. Influenza vaccination and mortality: differentiating vaccine effects from bias. Am J Epidemiol 2009;170(5):650-656.

to the case (2) divided by the number of patients left in the risk set (4). The same process is repeated for the second event (Risk Set ID = 2) and third event (Risk Set ID = 3).

### b) Creating Risk-set Level Datasets for Matched Analyses (Conditional)

For conditional analyses, risk sets are created within each matched set in the analysis. To convert individual-level datasets to risk set-level datasets, the following steps are taken:

1. The individual-level data is sorted by follow-up time within each matched set. Follow-up time is the number of days a patient is followed post-exposure until they are either censored or have an event.
2. Within each matched set, each patient with an event is selected to contribute to a risk set.
3. The probability of exposure in the risk set is calculated using each patient in the matched set with follow-up time greater than or equal to the follow-up time of the case.

Table 3 includes example output for an individual-level, de-identified dataset; Table 4 includes an example of a translating the individual-level dataset to a risk-set level dataset.

**Table 3. Example individual-level output, conditional analysis**

Match ID	Exposure (1=treated)	Follow-Up Time (days)	Study Class	Event (1=event)	Add to Risk Level Data Set?
1	1	39	exposure	0	No
<b>1</b>	<b>0</b>	<b>145</b>	<b>comparator</b>	<b>1</b>	<b>Yes</b>
<b>1</b>	<b>0</b>	<b>191</b>	<b>comparator</b>	<b>1</b>	<b>Yes</b>
2	1	55	exposure	0	No
2	0	61	comparator	0	No
2	0	99	comparator	0	No
<b>3</b>	<b>1</b>	<b>39</b>	<b>exposure</b>	<b>1</b>	<b>Yes</b>
<b>3</b>	<b>0</b>	<b>39</b>	<b>comparator</b>	<b>1</b>	<b>Yes</b>
3	0	72	comparator	0	No
<b>4</b>	<b>0</b>	<b>39</b>	<b>comparator</b>	<b>1</b>	<b>Yes</b>
4	0	39	comparator	0	No
<b>4</b>	<b>0</b>	<b>71</b>	<b>comparator</b>	<b>1</b>	<b>Yes</b>
<b>4</b>	<b>1</b>	<b>79</b>	<b>exposure</b>	<b>1</b>	<b>Yes</b>
<b>4</b>	<b>0</b>	<b>84</b>	<b>comparator</b>	<b>1</b>	<b>Yes</b>

**Table 4. Example translation to risk set level output, conditional analysis**

Match ID	Risk Set ID (event indicator)	Case Exposure (1=treated)	Exposure Probability (in risk set)	Follow-Up Time (days)	Number Exposed In Risk Set	Number In Risk Set
1		1		39		
<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>145</b>	<b>0</b>	<b>2</b>
<b>1</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>191</b>	<b>0</b>	<b>1</b>
2		1		55		
2		0		61		
2		0		99		
<b>3</b>	<b>3</b>	<b>1</b>	<b>0.33</b>	<b>39</b>	<b>1</b>	<b>3</b>
<b>3</b>	<b>4</b>	<b>0</b>	<b>0.33</b>	<b>39</b>	<b>1</b>	<b>3</b>
3		0		72		
<b>4</b>	<b>5</b>	<b>0</b>	<b>0.2</b>	<b>39</b>	<b>1</b>	<b>5</b>
4		0		39		
<b>4</b>	<b>6</b>	<b>0</b>	<b>0.33</b>	<b>71</b>	<b>1</b>	<b>3</b>
<b>4</b>	<b>7</b>	<b>1</b>	<b>0.5</b>	<b>79</b>	<b>1</b>	<b>2</b>
<b>4</b>	<b>8</b>	<b>0</b>	<b>0</b>	<b>84</b>	<b>0</b>	<b>1</b>

In Table 3 and Table 4, fifteen patients in a matched analysis are converted to 8 risk sets.

In the first matched set (Match ID = 1), there are two events; both are output to the risk set dataset with their corresponding follow-up time and exposure status. The exposure probability for the first risk set (Risk Set ID =1) is calculated by taking the number of exposed individuals in the matched set with follow-up greater than or equal to the case (0) divided by the number of patients left in the risk set (2). The same process is repeated for the second event (Risk Set ID = 2). For the second matched set (Match ID= 2), there are no events, so no risk sets are created. For the third matched set (Match ID= 3), two patients have an event, both with a follow-up time of 39 days. For both patients, there are three individuals with follow-up time equal to or greater than 39, of which one is exposed. Thus, for each risk set, the exposure probability is 0.33.

For the fourth matched set (Match ID= 4), there are five patients, of which four have events. The first event occurs at 39 days of follow-up. The exposure probability is calculated as 0.2 (one individual in the exposed group / five individuals in the matched set). A risk set is created for each of the other events.

Note that “uninformative” risk sets (i.e., risk sets with only exposed or only comparator patients) will be output with an exposure probability of 0 or 1. While included on the risk-set level dataset, these risk-sets will be discarded in a case-centered logistic regression.



### c) Creating Risk-set Level Datasets for Matched Analyses (Unconditional)

For unconditional analyses, risk sets are created within the entire matched population. To convert individual-level datasets to risk set-level datasets, the following steps are taken:

1. The individual-level data for the matched population is sorted by follow-up time. Follow-up time is the number of days a patient is followed post-exposure until they are either censored or have an event.
2. Each patient with an event is selected to contribute to a risk set.
3. The probability of exposure in the risk set is calculated using each patient in the matched population with follow-up time greater than or equal to the follow-up time of the case.

The risk-set level creation process is similar to the one used for the unmatched analyses, except the base population is all matched patients instead of the entire eligible population.

### 3. Effect Estimation Summary

To summarize, the effect estimation method depends on the data returned to SOC and the proposed analysis (Table 5).

**Table 5. Effect Estimation Process Summary**

Analysis Type	Effect Estimation	
	<i>Individual-level data return</i>	<i>Risk-set-level data return</i>
Unmatched	<p><u>Population</u>: all exposed and comparator patients</p> <p><u>Method</u>: Cox proportional hazards model stratified by DP</p>	<p><u>Population</u>: all exposed and comparator patients</p> <p><u>Method</u>: risk-sets created within the entire eligible population; case-centered logistic regression.</p>
Matched, Conditional	<p><u>Population</u>: all matched patients</p> <p><u>Method</u>: Cox proportional hazards model stratified by DP and matched set</p>	<p><u>Population</u>: all matched patients</p> <p><u>Method</u>: risk-sets created within each matched set; case-centered logistic regression.</p>
Matched, Unconditional (1:1 matching only)	<p><u>Population</u>: all matched patients</p> <p><u>Method</u>: Cox proportional hazards model stratified by DP</p>	<p><u>Population</u>: all matched patients</p> <p><u>Method</u>: risk-sets created within the matched population; case-centered logistic regression.</p>

#### 4. A Note on P-value Computation

Due to computational differences between the procedures used to calculate p-values, there may be slight differences in p-values between risk-set and individual-level data analyses. In theory, the procedure used for the Cox regression (PROC PHREG) and the procedure used for the case-centered logistic regression (PROC GENMOD) maximize the same likelihood function; however, since they use slightly different numeric algorithms, there may be minor differences in numeric results. Requesters comparing individual-level and risk-set-level results for the same analyses should expect minor p-value differences.

#### 5. Subgroup Analyses

Subgroup analyses may be conducted using any requester-defined covariates. Subgroup analyses may be performed in the eligible population and the matched population. The method for effect estimation varies depending on the level of data returned to SOC:

- **Unmatched analysis:** all eligible patients are included. The exposure and comparator cohorts are subset based on the values of the subgroup variable and effect estimation is performed.
  - Individual level data return: a Cox model, stratified by Data Partner site, is run on each level of the subgroup variable
  - Risk-set level data return: risk-sets are created within levels of the subgroup variable (and by Data Partner) and estimation is performed using case-centered logistic regression
- **Matched analysis (conditional):** all matched patients are included (i.e., for 1:1 matched analyses, only exposed and comparator patients selected in the 1:1 match are considered; for 1:n matched analyses, only exposed and comparator patients selected in the 1:n match are considered). The matched cohort is subset based on the values of the subgroup variable and re-matched within values of the subgroup variable (using specified matching ratio and caliper).
  - Individual level data return: a Cox model, stratified by Data Partner site and matched set, is run on each level of the subgroup variable. This can be done for both the 1:1 and 1:n matched cohorts.
  - Risk-set level data return: risk-sets are created within levels of the subgroup variable (and by Data Partner) and within matched set; estimation is performed using case-centered logistic regression. This can be done for both the 1:1 and 1:n matched cohorts.
- **Matched analysis (unconditional):** all matched patients are included (i.e., for 1:1 matched analyses, only exposed and comparator patients selected in the 1:1 match are considered). The matched cohort is subset based on the values of the subgroup variable and re-matched within values of the subgroup variable (using specified matching ratio and caliper).
  - Individual level data return: a Cox model, stratified by Data Partner site only, is run on each level of the subgroup variable. This can be done for the 1:1 matched cohort only.
  - Risk-set level data return: risk-sets are created within levels of the subgroup variable (and by Data Partner); estimation is performed using case-centered logistic regression. This can be done for the 1:1 matched cohort only.

## F. PROPENSITY SCORE PERCENTILE STRATIFICATION

The PSA tool can also stratify propensity scores based on requester-defined percentiles. Note that all patients identified in exposure and comparator cohorts are used in the analysis (i.e., eligible patients that were not included in the matched analyses are included in this analysis).

The method for effect estimation varies depending on the level of data returned to SOC:

**Individual-level data return:** patients are subset based on requester-defined subsets of propensity score percentile. A Cox model, stratified by Data Partner site and propensity score percentile, is run on each percentile subset and on the overall population.

**Risk set-level data return:** risk-sets are created within each percentile subset (and by Data Partner); estimation is performed using case-centered logistic regression run on each percentile subset and on the overall population.

## G. OUTPUT

The PSA tool automatically generates tables of patient characteristics, stratified by exposure group, for the unmatched cohort and matched cohort, separately for each Data Partner and each monitoring period. Tables include measures of covariate balance, including absolute and standardized differences, which indicate balance in specific variables, and the Mahalanobis distance<sup>9,10</sup>, which provides a measure of balance across all variables while accounting for their correlation. The tables also include the number of patients in each exposure group, the number matched from each group (where appropriate), the number that experienced HOIs, and the mean person-time of follow-up.

The program also automatically generates histograms depicting the propensity score distributions for each exposure group, separately for each Data Partner and each monitoring period, and before and after matching. Figures include c-statistics for each propensity score model.

### 1. Kaplan-Meier Plots

The PSA tool will automatically produce de-identified, aggregated data sets summarizing follow-up days, number of exposed and unexposed on each day, and the number of events on each day for exposed and unexposed groups. This dataset is returned to the SOC, aggregated, and used to produce Kaplan-Meier plots.

## V. MULTIPLE FACTOR MATCHING (MFM) TOOL

### A. OVERVIEW

The MFM tool performs effect estimation by comparing exposure exact matched parallel new user cohorts or comparing a new user cohort to a never-exposed cohort. Multiple factor matching is

<sup>9</sup> Mahalanobis PC. On the generalized distance in statistics. Proc Natl Inst Sci (India). 1936; 12: 49-55

<sup>10</sup> Rassen JA, Brookhart MA, Glynn RJ, Mittleman MA, Schneeweiss S. Instrumental variables II: in 25 variations, the physician prescribing preference generally was strong and reduced imbalance. J Clin Epidemiol. 2009; 62: 1233-41.

conducted within each Sentinel Data Partner site via distributed programming code; data are returned to the Sentinel Operations Center (SOC), aggregated, and used to calculate effect estimates.

The MFM tool will find an exact match between patients in exposed and comparator cohorts based on any requester-defined combination of sex, age group, and/or year of index date. Patients in exposed and comparator cohorts are matched in 1:1 or variable 1:n ( $n \leq 10$ ) ratios.

## **B. CIDA TOOL REQUIREMENTS AND OUTPUT PRE-PROCESSING**

Both the exposed and comparator cohorts for analysis are identified by the CIDA tool. These cohorts are created within the CIDA tool and are further processed by the MFM tool. If the MFM tool is executed, requesters must specify the following in the CIDA tool:

- Use the exposures and follow-up time cohort identification strategy
  - Can define exposed time using episodes created by outpatient pharmacy dispensings days supplied or requester-defined number of days after exposure initiation
- Allow only one exposure period per patient for exposure and comparator cohorts
- Exclude same day initiators of the exposure and comparator product of interest
  - This requires specifying an exclusion criterion on the index date for both cohorts
  - For example, if exposure is Drug A and the comparator is Drug B and a member is dispensed both Drug A and Drug B on the same day, CIDA will exclude this member from the analysis

The MFM tool will also perform the following pre-processing steps on the CIDA tool output:

- If the same patient is identified in the exposure and comparator cohort by the CIDA tool, the patient is only retained in the cohort of earliest exposure
- If in the CIDA tool output a patient initiates treatment with the exposure and comparator product on the same day, the patient is discarded from analysis
  - This should be specified by the CIDA tool, but the MFM tool will automatically check to see if the exposure and comparators of interest are initiated on the same day and exclude the patient from analysis.

## C. EFFECT ESTIMATION

Data returned to the SOC by participating Data Partners are aggregated and analyzed to produce effect estimates and p-values. How effect estimation is performed is dependent on the level of data requested from participating Data Partner sites.

### 1. Individual-level Data Return

The program may return individual-level, de-identified datasets to SOC for exposed and active-comparator cohorts. These individual-level datasets are not available for never-exposed cohorts. While the datasets contain a single row per patient for each specified analysis, patient identifiers such as PatID are not included in the output. Individual-level datasets are returned to the SOC, aggregated, and used to calculate effect estimates via Cox (proportional hazards) regression. Based on requester needs, the program can calculate an effect estimate for the base population (i.e., all patients eligible to be matched) adjusted by Data Partner, and two effect estimates for the matched population: a conditional and unconditional analysis.

- Unmatched analysis: a Cox model, stratified by Data Partner site, is run on the eligible population.
- Matched analysis (conditional): a Cox model, stratified by Data Partner site and matched set, is run on the matched population. This can be done for both the both 1:1 and 1:n matched cohorts.
- Matched analysis (unconditional): a Cox model, stratified by Data Partner site only, is run on the matched population. This can be done for the 1:1 matched cohort only.

### 2. Risk-set-level Data Return

An alternative to the patient-level data return approach is risk-set level data return. In this approach, the MFM tool will produce de-identified, risk-set level datasets instead of or in addition to individual-level output. Whereas each observation in the patient-level datasets represents one patient in the cohort, each observation in the risk set dataset represents one event. Risk sets are created at the Data Partner site, returned to the SOC, aggregated, and used to calculate effect estimates via case-centered logistic regression.<sup>11</sup>

Risk sets are created to support unmatched analyses, conditional matched analyses for the 1:1 and 1:n matched populations, and unconditional matched analyses for the 1:1 matched population.

#### a) Creating Risk-set Level Datasets for Unmatched Analyses

For unmatched analyses, risk sets are created within the entire eligible population. To convert individual-level datasets to risk set-level datasets, the following steps are taken:

<sup>11</sup> Fireman B, Lee J, Lewis N, et al. Influenza vaccination and mortality: differentiating vaccine effects from bias. Am J Epidemiol 2009;170(5):650-656.

1. The individual-level data is sorted by follow-up time. Follow-up time is the number of days a patient is followed post-exposure until they are either censored or have an event.
2. Each patient with an event is selected to contribute to a risk set.
3. The probability of exposure in the risk set is calculated using each patient with follow-up time greater than or equal to the follow-up time of the case.

Table 1 includes example output for an individual-level, de-identified dataset; Table 2 includes an example of a translating the individual-level dataset to a risk-set level dataset.

**Table 6. Example individual-level output, unmatched analyses**

Exposure (1=treated)	Follow-Up Time (days)	Study Class	Event (1=event)	Add to Risk Level Data Set?
1	39	exposure	1	Yes
1	39	exposure	1	Yes
0	71	comparator	1	Yes
0	72	comparator	0	No

**Table 7. Example translation to risk set level output, unmatched analyses**

Risk Set ID (event indicator)	Case Exposure (1=treated)	Exposure Probability (in risk set)	Follow-Up Time (days)	Number Exposed In Risk Set	Number In Risk Set
1	1	0.5	39	2	4
2	1	0.5	39	2	4
3	0	0	71	0	2
	0		72		

In Table 1 and Table 2, four patients in an unmatched analysis are converted to three risk sets.

There are three events, all of which are output to the risk set dataset with their corresponding follow-up time and exposure status. The exposure probability for the first risk set (Risk Set ID =1) is calculated by taking the number of exposed individuals in the eligible population with follow-up greater than or equal to the case (2) divided by the number of patients left in the risk set (4). The same process is repeated for the second event (Risk Set ID = 2) and third event (Risk Set ID = 3).

#### **b) Creating Risk-set Level Datasets for Matched Analyses (Conditional)**

For conditional analyses, risk sets are created within each matched set in the analysis. To convert individual-level datasets to risk set-level datasets, the following steps are taken:

1. The individual-level data is sorted by follow-up time within each matched set. Follow-up time is the number of days a patient is followed post-exposure until they are either censored or have an event.
2. Within each matched set, each patient with an event is selected to contribute to a risk set.
3. The probability of exposure in the risk set is calculated using each patient in the matched set with follow-up time greater than or equal to the follow-up time of the case.

Table 3 includes example output for an individual-level, de-identified dataset; Table 4 includes an example of a translating the individual-level dataset to a risk-set level dataset.

**Table 8. Example individual-level output, conditional analysis**

Match ID	Exposure (1=treated)	Follow-Up Time (days)	Study Class	Event (1=event)	Add to Risk Level Data Set?
1	1	39	exposure	0	No
1	0	145	comparator	1	Yes
1	0	191	comparator	1	Yes
2	1	55	exposure	0	No
2	0	61	comparator	0	No
2	0	99	comparator	0	No
3	1	39	exposure	1	Yes
3	0	39	comparator	1	Yes
3	0	72	comparator	0	No
4	0	39	comparator	1	Yes
4	0	39	comparator	0	No
4	0	71	comparator	1	Yes
4	1	79	exposure	1	Yes
4	0	84	comparator	1	Yes

**Table 9. Example translation to risk set level output, conditional analysis**

Match ID	Risk Set ID (event indicator)	Case Exposure (1=treated)	Exposure Probability (in risk set)	Follow-Up Time (days)	Number Exposed In Risk Set	Number In Risk Set
1		1		39		
1	1	0	0	145	0	2
1	2	0	0	191	0	1
2		1		55		
2		0		61		
2		0		99		
3	3	1	0.33	39	1	3
3	4	0	0.33	39	1	3
3		0		72		
4	5	0	0.2	39	1	5
4		0		39		
4	6	0	0.33	71	1	3
4	7	1	0.5	79	1	2
4	8	0	0	84	0	1

In Table 3 and Table 4, fifteen patients in a matched analysis are converted to 8 risk sets.

In the first matched set (Match ID = 1), there are two events; both are output to the risk set dataset with their corresponding follow-up time and exposure status. The exposure probability for the first risk set (Risk Set ID =1) is calculated by taking the number of exposed individuals in the matched set with follow-up greater than or equal to the case (0) divided by the number of patients left in the risk set (2). The same process is repeated for the second event (Risk Set ID = 2). For the second matched set (Match ID= 2), there are no events, so no risk sets are created. For the third matched set (Match ID= 3), two patients have an event, both with a follow-up time of 39 days. For both patients, there are three individuals with follow-up time equal to or greater than 39, of which one is exposed. Thus, for each risk set, the exposure probability is 0.33.

For the fourth matched set (Match ID= 4), there are five patients, of which four have events. The first event occurs at 39 days of follow-up. The exposure probability is calculated as 0.2 (one individual in the exposed group / five individuals in the matched set). A risk set is created for each of the other events.

Note that “uninformative” risk sets (i.e., risk sets with only exposed or only comparator patients) will be output with an exposure probability of 0 or 1. While included on the risk-set level dataset, these risk-sets will be discarded in a case-centered logistic regression.

### **c) Creating Risk-set Level Datasets for Matched Analyses (Unconditional)**

For unconditional analyses, risk sets are created within the entire matched population. To convert individual-level datasets to risk set-level datasets, the following steps are taken:

1. The individual-level data for the matched population is sorted by follow-up time. Follow-up time is the number of days a patient is followed post-exposure until they are either censored or have an event.
2. Each patient with an event is selected to contribute to a risk set.
3. The probability of exposure in the risk set is calculated using each patient in the matched population with follow-up time greater than or equal to the follow-up time of the case.

The risk-set level creation process is similar to the one used for the unmatched analyses, except the base population is all matched patients instead of the entire eligible population.



### 3. Effect Estimation Summary

To summarize, the effect estimation method depends on the data returned to SOC and the proposed analysis (Table 5).

**Table 10. Effect Estimation Process Summary**

Analysis Type	Effect Estimation	
	<i>Individual-level data return</i>	<i>Risk-set-level data return</i>
Unmatched	<p><u>Population</u>: all exposed and comparator patients</p> <p><u>Method</u>: Cox proportional hazards model stratified by DP</p>	<p><u>Population</u>: all exposed and comparator patients</p> <p><u>Method</u>: risk-sets created within the entire eligible population; case-centered logistic regression.</p>
Matched, Conditional	<p><u>Population</u>: all matched patients</p> <p><u>Method</u>: Cox proportional hazards model stratified by DP and matched set</p>	<p><u>Population</u>: all matched patients</p> <p><u>Method</u>: risk-sets created within each matched set; case-centered logistic regression.</p>
Matched, Unconditional (1:1 matching only)	<p><u>Population</u>: all matched patients</p> <p><u>Method</u>: Cox proportional hazards model stratified by DP</p>	<p><u>Population</u>: all matched patients</p> <p><u>Method</u>: risk-sets created within the matched population; case-centered logistic regression.</p>

### 4. A Note on P-value Computation

Due to computational differences between the procedures used to calculate p-values, there may be slight differences in p-values between risk-set and individual-level data analyses. In theory, the procedure used for the Cox regression (PROC PHREG) and the procedure used for the case-centered logistic regression (PROC GENMOD) maximize the same likelihood function; however, since they use slightly different numeric algorithms, there may be minor differences in numeric results. Requesters comparing individual-level and risk-set-level results for the same analyses should expect minor p-value differences.

### D. OUTPUT

The MFM tool automatically generates tables of patient characteristics, stratified by exposure group, for the unmatched cohort and matched cohort, separately for each Data Partner and each monitoring period. Tables include measures of covariate balance, including absolute and standardized differences, which indicate balance in specific variables. The tables also include the number of patients in each exposure group, the number matched from each group (where appropriate), the number that experienced HOIs, and the mean person-time of follow-up.

## VI. PROSPECTIVE SURVEILLANCE WITH QUERYING TOOLS

Sentinel querying tools are designed to support prospective surveillance activities in addition to one-time comparative analyses. Prospective surveillance can be performed using either the self-controlled risk interval design or with a propensity score-matched new user parallel cohort design.

Surveillance requires multiple executions of one (e.g., CIDA) or multiple (e.g., CIDA + PSA) querying tools across dynamic databases over time. This section briefly describes the Data Partner database update process, implications for prospective surveillance, and considerations and options for both the propensity score matched design and self-controlled risk interval design.

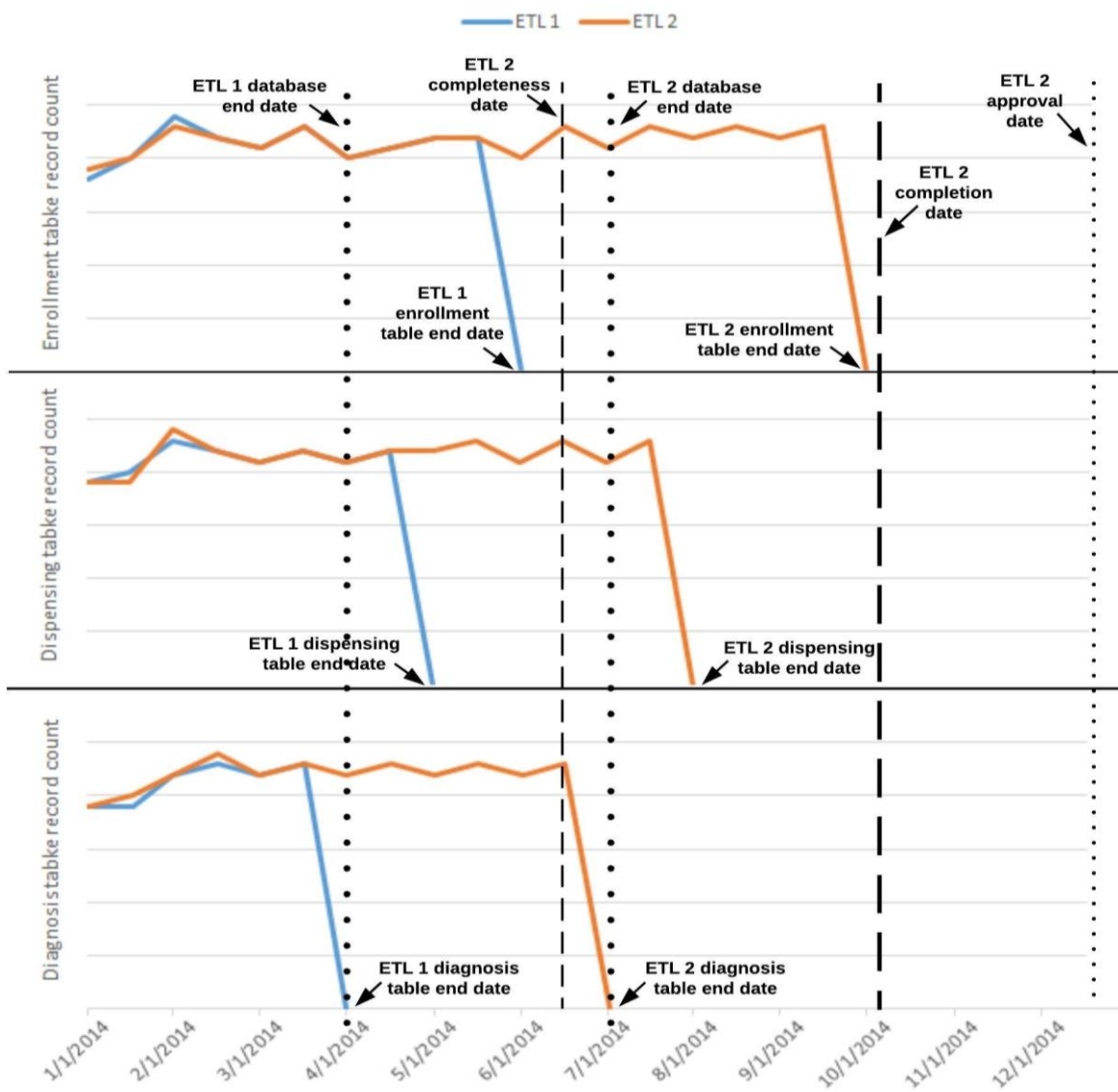
### A. DATA PARTNER DATABASE UPDATE PROCESS

In order to create the Sentinel database, Data Partners extract data from multiple, local source systems, transform that data into the SCDM format, and load the information into a data warehouse to enable routine querying. This process, known as the extract, transform, load (ETL) process, is completed on a quarterly or annual basis by Data Partners to update and refresh data available to the Sentinel system. Each subsequent ETL process refreshes information from the previous version of the database, and updates the database with newly available information.

How quickly information becomes available and included in an ETL is variable by the type and source of data, and therefore may be variable by SCDM table. For example, Data Partners may have near real-time access to information about patient enrollment; however, information from claims-based systems to populate diagnosis and procedure tables may take longer to become available due to adjudication and other administrative processes. It is important, therefore, to understand the start and end dates of data availability for each table in the SCDM, to understand how recency and completeness of data for any given table may impact analyses.

Figure 14 displays trends in SCDM enrollment, dispensing, and diagnosis table record counts over time for two Data Partner ETLs. The figure demonstrates how data for newly available time periods are added with each successive ETL, and how underlying data may change for the same time period across ETLs.

Figure 14. ETL Update Process and SCDM Tables



There are several key concepts illustrated in Figure 14:

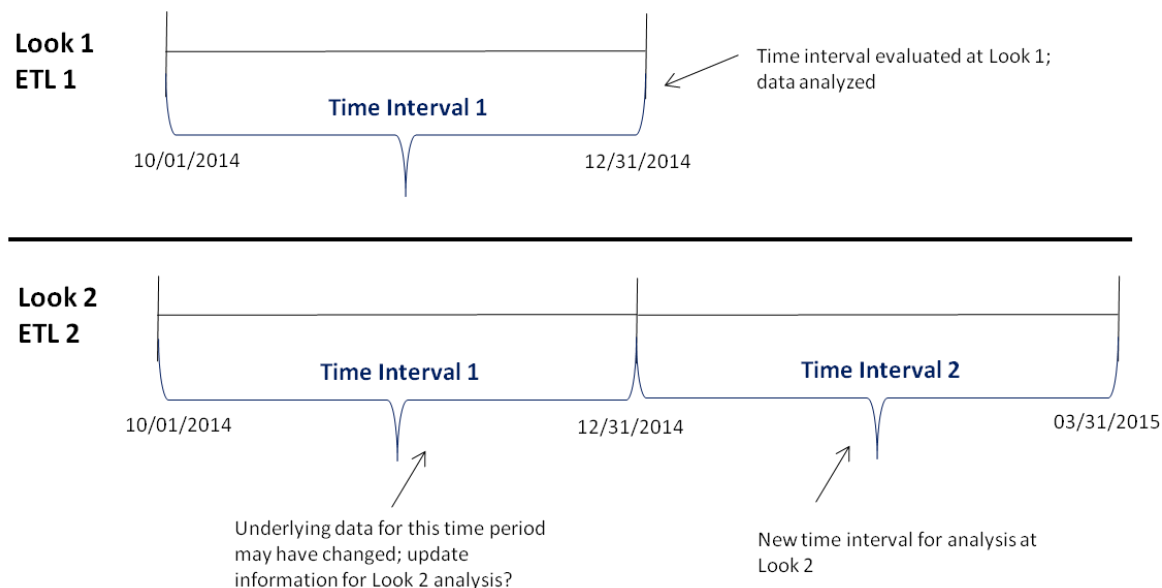
- **Table end date:** the last date for which there is a record in a database table. In Figure 14, the ETL 1 table end dates are 5/31/2014, 4/30/2014, and 3/31/2014 for the enrollment, dispensing, and diagnosis tables, respectively. The ETL 2 table end dates are 9/30/2014, 7/31/2014, and 6/30/2014 for the enrollment, dispensing, and diagnosis tables, respectively. Table end dates vary across SCDM tables (based on availability of source data) and each table is updated with 3-4 months of data from ETL 1 to ETL 2.
- **Database end date:** the earliest date across all SCDM table end dates, i.e., the last date on which all SCDM tables have at least one record.
- **Completeness date:** date on which data are deemed to be sufficiently complete for a particular query (i.e., the requester-defined completeness date determines the query end date for each program package execution). There is an inherent tradeoff between recency, or freshness, of data and data completeness; requesters must assess the cost/benefit of waiting for data to settle versus timeliness of analyses for every query. Figure 14 illustrates a potential data-driven completeness date based on evidence of record count stability. It evaluates a table completeness date (e.g., last date for which record counts appear to be stable) and then selects the earliest table completeness date to determine the ETL completeness date. There are several algorithms that could be employed to set a completeness date but, conceptually, the requester must determine the acceptable tradeoff between recency of data and data completeness for a particular request.
- **Data Partner ETL completion date:** this date coincides with the completion of the ETL process by the Data Partner. There will naturally be a lag between the database table end dates and the ETL completion date, as partners need time to create the ETL and perform quality assurance (QA) procedures.
- **ETL approval date:** this is the date that the database passes all QA checks evaluated by the Sentinel Operations Center (MSOC). This is also the date that the ETL becomes available for use in routine queries. In Figure 14, the ETL becomes available for use in queries on 12/15/2014, while the database completeness date is 6/15/2014. This lag in data availability is a function of the Data Partner's ETL process and MSOC's quality assurance processes.

Understanding Data Partner ETL processes and data availability is critical for requesters planning a surveillance activity; understanding how Data Partners' dynamic databases are changing over time is necessary to select appropriate routine querying tools and options.

## 1. Underlying Data Changes in Dynamic Databases

For prospective surveillance activities, typically, a program package is executed each time a Data Partner ETL is approved (i.e., each "look" at a Data Partner's data typically occurs after a database update). For example, "Look 1" at a Data Partner's data may execute on ETL 1; "Look 2," then, would execute on ETL 2. Given that each subsequent ETL may contain both new data (i.e., data for time intervals not previously included in the database) and refreshed data (i.e., modified data for time intervals previously included in the database), decisions must be made during prospective surveillance activities on whether information from time periods previously evaluated during a surveillance activity should be updated to reflect the most recent version of the database (Figure 15).

**Figure 15. Look and Time Interval Concepts for Prospective Surveillance**



An important factor for consideration in these decisions is whether the study design allows a fixed or variable risk window. In a fixed risk window design (e.g., SCRI design), requesters may require that the exposure and follow-up duration occur within a single time interval. This could eliminate the need to update previous time interval information across ETL versions, as a single ETL could provide complete information on exposure, follow-up time, and occurrence of health outcomes of interest. Once data has been analyzed for a specific time interval, information is never updated or analyzed again.

This approach is problematic in a variable risk window design, as it is not possible to ensure that exposures and complete follow-up time occur during a single time interval (e.g., for some exposures, follow-up time during active treatment could be several months, even years, in duration). In a variable risk window design, alternative options must be considered to determine how underlying data changes across ETLs should be addressed.

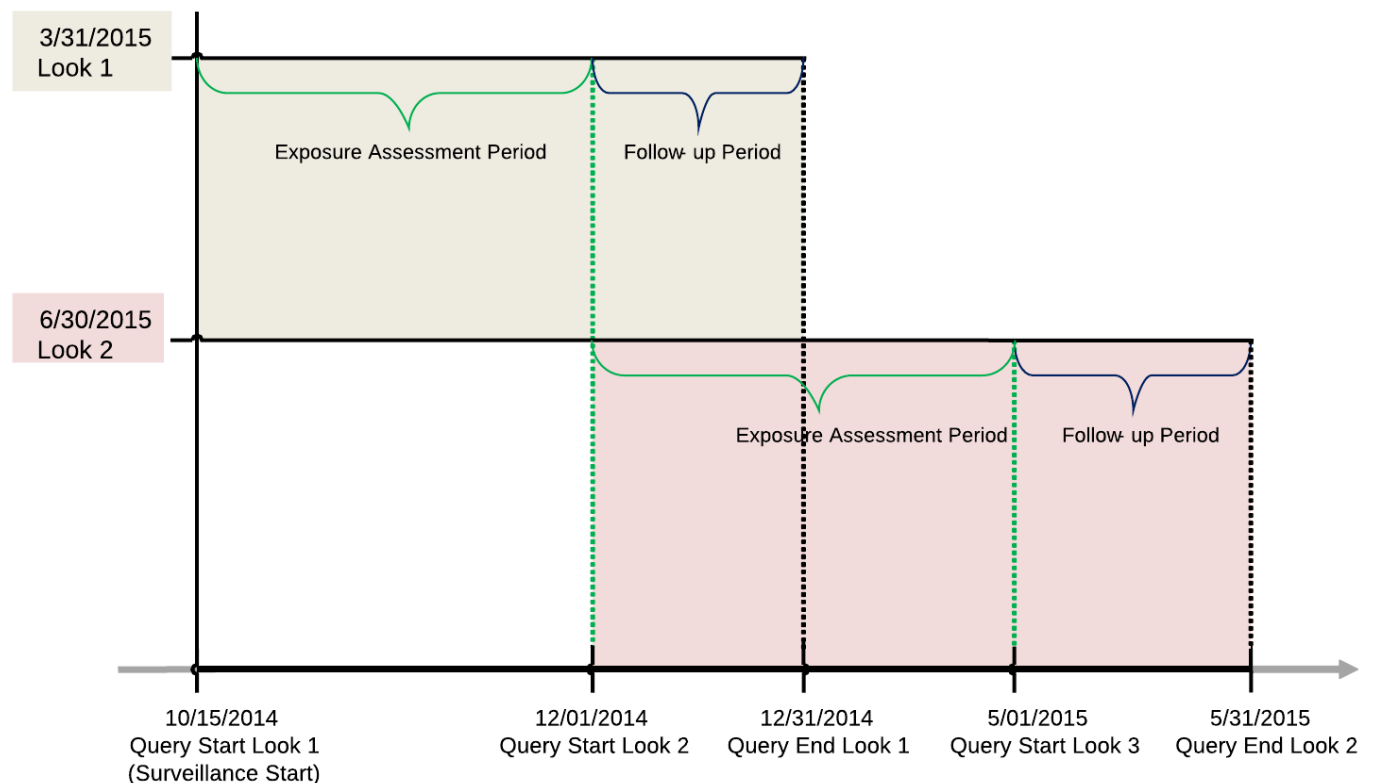
## **B. PROSPECTIVE SURVEILLANCE WITH THE SCRI DESIGN**

Prospective surveillance using the SCRI design requires multiple executions of the CIDA tool over the course of a surveillance activity. In this fixed risk and control window design, only one option for prospective surveillance is currently available: the evaluation of mutually exclusive periods over time. Exposure and follow-up duration must occur within a single time interval (i.e., within the same version of a Data Partner's database) and, once data has been analyzed for a specific time interval, information is never updated or analyzed again. Prospective surveillance with this design, therefore, requires that surveillance teams carefully consider Data Partner database completeness dates, to improve confidence that data have settled and accurate information is captured.

For example, suppose a surveillance team wants to evaluate exposure to a new drug launched on October 15, 2014, and defines a risk window 1-15 days after exposure and control window 20-31 days after exposure. On March 31, 2015, surveillance starts. Data Partner X has data complete through December 31, 2014. The first evaluation of the data at Data Partner X (i.e., Look 1) has a query start date of October 15, 2014, an exposure assessment period of October 15, 2014 – November 30, 2014, and a follow-up period of December 1, 2014 – December 31, 2014.

Now, suppose Data Partner X updates their database again on July 31, 2015. Data are now complete through May 31, 2015. The second evaluation of the data at Data Partner X (i.e., Look 2) begins immediately after the Look 1 exposure assessment period (December 1, 2014), with an exposure assessment period of December 1, 2014 – April 30, 2015, and a follow-up period of May 1, 2015 – May 31, 2015. A visual representation of this example is included in Figure 16).

**Figure 16. Prospective Surveillance with SCRI Design**



For the surveillance activity shown in Figure 16, the Look 1 and Look 2 exposure assessment periods combined can be described as the cumulative exposure assessment period (at time of Look 2).

### C. PROSPECTIVE SURVEILLANCE WITH PROPENSITY SCORE MATCHED DESIGN

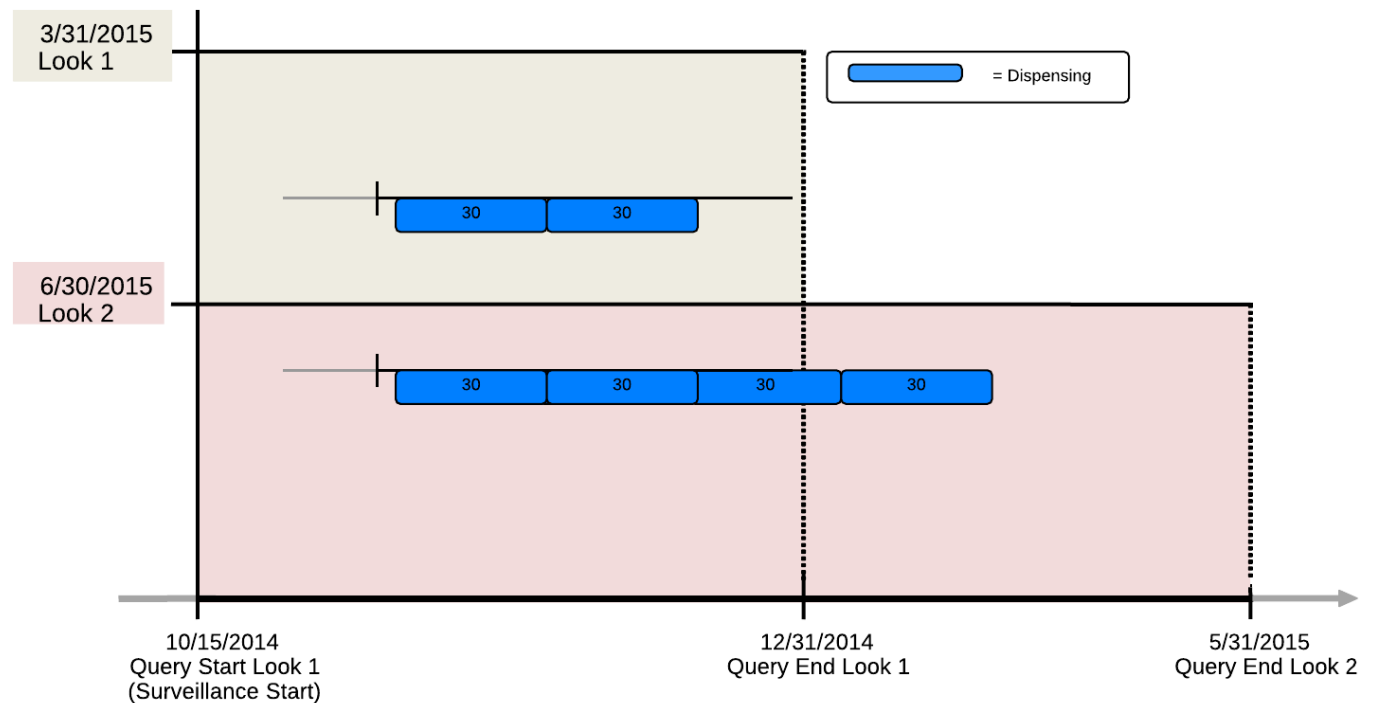
Prospective surveillance with a propensity score-matched new user parallel cohort design requires multiple executions of the CIDA and PSA tools over the course of a surveillance activity. Typically, a program package is executed each time a Data Partner updates, or refreshes, their database; therefore, each look at a Data Partner’s data typically occurs after a database refresh.

Unlike the SCRI design, which sets fixed risk and control windows, the propensity score matched design allows follow-up time to be determined by each member’s duration of treatment (i.e., days of exposure based on patterns of outpatient pharmacy dispensings and associated dispensing’s days supply). This means that while the SCRI design can require that exposures and complete follow-up time occur in the same version of a Data Partner’s database (as is done by differentiating an exposure assessment period and follow-up period), the propensity score matched approach must allow for patient follow-up to span

multiple database versions, or ETLs. This requires surveillance teams to determine how to handle changes in underlying data across database versions.

Consider an example where a patient is identified as exposed in a Data Partner’s ETL 1. We observe two outpatient pharmacy dispensings, each with 30 days supply, for the exposure of interest before the ETL 1 end date of December 31, 2014 (Figure 17). For the purposes of this example, query end dates are set to the database end date for each ETL.

**Figure 17. Exposure Assessment across Data Partner ETLs**



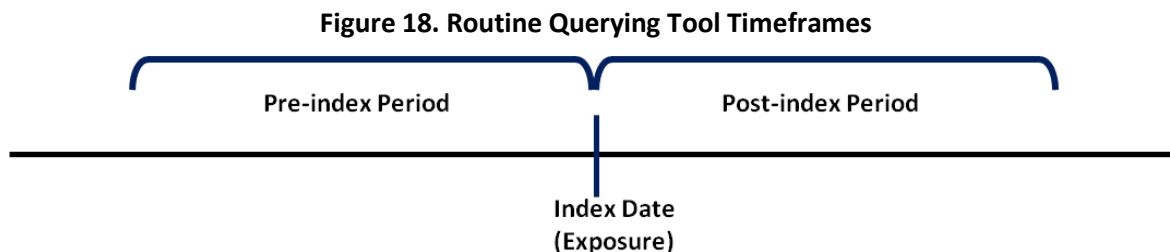
After the Data Partner updates their database to ETL 2, we observe four outpatient pharmacy dispensings for the exposure of interest: three initiated before December 31, 2014, and one initiated after. The third dispensing in the series occurred during the ETL 1 time interval, but did not appear in the data until after the ETL 2 update.

This change in data across ETLs is not confined to the duration of treatment with the exposures of interest; it is possible to see changes that may affect cohort inclusion criteria (e.g., pre-existing condition requirements no longer met after database update), event information (e.g., event is observed in ETL 1 time interval but only after ETL 2 update), and covariate assessment (e.g., presence/absence of condition of interest changes after a database update) across database versions.

While setting a time interval for each look that is before the database end date may reduce the potential for data changes (i.e., allowing more recent data to settle before initiating a query using a requester-defined data completeness date), surveillance teams must consider the possibility of data changes across databases and choose the manner in which they wish to address it in their surveillance activity.

## 1. Surveillance Options

Surveillance teams have three options for conducting prospective surveillance with the propensity score matched design, which differ on how underlying data changes and matches are handled during the course of the activity. In order to understand these options clearly, it is important to understand three relevant timeframes in any routine query request (Figure 18).



The index date is the exposure initiation date, and is determined by cohort inclusion criteria. The pre-index period is the time period used to identify baseline covariates for propensity score estimation. The post-index period evaluates patient follow-up time and the occurrence of HOIs. Available surveillance options for propensity score matched analyses differ in how patient data changes are handled in each of these timeframes across multiple looks of a surveillance activity.

### Surveillance Option 1:

Once a time interval is evaluated during a surveillance activity, it is never evaluated again.

If the index date or post-index at-risk time changes from one look to another, the information from the earlier look is retained and no new information is considered for the patient. If covariate information extracted from the pre-index period changes from one look to another, changes in covariate information are ignored and the patient continues to be followed.

With this option, once patients are matched the match and propensity score are retained for the duration of the surveillance activity.

### Surveillance Option 2:

Once a time interval is evaluated during a surveillance activity, only information in the post-index at-risk period may be updated in subsequent looks.

If the index date changes from one look to another, the information from the earlier look is retained and the patient is lost to follow-up. If covariate information extracted from the pre-index period changes from one look to another, changes in covariate information are ignored and the patient continues to be followed. If post-index at-risk time changes from one look to another, information is updated and the patient continues to be followed.

With this option, once patients are matched the match and propensity score are retained for the duration of the surveillance activity.

### Surveillance Option 3:

As underlying data change across looks, information is updated and used in analyses. Any changes in index date, pre-index period covariates and post-index period at-risk time are updated at each look. Because the pre-index period and index date information are allowed to change, matches may not be retained for the duration of a surveillance activity (as propensity scores are estimated using information



in the pre-index and index periods, if information changes, propensity scores may change, and therefore optimal matches may change). Note that even minimal underlying data changes can lead to significant cohort re-matching.

Table 11 provides a summary of the three available surveillance options.

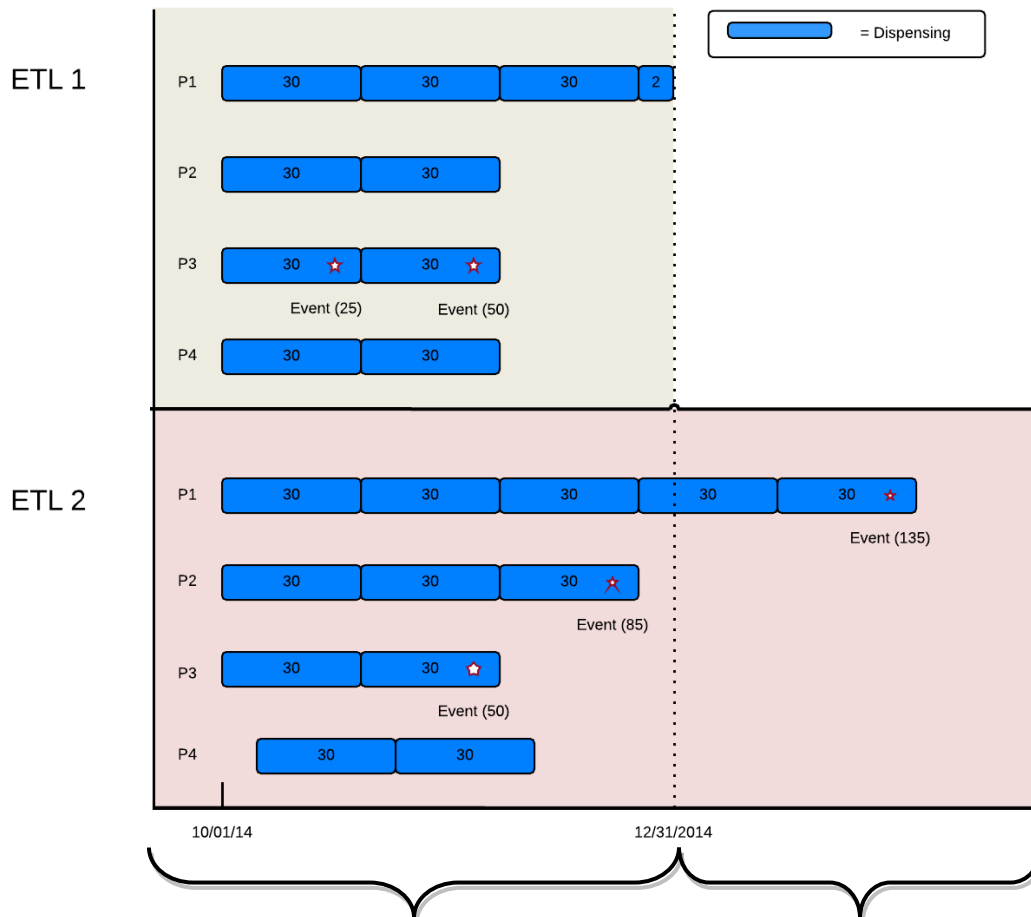
**Table 11. Summary of Surveillance Option Differences: Addressing Underlying Data Changes**

Approach	Pre-index period covariate changes	Index date changes <sup>1</sup>	Post-index at-risk period changes	New patients identified
Option 1	Information is not updated.	Information is not updated; information from the earlier look is carried forward for the duration of the surveillance activity.	Information is not updated; information from the earlier look is carried forward for the duration of the surveillance activity.	New patients ignored.
Option 2	Information is not updated.	Information is not updated; information from the earlier look is carried forward for the duration of the surveillance activity.	Information is updated.	New patients ignored.
Option 3	Information is updated.	Information is updated.	Information is updated.	New patients included.

<sup>1</sup> Index date changes are due to either data changes affecting cohort inclusion (e.g., enrollment requirements, inclusion/exclusion criteria, new use criteria, etc.) or changes to the patient identifier.

Figure 19 displays data for four patients (P1-P4) with and without underlying index date and post-index at-risk period changes across an ETL 1 and ETL 2. How the index date, event date, and follow-up time are determined at Look 2 for the four patients is described by surveillance option.

Figure 19. Surveillance Option Performance Examples



	Time interval 1	Time interval 2	
	Index Date	At-risk time	Event Date
<b>Patient 1</b>			
Option 1	10/01/2014	135	02/13/2015
Option 2	10/01/2014	135	02/13/2015
Option 3	10/01/2014	135	02/13/2015
<b>Patient 2</b>			
Option 1	10/01/2014	60	N/A
Option 2	10/01/2014	85	12/25/2014
Option 3	10/01/2014	85	12/25/2014
<b>Patient 3</b>			
Option 1	10/01/2014	25	10/26/2014
Option 2	10/01/2014	50	11/20/2014
Option 3	10/01/2014	50	11/20/2014
<b>Patient 4</b>			
Option 1	10/01/2014	60	N/A
Option 2	10/01/2014	60	N/A
Option 3	10/15/2014	60	N/A

Patient 1 has four dispensings in ETL 1, and five dispensings and an event in ETL 2. At Look 2:

- Option 1 evaluates if the patient’s index date and at-risk time changed in time interval 1 at Look 2. Since the index date and at-risk time are unchanged, at-risk time and event status are updated in Look 2.
- Option 2 evaluates if the patient’s index date changed in time interval 1 at Look 2. Since the index date is unchanged, at-risk time and event status are updated in Look 2.
- Option 3 only includes information in ETL 2.

Patient 2 has two dispensings and no events in ETL 1. In ETL 2, the patient has three dispensings and an event. At Look 2:

- Option 1 evaluates if the patient’s index date and at-risk time have changed in time interval 1 at Look 2. Option 1 sees that the at-risk time in time interval 1 changed from 60 days to 85 days. Since there has been a change in at-risk time, the patient’s information from ETL 1 is retained and no additional information is updated for the duration of the surveillance activity. The at-risk time for the duration of the surveillance activity is 60 days and the patient will not contribute an event.
- Option 2 evaluates if the patient’s index date changed in time interval 1 at Look 2. Since the patient’s index date is unchanged, at-risk time and event status are updated in Look 2.
- Option 3 only includes information in ETL 2.

Patient 3 has two dispensings and two events in ETL 1; in ETL 2, the patient has the same two dispensings but only one event. At Look 2:

- Option 1 evaluates if the patient’s index date and at-risk time have changed in time interval 1 at Look 2. Option 1 sees that the at-risk time in time interval 1 changed from 25 days to 50 days, as the event on day 25 is not present in ETL2. Since there is a change in at-risk time, the patient’s information from ETL 1 is retained and no additional information is updated for the duration of the surveillance activity. Therefore, the at-risk time for the duration of the surveillance activity is 25 days and the patient will contribute the event on day 25.
- Option 2 evaluates if the patient’s index date changed in time interval 1 at Look 2. Since the patient’s index date is unchanged, at-risk time and event status are updated in Look 2. The patient’s at-risk time will be 50 days and they will contribute the event on day 50.
- Option 3 only includes information in ETL 2.

Patient 4 has two dispensings in ETL 1 and ETL 2, but the index date has changed. At Look 2:

- Option 1 evaluates if the patient’s index date and at-risk time have changed in time interval 1 at Look 2. Option 1 sees that the index date in time interval 1 changed from 10/01/2014 to 10/15/2014. Since there is a change in index date, the patient’s information from ETL 1 is retained and no additional information is updated for the duration of the surveillance activity. The exposure initiation date will remain 10/1/2014 and the at-risk period will remain 60 days for the duration of the surveillance activity.
- Option 2 evaluates if the patient’s index date changed in time interval 1 at Look 2. Since there has been a change in index date, the patient’s information from ETL 1 is retained and no additional information is updated for the duration of the surveillance activity. Therefore, the exposure initiation date will remain 10/1/2014 and the at-risk period will remain 60 days for the duration of the surveillance activity.
- Option 3 only includes information in ETL 2. Therefore, the patient’s index date will be 10/15/2014, and the patient is eligible to further accumulate at-risk time in later ETLs.

## VII. REPORTING TOOLS

After execution of the CIDA tool, a PDF report can be produced to visually summarize the results of the query. This section describes the available reports.

### A. TYPE 1 AND TYPE 2 REPORT

At the completion of a query utilizing either the 1) background rate calculation cohort identification strategy or 2) exposures and follow-up time cohort identification strategy a type 1/type 2 report can be produced with the following elements:

- Baseline covariate table (i.e. “Table 1”). If requested, the report will include a table containing the baseline prevalence of covariates of interest, the distribution of drug and medical utilization, and the distribution of Charlson/Elixhauser combined comorbidity score for each cohort of interest.
- Summary table. The report will contain a table with aggregate counts of users, episodes, events, follow-up time, and eligible members for each cohort of interest. This table can be produced overall, and for any available stratification (i.e. by sex or age-group).
- Cumulative Density Function (CDF) and Kaplan-Meier (KM) plots. If requested, CDF plots for time to censor for each cohort of interest stratified by reason for censor will be produced. Additionally, for an exposures and follow-up time cohort identification strategy, KM plots for time to event can be produced.

## VIII. APPENDIX A: PROGRAM PACKAGE AND EXECUTION

When implementing modular programs within the SDD, the SOC uses a uniform folder structure to facilitate communications between SOC and Data Partners and to streamline file management. This appendix describes the program package structure and requirements for package execution.

### A. PROGRAM PACKAGE

Each request package distributed by SOC is assigned a unique Request Identifier, and contains several folders to organize program inputs and outputs:

- *sasprograms*: folder contains the master SAS program that must be edited and then executed by the Data Partner.
- *inputfiles*: folder contains input files and lookup tables needed to execute a request. Input files contain parameter values specific to a particular request (e.g., medical product exposures and outcomes of interest, continuous enrollment requirements, and incidence criteria). Input files are created for each request by the SOC query fulfillment team; the contents of this folder are not edited by the Data Partner. The folder also contains one subfolder:
  - *macros*: folder contains the macros that comprise the modular program. The contents of this folder are not edited by the Data Partner.
- *msoc*: folder contains output generated by the request that should be sent to SOC.
- *dplocal*: folder contains output generated by the request that should remain with the Data Partner (and may be used to facilitate follow-up queries).

## 1. Common Components

Prior to executing the request package, a set of SAS programs known as common components must be initialized. In this context, common components refer to a set of SAS programs that provide appropriate site-specific attributes (e.g., data partner description variables, SCDM table names, folder paths, data completeness dates, etc.) to distributed SAS program packages at the time of code execution. More specifically, when an executing SAS program package accesses the file `ms_common_components.sas`, global macro variable definitions for key site-specific attributes are made available to the calling program. In this context, common components support two important goals: 1) streamline the setup for the distributed SAS program packages, 2) improve the accuracy of results.

Users must specify the location of their common components file path in the master SAS program in the `sasprograms` folder in order for the package to execute. For more information about common components installation, and to download the SAS programs, visit the [common components page](#) on the Sentinel website.

## 2. Master Program Parameters

In the master SAS program, there are several parameters that must be specified. These include the common components include file, project, work plan, and Data Partner identifiers, and a run identifier. Note that all main program parameters specified are fixed for a single execution of the program. Table 12 contains detailed specifications for master program parameters.

**Table 12. CIDA Tool Master Program Parameter Specifications**

Parameter	Field Name	Description
Common Components Include file	MSCC	<p><b>Details:</b> location for user's common components file path</p> <p><b>Defined by:</b> User programmer</p> <p><b>Input type:</b> Required</p> <p><b>Format:</b> Alphanumeric</p> <p><b>Example:</b> MSCC = //Sentinel/common-components/ms_cc.sas</p>
Prior DPLOCAL	DPLPRIOR	<p><b>Details:</b> location of the DPLOCAL file path for the prior look when using CIDA for prospective surveillance with propensity score matched design</p> <p><b>Note 1:</b> Should be used only when utilizing surveillance options 1 and 2 and should be left blank for option 3</p> <p><b>Note 2:</b> Should be left blank for Look 1. Will point to the DPLOCAL file path of Look 1 when running Look 2, and so on.</p> <p><b>Defined by:</b> User programmer</p> <p><b>Input type:</b> Optional</p> <p><b>Format:</b> Alphanumeric</p> <p><b>Example:</b> DPLPRIOR=//to16_cap_mpl2r_wp01_nsdv_v01/DPLOCAL/</p>

Parameter	Field Name	Description
Patients to Exclude List File Path	PTSTOEXCLUDE	<p><b>Details:</b> optional. Location of user's patients to exclude list. Allows Data Partners to exclude patients from a particular request. The file must contain on variable, PatID, and list all PatID values to exclude from the request.</p> <p><b>Defined by:</b> User programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric  <b>Example:</b> PTSTOEXCLUDE = indata.ptstoexclude</p>
Project Identifier	MSPROJID	<p><b>Details:</b> project identifier for internal SOC identification and tracking.</p> <p><b>Defined by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric  <b>Example:</b> MSPROJID=to16_cap</p>
Work Plan Type	MSWPTYPE	<p><b>Details:</b> work plan type for internal SOC identification and tracking.</p> <p><b>Defined by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric  <b>Example:</b> MSWPTYPE=mpl2r</p>
Work Plan Identifier	MSWPID	<p><b>Details:</b> work plan identifier for internal SOC identification and tracking.</p> <p><b>Note 1:</b> should follow the format [wp###].</p> <p><b>Note 2:</b> should be used to uniquely identify a modular program request.</p> <p><b>Defined by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric  <b>Example:</b> MSWPID= wp01</p>
Data Partner Identifier	MSDPID	<p><b>Details:</b> Data Partner identifier for internal SOC identification and tracking.</p> <p><b>Note 1:</b> if a package is not Data Partner specific, MSDPID should equal "nsdp".</p> <p><b>Defined by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric  <b>Example:</b> MSDPID =nsdp</p>

Parameter	Field Name	Description
Version Identifier	MSVERID	<p><b>Details:</b> version identifier for internal SOC identification and tracking. Should track each re-distribution of the package (if multiple distributions are required).</p> <p><b>Note 1:</b> should follow the format [v###].</p> <p><b>Defined by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric  <b>Example:</b> MSVERID =v01</p>

## IX. APPENDIX B: CIDA TOOL TECHNICAL DOCUMENTATION

The CIDA tool is designed to be executed both as a standalone tool and in combination with compatible analytic tools. This technical specification document details the lookup tables, program parameters and input files that must be specified to execute the CIDA tool. Where applicable, selections that have implications for subsequent processing with an analytic tool (e.g., the PSA tool) are noted.

### A. LOOKUP TABLES, PROGRAM PARAMETERS, AND INPUT FILES

#### 1. Lookup Tables

There are several lookup tables that may be required for the execution of the CIDA tool depending on the nature of the request. These lookup tables are created and maintained by the SOC.

##### a) Lab Code Lookup Table

The Lab Code Lookup File is required in the *inputfiles* folder if a request queries the SCDM Laboratory Result table. The Lab Code Lookup File is a master lookup file of SOC-defined “lab codes” denoting allowable combinations of lab test name, lab test subcategory, specimen source, result type, fasting indicator, patient location, and result unit.

Lab codes are 14-digit identifiers developed by the SOC to represent a unique laboratory test result value for querying. The first digit of this code is an “L” indicative of a lab code, digits 2-4 indicate a unique lab test name, digit 5 indicates a unique result type value (numeric or character), digits 6-7 indicate a unique lab test subcategory, digit 8 represents a unique fasting indicator value, digits 9-10 indicate a unique specimen source, digits 11-12 indicate a unique patient location, and digits 13-14 indicate the result unit.

As SOC continues to develop and expand the SCDM Laboratory Result table and allowable values, this master lookup file may be modified to ensure that the program always queries the SCDM Laboratory Result table based on current specifications.

The Lab Codes defined in this lookup table are used to query the lab test and results of interest just as any NDC, diagnosis, or procedure code is queried in the SDD.

defines the variables included in this lookup table.

**Table 13. Lab Code Lookup File**

<b>Parameter</b>	<b>Field Name</b>	<b>Description</b>
Lab Test Name	MS_TEST_NAME	MS_Test_Name value in the SCDM Laboratory Results table.
Result Type	RESULT_TYPE	Result_Type value in the SCDM Laboratory Results table.
Lab Test Subcategory	MS_TEST_SUB_CATEGORY	MS_Test_Sub_Category value in the SCDM Laboratory Results table.
Fasting Indicator	FAST_IND	Fast_Ind value in the SCDM Laboratory Results table.
Lab Specimen Source	SPECIMEN_SOURCE	Specimen_Source value in the SCDM Laboratory Results table.
Patient Location	PT_LOC	Pt_Loc value in the SCDM Laboratory Results table.
Lab Result Unit	MS_RESULT_UNIT	MS_Result_Unit value in the SCDM Laboratory Results table.
Lab Code	CODE	<p>SOC-defined code indicative of the MS_Test_Name, Result_Type, MS_Test_Sub_Category, Fast_Ind, Specimen_Source, Pt_Loc and MS_Result_Unit combination. <b>CODE values in the lookup table should contain an exhaustive list of all combinations of these variable values.</b></p> <p><b>Note 1:</b> CODE values can be listed in program Input Files to query the desired laboratory result values, just as any other NDC, diagnosis and/or procedure code is queried.</p>



### b) Comorbidity Score Code Lookup Table

The Comorbidity Score Code Lookup table is required in the *inputfiles* folder if a request is calculating the Charlson/Elixhauser combined comorbidity score. The file contains the comprehensive set of codes used to define the medical conditions contributing to the calculation of the score. Table 14 defines the variables included in this lookup table.

**Table 14. Comorbidity Score Codes Lookup Table**

Parameter	Field Name	Description
Code	CODE	Code to define medical condition.
Code Type	CODETYPE	=09. Parameter included allowing for potential future expansion to other code types.
Code Group	CODE_GRP	=DIAG. Parameter included to allow for potential future expansion to other code types.
Group	GROUP	Numeric indicator identifying individual conditions used to calculate the comorbidity score.
Group Description	GROUP_DESCR	Description of the condition defined by the GROUP value.
Group Weight	WEIGHT	Weight that each GROUP contributes to the comorbidity score calculation.
Wildcard Indicator	WILDCARD	Y/N indicator if the CODE value should be processed as “starts with,” to include both parent and child codes.

### c) Drug Class Lookup Table

The Drug Class Lookup table is required in the *inputfiles* folder if a request requires the use of drug utilization metrics. The table is used as reference to calculate the number of unique dispensings, unique generics, and unique drug classes dispensed per cohort member during the covariate evaluation window.

The Drug Class Lookup table includes a list of NDCs by unique generic name indicator and unique drug class indicator. Table 15 contains specifications for this lookup table.

**Table 15. Drug Class Lookup File Specification**

Parameter	Field Name	Description
National Drug Code	NDC	11-digit NDC.
Generic Name Identifier	GENERIC	SOC-defined character string indicative of a unique generic name. SOC maintains the mapping key to actual generic name locally.
Class Name Identifier	CLASSNAME	SOC-defined character string indicative of a unique generic name. SOC maintains the mapping key to actual generic name locally.

#### d) Geography Lookup Table

The Geography Lookup table is required in the *inputfiles* folder if a request requires stratification of results by geographic location. The table is used as reference to map 5-digit ZIP code to State, Health and Human Services (HHS) Region, and Census Bureau region.

Table 16 contains the specifications for this lookup table.

**Table 16. Geography Lookup File Specification**

Parameter	Field Name	Description
ZIP Code	ZIP	5-digit ZIP code.
State Code	STATECODE	2-digit state code.
HHS Region	HHS_REGION	01 = Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont. 02 = New Jersey, New York, Puerto Rico, Virgin Islands. 03 = Delaware, Maryland, Pennsylvania, Virginia, West Virginia, District of Columbia. 04 = Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee. 05 = Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin. 06 = Arkansas, Louisiana, New Mexico, Oklahoma, Texas. 07 = Iowa, Kansas, Missouri, Nebraska. 08 = Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming. 09 = Arizona, California, Hawaii, Nevada, American Samoa, Federated States of Micronesia, Guam, Palau. 10 = Alaska, Idaho, Oregon, Washington. 11 = Northern Mariana Islands, Marshall Islands. Missing = Missing.
Census Bureau Region	CB_REGION	NE = Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont, New Jersey, New York, Pennsylvania. MW = Illinois, Indiana, Michigan, Ohio, Wisconsin, Iowa, Kansas, Minnesota, Missouri, Nebraska, North Dakota, South Dakota. S = Delaware, District of Columbia, Florida, Georgia, Maryland, North Carolina, South Carolina, Virginia, West Virginia, Alabama, Kentucky, Mississippi, Tennessee, Arkansas, Louisiana, Oklahoma, Texas. W = Arizona, Colorado, Idaho, Montana, Nevada, New Mexico, Utah, Wyoming, Alaska, California, Hawaii, Washington. Other = Northern Mariana Islands, Marshall Islands, Puerto Rico, US Virgin Islands, American Samoa, Micronesia, Guam, Palau. Missing = Missing.

## 2. Main Program Parameters

There are several main program parameters that must be specified (Table 17). These include a run identifier, indicators for the start and end dates for the query period, an indicator if and what additional analyses are being performed after CIDA tool execution, and the names of all input files. These parameter values should be set in a program called `run_programs.sas`, located in the `inputfiles` folder. Note that all main program parameters specified are fixed for a single execution of the program. Table 17 contains detailed specifications for main program parameters.

**Table 17. CIDA Tool Main Program Parameter Specifications**

Parameter	Field Name	Description
Run Identifier	RUNID	<p><b>Details:</b> run identifier for internal SOC identification and tracking. Should uniquely identify each execution of a modular program within the same work plan.</p> <p><b>Note 1:</b> should follow the format [r##].</p> <p><b>Defined by:</b> Request programmer</p> <p><b>Input type:</b> Required</p> <p><b>Format:</b> Alphanumeric</p> <p><b>Example:</b> RUNID =r01</p>
Query Period Start Identifier	PERIODIDSTART	<p><b>Details:</b> identifies at what time period the modular program should begin execution.</p> <p><b>Note 1:</b> for <u>Type 1</u> and <u>Type 2</u> analyses, PERIODIDSTART should correspond to the PERIODID value in the input <u>MONITORINGFILE</u> to identify at what time period the modular program should begin execution.</p> <p><b>Note 2:</b> for <u>Type 3</u> analyses, PERIODIDSTART should correspond to the “look” number; i.e., indicate what data extraction an analysis is being performed for a sequential analysis activity (PERIODIDSTART value for the third iteration of a request should = 3). For Type 3 analyses, PERIODIDSTART should always = PERIODIDEND.</p> <p><b>Note 3:</b> for <u>Type 4</u> analysis, PERIODIDSTART binds the delivery date and not the index date (calculated start of pregnancy).</p> <p><b>Note 4:</b> for <u>Type 5</u> analyses, PERIODIDSTART should correspond to the PERIODID value in the input <u>MONITORINGFILE</u> to identify at what time period the modular program should begin execution.</p> <p><b>Note 5:</b> will now require multiple executions of QRP if different study start dates are required.</p> <p><b>Defined by:</b> Requester</p> <p><b>Input type:</b> Required</p>

Parameter	Field Name	Description
		<p><b>Format:</b> Numeric</p> <p><b>Example:</b> PERIODIDSTART= 1</p>
Query Period End Identifier	PERIODIDEND	<p><b>Details:</b> identifies at what time period the modular program should end execution.</p> <p><b>Note 1:</b> for <u>Type 1</u> and <u>Type 2</u> analyses, PERIODIDEND should correspond to the PERIODID value in the input <u>MONITORINGFILE</u> to identify at what time period the modular program should end execution. A PERIODIDEND value &gt;1 allows the modular program to generate and output information based on different requester-defined time periods.</p> <p><b>Note 2:</b> for <u>Type 3</u> analyses, PERIODIDEND should correspond to the “look” number; i.e., indicate what data extraction an analysis is being performed for a sequential analysis activity (PERIODIDEND value for the third iteration of a request should = 3). For Type 3 analyses, PERIODIDSTART should always = PERIODIDEND.</p> <p><b>Note 3:</b> for requests that are not part of a sequential analysis activity, PERIODIDEND will often be set to “1” as a single query period is needed.</p> <p><b>Note 4:</b> for <u>Type 4</u> analysis, PERIODIDEND binds the delivery date and not the index date (calculated start of pregnancy).</p> <p><b>Note 5:</b> for <u>Type 5</u> analyses, PERIODIDEND should correspond to the PERIODID value in the input <u>MONITORINGFILE</u> to identify at what time period the modular program should end execution.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> PERIODIDEND= 3</p>
Further Analysis Indicator	ANALYSIS	<p><b>Details:</b> applicable to all types of analysis. Indicates what, if any, additional analyses will be performed on the cohort after extraction with the CIDA tool.</p> <p>Valid values include:</p> <ul style="list-style-type: none"> <li>• <b>ADS:</b> indicates that the user would like to generate a baseline covariate table for the cohorts of interest, and requires the user to define a baseline period (i.e., a covariate lookback window) and a list of covariates of interest to include in the <u>Covariate</u></li> </ul>

Parameter	Field Name	Description
		<p><u>Codes File</u>. The program will generate an output table (<u>[RUNID]_baseline_[PERIODID].sas7bdat</u>) containing the baseline prevalence of covariates of interest.</p> <p>In addition, a patient-level analytic dataset (<u>[RUNID]_ads_mstr_[PERIODID].sas7bdat</u>) will be generated and output to the <i>dlocal</i> folder. This dataset can be further analyzed by ad hoc, distributed programming.</p> <ul style="list-style-type: none"> <li>• <b>PS:</b> indicates that, in addition to the functionality described in the ADS option above, the user would like to execute the propensity score estimation and matching modules. Relevant for <u>Type 2</u> analyses only.</li> <li>• <b>MS:</b> indicates that, in addition to the functionality described in the ADS option above, the user would like to execute the multi-factor matching matching modules. Relevant for <u>Type 2</u> analyses only.</li> </ul> <p><b>Note 1:</b> leave blank if no additional analyses are required.</p> <p><b>Note 2:</b> If ANALYSIS= "PS" or "MS," a TYPE2FILE must be specified.</p> <p><b>Note 3:</b> If ANALYSIS= "PS" or "MS," both exposure and control groups should have the same values for RACE_OUT and HISPANIC_OUT.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Alphanumeric  <b>Example:</b> ANALYSIS = PS</p>
Save all SCDM Data Indicator	FREEZEDATA	<p><b>Details:</b> indicates if all SCDM data for patients selected in the cohort(s) of interest will be saved in the <i>dlocal</i> folder for further processing. Allowable values are:</p> <ul style="list-style-type: none"> <li>• <b>Y:</b> Yes (excludes never-exposed cohort)</li> <li>• <b>A:</b> All (includes never-exposed cohort)</li> <li>• <b>N:</b> No</li> </ul> <p><b>Note 1:</b> should be set to "Y" for prospective surveillance using the self-controlled risk interval design (i.e., a "Type 3" analysis).</p> <p><b>Note 2:</b> due to storage space concerns regarding saving all SCDM data for patients selected in the never-exposed cohort, the default will be to only save active-exposure</p>

Parameter	Field Name	Description
		<p>cohorts unless otherwise specified.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric  <b>Example:</b> FREEZEDATA = Y</p>
<u>Monitoring File</u>	MONITORINGFILE	<p><b>Details:</b> name of the SAS dataset defining the time period(s) for each data extraction.</p> <p><b>Note 1:</b> not required for “Type 3” analyses.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required for Type 1, Type2, Type 4 and Type 5 analyses  <b>Format:</b> .sas7bdat file format  <b>Example:</b> MONITORINGFILE=drugname_monitoring</p>
<u>Cohort File</u>	COHORTFILE	<p><b>Details:</b> name of the SAS dataset defining the cohort identification strategy used and continuous enrollment requirements.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> .sas7bdat file format  <b>Example:</b> COHORTFILE=drugname_cohort</p>
<u>Type 1 File</u>	TYPE1FILE	<p><b>Details:</b> name of the SAS dataset defining parameters required for a background rate calculation cohort identification strategy.</p> <p><b>Note 1:</b> a single execution of the program can only process one cohort identification strategy (i.e., only TYPE1FILE or TYPE2FILE or TYPE3FILE or TYPE4FILE or TYPE5FILE may be specified).</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional; TYPE1FILE or TYPE2FILE or TYPE3FILE or TYPE4FILE or TYPE5FILE must be specified  <b>Format:</b> .sas7bdat file format  <b>Example:</b> TYPE1FILE=drugname_type1</p>
<u>Type 2 File</u>	TYPE2FILE	<p><b>Details:</b> name of the SAS dataset defining parameters required for an exposures and follow-up time cohort identification strategy.</p> <p><b>Note 1:</b> a single execution of the program can only process one cohort identification strategy (i.e., only TYPE1FILE or TYPE2FILE or TYPE3FILE or TYPE4FILE or TYPE5FILE may be specified).</p>

Parameter	Field Name	Description
		<p><b>Named by:</b> Request programmer</p> <p><b>Input type:</b> Optional; TYPE1FILE or TYPE2FILE or TYPE3FILE or TYPE4FILE or TYPE5FILE must be specified</p> <p><b>Format:</b> .sas7bdat file format</p> <p><b>Example:</b> TYPE2FILE=drugname_type2</p>
<p><u>Type 3 File</u></p>	<p>TYPE3FILE</p>	<p><b>Details:</b> name of the SAS dataset defining parameters required for a self-controlled risk interval design cohort identification strategy.</p> <p><b>Note 1:</b> a single execution of the program can only process one cohort identification strategy (i.e., only TYPE1FILE or TYPE2FILE or TYPE3FILE or TYPE4FILE or TYPE5FILE may be specified).</p> <p><b>Named by:</b> Request programmer</p> <p><b>Input type:</b> Optional; TYPE1FILE or TYPE2FILE or TYPE3FILE or TYPE4FILE or TYPE5FILE must be specified</p> <p><b>Format:</b> .sas7bdat file format</p> <p><b>Example:</b> TYPE3FILE=drugname_type3</p>
<p>Type 3 Metadata File</p>	<p>T3METADATA</p>	<p><b>Details:</b> name of the SAS dataset defining parameters required for prospective surveillance with a self-controlled risk interval design cohort identification strategy.</p> <p><b>Note 1:</b> for PERIODIDSTART and PERIODIDEND = 1, no T3METADATA file should be specified. For PERIODIDSTART and PERIODIDEND &gt;1, a T3METADATA file must be specified.</p> <p><b>Note 2:</b> T3METADATA files are generated by the modular program, for use during a subsequent execution of the modular program during a sequential analysis activity. For example, when PERIODIDSTART and PERIODIDEND=1, the program package will output to the <i>msoc</i> folder a dataset called Metadata_for_time_period_1.sas7bdat. In the subsequent package where PERIODIDSTART and PERIODIDEND=2, this Metadata_for_time_period_1.sas7bdat file should be included in the <i>inputfiles</i> folder of the package and T3METADATA= Metadata_for_time_period_1. This allows the package for look 2 to access metadata generated by look 1.</p> <p><b>Named by:</b> Request programmer</p> <p><b>Input type:</b> Required for Type 3 analyses with PERIODIDSTART&gt;1</p> <p><b>Format:</b> .sas7bdat file format</p> <p><b>Example:</b> T3METADATA= Metadata_for_time_period_1</p>

Parameter	Field Name	Description
<u>Type 4 File</u>	TYPE4FILE	<p><b>Details:</b> name of the SAS dataset defining parameters required for a pregnancy episodes cohort identification strategy.</p> <p><b>Note 1:</b> a single execution of the program can only process one cohort identification strategy (i.e., only TYPE1FILE or TYPE2FILE or TYPE3FILE or TYPE4FILE or TYPE5FILE may be specified).</p> <p><b>Named by:</b> Request programmer</p> <p><b>Input type:</b> Optional; TYPE1FILE or TYPE2FILE or TYPE3FILE or TYPE4FILE or TYPE5FILE must be specified</p> <p><b>Format:</b> .sas7bdat file format</p> <p><b>Example:</b> TYPE4FILE=drugname_type4</p>
<u>Type 5 File</u>	TYPE5FILE	<p><b>Details:</b> name of the SAS dataset defining parameters required for a medical product utilization cohort identification strategy.</p> <p><b>Note 1:</b> a single execution of the program can only process one cohort identification strategy (i.e., only TYPE1FILE or TYPE2FILE or TYPE3FILE or TYPE4FILE or TYPE5FILE may be specified).</p> <p><b>Named by:</b> Request programmer</p> <p><b>Input type:</b> Optional; TYPE1FILE or TYPE2FILE or TYPE3FILE or TYPE4FILE or TYPE5FILE must be specified</p> <p><b>Format:</b> .sas7bdat file format</p> <p><b>Example:</b> TYPE5FILE=drugname_type5</p>
<u>Cohort Codes File</u>	COHORTCODES	<p><b>Details:</b> name of the SAS dataset listing codes used to define the cohort.</p> <p><b>Note 1:</b> for <u>TYPE 1</u>, <u>TYPE 2</u>, <u>TYPE 3</u> and <u>TYPE 5</u> analyses this file defines the index date</p> <p><b>Note 2:</b> for <u>TYPE 4</u> analysis this file defines the delivery date</p> <p><b>Named by:</b> Request programmer</p> <p><b>Input type:</b> Required</p> <p><b>Format:</b> .sas7bdat file format</p> <p><b>Example:</b> COHORTCODES=drugname_cohortcodes</p>
<u>Inclusion/Exclusion Codes File</u>	INCLUSIONCODES	<p><b>Details:</b> name of the SAS dataset listing codes used to define additional cohort inclusion and exclusion criteria.</p> <p><b>Note 1:</b> Cohort inclusion/exclusion criteria are assessed relative to the index date. In Type 5, a patient can have only</p>



Parameter	Field Name	Description
		<p>one index date (first) index date.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> .sas7bdat file format  <b>Example:</b> INCLUSIONCODES=drugname_inclusioncodes</p>
<u>Covariate Codes File</u>	COVARIATECODES	<p><b>Details:</b> name of the SAS dataset listing codes used to define covariates.</p> <p><b>Note 1:</b> must be specified if ANALYSIS=PS. May be specified for requests with ANALYSIS=ADS.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> .sas7bdat file format  <b>Example:</b> INCLUSIONCODES=drugname_covariatecodes</p>
Profile Output Generation Indicator	PROFILE	<p>Valid values include:</p> <ul style="list-style-type: none"> <li>• <b>Y:</b> generate and output [RUNID]_profile_[PERIODID]</li> <li>• <b>N:</b> do not generate or output [RUNID]_profile_[PERIODID]</li> <li>• <b>&lt;blank&gt;:</b> do not generate or output [RUNID]_profile_[PERIODID]</li> </ul>
Most Frequent Utilization File	MFUFILE	<p><b>Details:</b> name of the SAS dataset to request most frequent utilization assessment.</p> <p><b>Note 1:</b> this file is available to specify for all Types of analysis and reference a previously defined index date.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> .sas7bdat file format  <b>Example:</b> MFUFILE = most_frequent_use</p>
<u>Stockpiling File</u>	STOCKPILINGFILE	<p><b>Details:</b> name of the SAS dataset defining how dispensings, days supplied, and amount supplied are handled by the MP (if defaults should be modified).</p> <p><b>Note 1:</b> this file needs to be specified only if program defaults must be changed.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> .sas7bdat file format  <b>Example:</b> STOCKPILINGFILE = drugname_stockpiling</p>
<u>Utilization File</u>	UTILFILE	<p><b>Details:</b> name of the SAS dataset defining the drug and medical utilization evaluation windows.</p>

Parameter	Field Name	Description
		<p><b>Note 1:</b> may be specified if ANALYSIS=PS or ANALYSIS=ADS.</p> <p><b>Named by:</b> Request programmer</p> <p><b>Input type:</b> Optional</p> <p><b>Format:</b> .sas7bdat file format</p> <p><b>Example:</b> UTILFILE =drugname_util</p>
Combo File	COMBOFILE	<p><b>Details:</b> name of the SAS dataset used to specify the algorithms used to define complex events (<i>i.e.</i>, any event that cannot be defined as a simple list of codes, which requires temporal relationships or multiple criteria to be true to define an event).</p> <p><b>Note 1:</b> specifications for this file and detailed documentation of Combo tool functionality can be found in a separate document: '<a href="#">Sentinel Toolkit Combo Tool Documentation</a>'.</p> <p><b>Named by:</b> Request programmer</p> <p><b>Input type:</b> Optional</p> <p><b>Format:</b> .sas7bdat file format</p> <p><b>Example:</b> COMBOFILE=drugname_combofile</p>
<u>Comorbidity Score File</u>	COMORBFIL	<p><b>Details:</b> name of the SAS dataset defining the Charlson/Elixhauser combined comorbidity score calculation and stratification parameters.</p> <p><b>Note 1:</b> may be specified if ANALYSIS=PS or ANALYSIS=ADS.</p> <p><b>Note 2:</b> requires inclusion of the <a href="#">Comorbidity Score Code Lookup Table</a> in the <i>inputfiles</i> folder.</p> <p><b>Named by:</b> Request programmer</p> <p><b>Input type:</b> Optional</p> <p><b>Format:</b> .sas7bdat file format</p> <p><b>Example:</b> COMORBFIL =drugname_comorbfile</p>
<u>Drug Class Lookup Table</u>	DRUGCLASSFILE	<p><b>Details:</b> name of the SAS lookup table containing a list of NDCs by unique generic name indicator and unique drug class indicator.</p> <p><b>Named by:</b> Request programmer</p> <p><b>Input type:</b> Required</p> <p><b>Format:</b> .sas7bdat file format</p> <p><b>Example:</b> DRUGCLASSFILE =drugclass</p>

Parameter	Field Name	Description
<u>Pregnancy Duration File</u>	PREGDUR	<p><b>Details:</b> name of the SAS dataset defining the codes used to define pregnancy episode duration. Required for pregnancy episodes cohort identification strategy, <u>Type 4</u> analysis (not applicable for other Types).</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> .sas7bdat file format  <b>Example:</b> PREGDUR =t4_pregdur</p>
Surveillance Strategy for Propensity Score Analyses	SURVEILLANCEMODE	<p><b>Details:</b> specifies the method for performing prospective surveillance when using the propensity score matching tool (ANALYSIS=PS). Leave parameter value blank for analyses not using the propensity score matching tool.</p> <p>Valid values include:</p> <ul style="list-style-type: none"> <li>• <b>F:</b> Option 1 (Full lock).</li> <li>• <b>P:</b> Option 2 (Partial lock).</li> <li>• <b>&lt;blank&gt;:</b> Option 3 (No lock).</li> </ul> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Alphanumeric  <b>Example:</b> SURVEILLANCEMODE = F</p>
<u>Lab Code Lookup Table</u>	LABSCODEMAP	<p><b>Details:</b> name of the SAS lookup table defining lab codes used to query the SCDM Laboratory Result table.</p> <p><b>Note 1:</b> must be included in the <i>inputfiles</i> folder if laboratory result values are queried.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> .sas7bdat file format  <b>Example:</b> LABSCODEMAP =lab_lookup</p>
<u>Geography Lookup Table</u>	ZIPFILE	<p><b>Details:</b> name of the SAS lookup table defining ZIP codes, state codes, Health and Human Services region codes and Census Bureau region codes.</p> <p><b>Note 1:</b> must be included in the <i>inputfiles</i> folder if results are output by geographic location</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> .sas7bdat file format  <b>Example:</b> ZIPFILE =Ziplqp</p>
Turn off envelope macro	RUN_ENVELOPE	<p><b>Details:</b> specifies the method for data cleaning with the envelope macro.</p> <p>Valid values include:</p>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li><b>0:</b> Run envelope macro. Reclassify outpatient (AV), emergency department (ED), and other ambulatory (OA) encounters that occur during an inpatient stay as inpatient (IP) encounters.</li> <li><b>1:</b> Do not run envelope on IP ADate. Reclassify outpatient (AV), emergency department (ED), and other ambulatory (OA) encounters that occur during an inpatient stay as inpatient (IP) encounters. Do not reclassify if encounter occurs on day of admission (ADate).</li> <li><b>2:</b> Turn off envelope. No reclassification.</li> </ul> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric  <b>Example:</b> RUN_ENVELOPE = 0</p>
Report Type	CREATEREPORT_TYPE	<p><b>Details:</b> specifies which report to produce following the execution of the CIDA tool.</p> <ul style="list-style-type: none"> <li>Specify “1” to produce a background rate calculation cohort identification strategy report.</li> <li>Specify “2” to produce a exposures and follow-up time cohort identification strategy report.</li> </ul> <p><b>Note 3:</b> Leave blank if no report is produced.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> Alphanumeric  <b>Example:</b> CREATEREPORT_TYPE=1</p>
<u>Create Report File</u>	CREATEREPORT_FILE	<p><b>Details:</b> name of the SAS dataset used to specify the elements of the reports and to customize the report.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> .sas7bdat file format  <b>Example:</b> CREATEREPORT_FILE=report_parameters</p>
Distribution of Index Codes Output Indicator	DISTINDEX	<p><b>Details:</b> indicates if an optional output file with the distribution of index defining codes will be output for this request.</p> <p>Valid values include:</p> <ul style="list-style-type: none"> <li><b>Y:</b> generate and output distribution files [RUNID]_distindex.sas7bdat and</li> </ul>

Parameter	Field Name	Description
		<p>RUNID]_distindexmax.sas7bdat</p> <ul style="list-style-type: none"> <li>• <b>N:</b> do not generate or output distribution files [RUNID]_distindex.sas7bdat and RUNID]_distindexmax.sas7bdat</li> </ul> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> SAS character \$1  <b>Example:</b> DISTINDEX = Y</p>

### 3. Input Files

The CIDA tool allows requesters to specify multiple scenarios (or, in other words, define multiple cohorts) within a *single execution* of the program. Each cohort is assigned a unique GROUP value in input files to differentiate cohorts.

There are some parameters that are allowed to vary within a single execution of the program, and some that are not. As noted above, main program parameters are fixed for a single execution of the program. In addition, there are several input file parameters that may not vary within a single execution of the program. Where applicable this is noted for each input file described in this section.

#### a) Cohort File

The Cohort File is required. It is used to define enrollment and demographic requirements, select the type of cohort identification strategy for the request, and indicate if extraction should be restricted to individuals for whom medical records may be requested.

There are five cohort identification strategies that can be employed with the CIDA tool:

1. Extract information to calculate background rates: program identifies an event (exposure, outcome, condition) and calculates the rate of that event in the SDD.
2. Extract information on exposures and follow-up time: program identifies an exposure of interest, determines exposed time (either requester-defined number of days after treatment initiation or based on drug dispensing' days supply), and looks for the occurrence of an HOI during exposed time.
3. Extract information for a self-controlled risk interval design: program identifies an exposure of interest, identifies a risk and control window relative to the exposure date, and examines the occurrence of HOIs during the risk and control windows.
4. Extract information to define pregnancy episodes and concurrent medical product use: program identifies live birth deliveries, calculates pregnancy duration, identifies comparator episodes with no live births, and examines the use of medical products by trimester.
5. Extract information for medical product utilization: program identifies the "first valid" exposure episode (i.e., the first episode during the query period that meets cohort entry criteria) as the index date, and then includes all subsequent exposure episodes.

To extract information to calculate background rates, a "Type 1" analysis must be performed. This means that the TYPE1FILE must be created and included in the program package.

To extract information on exposures and follow-up time, a "Type 2" analysis must be performed. This means that the TYPE2FILE must be created and included in the program package.

To extract information for a self-controlled risk interval design, a "Type 3" analysis must be performed. This means that the TYPE3FILE must be created and included in the program package.

To extract information on pregnancy episodes and medical product use, a "Type 4" analysis must be performed. This means that the TYPE4FILE must be created and included in the program package.

To extract information on medical product utilization, a "Type 5" analysis must be performed. This means that the TYPE5FILE must be created and included in the program package.

Note that in a single execution of the CIDA tool, only a Type 1 (extract information to calculate background rates) *or* Type 2 (extract information on exposures and follow-up time) *or* Type 3 (extract information for a self-controlled risk interval design) *or* Type 4 (extract information to define pregnancy

episodes and concurrent medical product use) or Type 5 (medical product utilization) cohort identification strategy can be specified.

Table 18 contains detailed specifications for this file.

**Table 18. COHORTFILE Specifications**

Parameter	Field Name	Description
Cohort Name	GROUP	<p><b>Details:</b> standardized name used to differentiate cohorts.</p> <p><b>Note 1:</b> multiple cohorts can be defined within the same Cohort File. In this case all cohorts are queried independently and results are reported separately and labeled using each GROUP name specified.</p> <p><b>Note 2:</b> GROUP is the primary key linking cohorts across input files; GROUP values must match (including case) between the COHORTFILE and other input files.</p> <p><b>Note 3:</b> GROUP values must remain consistent during the course of a surveillance activity.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; no special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed, and underscores must be used to mark spaces.  <b>Example:</b> Insulin</p>
Coverage Type Requirement	COVERAGE	<p><b>Details:</b> indicates medical and drug coverage type requirements for the cohort.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>M:</b> only enrollment spans with at least medical coverage should be considered by the MP algorithm</li> <li>• <b>D:</b> only enrollment spans with at least drug coverage should be considered by the MP algorithm</li> <li>• <b>MD:</b> only enrollment spans with both medical and drug coverage should be considered by the MP algorithm (<b>default value</b>)</li> </ul> <p><b>Note 1:</b> Users must specify multiple groups if different COVERAGE requirements are needed.</p> <p><b>Note 2:</b> the type of coverage specified is used when creating continuous enrollment periods and assessing cohort eligibility requirements.</p>

Parameter	Field Name	Description
		<p><b>Note 3:</b> if the COVERAGE value is left blank, or contains invalid values (<i>i.e.</i>, values other than “M”, “D”, or “MD”), the MP algorithm will consider only enrollment spans with both medical and drug coverage by default.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional (default value is MD)  <b>Format:</b> SAS character \$2  <b>Example:</b> MD</p>
Enrollment Gap	ENROLGAP	<p><b>Details:</b> sets the number of days that will be bridged between two consecutive enrollment periods to create a “continuously enrolled” period. For example, if ENROLGAP=30 and a member is eligible for medical and drug coverage in periods 1/1/2007-3/27/2007 and 4/1/2007-12/21/2007 (<i>i.e.</i>, a 4-day gap between two consecutive enrollment episodes), the member will be considered continuously enrolled from 1/1/2007 to 12/21/2007. Any gaps in enrollment greater than 30 days will result in a new enrollment period, and all the days in the gap will be considered un-enrolled.</p> <p><b>Note 1:</b> a gap of 45-days is recommended for most uses.</p> <p><b>Note 2:</b> multiple continuous enrollment periods per member may be assessed.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> ENROLGAP=45 (gaps less than or equal to 45 days will be “bridged” to form one “continuously enrolled” sequence)</p>
Minimum Pre-Index Enrollment Days	ENRDAYS	<p><b>Details:</b> optional parameter to specify the number of days of continuous enrollment required before the index date.</p> <p><b>Note 1:</b> if not specified, a default value of 0 days is used.</p> <p><b>Note 2:</b> this parameter allows requesters to specify enrollment criteria that <i>is greater</i> in duration than any washout periods or exclusion criteria specified. The value of ENRDAYS is only binding if:</p> <ul style="list-style-type: none"> <li>• ENRDAYS &gt; T1WASHPER (in <u>Type 1 File</u>, if specified) and</li> <li>• ENRDAYS &gt; T2WASHPER (in <u>Type 2 File</u>, if specified) and</li> <li>• ENRDAYS &gt; T2FUPWASHPER (in <u>Type 2 File</u>, if</li> </ul>



Parameter	Field Name	Description
		<p>specified) and</p> <ul style="list-style-type: none"> <li>• ENRDAYS&gt; T3WASHPER (in <u>Type 3 File</u>, if specified) and</li> <li>• ENRDAYS&gt; T3FUPWASHPER (in <u>Type 3 File</u>, if specified and control window is after exposure) and</li> <li>• ENRDAYS&gt;  T3CTRLFROM- T3FUPWASHPER  (in <u>Type 3 File</u>, if specified and control window is before exposure) and ENRDAYS &gt; CONDFROM  (in <u>Inclusion/Exclusion Codes File</u> when INCLUSION=0, if specified)</li> <li>• ENRDAYS&gt;T4WASHPER (in <u>Type 4 File</u>, if specified) and</li> <li>• ENRDAYS&gt;T4FUPWASHPER (in <u>Type 4 File</u>, if specified) and</li> <li>• ENRDAYS&gt;T5WASHPER (in <u>Type 5 File</u>, if specified)</li> </ul> <p>The program will automatically use the longest duration before index date specified in the above parameters to assess continuous enrollment requirements.</p> <p><b>Note 3:</b> in the pregnancy episodes cohort identification strategy (<u>Type 4</u> analysis), ENRDAYS is assessed in relation to delivery date. In order to capture the entire pregnancy period, ENRDAYS should be at least 294.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional (default value is 0)  <b>Format:</b> Numeric  <b>Example:</b> 365</p>
Type 1 Cohort Identification Strategy Indicator	TYPE1	<p><b>Details:</b> indicates if background rate cohort identification should be performed (see TYPE1FILE specifications). Allowable values are “Y” and “N”.</p> <p><b>Note 1:</b> If TYPE1=Y, a TYPE1FILE must be specified and included in the program package.</p> <p><b>Note 2:</b> only TYPE1 or TYPE2 or TYPE3 or TYPE4 or TYPE5 can have a value of “Y”.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (1)  <b>Example:</b> N</p>
Type 2 Cohort Identification Strategy	TYPE2	<p><b>Details:</b> indicates if an exposures and follow-up time cohort identification should be performed (see TYPE2FILE</p>

Parameter	Field Name	Description
Indicator		<p>specifications). Allowable values are “Y” and “N”.</p> <p><b>Note 1:</b> If TYPE2=Y, a TYPE2FILE must be specified and included in the program package.</p> <p><b>Note 2:</b> only TYPE1 or TYPE2 or TYPE3 or TYPE4 or TYPE5 can have a value of “Y”.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (1)  <b>Example:</b> Y</p>
Type 3 Cohort Identification Strategy Indicator	TYPE3	<p><b>Details:</b> indicates if self-controlled risk interval design cohort identification should be performed (see TYPE3FILE specifications). Allowable values are “Y” and “N”.</p> <p><b>Note 1:</b> If TYPE3=Y, a TYPE3FILE must be specified and included in the program package.</p> <p><b>Note 2:</b> only TYPE1 or TYPE2 or TYPE3 or TYPE4 or TYPE5 can have a value of “Y”.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (1)  <b>Example:</b> Y</p>
Type 4 Cohort Identification Strategy Indicator	TYPE4	<p><b>Details:</b> indicates if pregnancy episodes cohort identification strategy should be performed (see TYPE4FILE specifications). Allowable values are “Y” and “N”.</p> <p><b>Note 1:</b> If TYPE4=Y, a TYPE4FILE must be specified and included in the program package.</p> <p><b>Note 2:</b> only TYPE1 or TYPE2 or TYPE3 or TYPE4 or TYPE5 can have a value of “Y”.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (1)  <b>Example:</b> Y</p>
Type 5 Cohort Identification Strategy Indicator	TYPE5	<p><b>Details:</b> indicates if a medical product utilization cohort identification strategy should be performed. Allowable values are “Y” and “N”.</p> <p><b>Note 1:</b> If TYPE5=Y, a TYPE5FILE must be specified and included in the program package.</p>

Parameter	Field Name	Description
		<p><b>Note 2:</b> only TYPE1 or TYPE2 or TYPE3 or TYPE4 or TYPE5 can have a value of “Y”.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (1)  <b>Example:</b> Y</p>
Minimum Post-Index Enrollment Days	ENRDAYSAFT	<p><b>Details:</b> optional parameter to specify the number of days of continuous enrollment required after the index date. Note that the program does not, by default, require post-index date enrollment if the assessment period for covariates, exclusion criteria, most frequent utilization analyses, or high dimensional propensity score calculation extend beyond the index date. If enrollment is required, ENRDAYSAFT must be specified for the appropriate duration.</p> <p><b>Note 1:</b> may be left blank if no post-index enrollment is required.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Numeric</p>
Chart Availability Restriction Indicator	CHARTRES	<p><b>Details:</b> indicates if extraction should <i>exclude</i> members for whom medical charts cannot be requested for the entire study period. Allowable values are “Y” and “N”.</p> <p><b>Note 1:</b> If CHARTRES= “Y” the program will <i>exclude</i> individuals with at least one enrollment span with the SCDM variable Chart=N during the study period.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (1)  <b>Example:</b> N (default)</p>
Sex criteria to apply to cohort	Sex	<p><b>Details:</b> optional parameter to restrict cohort to only specified Sex values. Blank will ensure that all Sex values are included in analyses.</p> <p><b>Note 1:</b> valid values will be in single quotes and separated by a space. Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>A:</b> ambiguous</li> <li>• <b>F:</b> female</li> <li>• <b>M:</b> male</li> <li>• <b>U:</b> unknown</li> </ul>

Parameter	Field Name	Description
		<p><b>Note 2:</b> restriction by Sex values does not ensure that matching is performed within values of Sex</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (3)  <b>Example:</b> 'F' 'M' 'A' 'U'</p>
Race criteria to apply to cohort	Race	<p><b>Details:</b> optional parameter to restrict cohort to only specified Race values. Blank will ensure that all Race values are included in analyses.</p> <p><b>Note 1:</b> valid values will be in single quotes and separated by a space.  Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>0:</b> Unknown</li> <li>• <b>1:</b> American indian or Alaska Native</li> <li>• <b>2:</b> Asian</li> <li>• <b>3:</b> Black or African American</li> <li>• <b>4:</b> Native Hawaiian or Other Pacific Islander</li> <li>• <b>5:</b> White</li> </ul> <p><b>Note 2:</b> restriction by Race values does not ensure that matching is performed within values of Race</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (1)  <b>Example:</b> '3'</p>
Hispanic criteria to apply to cohort	Hispanic	<p><b>Details:</b> optional parameter to restrict cohort to only specified Hispanic values. Blank will ensure that all Hispanic values are included in analyses.</p> <p><b>Note 1:</b> valid values will be in single quotes and separated by a space. Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>N:</b> no</li> <li>• <b>U:</b> unknown</li> <li>• <b>Y:</b> yes</li> </ul> <p><b>Note 2:</b> restriction by Hispanic values does not ensure that matching is performed within values of Hispanic</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (1)  <b>Example:</b> 'N'</p>

Parameter	Field Name	Description
Stratify output by race values	RACE_OUT	<p><b>Details:</b> indicates if T#_cida output should be stratified by values of RACE. Allowable values:</p> <ul style="list-style-type: none"> <li>• <b>N:</b> do not output t#_cida data by race (default)</li> <li>• <b>Y:</b> output t#_cida data by race</li> </ul>
Stratify output by Hispanic values	HISPANIC_OUT	<p><b>Details:</b> indicates if T#_cida output should be stratified by values of RACE. Allowable values:</p> <ul style="list-style-type: none"> <li>• <b>N:</b> do not output t#_cida data by Hispanic values (default)</li> <li>• <b>Y:</b> output t#_cida data by Hispanic values</li> </ul>
Age Groups	AGESTRAT	<p><b>Details:</b> age group categories for reporting. Specifying this parameter will (1) restrict to certain age groups and (2) specify how age groups will be stratified in result tables. For example, to have results stratified by 20 year increments for members 40-99 years of age, enter AGESTRAT=40-59 60-79 80-99.</p> <p><b>Note 1:</b> For <u>Type 1</u>, <u>Type 2</u>, <u>Type 3</u> and <u>Type 5</u> analyses, age is calculated at index date.</p> <p><b>Note 2:</b> For <u>Type 4</u> analysis, age is calculated at delivery date.</p> <p><b>Note 3:</b> various units of time can be used. Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>D:</b> days</li> <li>• <b>W:</b> weeks</li> <li>• <b>Q:</b> quarters</li> <li>• <b>M:</b> months</li> <li>• <b>Y:</b> years (default value)</li> </ul> <p><b>Note 4:</b> lower value is binding. If AGESTRAT=0-5 5-10, then all 5 year olds will be placed in the second age group. If AGESTRAT=0-5 6-10, then all 5 year olds will be placed in the first age group.</p> <p>For example, to have results stratified by 6 month increments for the first two years of life and then by 2 year increments until the age of 6, AGESTRAT = 00M-05M 06M-11M 12M-17M 18M-23M 02Y-03Y 04Y-05Y needs to be entered.</p> <p><b>Note 5:</b> using an open ended age category (e.g., 85+) imposes an age ceiling of 110 years. If age &gt;110 is desired, the final age category ceiling must be specified (e.g., 85-125).</p>

Parameter	Field Name	Description
		<p><b>Note 6:</b> age groups must be mutually exclusive (i.e., non overlapping).</p> <p><b>Note 7:</b> When constructing age categories that only include one age, the lower and upper values are equal. For example, 00M-&lt;01M, 01M-&lt;02M, 02M-&lt;03M, should be specified as 00M-00M 01M-01M 02M-02M</p> <p><b>Note 8:</b> For PSA, age groups should be the same for both the exposure and control groups.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional (default value is <b>00-01 02-04 05-09 10-14 15-18 19-21 22-44 45-64 65-74 75+ in years</b>)  <b>Format:</b> Char (100)  <b>Example:</b> AGESTRAT=<b>40-59 60-79 80-99</b></p>
Geographic Location	GEOG	<p><b>Details:</b> indicate if T#_cida output should be stratified by geographic location.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>&lt;blank&gt;</b>: Do not include geographic information in standard output (default)</li> <li>• <b>zip3</b>: stratify output by 3-digit ZIP code</li> <li>• <b>state</b>: stratify output by 2-digit State code</li> <li>• <b>hhs_reg</b>: stratify output by 2-digit HHS region code</li> <li>• <b>cb_reg</b>: stratify output by Census Bureau regions</li> </ul> <p><b>Note 1:</b> Multiple values can be requested; values should be separated by a space.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (50)  <b>Example:</b> zip3 state hhs_reg cb_reg</p>

## b) Type 1 File

The Type 1 File is optional and its specification is only required for a background rate calculation cohort identification strategy. Options include selecting the number of events an individual can contribute to the request, the number of days before index date to assess incidence criteria, whether to truncate enrollment at death date, and whether to output a table characterizing reason for censoring eligibility. Table 19 contains detailed specifications for this file.

**Table 19. TYPE1FILE Specification**

Parameter	Field Name	Description
Name of Cohort	GROUP	<p><b>Details:</b> standardized name used to differentiate cohorts.</p> <p><b>Note 1:</b> multiple cohorts can be defined within the same Type 1 File. In this case all cohorts are queried independently and results are reported separately and labeled using each GROUP name specified.</p> <p><b>Note 2:</b> GROUP is the primary key linking cohorts across input files; GROUP values must match (including case) between the TYPE1FILE and other input files.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; no special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed, and underscores must be used to mark spaces.  <b>Example:</b> Insulin</p>
Allowed Number of Index Dates per Individual	T1COHORTDEF	<p><b>Details:</b> indicates how many index dates an individual can contribute. Options include:</p> <ul style="list-style-type: none"> <li>• <b>01:</b> Cohort includes only the first valid index date per individual during the query period.</li> <li>• <b>02:</b> Cohort includes all valid index dates per individual during the query period.</li> </ul> <p><b>Note 1:</b> T1COHORTDEF parameter is used in conjunction with the T1WASHPER variable (below) to define valid index date(s).</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$2  <b>Example:</b> 01</p>
Type 1 Index Washout Period	T1WASHPER	<p><b>Details:</b> length of washout period in days. The washout period is a period before an index date during which an individual cannot have evidence of incidence-defining criteria (see <u>Cohort Codes File</u> specification for additional details on incidence-defining criteria).</p>

Parameter	Field Name	Description
		<p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 365</p>
Censor Enrollment at Evidence of Death	CENSOR_DTH	<p><b>Details:</b> indicates if enrollment should be censored based on death date. Allowable values are “Y” and “N”.</p> <p>Date of death can be determined two ways:</p> <ol style="list-style-type: none"> <li>Using discharge status = expired in the SDD Encounter table. Death date is set to discharge date.</li> </ol> <p>OR</p> <ol style="list-style-type: none"> <li>Using death date in the SDD Death table for records with Confidence=Excellent.</li> </ol> <p><b>Note 1:</b> censoring is implemented by restricting enrollment eligibility. Member eligibility is truncated at death date. Once a death date is observed, a member can no longer contribute eligible periods (even if they are observed in the data).</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>
Censor Enrollment at DP Data End Date	CENSOR_DPEND	<p><b>Details:</b> indicates if enrollment should be censored based on DP data end date. Allowable values are “Y” and “N”.</p> <p><b>Note 1:</b> when CENSOR_DPEND = “Y”, the program will adjust the query period end date (QUERYTO) to reflect DP_MaxDate.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>
Censor Enrollment at Query End Date	CENSOR_QRYEND	<p><b>Details:</b> indicates if enrollment should be censored based on query data end date. Allowable values are “Y” and “N”.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>
Generate Reason For Censoring Output	CENSOR_OUTPUT	<p><b>Details:</b> indicates if an optional output file [RUNID]_censor_CIDA.sas7bdat should be generated for the request. This output file contains detailed information on reasons for episode censoring. Allowable values are “Y” and “N”.</p>



Parameter	Field Name	Description
		<p><b>Note 1:</b> only one value for CENSOR_OUTPUT is allowed per run of CIDA.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>
Categories for Follow-up Time	CENSOR_OUTPUT_CAT	<p><b>Details:</b> indicates ranges (in days) for stratification variable CENSDAYS_VALUE in [RUNID]_censor_CIDA.sas7bdat output.</p> <p><b>Note 1:</b> leave blank if only continuous values of CENSDAYS_VALUE are desired. If this field is left blank, output stratified by CENSDAYS_VALUE in [RUNID]_censor_CIDA will have one category that includes all values of CENSDAYS_VALUE.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Alphanumeric  <b>Example:</b> 0-364 365-729 730-1094 1095+</p>

### c) Type 2 File

The Type 2 File is optional and its specification is only required for an exposures and follow-up time cohort identification strategy.

In an exposures and follow-up time strategy, requesters can create exposure episodes based on outpatient pharmacy dispensing days supplied. The exposure episode in this case would be defined as a dispensing sequence that has no interruption in days supplied greater than a requester-defined allowable “gap”. The allowable gap is the number of days used to bridge dispensings to create a continuous exposure episode.

Alternatively, exposure episodes can be created based on a requester-defined number of days after the exposure. The parameters that need to be defined in the Type 2 File are dependent on the exposure episode creation method.

Note that if exposure episodes are created based on outpatient pharmacy dispensing days supplied and a procedure, diagnosis, or laboratory result code is included in the definition of exposure, the CIDA tool will assign the code a default value of 1 day of supply. Table 20 contains detailed specifications for this file.

**Table 20. TYPE2FILE Specification**

Parameter	Field Name	Description
Name of Cohort	GROUP	<p><b>Details:</b> standardized name used to differentiate cohorts.</p> <p><b>Note 1:</b> multiple cohorts can be defined within the same Type 2 File. In this case all cohorts are queried independently and results are reported separately and labeled using each GROUP name specified.</p> <p><b>Note 2:</b> GROUP is the primary key linking cohorts across input files; GROUP values must match (including case) between the TYPE2FILE and other input files.</p> <p><b>Note 3:</b> GROUP values must remain consistent during the course of a surveillance activity.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; no special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed, and underscores must be used to mark spaces.  <b>Example:</b> Insulin</p>
Allowed Number of Exposure Episodes per Individual	T2COHORTDEF	<p><b>Details:</b> indicates how many exposure periods an individual can contribute. Options include:</p>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>01:</b> Cohort includes only the first valid exposure episode during the query period</li> <li>• <b>02:</b> Cohort includes all valid exposure episodes during the query period</li> <li>• <b>03:</b> Cohort includes all valid exposure episodes during the query period until an outcome of interest occurs</li> </ul> <p><b>Note 1:</b> T2COHORTDEF parameter is used in conjunction with the T2WASHPER parameter (below) to define valid exposure episode(s).</p> <p><b>Note 2:</b> T2COHORTDEF must equal “01” for requests that will use the propensity score matching module.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$2  <b>Example:</b> 01</p>
Type 2 Exposure Washout Period	T2WASHPER	<p><b>Details:</b> length of washout period in days. The washout period is a period before an exposure episode during which an individual cannot have evidence of incidence-defining criteria (see <a href="#">Cohort Codes File</a> specification for additional details on incidence-defining criteria).</p> <p><b>Note 1:</b> the MP algorithm may use days before the query start date to determine if continuous enrollment and incidence criteria are met.</p> <p><b>Note 2: special case:</b> when T2WASHPER = missing the program requires ENRDAYS of continuous enrollment but only considers an exposure episode valid if, at index date, the member has no evidence of the exposure in <u>their entire available enrollment history</u>.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 365</p>
Requester-defined Exposure Episode Length	ITTDAYS	<p><b>Details:</b> number of days after exposure initiation that is considered “exposed time.”</p> <p><b>Note 1:</b> if exposure episode will be determined by outpatient pharmacy dispensing days supplied, leave</p>

Parameter	Field Name	Description
		<p>this field blank.</p> <p><b>Note 2:</b> exposure episodes will be censored at the first occurrence of the following: 1) end of enrollment; 2) occurrence of HOI; 3) occurrence of any additional requester-defined censoring criteria.</p> <p><b>Note 3:</b> if this field is populated, the following fields should be left blank in this file: EPISODEGAP, EXPEXTPER, and MINEPISDUR (these parameters are for exposed time that is determined by outpatient pharmacy dispensing days supplied).</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Numeric  <b>Example:</b> 30</p>
Treatment Episode Gap Type	EPISODEGAPTYPE	<p><b>Details:</b> specifies the type of algorithm to use for the calculation of episode gaps.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>F:</b> Fixed episode gap. The value specified in EPISODEGAP will be used to determine if two consecutive claims are in the same episode.</li> <li>• <b>P:</b> Percentage episode gap. The value specified in EPISODEGAP will represent a percentage of the previous dispensing's days of supply to determine if two consecutive claims are in the same episode.</li> </ul> <p><b>Note 1:</b> this value must be the same within a given query GROUP.</p> <p><b>Note 2:</b> default value is "P."</p> <p><b>Defined by:</b> Request Programmer  <b>Input type:</b> Required (default value is P)  <b>Format:</b> Alphanumeric; SAS character \$1.  <b>Example:</b> P</p>
Exposure Episode Gap	EPISODEGAP	<p><b>Details:</b> used in conjunction with EPISODEGAPTYPE; sets the number of days allowed between two consecutive claims to consider them as part of the same treatment episode. For a given claim, if EPISODEGAPTYPE is Fixed (F), a gap of more than</p>

Parameter	Field Name	Description
		<p>EPISODEGAP days between the claim date and the date of last day of supply of the previous dispensing triggers a new exposure episode. If EPISODEGAPTYPE is Percentage (P), a gap of more than EPISODEGAP percent of the previous claim days of supply days between the claim date and the date of the last day of supply of the previous claim triggers a new treatment episode.</p> <p>For example, if EPISODEGAP=10 and EPISODEGAPTYPE=F, claim 1's last day of supply is on 1/31/2012 and claim 2's start date is 2/12/2012, the MP algorithm starts a new treatment episode on 2/12/2012 because there are more than 10 days between the two claims.</p> <p><b>Note 1:</b> this value must be the same within a given query GROUP.</p> <p><b>Note 2:</b> only relevant for requests creating exposure episodes based on dispensing days supplied. If exposure episode length is defined by the requester using the ITTDAYS parameter (<i>i.e.</i>, using a requester-defined number of days after exposure initiation), leave this field blank.</p> <p><b>Note 3:</b> gap days bridged are included in the days at risk metrics.</p> <p><b>Note 4:</b> gaps are assessed and bridged before the application of exposure episode extensions (EXPEXTPER; below).</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required (0 must be entered if no EPISODEGAP is required; default value is 0 for Fixed EPISODEGAPTYPE and 30 for Percentage EPISODEGAPTYPE)  <b>Format:</b> Numeric  <b>Example:</b> 0</p>
Exposure Episode Extension Period	EXPEXTPER	<p><b>Details:</b> extends the length of an exposure episode by specified number of days. An exposure episode can be extended EXPEXTPER days after the last day of supply of the treatment episode's last dispensing.</p> <p><b>Note 1:</b> only relevant for requests creating exposure episodes based on dispensing days supplied. If</p>

Parameter	Field Name	Description
		<p>exposure episode length is defined by the requester using the ITTDAYS parameter (<i>i.e.</i>, using a requester-defined number of days after exposure initiation), leave this field blank.</p> <p><b>Note 2:</b> extension days are added after the <u>stockpiling algorithm</u> has been applied and exposure episodes are created.</p> <p><b>Note 3:</b> extensions days are added after any episode gaps have been bridged (see EPISODEGAP parameter).</p> <p><b>Note 4:</b> extension days are included in days at-risk metrics.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required for requests that are creating exposure episodes using dispensing days supply  <b>Format:</b> Numeric  <b>Example:</b> 0</p>
Minimum Exposure Episode Duration	MINEPISDUR	<p><b>Details:</b> rejects exposure episodes of fewer than MINEPISDUR days.</p> <p><b>Note 1:</b> only relevant for requests creating exposure episodes based on dispensing days supplied. If exposure episode length is defined by the requester using the ITTDAYS parameter (<i>i.e.</i>, using a requester-defined number of days after exposure initiation), leave this field blank.</p> <p><b>Note 2:</b> criterion applied after any gaps are bridged and extension days added to the length of the exposure episode.</p> <p><b>Note 3:</b> MINEPISDUR will count any gap days that bridge episodes. This is how the parameter differs from MINDAYSUPP.</p> <p><b>Note 4:</b> minimum episode duration criteria are assessed prior to censoring due to the occurrence of an HOI (e.g., if an episode is 45 days, and an HOI occurs on day 15, a MINEPISDUR value of 30 days will capture both the exposure episode and the HOI [the episode will <i>not</i> be excluded due to days at risk censoring on day 15]).</p>

Parameter	Field Name	Description
		<p><b>Defined by:</b> Requester  <b>Input type:</b> Required for requests that are creating exposure episodes using dispensing days supply  <b>Format:</b> Numeric  <b>Example:</b> 0</p>
Maximum Exposure Episode Duration	MAXEPISDUR	<p><b>Details:</b> censors/truncates exposure episodes after a requester-specified number of exposed days.</p> <p><b>Note 1:</b> only relevant for requests creating exposure episodes based on dispensing days supplied. If exposure episode length is defined by the requester using the ITTDAYS parameter (<i>i.e.</i>, using a requester-defined number of days after exposure initiation), leave this field blank.</p> <p><b>Note 2:</b> criterion applied after any gaps are bridged and extension days added to the length of the exposure episode.</p> <p><b>Note 3:</b> criterion applied before any specified blackout period is considered. For example, if there is a 1-day blackout, and MaxEpisDur = 20, then maximum TTE would be 19.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Numeric  <b>Example:</b> 60</p>
Minimum Days Supplied	MINDAYSUPP	<p><b>Details:</b> rejects exposure episodes where less than MINDAYSUPP days supplied were used to create the exposure episode.</p> <p><b>Note 1:</b> MINDAYSUPP evaluates dispensings days supply in treatment episode, and does not count any gap days that bridge episodes. This is how the parameter differs from MINEPISDUR.</p> <p><b>Note 2:</b> when a Type 2 analysis is run, and the cohort is defined using age anniversary or calendar date, then mindaysupp should be set to 0.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required (0 must be entered if no MINDAYSUPP is required)  <b>Format:</b> Numeric</p>

Parameter	Field Name	Description
		<b>Example:</b> 0
Censor Episodes at Evidence of Death	CENSOR_DTH	<p><b>Details:</b> indicates if a treatment episode should be censored based on death date. Allowable values are “Y” and “N”.</p> <p>Date of death can be determined two ways:</p> <ol style="list-style-type: none"> <li>1. Using discharge status = expired in the SDD Encounter table. Death date is set to discharge date.</li> <li>OR</li> <li>2. Using death date in the SDD Death table for records with Confidence=Excellent.</li> </ol> <p><b>Note 1:</b> censoring is implemented by restricting enrollment eligibility. Member eligibility is truncated at death date. Once a death date is observed, a member can no longer contribute eligible periods (even if they are observed in the data).</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>
Type 2 HOI Washout Period	T2FUPWASHPER	<p><b>Details:</b> The washout period is a period before an exposure episode during which an individual cannot have evidence of the HOI.</p> <p><b>Note 1:</b> the HOI washout period looks back from the <i>exposure episode</i> index date.</p> <p><b>Note 2:</b> the MP algorithm may use days before the query start date to determine if continuous enrollment and incidence criteria are met.</p> <p><b>Note 3: special case:</b> when T2FUPWASHPER = missing the program requires ENRDAYS of continuous enrollment but only considers an exposure episode valid if, at index date, the member has no evidence of an HOI in <u>their entire available enrollment history</u>.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required (0 must be entered if no WASHPER is required)  <b>Format:</b> Numeric  <b>Example:</b> 365</p>



Parameter	Field Name	Description
HOI Characterization De-duplication Process	EVENTCOUNT	<p><b>Details:</b> by design, individuals stop contributing days at risk during an exposure episode when an HOI occurs. HOIs/Days at-risk metrics reported allow individuals to contribute, at most, one HOI per episode.</p> <p>However, the MP algorithm is able to characterize the number of total HOIs observed during valid treatment episodes. Requesters can use this field to determine how this characterization should count the number of HOIs. Again, this is for characterization only, and will not affect HOI/Days at-risk metrics.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>0:</b> counts all occurrences of an HOI during an exposure episode.</li> <li>• <b>1:</b> de-duplicates occurrences of the <i>same HOI code and code type</i> on the same day (<i>i.e.</i>, de-duplicates at the exact match code level). Note: a patient may have the same HOI code and code type on the same day if they were recorded by different providers and/or occurred in different care settings.</li> <li>• <b>2:</b> de-duplicates occurrences of the <i>same HOI GROUP</i> on the same day (<i>e.g.</i>, de-duplicates at the GROUP level).</li> </ul> <p>Consider the example where the HOI is defined with ICD-9-CM diagnosis codes 250.01 and 250.11 in any care setting. A member has an occurrence of code=250.01 on two separate AV records and of code=250.11 on another AV record on the same date during his/her incident treatment episode.</p> <p>EVENTCOUNT=0 will identify three HOIs.            EVENTCOUNT=1 will identify two HOIs.            EVENTCOUNT=2 will identify one HOI.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$1.  <b>Example:</b> 2</p>
HOI Blackout Period	BLACKOUTPER	<p><b>Details:</b> the HOI blackout period in days. The requester can specify a period at the start of an</p>

Parameter	Field Name	Description
		<p>exposure episode during which HOIs found by the MP algorithm are ignored. That is, the at-risk period starts at the end of the blackout period. Moreover, if an HOI occurs during the blackout period, the exposure episode will not be considered incident with respect to the HOI (and thus excluded from output metrics).</p> <p><b>Note 1:</b> this allows a requester to exclude “same-day” HOIs by setting the BLACKOUTPER to 1.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required (0 must be entered if no BLACKOUTPER is required)  <b>Format:</b> Numeric  <b>Example:</b> 7</p>
Generate Reason For Censoring Output	CENSOR_OUTPUT	<p><b>Details:</b> indicates if a new, optional output file [RUNID]_censor_CIDA.sas7bdat should be generated for the request. This output file contains detailed information on reasons for episode censoring.</p> <p>Allowable values are “Y” and “N”.</p> <p><b>Note 1:</b> only one value for CENSOR_OUTPUT is allowed per run of CIDA.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>
Categories for Follow-up Time	CENSOR_OUTPUT_CAT	<p><b>Details:</b> indicates ranges (in days) for stratification variable CENSDAYS_VALUE in [RUNID]_censor_CIDA.sas7bdat output.</p> <p><b>Note 1:</b> leave blank if continuous values of CENSDAYS_VALUE are desired.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Alphanumeric  <b>Example:</b> 0-364 365-729 730-1094 1095+</p>
Censor Episodes at DP Data End Date	CENSOR_DPEND	<p><b>Details:</b> indicates if a treatment episode should be censored based on DP data end date. Allowable values are “Y” and “N”.</p> <p><b>Note 1:</b> when CENSOR_DPEND = “Y”, the program</p>

Parameter	Field Name	Description
		<p>will adjust the query period end date (QUERYTO) to reflect DP_MaxDate.</p> <p><b>Note 2:</b> CENSOR_DPEND must = “N” for requests that will use the prospective surveillance with propensity score matched design.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>
Censor Episodes at Query End Date	CENSOR_QRYEND	<p><b>Details:</b> indicates if a treatment episode should be censored based on query data end date. Allowable values are “Y” and “N”.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>
Instructions to create never cohort	NEVEREXPOSEDCOHORT	<p><b>Details:</b> Determines whether to create a never-exposed cohort.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>Y:</b> Create never-exposed cohort</li> <li>• <b>N:</b> Do not create never-exposed cohort</li> </ul> <p><b>Note 1:</b> Never-exposed cohort creation is not available when conducting multi-look surveillance</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>

#### d) Type 3 File

The Type 3 File is optional and its specification is only required for a self-controlled risk interval design cohort identification strategy. In a self-controlled risk interval design strategy, requesters define an exposure of interest, specify a risk and control window relative to the exposure date, and examine the occurrence of HOIs during the risk and control windows. Table 21 contains detailed specifications for this file.

**Table 21. TYPE3FILE Specification**

Parameter	Field Name	Description
Name of Cohort	GROUP	<p><b>Details:</b> standardized name used to differentiate cohorts.</p> <p><b>Note 1:</b> multiple cohorts can be defined within the same Type 3 File. In this case all cohorts are queried independently and results are reported separately and labeled using each GROUP name specified.</p> <p><b>Note 2:</b> GROUP is the primary key linking cohorts across input files; GROUP values must match (including case) between the TYPE3FILE and other input files.</p> <p><b>Note 3:</b> GROUP values must remain consistent during the course of a surveillance activity.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> SAS character \$30; no special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed, and underscores must be used to mark spaces.  <b>Example:</b> Insulin</p>
Risk Window Interval Start	T3RISKFROM	<p><b>Details:</b> indicates the risk window interval start, as days relative to the exposure start date (i.e., day zero).</p> <p><b>Note 1:</b> a T3RISKFROM value of 3 indicates that the risk window should start three days after the exposure date.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 3</p>
Risk Window Interval End	T3RISKTO	<p><b>Details:</b> indicates the risk window end, as days relative to the exposure start date (i.e., day zero).</p> <p><b>Note 1:</b> A T3RISKTO value of 18 indicates that the risk window should end 18 days after the exposure date.</p>

Parameter	Field Name	Description
		<p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 18</p>
Control Window Interval Start	T3CTRLFROM	<p><b>Details:</b> indicates the control window start, as days relative to the exposure start date (i.e., day zero).</p> <p><b>Note 1:</b> A T3CTRLFROM value of 19 indicates that the risk window should start 19 days after the exposure date.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 19</p>
Control Window Interval End	T3CTRLTO	<p><b>Details:</b> indicates the control window end, as days relative to the exposure start date (i.e., day zero).</p> <p><b>Note 1:</b> A T3CTRLTO value of 25 indicates that the risk window should end 25 days after the exposure date.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 25</p>
Allowed Number of Exposure Episodes per Individual for the Surveillance Activity	T3COHORTDEF	<p><b>Details:</b> indicates how many exposures (“day zeros”) an individual can contribute to the query or the surveillance activity (and, by extension, the number of risk/control interval pairs an individual can contribute). Options include:</p> <p><b>01:</b> Cohort includes only the first exposure  <b>02:</b> Cohort includes all exposures</p> <p><b>Note 1:</b> for one time assessments, the T3COHORTDEF parameter determines the number of exposures that are identified during the query period. For surveillance activities, the T3COHORTDEF parameter determines the number of exposures that are identified during the duration of the surveillance activity. This has implications when T3COHORTDEF=01. For any evaluations after Look 1, the program will ensure that any exposures identified are the first observed in all prior exposure assessment periods. For example, if the Look 1 exposure assessment period is 10/15/2014-11/30/2014, and the Look 2 exposure assessment period is 12/1/2014-1/31/2014, in Look 2 the program will ensure that any exposures identified were the first that occurred since the surveillance start date</p>

Parameter	Field Name	Description
		<p>(T3SURVSTARTDATE; 10/15/2014).</p> <p><b>Note 2:</b> T3COHORTDEF parameter is used in conjunction with the T3WASHPER parameter (below) to define valid exposures.</p> <p>In order to ensure that multiple exposure risk and control windows do not overlap (when T3COHORTDEF = 02), the T3WASHPER value must be set accordingly:</p> <p>Control window is after risk window: T3WASHPER must be <i>at least</i> T3CTRLTO + 1 days in duration.</p> <p>Control window is before exposure: T3WASHPER must be at least  T3CTRLFROM  + T3RISKTO + 1 days in duration.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$2  <b>Example:</b> 01</p>
Type 3 Exposure Washout Period	T3WASHPER	<p><b>Details:</b> length of washout period in days. The washout period is a period before exposure during which an individual cannot have evidence of incidence-defining criteria (see <a href="#">Cohort Codes File</a> specification for additional details on incidence-defining criteria).</p> <p><b>Note 1:</b> the MP algorithm may use days before the surveillance start date and exposure assessment period to determine if continuous enrollment and incidence criteria are met.</p> <p><b>Note 2: special case:</b> when T3WASHPER = missing the program requires the default pre-exposure continuous enrollment requirements, but only considers an exposure episode valid if, at index date, the member has no evidence of the exposure in <u>their entire available enrollment history</u>.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 365</p>
Type 3 HOI Incidence Assessment Period	T3FUPWASHPER	<p><b>Details:</b> length of HOI incidence assessment period in days. The incidence assessment period is a period before an HOI during which an individual cannot have evidence of HOI incidence-defining criteria (see <a href="#">Cohort Codes File</a> specification for additional details on incidence-defining</p>

Parameter	Field Name	Description
		<p>criteria).</p> <p><b>Note 1:</b> a Type 3 cohort identification strategy requires that a patient only contribute an HOI to the risk <i>or</i> the control window in the analytic cohort; a patient is not allowed to contribute an HOI to both windows in the analytic cohort. This requirement is ensured by enforcing a minimum HOI incidence assessment period. This minimum duration is calculated as:</p> <p>[Maximum (Risk interval end date, Control interval end date, Exposure date)] – [minimum (Control interval start date, Risk interval start date, Exposure date)] + 1 days in duration.</p> <p>Requesters should ensure that T3FUPWASHPER is greater than or equal to the minimum HOI incidence assessment period. However, should T3FUPWASHPER be set less than the minimum HOI incidence assessment period (or set to zero), the program will override the value and ensure the minimum requirements.</p> <p><b>Note 2: special case:</b> when T3FUPWASHPER = missing the program requires the default pre- and post-exposure continuous enrollment (see Enrollment Requirements section for additional details) but only considers an HOI valid if, at HOI date, the member has no evidence of the exposure in <u>their entire available enrollment history</u>.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 365</p>
Censor Evaluation Windows at Evidence of Death	T3CENSOR_DTH	<p><b>Details:</b> indicates if risk and evaluation windows should be censored based on death date. Allowable values are “Y” and “N”.</p> <p>Date of death can be determined two ways:</p> <ol style="list-style-type: none"> <li>1. Using discharge status = expired in the SDD Encounter table. Death date is set to discharge date.</li> </ol> <p>OR</p> <ol style="list-style-type: none"> <li>2. Using death date in the SDD Death table for records with Confidence=Excellent.</li> </ol> <p><b>Note 1:</b> censoring is implemented by restricting enrollment eligibility. Member eligibility is truncated at death date.</p>

Parameter	Field Name	Description
		<p>Once a death date is observed, a member can no longer contribute eligible periods (even if they are observed in the data).</p> <p><b>Note 2:</b> output will differentiate censoring based on end of enrollment versus evidence of death.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (1)  <b>Example:</b> Y</p>
NDC same day exclusion	T3EXCLONSAMEDAY	<p><b>Details:</b> indicates if an exposure defined using NDCs should be excluded from consideration if more than one of the NDCs used to define the exposure is observed on the same day (i.e., the patient cannot have evidence of more than one of the NDCs used to define the exposure on day 0). Allowable values are 'Y' and 'N'.</p> <p><b>Note 1:</b> applies to NDCs only. For codes other than NDCs, set to 'N'.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (1)  <b>Example:</b> Y</p>
	T3SURVSTARTDATE	<p><b>Details:</b> surveillance start date/exposure identification period start date for time period 1. Can be unique per value of GROUP.</p> <p>This parameter's value is used to identify the start of the exposure identification period for time period 1 (but must be specified for all time periods). For subsequent time periods, the exposure identification period start date is determined by the prior time period's exposure identification period stop date + 1.</p> <p><b>Note 1:</b> MONITORINGFILE is not required for TYPE3 analyses.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> mm/dd/yyyy  <b>Example:</b> 03/01/2012</p>
	T3ENDOFUPDATE	<p><b>Details:</b> Data Partner data completeness date. Should be determined by the surveillance team with information available from the SOC Data Management and Quality Assurance (DMQA) team.</p>



Parameter	Field Name	Description
		<p><b>Note 1:</b> MONITORINGFILE is not required for TYPE3 analyses.</p> <p><b>Note 2:</b> field cannot be left blank.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> mm/dd/yyyy  <b>Example:</b> 06/30/2012</p>

#### e) Type 4 File

The Type 4 File is optional and its specification is only required for a pregnancy episodes identification strategy. Options include the number of days before index date to assess incidence criteria, the length of pregnancy in absence of preterm/postterm codes, and the allowable gap between delivery code and preterm/postterm code. Parameters without a suffix are used to define pregnancy episodes. Parameters with the suffix “2” are used to define medical product use.

Table 22 contains detailed specifications for this file.

**Table 22. TYPE4FILE Specification**

Parameter	Field Name	Description
Name of Cohort	GROUP	<p><b>Details:</b> standardized name used to differentiate cohorts.</p> <p><b>Note 1:</b> multiple cohorts can be defined within the same Type 4 File. In this case all cohorts are queried independently and results are reported separately and labeled using each GROUP name specified.</p> <p><b>Note 2:</b> GROUP is the primary key linking cohorts across input files; GROUP values must match (including case) between the TYPE2FILE and other input files.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; no special characters (e.g., commas, periods, hyphens, etc.) allowed, and underscores must be used to mark spaces.  <b>Example:</b> Preg1</p>
Allowed Number of Pregnancy Episodes per Individual	T4COHORTDEF	<p><b>Details:</b> indicates how many pregnancy episodes an individual can contribute. Options include:</p> <ul style="list-style-type: none"> <li><b>02:</b> Cohort includes all valid pregnancy episodes during the query period</li> </ul> <p><b>Note 1:</b> T4COHORTDEF parameter is used in conjunction with the T4WASHPER parameter (below) to define valid</p>

Parameter	Field Name	Description
		<p>exposure episode(s).</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$2  <b>Example:</b> 02</p>
Type 4 Exposure Washout Period	T4WASHPER	<p><b>Details:</b> length of washout period in days. The washout period is a period before a delivery during which an individual cannot have evidence of a prior delivery.</p> <p><b>Note 1:</b> the washout is applied to delivery code and not index date (start of pregnancy episode).</p> <p><b>Note 2: special case:</b> if T4WashPer &lt; length of pregnancy, and the index date of a pregnancy episode falls during a prior episode, the second episode is truncated to start 1 day after the prior episode.</p> <p><b>Note 3:</b> the MP algorithm may use days before the query start date to determine if continuous enrollment and incidence criteria are met.</p> <p><b>Note 4: special case:</b> when T4WASHPER = missing the program requires ENRDAYS of continuous enrollment but only considers a pregnancy episode valid if, at delivery date, the member has no evidence of pregnancy in <u>their entire available enrollment history</u>.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 365</p>
Requester-defined Pregnancy Episode Length	ITTDAYS	<p><b>Details:</b> pregnancy duration in the absence of preterm/postterm codes within EPISODEGAP of delivery.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Numeric  <b>Example:</b> 273</p>
Preterm/Postterm Code Evaluation Window	EPISODEGAP	<p><b>Details:</b> sets the number of days around the delivery date where the program looks for evidence of preterm/postterm codes to calculate the length of the pregnancy episode.</p> <p>For example, if EPISODEGAP=10, and a delivery is on 1/31/2012, the program will look in the 10 days before</p>

Parameter	Field Name	Description
		<p>and 10 days after 1/31/2012 to evaluate presence of preterm/postterm codes.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 14</p>
Type 4 HOI Washout Period	T4FUPWASHPER	<p><b>Details:</b> The washout period is a period before a pregnancy episode during which an individual cannot have evidence of the HOI.</p> <p><b>Note 1:</b> Accessing HOI is not currently functional, so this should be left blank. Note that the parameter must be included (with a blank value) in the input file for the program to execute.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Numeric  <b>Example:</b> .</p>
Minimum Pre-Delivery Enrollment Days for Type4 Analysis	ENRDAYSFLOOR	<p><b>Details:</b> indicates the minimum number of days of continuous enrollment required prior to the delivery date for <u>Type 4</u> analysis only.</p> <p><b>Note 1:</b> Enrollment requirements are assessed via the ENRDAYS parameter with respect to the delivery date and not the index date. To ensure medical product use is captured during the entire pregnancy period, this parameter triggers a custom warning to the log if ENRDAYS &lt; ENRDAYSFLOOR. This parameter is only used to generate a warning message; it is not used to assess enrollment requirements. It should be set to 294 if the default MEPREP algorithm to determine pregnancy duration is used.</p> <p><b>Note 2:</b> must be left blank for Type 1, Type 2, Type3 and Type 5 analyses. Required for <u>Type 4</u> analysis.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Numeric  <b>Example:</b> 294</p>
Type 4 Medical Product Cohort Definition	T4COHORTDEF2	<p><b>Details:</b> indicates how many medical product exposure periods an individual can contribute. Options include:</p> <ul style="list-style-type: none"> <li>• <b>01:</b> Cohort includes first valid medical product</li> </ul>

Parameter	Field Name	Description
		<p>exposure episodes during a pregnancy episode</p> <ul style="list-style-type: none"> <li>• <b>02:</b> Cohort includes all valid medical product exposure episodes during a pregnancy episode</li> <li>• <b>99:</b> Cohort includes last valid medical product exposure episodes during a pregnancy episode</li> </ul> <p><b>Note 1:</b> T4COHORTDEF2 parameter is used in conjunction with the T4WASHPER2 parameter (below) to define valid medical product exposure episode(s).</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$2  <b>Example:</b> 02</p>
Type 4 Medical Product Washout Period	T4WASHPER2	<p><b>Details:</b> length of washout period in days for medical products. The washout period is a period before start of medical product episode during which an individual cannot have evidence of a prior episode.</p> <p><b>Note:</b> MOI episodes are incident to itself <u>and</u> any MOI incidence codes.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 0</p>
Requester-defined Medical Product of Interest Episode Length	ITTDAYS2	<p><b>Details:</b> number of days after medical product use episode initiation that is considered “exposed time.”</p> <p><b>Note 1:</b> if exposure episode will be determined by outpatient pharmacy dispensing days supplied and forced code supply, leave this field blank.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Numeric  <b>Example:</b> .</p>
Treatment Episode Gap Type	EPISODEGAPTYPE2	<p><b>Details:</b> specifies the type of algorithm to use for the calculation of episode gaps for medical product use.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>F:</b> Fixed episode gap. The value specified in EPISODEGAP2 will be used to determine if two consecutive claims are in the same episode.</li> </ul>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>P:</b> Percentage episode gap. The value specified in EPISODEGAP2 will represent a percentage of the previous dispensing's days of supply to determine if two consecutive claims are in the same episode.</li> </ul> <p><b>Note 1:</b> this value must be the same within a given query GROUP.</p> <p><b>Note 2:</b> default value is "P."</p> <p><b>Defined by:</b> Request Programmer  <b>Input type:</b> Required (default value is P)  <b>Format:</b> Alphanumeric; SAS character \$1.  <b>Example:</b> P</p>
Exposure Episode Gap	EPISODEGAP2	<p><b>Details:</b> used in conjunction with EPISODEGAPTYPE2; sets the number of days allowed between two consecutive dispensings to consider them as part of the same medical product episode. For a given claim, if EPISODEGAPTYPE2 is Fixed (F), a gap of more than EPISODEGAP2 days between the dispensing date and the date of the last day of supply of the previous dispensing triggers a new medical product episode. If EPISODEGAPTYPE2 is Percentage (P), a gap of more than EPISODEGAP2 percent of the previous dispensing days of supply days between the dispensing date and the date of the last day of supply of the previous dispensing triggers a new treatment episode.</p> <p>For example, if EPISODEGAP2=10 and EPISODEGAPTYPE2=F, claim1's last day of supply is on 1/31/2012 and claim2's start date is 2/12/2012, the MP algorithm starts a new medical product episode on 2/12/2012 because there are more than 10 days between the two dispensings.</p> <p><b>Note 1:</b> this value must be the same within a given query GROUP.</p> <p><b>Note 2:</b> only relevant for requests creating exposure episodes based on dispensing days supplied. If exposure episode length is defined by the requester using the ITTDAYS2 parameter (<i>i.e.</i>, using a requester-defined number of days after exposure initiation), leave this field blank.</p>

Parameter	Field Name	Description
		<p><b>Defined by:</b> Requester</p> <p><b>Input type:</b> Required (0 must be entered if no EPISODEGAP2 is required; default value is 0 for Fixed EPISODEGAPTYPE2 and 30 for Percentage EPISODEGAPTYPE2)</p> <p><b>Format:</b> Numeric</p> <p><b>Example:</b> 10</p>
Type 4 Medical Product HOI Washout Period	T4FUPWASHPER2	<p><b>Details:</b> The washout period is a period before a medical product episode during which an individual cannot have evidence of the HOI.</p> <p><b>Note 1:</b> Accessing HOI is not currently functional, so this should be left blank. Note that the parameter must be included (with a blank value) in the input file for the program to execute.</p> <p><b>Named by:</b> Requester</p> <p><b>Input type:</b> Optional</p> <p><b>Format:</b> Numeric</p> <p><b>Example:</b> .</p>
Concurrent Washout Period	ConcWashPer	<p><b>Details:</b> the number of days prior to a pregnancy episode that an individual must be free of a medical product episode in order for the medical product to be included in the “trimester only” statistics.</p> <p><b>Note 1:</b> Accessing concurrent washout is not currently functional, so this should be left blank. Note that the parameter must be included (with a blank value) in the input file for the program to execute.</p> <p><b>Defined by:</b> Requester</p> <p><b>Input type:</b> Required</p> <p><b>Format:</b> Numeric</p> <p><b>Example:</b> .</p>
Type 4 Medical Product Removal Start Day	REMOVEMOISTART	<p><b>Details:</b> number of days, relative to pregnancy start date, to start interval where MOIs will not be counted.</p> <p><b>Note:</b> concept does not apply to as-treated episodes or episodes where ITTDays2 &gt; 1</p> <p><b>Defined by:</b> Requester</p> <p><b>Input type:</b> Required</p> <p><b>Format:</b> Numeric</p> <p><b>Example:</b> -90</p>
Type 4 Medical Product Removal End Day	REMOVEMOIEND	<p><b>Details:</b> number of days, relative to pregnancy start date, to end interval where MOIs will not be counted.</p>

Parameter	Field Name	Description
		<p><b>Note:</b> concept does not apply to as-treated episodes or episodes where ITTDays2 &gt; 1</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 0</p>
Type 4 Medical Product Pregnancy Start Date Removal Indicator	REMOVEMOIADATE	<p><b>Details:</b> indicator variable to provide option of excluding MOIs with ADate equivalent to delivery date.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>Y:</b> Exclude MOIs with ADate equivalent to pregnancy delivery date</li> <li>• <b>N:</b> Do not exclude MOIs with ADate equivalent to pregnancy delivery date</li> </ul> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Character  <b>Example:</b> Y</p>
Type 4 Pre-pregnancy MOI evaluation period	PREPREGDAYS	<p><b>Details:</b> Number of days prior to pregnancy start to start counting MOI episodes.</p> <p><b>Note 1:</b> If left blank, no pre-pregnancy period will be evaluated.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 90</p>
Output MOI Occurrence by Gestational Week	OUTPUT_GESTWK	<p><b>Details:</b> indicates whether the program should output a separate table that provides MOI occurrence by gestational week.</p> <p>Allowable values:</p> <ul style="list-style-type: none"> <li>• Y: output dataset that includes the number of patients and MOI treatment episodes by gestational week</li> <li>• N: do not output dataset that includes the number of patients and MOI treatment episodes by gestational week</li> </ul> <p>Note 1: if OUTPUT_GESTWK = "Y", output for the treatment and control population should be generated.</p>

Parameter	Field Name	Description
		<b>Defined by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Alphanumeric; SAS character \$1 <b>Example:</b> Y



### f) Type 5 File

The Type 5 file is optional and its specification is only required for medical product utilization cohort identification strategy. Table 23 contains detailed specifications for this file.

**Table 23. TYPE5FILE Specification**

Parameter	Field Name	Description
Name of Cohort	GROUP	Details: standardized name used to differentiate cohorts.
Allowed Number of Exposure Episodes per Individual	T5COHORTDEF	<p>Details: indicates how many exposure periods an individual can contribute. Options include:</p> <p>04: cohort includes all valid exposure episodes during the query period. Only the first valid episode's incidence is assessed using T5_WASHPER.</p> <p>Defined by: Requester            Input type: Required            Format: Alphanumeric; SAS character \$2            Example: 04</p>
Type 5 Exposure Washout Period	T5WASHPER	<p>Details: length of washout period in days. The washout period is a period before an exposure episode during which an individual cannot have evidence of incidence-defining criteria (see Cohort Codes File specification for additional details on incidence-defining criteria).</p> <p>Note 1: for a Type 5 Analysis, only the first valid episode's incidence is assessed using specified washout criteria</p> <p>Note 2: the MP algorithm may use days before the query start date to determine if continuous enrollment and incidence criteria are met.</p> <p>Note 3: special case: when T5WASHPER = missing the program requires ENRDAYS of continuous enrollment but only considers an exposure episode valid if, at index date, the member has no evidence of the exposure in their entire available enrollment history.</p> <p>Defined by: Requester            Input type: Required            Format: Numeric            Example: 365</p>

Parameter	Field Name	Description
Requester-defined Exposure Episode Length	ITTDAYS	<p><b>Details:</b> number of days after exposure initiation that is considered “exposed time.”</p> <p><b>Note 1:</b> if exposure episode will be determined by outpatient pharmacy dispensing days supplied, leave this field blank.</p> <p><b>Note 2:</b> exposure episodes will be censored at the first occurrence of the following: 1) end of enrollment; 2) occurrence of any additional requester-defined censoring criteria.</p> <p><b>Note 3:</b> if this field is populated, the following fields should be left blank in this file: EPISODEGAP and EPISODEGAPTYPE (these parameters are for exposed time that is determined by outpatient pharmacy dispensing days supplied).</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Numeric  <b>Example:</b> 30</p>
Treatment Episode Gap Type	EPISODEGAPTYPE	<p><b>Details:</b> specifies the type of algorithm to use for the calculation of episode gaps.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>F:</b> Fixed episode gap. The value specified in EPISODEGAP will be used to determine if two consecutive claims are in the same episode.</li> <li>• <b>P:</b> Percentage episode gap. The value specified in EPISODEGAP will represent a percentage of the previous dispensing’s days of supply to determine if two consecutive claims are in the same episode (e.g., if EpisodeGap = 50, and day supply = 30, the gap would be 15).</li> </ul> <p><b>Defined by:</b> Request Programmer  <b>Input type:</b> Required (default value is P)  <b>Format:</b> Alphanumeric; SAS character \$1.  <b>Example:</b> P</p>
Exposure Episode Gap	EPISODEGAP	<p><b>Details:</b> used in conjunction with EPISODEGAPTYPE; sets the number of days allowed between two consecutive claims to consider them as part of the</p>

Parameter	Field Name	Description
		<p>same treatment episode. For a given claim, if EPISODEGAPTYPE is Fixed (F), a gap of more than EPISODEGAP days between the claim date and the date of the last day of supply of the previous claim triggers a new treatment episode. If EPISODEGAPTYPE is Percentage (P), a gap of more than EPISODEGAP percent of the previous claim days of supply days between the claim date and the date of the last day of supply of the previous claim triggers a new treatment episode.</p> <p>For example, if EPISODEGAP=10 and EPISODEGAPTYPE=F, claim1's last day of supply is on 1/31/2012 and claim2's start date is 2/12/2012, the MP algorithm starts a new treatment episode on 2/12/2012 because there are more than 10 days between the two claims.</p> <p><b>Note 1:</b> this value must be the same within a given query GROUP.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required (0 must be entered if no EPISODEGAP is required; default value is 0 for Fixed EPISODEGAPTYPE and 30 for Percentage EPISODEGAPTYPE)  <b>Format:</b> Numeric  <b>Example:</b> 10</p>
Censor Episodes at Evidence of Death	CENSOR_DTH	<p><b>Details:</b> indicates if a treatment episode should be censored based on death date. Allowable values are "Y" and "N".</p> <p>Date of death can be determined two ways:</p> <ol style="list-style-type: none"> <li>Using discharge status = expired in the SDD Encounter table. Death date is set to discharge date.</li> </ol> <p>OR</p> <ol style="list-style-type: none"> <li>Using death date in the SDD Death table for records with Confidence=Excellent.</li> </ol> <p><b>Note 1:</b> censoring is implemented by restricting enrollment eligibility. Member eligibility is truncated at death date. Once a death date is observed, a member can no longer contribute eligible periods (even if they are observed in the data).</p>

Parameter	Field Name	Description
		<p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>
Censor Episodes at DP Data End Date	CENSOR_DPEND	<p><b>Details:</b> indicates if a treatment episode should be censored based on DP data end date. Allowable values are “Y” and “N”.</p> <p><b>Note 1:</b> when CENSOR_DPEND = “Y”, the program will adjust the query period end date (QUERYTO) to reflect DP_MaxDate. This will have implications on the attrition table and calculation of denominators, since episodes with an index date before QUERYTO but after DP_MaxDate cannot contribute to the cohort.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>
Censor Episodes at Query End Date	CENSOR_QRYEND	<p><b>Details:</b> indicates if a treatment episode should be censored based on query data end date. Allowable values are “Y” and “N”.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1  <b>Example:</b> Y</p>

### g) Monitoring File

The Monitoring File is required for Type 1, Type 2, Type 4 and Type 5 analyses only. The file allows requesters to define specific time periods, or cumulative “looks” at data as part of sequential monitoring activities. Each time period is assigned a unique PERIODID value in the file. The main program parameters PERIODIDSTART and PERIODIDEND allow the request programmer to selectively execute time periods of interest using the Monitoring File.

For example, a requester may a priori specify the following time periods for evaluation:

PERIODID=1: January 1, 2015 – March 31, 2015

PERIODID=2: January 1, 2015 – June 30, 2015

PERIODID=3: January 1, 2015 – September 30, 2015

PERIODID=4: January 1, 2015 – December 31, 2015

These four periods are included in the Monitoring File with the corresponding PERIODID values. When data are complete through March 31, 2015, SOC can distribute a program package with the above Monitoring File contents and macro parameters PERIODIDSTART=1 and PERIODIDEND=1. When data are complete through June 30, 2015, SOC can distribute the same package with macro parameters PERIODIDSTART=1 and PERIODIDEND=2 (if the requester wants to execute a query starting in PERIODID 1 and ending in PERIODID 2).

The CIDA tool, to support sequential monitoring activities, will generate output by PERIODID.

Table 24 contains detailed specifications for this file.

**Table 24. MONITORINGFILE Specification**

Parameter	Field Name	Description
Time Period Indicator	PERIODID	<p><b>Details:</b> identifier for each STARTFOLLOWUP/ ENDDATE combination.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 1</p>
Query Period Start	STARTFOLLOWUP	<p><b>Details:</b> start date for the query period. Should be identical across all PERIODIDs (<i>i.e.</i>, the start date for analysis should always be the same).</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric; Date9.  <b>Example:</b> 01JAN2015</p>
Query Period End	ENDDATE	<p><b>Details:</b> end date for the query period.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric; Date9.  <b>Example:</b> 31DEC2015</p>

## h) Cohort Codes File

The Cohort Codes File is required. It is the primary file for specifying codes used to define exposures, exposure incidence criteria, outcomes, outcome incidence criteria, and live births (for the pregnancy episodes and medical product use cohort identification strategy). NDCs, ICD procedure and diagnosis codes, HCPCS codes, and/or laboratory result values can be used in any combination and can be restricted to specific care settings and diagnosis code positions (*e.g.*, principal discharge diagnoses only). Table 25 contains detailed specifications for this file.

**Table 25. COHORTCODES Specification**

Parameter	Field Name	Description
Name of Cohort	GROUP	<p><b>Details:</b> standardized name used to differentiate cohorts.</p> <p><b>Note 1:</b> multiple cohorts can be defined within the same Cohort Codes File. In this case all cohorts are queried independently and results are reported separately and labeled using each GROUP name specified.</p> <p><b>Note 2:</b> GROUP is the primary key linking cohorts across input files; GROUP values must match (including case) between the COHORTCODES file and other input files.</p> <p><b>Note 3:</b> GROUP values must remain consistent during the course of a surveillance activity.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; no special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed, and underscores must be used to mark spaces.  <b>Example:</b> Insulin</p>
Name of Stockpiling Group within the Cohort	STOCKGROUP	<p><b>Details:</b> standardized name used to refer to a specific exposure/HOI within a given GROUP.</p> <p><b>Note 1:</b> the STOCKGROUP field is used by the <u>stockpiling algorithm</u> as group categories to adjust service dates.</p> <p><b>Note 2:</b> useful when a GROUP contains multiple exposures of interest. For example, if GROUP= "Insulin" STOCKGROUP could take values of "Insulin_Oral" and "Insulin_Injectable".</p>

Parameter	Field Name	Description
		<p><b>Note 3:</b> no output will be presented by STOCKGROUP. All output is presented at the GROUP level.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed and underscores must be used to mark spaces.  <b>Example:</b> Insulin_Oral</p>
Code Category	CODECAT	<p><b>Details:</b> type of each code category value included in the CODETYPE field (below) of this file.</p> <p>Valid values include:</p> <ul style="list-style-type: none"> <li>• <b>RX:</b> NDC</li> <li>• <b>DX:</b> Diagnosis code</li> <li>• <b>PX:</b> Procedure code</li> <li>• <b>LB:</b> Lab code</li> <li>• <b>AN:</b> Target age anniversary</li> <li>• <b>DT:</b> Fixed calendar date</li> </ul> <p><b>Note 1:</b> values AN and DT are only relevant for Types 1, 2 and 3.</p> <p><b>Note 2:</b> for CODECAT=AN and DT, CARESETTINGPRINCIPAL, CODESUPPLY, RAWLABDATETYPE, RAWLABRESULT should be left blank.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$2.  <b>Example:</b> DX</p>
Code Type	CODETYPE	<p><b>Details:</b> type of each code value included in the CODE field (below) of this file. Valid values include:</p> <p><u>If CODECAT = RX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> 9-digit NDC</li> <li>• <b>11:</b> 11-digit NDC</li> </ul> <p><u>If CODECAT = DX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> ICD-9-CM</li> <li>• <b>10:</b> ICD-10-CM</li> <li>• <b>11:</b> ICD-11-CM</li> <li>• <b>OT:</b> Other</li> </ul>

Parameter	Field Name	Description
		<p><u>If CODECAT = PX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> ICD-9-CM</li> <li>• <b>10:</b> ICD-10-CM</li> <li>• <b>11:</b> ICD-11-CM</li> <li>• <b>C4:</b> CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HC:</b> HCPCS (<i>i.e.</i>, HCPCS Level II)</li> <li>• <b>H3:</b> HCPCS Level III</li> <li>• <b>C2:</b> CPT Category II</li> <li>• <b>C3:</b> CPT Category III</li> <li>• <b>ND:</b> 11-digit NDC</li> <li>• <b>RE:</b> Revenue</li> <li>• <b>LO:</b> Local homegrown</li> <li>• <b>OT:</b> Other</li> </ul> <p><u>If CODECAT = LB:</u></p> <ul style="list-style-type: none"> <li>• <b>01N:</b> extract quantitative lab test result using SOC-defined lab code (see <a href="#">Lab Code Lookup Table</a>)</li> <li>• <b>02N:</b> extract quantitative lab test result using LOINC</li> <li>• <b>'px'N:</b> extract quantitative lab test result using the following codes               <ul style="list-style-type: none"> <li>• <b>09N:</b> ICD-9-CM</li> <li>• <b>10N:</b> ICD-10-CM</li> <li>• <b>11N:</b> ICD-11-CM</li> <li>• <b>C4N:</b> CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HCN:</b> HCPCS (<i>i.e.</i>, HCPCS Level II)</li> <li>• <b>H3N:</b> HCPCS Level III</li> <li>• <b>C2N:</b> CPT Category II</li> <li>• <b>C3N:</b> CPT Category III</li> <li>• <b>NDN:</b> 11-digit NDC</li> <li>• <b>REN:</b> Revenue</li> <li>• <b>LON:</b> Local homegrown</li> </ul> </li> <li>• <b>01C:</b> extract qualitative lab test result using SOC-defined lab code (see <a href="#">Lab Code Lookup Table</a>)</li> <li>• <b>02C:</b> extract qualitative lab test result using LOINC</li> <li>• <b>'px'C:</b> extract qualitative lab test result using the following codes               <ul style="list-style-type: none"> <li>• <b>09C:</b> ICD-9-CM</li> <li>• <b>10C:</b> ICD-10-CM</li> <li>• <b>11C:</b> ICD-11-CM</li> </ul> </li> </ul>



Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>C4C:</b> CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HCC:</b> HCPCS (<i>i.e.</i>, HCPCS Level II)</li> <li>• <b>H3C:</b> HCPCS Level III</li> <li>• <b>C2C:</b> CPT Category II</li> <li>• <b>C3C:</b> CPT Category III</li> <li>• <b>NDC:</b> 11-digit NDC</li> <li>• <b>REC:</b> Revenue</li> <li>• <b>LOC:</b> Local homegrown</li> </ul> <p>If CODECAT = AN:</p> <ul style="list-style-type: none"> <li>• <b>Y:</b> age anniversary specified in years</li> <li>• <b>M:</b> age anniversary specified in months</li> <li>• <b>W:</b> age anniversary specified in weeks</li> <li>• <b>D:</b> age anniversary specified in days</li> </ul> <p><b>Note 1:</b> this parameter is not used when CODECAT=DT and should be left blank.</p> <p><b>Note 1:</b> as the LOINC field is not populated by all Data Partners in the SCDM Laboratory Result table and the CPT code may not be specific to a particular lab test, it is strongly recommended that the Laboratory Result table be queried using SOC-defined lab codes.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$3.  <b>Example:</b> 09</p>
Code	CODE	<p><b>Details:</b> NDC, procedure, diagnosis, and/or lab code of interest.</p> <p>For CODECAT=RX, DX, PX, or LB, the requester should input the NDC, diagnosis, procedure and/or lab code values used to determine the index date.</p> <p>For CODECAT=AN, the requester should input the desired age in the unit specified in CODETYPE.</p> <p>For CODECAT=DT, the requester should input the calendar date in the SAS format Date9. (<i>e.g.</i>, 01Jan2015)</p> <p><b>Note 1:</b> Codes are matched using exact values (<i>i.e.</i>, 3-digit code lookup requires an exact 3-digit code match). Wildcard match (*) functionality is also available for ICD-9 diagnosis codes (<i>e.g.</i>, querying</p>

Parameter	Field Name	Description
		<p>“250*0” would be used to find any ICD-9-CM diagnosis codes for diabetes type II, or “250**” to find ICD-9-CM diagnosis codes for all diabetes codes in the range “250.00 - 250.99”).</p> <p>To get “starts with” codes, the user will have to specify 250, 250*, 250**.</p> <p><b>Note 2:</b> For NDCs, either 9 or 11 digit codes can be entered.</p> <p><b>Note 3:</b> remove decimal points in the code value.</p> <p><b>Note 4:</b> CODETYPE/CODECAT must be consistent with the expected format of the CODE value (<i>e.g.</i>, the program will not find any valid matches in the data for CODECAT=RX, CODETYPE=11 and a 9-digit NDC value).</p> <p><b>Note 5:</b> Duplicate CODECAT-CODETYPE-CODE-CARESETTING-PRINCIPAL combinations are removed by the MP algorithm.</p> <p><b>Note 6:</b> ‘V’ and ‘E’ ICD-9-CM diagnosis codes must be specified using uppercase ‘V’ and ‘E’.</p> <p><b>Defined by:</b> Requester, with support from the SOC as needed</p> <p><b>Input type:</b> Required</p> <p><b>Format:</b> Alphanumeric; SAS character \$11.</p> <p><b>Example: (CODECAT=RX; CODETYPE=11):</b> 12345678911</p>
Care Setting and Diagnosis Position Requirements	CARESETTINGPRINCIPAL	<p><b>Details:</b> defines the care setting and principal diagnosis position requirements for each code. This field uses combination(s) of the SCDM variables care setting (ENCTYPE) and principal discharge diagnosis flag (PDX) to restrict the observance of codes to those in the requested care settings and with the requested diagnosis position. If no restrictions are required (<i>e.g.</i>, requester wants all care settings and any value of PDX), leave the field blank. The following are valid entries; all entries must be in single quotes and separated by a space:</p> <ul style="list-style-type: none"> <li>• <b>IPP:</b> inpatient hospital stays, principal diagnoses</li> <li>• <b>IPS:</b> inpatient hospital stays, secondary</li> </ul>

Parameter	Field Name	Description
		<p>diagnoses</p> <ul style="list-style-type: none"> <li>• <b>IPX:</b> inpatient hospital stays, unclassified diagnoses</li> <li>• <b>ISP:</b> non-acute institutional stays, principal diagnoses</li> <li>• <b>ISS:</b> non-acute institutional stays, secondary diagnoses</li> <li>• <b>ISX:</b> non-acute institutional stays, unclassified diagnoses</li> <li>• <b>ED*:</b> emergency department encounters</li> <li>• <b>AV*:</b> ambulatory visits</li> <li>• <b>OA*:</b> other ambulatory visits</li> </ul> <p><b>Request Programmer Note 1:</b> the wildcard symbol (*) can be used to represent “any” values of either care setting or principal discharge diagnosis flag. For example, CARESETTINGPRINCIPAL = ‘IP*’ will restrict codes to those observed in the inpatient setting irrespective of the principal diagnosis flag value. CARESETTINGPRINCIPAL = ‘**P’ will restrict diagnosis codes to those in the principal position, irrespective of the care setting.</p> <p><b>Request Programmer Note 2:</b> the principal discharge diagnosis flag is only relevant for diagnosis codes. All other codes should use the * wildcard for the third digit of the CARESETTINGPRINCIPAL value.</p> <p><b>Note 3:</b> CARESETTINGPRINCIPAL is allowed to vary between CODEs within the same GROUP. For example, CARESETTINGPRINCIPAL is allowed to equal ‘IPP’ for one diagnosis code and ‘IPP’ ‘EDP’ for another diagnosis code <i>in the same GROUP</i>.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional; Default: blank (<i>i.e.</i>, no restrictions)  <b>Format:</b> Alphanumeric  <b>Example:</b> ‘IPX’ ‘ED*’ ‘**P’</p>
Code Relevance to Type 1 Cohort Index Date Definition	T1_INDEX	<p><b>Details:</b> indicates, for each code listed in the file, what role the code will play in defining a Type 1 (background rate calculation) index date.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>DEF:</b> code should be used to identify the TYPE1</li> </ul>

Parameter	Field Name	Description
		<p>cohort index date</p> <ul style="list-style-type: none"> <li>• <b>IOC:</b> code should be used to assess TYPE1 cohort index incidence criteria only</li> <li>• <b>NOT:</b> code should not be used to define TYPE1 cohort index date or incidence criteria</li> </ul> <p><b>Note 1:</b> for each GROUP, a CODE and CARESETTINGPRINCIPAL combination should have <u>only one</u> T1_INDEX value. If the same code must be used with two different CARESETTINGPRINCIPAL values (e.g., the requester wants to define the index date using code 410 in the IP setting, but define incidence using code 410 in any setting), the request programmer must 1) list code 410 with CARESETTINGPRINCIPAL value = 'IP*' and T1_INDEX= DEF; <b>and</b> 2) list code 410 with CARESETTINGPRINCIPAL value = 'ED*' 'IS*' 'OA*' 'AV*' and T1_INDEX= IOC.</p> <p><b>Note 2:</b> if a Type 1 cohort is not being created, all codes in the file should have T1_INDEX=NOT.</p> <p><b>Note 3:</b> when T1_INDEX= "DEF", the MP automatically assesses index incidence with respect to these codes.</p> <p><b>Note 4:</b> the value IOC is reserved for codes that are used to define index date incidence only. Consider the example where a requester wants to look at new use of Drug A, but wants new use of Drug A to be defined as no use of <i>any drug in Drug A's class</i>. Drug A codes would be listed in the file with T1_Index=DEF, and all other codes in Drug A's class would be listed with T1_Index=IOC.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$3.  <b>Example:</b> DEF</p>
Code Relevance to Type 2 Cohort Index Date Definition	T2_INDEX	<p><b>Details:</b> indicates, for each code listed in the file, what role the code will play in defining a Type 2 (exposures and follow-up time) index date/exposure episode.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>DEF:</b> code should be used to identify the TYPE2 cohort index date</li> <li>• <b>IOT:</b> code should be used to assess TYPE2 cohort index incidence only; exposure episode</li> </ul>

Parameter	Field Name	Description
		<p>should be truncated at the occurrence of the code</p> <ul style="list-style-type: none"> <li>• <b>IOD:</b> code should be used to assess TYPE2 cohort index incidence only; exposure episode should NOT be truncated at the occurrence of the code</li> <li>• <b>FUT:</b> code should be used to truncate exposure episodes (truncate on the day of first occurrence)</li> <li>• <b>NOT:</b> code should not be used to define TYPE2 cohort index date or incidence criteria</li> </ul> <p><b>Note 1:</b> if a Type 2 cohort is not being created, all codes in the file should have T2_INDEX=NOT.</p> <p><b>Note 2:</b> when T2_INDEX= "DEF", the MP automatically assesses index incidence with respect to these codes.</p> <p><b>Note 3:</b> the values IOT and IOD are reserved for codes that are used to define exposure episode incidence only. Consider the example where a requester wants to look at new use of Drug A, but wants new use of Drug A to be defined as no use of <i>any drug in Drug A's class</i>. Drug A codes would be listed in the file with T2_Index=DEF, and all other codes in Drug A's class would be listed with either T2_Index=IOT or T2_Index=IOD.</p> <p><b>Note 4:</b> the difference between IOT and IOD is whether exposed time is censored at the occurrence of the code. If the exposure episode should be censored select IOT; if not, select IOD.</p> <p><b>Note 5:</b> the value FUT is reserved for codes that are not used to define exposure episodes or incidence criteria, but are used to censor exposed time.</p> <p><b>Note 6:</b> if a code is designated as FUT or IOT, and the code occurs on the same day as the index date, the patient will be included in the cohort and contribute one day at-risk.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$3.  <b>Example:</b> DEF</p>

Parameter	Field Name	Description
Code Relevance to Type 2 Cohort HOI Definition	T2_FUP	<p><b>Details:</b> indicates, for each code listed in the file, what role the code will play in defining a Type 2 (exposures and follow-up time) HOI.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>DEF:</b> code should be used to identify the TYPE2 cohort HOI</li> <li>• <b>IOC:</b> code should be used to assess TYPE2 cohort HOI incidence only</li> <li>• <b>NOT:</b> code should not be used to define TYPE2 cohort HOI or incidence</li> </ul> <p><b>Note 1:</b> for each GROUP, a CODE and CARESETTINGPRINCIPAL combination should have <u>only one</u> T2_FUP value. If the same code must be used with two different CARESETTINGPRINCIPAL values (e.g., the requester wants to define the HOI using code 410 in the IP setting, but define incidence using code 410 in any setting), the request programmer must 1) list code 410 with CARESETTINGPRINCIPAL value = 'IP*' and T2_FUP = DEF; <b>and</b> 2) list code 410 with CARESETTINGPRINCIPAL value = 'ED*' 'IS*' 'OA*' 'AV*' and T2_FUP = IOC.</p> <p><b>Note 2:</b> if a Type 2 cohort is not being created, all codes in the file should have T2_FUP=NOT.</p> <p><b>Note 3:</b> when T2_FUP="DEF", the MP automatically assesses HOI incidence with respect to these codes.</p> <p><b>Note 4:</b> the value IOC is reserved for codes that are used to define index date incidence only. Consider the example where a requester wants to look at new occurrence of Outcome Y, but wants new occurrence of Outcome Y to be defined as no codes for either Outcome Y or Outcome Z during the washout period. Outcome Y codes would be listed in the file with T2_FUP=DEF, and Outcome Z codes would be listed with T2_FUP =IOC.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$3.  <b>Example:</b> IOC</p>
Code Relevance to Type 3 Cohort Index	T3_INDEX	<p><b>Details:</b> indicates, for each code listed in the file, what role the code will play in defining a Type 3 exposure.</p>

Parameter	Field Name	Description
Date Definition		<p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>DEF:</b> code should be used to identify the TYPE3 cohort exposure</li> <li>• <b>IOC:</b> code should be used to assess TYPE3 cohort exposure incidence criteria only</li> <li>• <b>NOT:</b> code should not be used to define TYPE3 cohort exposure or exposure incidence criteria</li> </ul> <p><b>Note 1:</b> for each GROUP, a CODE and CARESETTINGPRINCIPAL combination should have <u>only one</u> T3_INDEX value. If the same code must be used with two different CARESETTINGPRINCIPAL values (e.g., the requester wants to define the index date using code 410 in the IP setting, but define incidence using code 410 in any setting), the request programmer must 1) list code 410 with CARESETTINGPRINCIPAL value = 'IP*' and T3_INDEX= DEF; <b>and</b> 2) list code 410 with CARESETTINGPRINCIPAL value = 'ED*' 'IS*' 'OA*' 'AV*' and T3_INDEX= IOC.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (3)  <b>Example:</b> DEF</p>
Code Relevance to Type 3 Cohort HOI Definition	T3_FUP	<p><b>Details:</b> indicates, for each code listed in the file, what role the code will play in defining a Type 3 HOI.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>DEF:</b> code should be used to identify the TYPE3 cohort HOI</li> <li>• <b>IOC:</b> code should be used to assess TYPE3 cohort HOI incidence criteria only</li> <li>• <b>NOT:</b> code should not be used to define TYPE3 cohort HOI or HOI incidence criteria</li> </ul> <p><b>Note 1:</b> for each GROUP, a CODE and CARESETTINGPRINCIPAL combination should have <u>only one</u> T3_FUP value. If the same code must be used with two different CARESETTINGPRINCIPAL values (e.g., the requester wants to define the HOI using code 410 in the IP setting, but define incidence using code 410 in any setting), the request programmer must 1) list code 410 with CARESETTINGPRINCIPAL value = 'IP*' and T3_FUP= DEF; <b>and</b> 2) list code 410 with</p>

Parameter	Field Name	Description
		<p>CARESETTINGPRINCIPAL value = 'ED*' 'IS*' 'OA*' 'AV*' and T3_FUP= IOC.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (3)  <b>Example:</b> DEF</p>
Code Relevance to Type 4 Pregnancy Cohort Delivery DateDefinition	T4_INDEX	<p><b>Details:</b> indicates, for each code listed in the file, what role the code will play in defining a Type 4 (pregnancy) delivery date/exposure episode.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>DEF:</b> code should be used to identify the TYPE4 pregnancy cohort delivery date.</li> <li>• <b>IOC:</b> code should be used to assess TYPE4 pregnancy cohort delivery date incidence only</li> <li>• <b>MPn:</b> medical product exposure, where <i>n</i> identifies a unique medical product of interest</li> <li>• <b>ICn:</b> incident medical product exposure, where <i>n</i> identifies a unique medical product of interest. The same <i>n</i> value for MPn and IMPn indicates exposure and incidence defining criteria for that medical product.</li> <li>• <b>NOT:</b> code should not be used to define TYPE4 cohort delivery date or incidence criteria</li> </ul> <p><b>Note 1:</b> for each GROUP, CODE and CARESETTINGPRINCIPAL combination should have <u>only one</u> T4_INDEX value. If the same code must be used with two different CARESETTINGPRINCIPAL values (e.g., the requester wants to define the delivery date using code 670 in the IP setting, but define incidence using code 670 in any setting), the request programmer must 1) list code 670 with CARESETTINGPRINCIPAL value = 'IP*' and T4_INDEX= DEF; <b>and</b> 2) list code 670 with CARESETTINGPRINCIPAL value = 'ED*' 'IS*' 'OA*' 'AV*' and T2_INDEX= IOC.</p> <p><b>Note 2:</b> if a Type 4 cohort is not being created, all codes in the file should have T4_INDEX=NOT.</p> <p><b>Note 3:</b> when T4_INDEX= "DEF", the MP automatically assesses delivery incidence with respect to these codes.</p>



Parameter	Field Name	Description
		<p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character &gt;3.  <b>Example:</b> DEF</p>
Code Relevance to Type 4 Cohort HOI Definition	T4_FUP	<p><b>Details:</b> indicates, for each code listed in the file, what role the code will play in defining a Type 4 (pregnancy) HOI.</p> <p><b>Note 1:</b> This functionality is currently on hold, therefore the only valid value for this field is NOT.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$3.  <b>Example:</b> NOT</p>
Code Relevance to Type 5 Cohort Index Date Definition	T5_INDEX	<p><b>Details:</b> indicates, for each code listed in the file, what role the code will play in defining a Type 5 index date/exposure episode.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>DEF:</b> code should be used to identify the TYPE5 cohort index date</li> <li>• <b>IOT:</b> code should be used to assess TYPE5 cohort index incidence only; exposure episode should be truncated at the occurrence of the code</li> <li>• <b>IOD:</b> code should be used to assess TYPE5 cohort index incidence only; exposure episode should NOT be truncated at the occurrence of the code</li> <li>• <b>FUT:</b> code should be used to truncate exposure episodes (truncate on the day of first occurrence)</li> <li>• <b>NOT:</b> code should not be used to define TYPE5 cohort index date or incidence criteria</li> </ul> <p><b>Note 1:</b> for each GROUP, a CODE and CARESETTINGPRINCIPAL combination should have <u>only one</u> T5_INDEX value. If the same code must be used with two different CARESETTINGPRINCIPAL values (e.g., the requester wants to define the index date using code 410 in the IP setting, but define incidence using code 410 in any setting), the request programmer must 1) list code 410 with CARESETTINGPRINCIPAL value = 'IP*' and T5_INDEX= DEF; <b>and</b> 2) list code 410 with</p>

Parameter	Field Name	Description
		<p>CARESETTINGPRINCIPAL value = 'ED*' 'IS*' 'OA*' 'AV*' and T5_INDEX= IOD.</p> <p><b>Note 2:</b> if a Type 5 cohort is not being created, all codes in the file should have T5_INDEX=NOT.</p> <p><b>Note 3:</b> when T5_INDEX= "DEF", the MP automatically assesses index incidence with respect to these codes.</p> <p><b>Note 4:</b> the values IOT and IOD are reserved for codes that are used to define exposure episode incidence only. Consider the example where a requester wants to look at new use of Drug A, but wants new use of Drug A to be defined as no use of <i>any drug in Drug A's class</i>. Drug A codes would be listed in the file with T5_Index=DEF, and all other codes in Drug A's class would be listed with either T5_Index=IOT or T5_Index=IOD.</p> <p><b>Note 5:</b> the difference between IOT and IOD is whether exposed time is censored at the occurrence of the code. If the exposure episode should be censored select IOT; if not, select IOD.</p> <p><b>Note 6:</b> the value FUT is reserved for codes that are not used to define exposure episodes or incidence criteria, but are used to censor exposed time.</p> <p><b>Note 7:</b> if a code is designated as FUT or IOT, and the code occurs on the same day as the index date, the patient will be included in the cohort and contribute one day at-risk.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$3.  <b>Example:</b> DEF</p>
Forced supply to attach to code	CODESUPPLY	<p><b>Details:</b> indicates, for each code listed in the file, a forced supply that should be attached to procedure or diagnosis codes.</p> <p><b>Note 1:</b> This field only available for PX and DX code for Type 4 analysis. It should be left blank for RX codes.</p> <p><b>Note 2:</b> This field is only valid for t4_index = IOC or t4_index = IOC2 codes, since a forced supply has no</p>

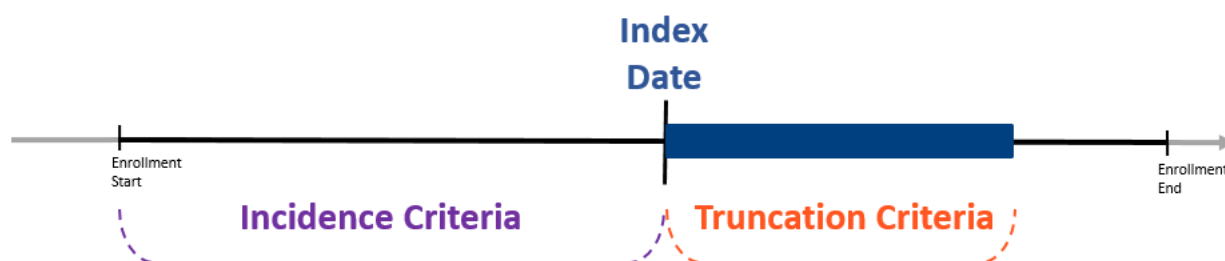
Parameter	Field Name	Description
		<p>meaning for t4_index=DEF. It should be left missing for t4_index = DEF entries.</p> <p><b>Note 3:</b> Within the same GROUP, all codes should have the same CODESUPPLY.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Numeric  <b>Example:</b> 10</p>
Lab Date Selection Algorithm	RAWLABDATETYPE	<p><b>Details:</b> relevant for requests that query laboratory result values. Field specifies in what sequence date(s) in the SCDM Laboratory Result table should be considered to select one relevant date for a laboratory result of interest. The parameter will allow the requester to either specify 1) a single date variable (Lab_dt, Order_dt, or Result_dt) to use; or 2) a hierarchy to choose a date variable (<i>e.g.</i>, select Lab_dt else if missing select Result_dt else if missing select Order_dt).</p> <p>Valid values are any combination of the following:</p> <ul style="list-style-type: none"> <li>• <b>L:</b> Lab Date</li> <li>• <b>O:</b> Order Date</li> <li>• <b>R:</b> Result Date</li> </ul> <p><b>Note 1:</b> leave blank if CODECAT ≠ LB.</p> <p><b>Note 2:</b> many Data Partners do not populate all three date fields. Use of an algorithm for date selection is recommended.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$3.  <b>Example 1:</b> RawLabDateType =LRO. In this case, the program will use Lab_dt else if missing use Result_dt else if missing use Order_dt.  <b>Example 2:</b> RawLabDateType =L. In this case, the program will use Lab_dt only.</p>
Lab Result Values	RAWLABRESULT	<p><b>Details:</b> specifies the lab result value or lab result range for querying. RAWLABRESULT allows for values or ranges of quantitative laboratory results (<i>e.g.</i>, 100; 100-200) and values of qualitative laboratory results (<i>e.g.</i>, "POSITIVE").</p>

Parameter	Field Name	Description
		<p>Valid values are:</p> <ul style="list-style-type: none"> <li>• &lt;=X (less than or equal to X)</li> <li>• &lt;X (less than X)</li> <li>• &gt;=X (greater than or equal to X)</li> <li>• &gt;X (greater than X)</li> <li>• ~=X (not equal to X)</li> <li>• X:Y (between X and Y)</li> </ul> <p>Any string of relevant characters is allowed for qualitative results querying.</p> <p><b>Note 1:</b> leave blank if CODECAT ≠ LB.</p> <p><b>Note 2:</b> There are two fields in the Laboratory Result table that include results: MS_Result_C (contains results for qualitative tests) and MS_Result_N (contains results for quantitative tests). The field where the result will be queried will depend on the RAWCODETYPE value.</p> <p><b>Note 3:</b> Ranges cannot be specified with hyphens. Must use “:”.</p> <p><b>Defined by:</b> Requester  Input type: Required for laboratory results  <b>Format:</b> Alphanumeric;  <b>Example 1:</b> RawLabResult=20:50  <b>Example 2:</b> RawLabResult=POSITIVE</p>

Figure 20 below illustrates the differences between various values of parameters T2\_INDEX and T2\_FUP and how each should be used depending on if the codes are for the identification of index date, incidence criteria or truncation criteria.

**Figure 20. Differences Between Values of Parameters that Indicate Which Role Each Codes Plays in Defining Type 2 (exposures and follow-up time) index date/exposure episode or HOI**

T2_INDEX and T2_FUP Parameters	Index Date Codes	Incidence Criteria Codes	Truncation Criteria Codes
DEF	✓	✓	✗
IOT	✗	✓	✓
IOD or IOC	✗	✓	✗
FUT	✗	✗	✓



### i) Inclusion/Exclusion Codes File

The Inclusion/Exclusion Codes File is optional. It contains the comprehensive set of codes used to define additional cohort inclusion/exclusion criteria (*e.g.*, restrict cohort to individuals with evidence of a pre-existing condition 183 days before the index date). NDCs, ICD procedure and diagnosis codes, HCPCS codes, and/or laboratory result values can be used in any combination and can be restricted to specific care settings and diagnosis code positions (*e.g.*, principal discharge diagnoses only). The evaluation window to assess criteria can vary by code. Table 26 contains detailed specifications for this file.

**Table 26. INCLUSIONCODES Specification**

Parameter	Field Name	Description
Name of Cohort	GROUP	<p><b>Details:</b> standardized name used to differentiate cohorts.</p> <p><b>Note 1:</b> multiple cohorts can be defined within the same Inclusion/Exclusion Codes File. In this case all cohorts are queried independently and results are reported separately and labeled using each GROUP name specified.</p> <p><b>Note 2:</b> GROUP is the primary key linking cohorts across input files; GROUP values must match (including case) between the INCLUSIONCODES file and other input files.</p> <p><b>Note 3:</b> GROUP values must remain consistent during the course of a surveillance activity.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; no special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed, and underscores must be used to mark spaces.  <b>Example:</b> Insulin</p>
Name of Stockgroup within the Cohort	STOCKGROUP	<p><b>Details:</b> standardized name used to refer to a specific inclusion/exclusion code/criterion within a given GROUP.</p> <p><b>Note 1:</b> the STOCKGROUP field is used by the <u>stockpiling algorithm</u> as group categories to adjust service dates.</p> <p><b>Note 2:</b> useful when a GROUP contains multiple exposures of interest. For example, if GROUP= "Insulin" STOCKGROUP could take values of</p>

Parameter	Field Name	Description
		<p>“Insulin_Oral” and “Insulin_Injectable”.</p> <p><b>Note 3:</b> no output will be presented by STOCKGROUP. All output is presented at the GROUP level.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed and underscores must be used to mark spaces.  <b>Example:</b> Insulin_Oral</p>
Code Category	CODECAT	<p><b>Details:</b> type of each code category value included in the CODETYPE field (below) of this file.</p> <p>Valid values include:</p> <ul style="list-style-type: none"> <li>• <b>RX:</b> NDC</li> <li>• <b>DX:</b> Diagnosis code</li> <li>• <b>PX:</b> Procedure code</li> <li>• <b>LB:</b> Lab code</li> </ul> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$2.  <b>Example:</b> DX</p>
Code Type	CODETYPE	<p><b>Details:</b> type of each code value included in the CODE field (below) of this file. Valid values include:</p> <p><u>If CODECAT = RX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> 9 digits NDC</li> <li>• <b>11:</b> 11 digits NDC</li> </ul> <p><u>If CODECAT = DX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> ICD-9-CM</li> <li>• <b>10:</b> ICD-10-CM</li> <li>• <b>11:</b> ICD-11-CM</li> <li>• <b>OT:</b> Other</li> </ul> <p><u>If CODECAT = PX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> ICD-9-CM</li> <li>• <b>10:</b> ICD-10-CM</li> <li>• <b>11:</b> ICD-11-CM</li> <li>• <b>C4:</b> CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HC:</b> HCPCS (<i>i.e.</i>, HCPCS Level II)</li> </ul>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>H3:</b> HCPCS Level III</li> <li>• <b>C2:</b> CPT Category II</li> <li>• <b>C3:</b> CPT Category III</li> <li>• <b>ND:</b> 11-digit NDC</li> <li>• <b>RE:</b> Revenue</li> <li>• <b>LO:</b> Local homegrown</li> <li>• <b>OT:</b> Other</li> </ul> <p>If CODECAT = LB:</p> <ul style="list-style-type: none"> <li>• <b>01N:</b> extract quantitative lab test result using SOC-defined lab code (see <a href="#">Lab Code Lookup Table</a>)</li> <li>• <b>02N:</b> extract quantitative lab test result using LOINC</li> <li>• <b>'px'N:</b> extract quantitative lab test result using CPT               <ul style="list-style-type: none"> <li>• <b>09N:</b> ICD-9-CM</li> <li>• <b>10N:</b> ICD-10-CM</li> <li>• <b>11N:</b> ICD-11-CM</li> <li>• <b>C4N:</b> CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HCN:</b> HCPCS (<i>i.e.</i>, HCPCS Level II)</li> <li>• <b>H3N:</b> HCPCS Level III</li> <li>• <b>C2N:</b> CPT Category II</li> <li>• <b>C3N:</b> CPT Category III</li> <li>• <b>NDN:</b> 11-digit NDC</li> <li>• <b>REN:</b> Revenue</li> <li>• <b>LON:</b> Local homegrown</li> </ul> </li> <li>• <b>01C:</b> extract qualitative lab test result using SOC-defined lab code (see <a href="#">Lab Code Lookup Table</a>)</li> <li>• <b>02C:</b> extract qualitative lab test result using LOINC</li> <li>• <b>'px'C:</b> extract qualitative lab test result using the following codes               <ul style="list-style-type: none"> <li>• <b>09C:</b> ICD-9-CM</li> <li>• <b>10C:</b> ICD-10-CM</li> <li>• <b>11C:</b> ICD-11-CM</li> <li>• <b>C4C:</b> CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HCC:</b> HCPCS (<i>i.e.</i>, HCPCS Level II)</li> <li>• <b>H3C:</b> HCPCS Level III</li> <li>• <b>C2C:</b> CPT Category II</li> <li>• <b>C3C:</b> CPT Category III</li> <li>• <b>NDC:</b> 11-digit NDC</li> <li>• <b>REC:</b> Revenue</li> </ul> </li> </ul>



Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>LOC:</b> Local homegrown</li> </ul> <p><b>Note 1:</b> as the LOINC field is not populated by all Data Partners in the SCDM Laboratory Result table and the CPT code may not be specific to a particular lab test, it is strongly recommended that the Laboratory Result table be queried using SOC-defined lab codes.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$3.  <b>Example:</b> 09</p>
Code	CODE	<p><b>Details:</b> NDC, procedure, diagnosis, and/or lab code of interest.</p> <p><b>Note 1:</b> Codes are matched using exact values (<i>i.e.</i>, 3-digit code lookup requires an exact 3-digit code match). Wildcard match (*) functionality is also available for ICD-9 diagnosis codes (<i>e.g.</i>, querying “250*0” would be used to find any ICD-9-CM diagnosis codes for diabetes type II, or “250**” to find ICD-9-CM diagnosis codes for all diabetes codes in the range “250.00 - 250.99”). To get “starts with” codes, the user will have to specify 250, 250*, 250**.</p> <p><b>Note 2:</b> For NDCs, either 9 or 11 digit codes can be entered.</p> <p><b>Note 3:</b> remove decimal points in the code value.</p> <p><b>Note 4:</b> CODETYPE/CODECAT must be consistent with the expected format of the CODE value (<i>e.g.</i>, the program will not find any valid matches in the data for CODECAT=RX, CODETYPE=11 and a 9-digit NDC value).</p> <p><b>Note 5:</b> Duplicate CODECAT-CODETYPE-CODE-CARESETTING-PRINCIPAL combinations are removed by the MP algorithm.</p> <p><b>Note 6:</b> ‘V’ and ‘E’ ICD-9-CM diagnosis codes must be specified using uppercase ‘V’ and ‘E’.</p> <p><b>Defined by:</b> Requester, with support from the SOC as needed</p>

Parameter	Field Name	Description
		<p><b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$11.  <b>Example: (CODECAT=NDC; CODETYPE=11):</b>            12345678911</p>
Care Setting and Diagnosis Position Requirements	CARESETTINGPRINCIPAL	<p><b>Details:</b> defines the care setting and principal diagnosis position requirements for each code. This field uses combination(s) of the SCDM variables care setting (ENCTYPE) and principal discharge diagnosis flag (PDX) to restrict the observance of codes to those in the requested care settings and with the requested diagnosis position. If no restrictions are required (<i>e.g.</i>, requester wants all care settings and any value of PDX), leave the field blank. The following are valid entries; all entries must be in single quotes and separated by a space:</p> <ul style="list-style-type: none"> <li>• <b>IPP:</b> inpatient hospital stays, principal diagnoses</li> <li>• <b>IPS:</b> inpatient hospital stays, secondary diagnoses</li> <li>• <b>IPX:</b> inpatient hospital stays, unclassified diagnoses</li> <li>• <b>ISP:</b> non-acute institutional stays, principal diagnoses</li> <li>• <b>ISS:</b> non-acute institutional stays, secondary diagnoses</li> <li>• <b>ISX:</b> non-acute institutional stays, unclassified diagnoses</li> <li>• <b>ED*:</b> emergency department encounters</li> <li>• <b>AV*:</b> ambulatory visits</li> <li>• <b>OA*:</b> other ambulatory visits</li> </ul> <p><b>Request Programmer Note 1:</b> the wildcard symbol (*) can be used to represent “any” values of either care setting or principal discharge diagnosis flag. For example, CARESETTINGPRINCIPAL = ‘IP*’ will restrict codes to those observed in the inpatient setting irrespective of the principal diagnosis flag value. CARESETTINGPRINCIPAL = ‘**P’ will restrict diagnosis codes to those in the principal position, irrespective of the care setting.</p> <p><b>Request Programmer Note 2:</b> the principal discharge diagnosis flag is only relevant for diagnosis codes. All other codes should use the * wildcard for the third</p>

Parameter	Field Name	Description
		<p>digit of the CARESETTINGPRINCIPAL value.</p> <p><b>Note 3:</b> CARESETTINGPRINCIPAL is allowed to vary between CODEs within the same GROUP. For example, CARESETTINGPRINCIPAL is allowed to equal 'IPP' for one diagnosis code and 'IPP' 'EDP' for another diagnosis code <i>in the same GROUP</i>.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional; Default: blank (<i>i.e.</i>, no restrictions)  <b>Format:</b> Alphanumeric  <b>Example:</b> 'IPX' 'ED*' '**P'</p>
	CONDINCLUSION	<p><b>Details:</b> indicates whether each criterion specified (<i>i.e.</i>, CONDLEVEL value) is for an inclusion (=1) or exclusion (=0) criterion.</p> <p><b>Note 1:</b> exclusion criteria (CONDINCLUSION=0) require continuous enrollment during the CONDFROM – CONDTO period (below).</p> <p><b>Note 2:</b> within GROUP values, CONDINCLUSION = 0 and CONDINCLUSION = 1 criteria are separated by an “and” operator. For example, in a scenario with 1) CONDLEVEL = “Diabetes” and CONDINCLUSION=1; and 2) CONDLEVEL = “Heart_Failure” and CONDINCLUSION=0, the program will require presence of Diabetes <b>and</b> absence of Heart Failure for a patient to be eligible for cohort entry.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 1</p>
	CONDLEVEL	<p><b>Details:</b> requester-defined name to represent a unique inclusion or exclusion criterion.</p> <p><b>Note 1:</b> within GROUP and CONDINCLUSION values, CONDLEVEL values indicate criteria separated by an “or” operator. For example, in a scenario with 1) CONDLEVEL = “Diabetes” and CONDINCLUSION=1; and 2) CONDLEVEL = “Heart_Failure” and CONDINCLUSION=1, the program will require presence of Diabetes <b>or</b> presence of Heart Failure for a patient to be eligible for cohort entry.</p>

Parameter	Field Name	Description
		<p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Character  <b>Example:</b> Diabetes</p>
	SUBCONDLEVEL	<p><b>Details:</b> requester-defined name to represent unique inclusion or exclusion criteria <b>within CONDLEVEL values</b>. Allows requesters to define an individual inclusion/exclusion criterion (e.g., Diabetes) using a complex algorithm (e.g., diagnosis codes <b>and</b> laboratory result values).</p> <p><b>Note 1:</b> within GROUP, CONDINCLUSION, and CONDLEVEL values, criteria specified with the same SUBCONDLEVEL value are separated by an “and” operator. For example, in a scenario with 1) CONDLEVEL = “Diabetes”, CONDINCLUSION=1, SUBCONDLEVEL= “diagnoses” and SUBCONDINCLUSION=1; and 2) CONDLEVEL = “Diabetes”, CONDINCLUSION=1, SUBCONDLEVEL= “HGBA1C” and SUBCONDINCLUSION=1, the program will define Diabetes as presence of a diagnosis code indicative of diabetes <b>and</b> a HGBA1C test result indicative of Diabetes.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Character  <b>Example:</b> Diabetes_DX</p>
	SUBCONDINCLUSION	<p><b>Details:</b> indicates whether each SUBCONDLEVEL criterion is for an inclusion (=1) or exclusion (=0) criterion.</p> <p><b>Note 1:</b> exclusion criteria (SUBCONDINCLUSION=0) require continuous enrollment during the CONDFROM – CONDTO period (below).</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 1</p>
Evaluation Period Start	CONDFROM	<p><b>Details:</b> used in combination with CONDTO (below). CONDFROM defines the start of the evaluation period for each CODE value specified, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and CONDFROM for a given condition code is set to -7, the MP algorithm will start looking for that condition code on 01/01/2009.</p>

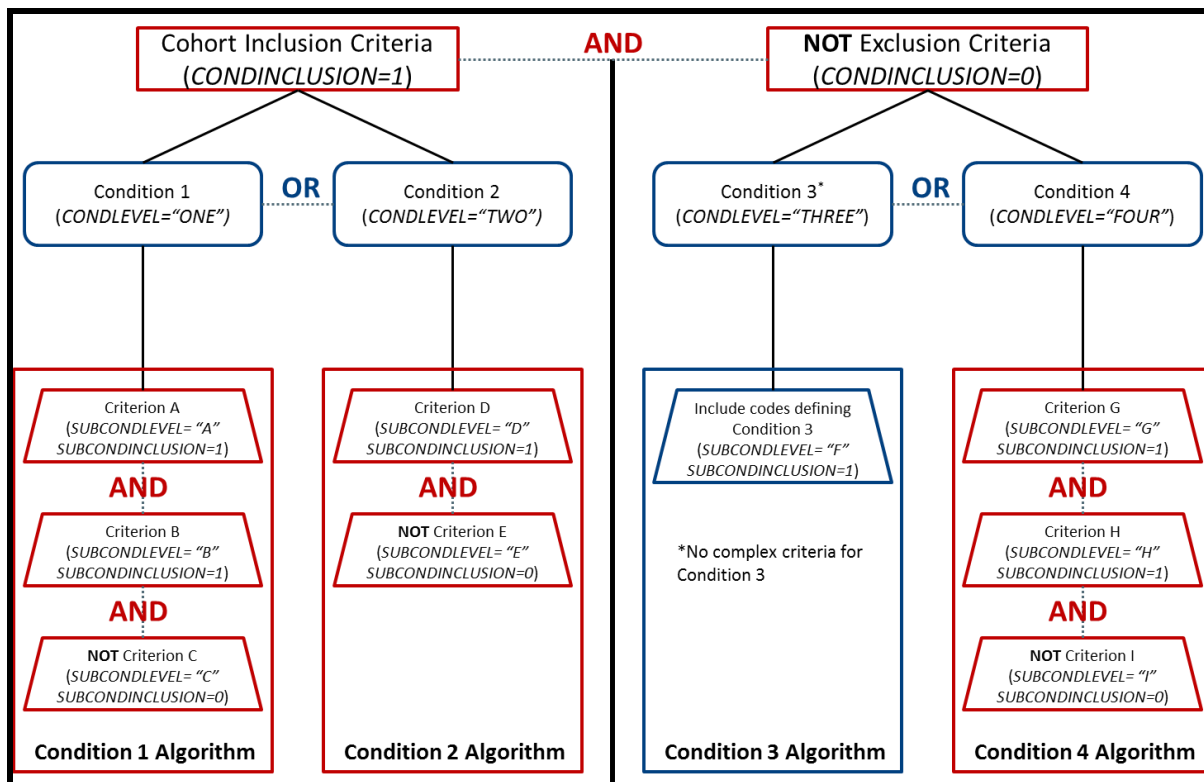
Parameter	Field Name	Description
		<p><b>Note 1:</b> individual CODE values within a same GROUP are allowed to have different evaluation periods and therefore have different CONDFROM and CONDTO values.</p> <p><b>Note 2:</b> the index date is “day zero”. Therefore, if zero is included in the CONDFROM-CONDTO interval for a given CODE value, the index date is included in the evaluation period.</p> <p><b>Note 3:</b> if CONDFROM &gt; 0 then the evaluation period will start after the index date.</p> <p><b>Note 4: special case:</b> when CONDFROM = missing the program considers an inclusion/exclusion criterion met if the member has no evidence of the exposure in <u>their entire available history before the value of CONDTO</u>. In this case, for an exclusion criterion, continuous enrollment is <i>not required</i> for the duration of the evaluation period (only explicitly defined enrollment criteria, e.g., specified using the ENRDAYS value, are required).</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> -180</p>
Evaluation Period End	CONDTO	<p><b>Details:</b> used in combination with CONDFROM (above). CONDTO defines the end of the evaluation period for each CODE value specified, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and CONDTO for a given condition code is set to -1, the MP algorithm will look for that condition code between the CONDFROM date through 01/07/2009.</p> <p><b>Note 1:</b> individual CODE values within the same GROUP are allowed to have different evaluation periods and therefore have different CONDFROM and CONDTO values.</p> <p><b>Note 2:</b> the index date is “day zero”. Therefore if zero is included in the CONDFROM-CONDTO interval for a given CODE value the index date is included in the evaluation period.</p>

Parameter	Field Name	Description
		<p><b>Note 3: special case:</b> when CONDTO = missing the program considers an inclusion/exclusion criterion met if the member has no evidence of the exposure in <u>their entire available history after the index date</u>. In this case, for an exclusion criterion, continuous enrollment is <i>not required</i> for the duration of the evaluation period (only explicitly defined enrollment criteria, e.g., specified using the ENRDAYS value, are required). <b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> -1</p>
Indicates the number of instances for the condition	CODEDAYS	<p><b>Details:</b> sets the number of times SUBCONDLEVEL should be found in the baseline period.</p> <p>Note: multiple codes identified on the same day will only count once (i.e., count code days not code instances).</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 1 (default)</p>
Lab Date Selection Algorithm	RAWLABDATETYPE	<p><b>Details:</b> relevant for requests that query laboratory result values. Field specifies in what sequence date(s) in the SCDM Laboratory Result table should be considered to select one relevant date for a laboratory result of interest. The parameter will allow the requester to either specify 1) a single date variable (Lab_dt, Order_dt, or Result_dt) to use; or 2) a hierarchy to choose a date variable (e.g., select Lab_dt else if missing select Result_dt else if missing select Order_dt).</p> <p>Valid values are any combination of the following:</p> <ul style="list-style-type: none"> <li>• <b>L:</b> Lab Date</li> <li>• <b>O:</b> Order Date</li> <li>• <b>R:</b> Result Date</li> </ul> <p><b>Note 1:</b> leave blank if CODECAT ≠ LB.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$3.  <b>Example 1:</b> RawLabDateType =LRO. In this case, the</p>

Parameter	Field Name	Description
		<p>program will use Lab_dt else if missing use Result_dt else if missing use Order_dt.</p> <p><b>Example 2:</b> RawLabDateType =L. In this case, the program will use Lab_dt only.</p>
Lab Result Values	RAWLABRESULT	<p><b>Details:</b> specifies the lab result value or lab result range for querying. RAWLABRESULT allows for values or ranges of quantitative laboratory results (e.g., 100; 100-200) and values of qualitative laboratory results (e.g., "POSITIVE").</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• &lt;=X (less than or equal to X)</li> <li>• &lt;X (less than X)</li> <li>• &gt;=X (greater than or equal to X)</li> <li>• &gt;X (greater than X)</li> <li>• ~=X (not equal to X)</li> <li>• X:Y (between X and Y)</li> </ul> <p>Any string of relevant characters is allowed for qualitative results querying.</p> <p><b>Note 1:</b> leave blank if CODECAT ≠ LB.</p> <p><b>Note 2:</b> there are two fields in the Laboratory Result table that include results: MS_Result_C (contains results for qualitative tests) and MS_Result_N (contains results for quantitative tests). The field where the result will be queried will depend on the RAWCODETYPE value.</p> <p><b>Note 3:</b> ranges cannot be specified with hyphens. Must use ":".</p> <p><b>Defined by:</b> Requester  Input type: Required for laboratory results  <b>Format:</b> Alphanumeric;  <b>Example 1:</b> RawLabResult=20:50  <b>Example 2:</b> RawLabResult=POSITIVE</p>

Specifying the Inclusion/Exclusion Codes File, and understanding the relationships among parameters, can be challenging. Figure 21 describes file parameters and the interactions between “and” and “or” operators. The fictitious example includes two inclusion criteria and two exclusion criteria. Each inclusion criterion is defined using a complex algorithm (i.e., Condition 1 is defined as Criterion A and Criterion B and not Criterion C); one of the two exclusion criteria is defined using a complex algorithm (i.e., Condition 4 is defined as Criterion G and Criterion H and not Criterion I). If a patient meets the definition of Condition 4, they are excluded from the cohort.

**Figure 21. Relationship Between Parameters Defining Inclusion/Exclusion Criteria**





In terms of creating input files, the following two examples demonstrate how input files should be created to ensure different inclusion/exclusion criteria.

Example 1:

Inclusion criteria: Condition A or Condition B

Exclusion criteria: Condition C and Condition D

Group	Stockgroup	CondInclusion	CondLevel	SubcondLevel	Subcondinclusion
Group A	Condition A	1	Cond1	SubCond1	1
Group A	Condition B	1	Cond2	Subcond1	1
Group A	Condition C	0	Cond3	Subcond2	1
Group A	Condition D	0	Cond3	Subcond3	1

Example 2:

Inclusion criteria: Condition A and not Condition B

Exclusion criteria: (Condition C and Condition D) or (Condition E and Condition F)

Group	Stockgroup	Condinclusion	CondLevel	SubcondLevel	Subcondinclusion
Group A	Condition A	1	Cond1	SubCond1	1
Group A	Condition B	1	Cond1	Subcond2	0
Group A	Condition C	0	Cond3	Subcond3	1
Group A	Condition D	0	Cond3	Subcond4	1
Group A	Condition E	0	Cond4	Subcond5	1
Group A	Condition F	0	Cond4	Subcond6	1

#### j) Covariate Codes File

The Covariate Codes File is required for requests evaluating the presence of covariates at baseline and requests using covariates for analytic adjustment. Otherwise, it should not be specified. NDCs, ICD procedure and diagnosis codes, and HCPCS codes can be used in any combination and can be restricted to specific care settings and diagnosis code positions (*e.g.*, principal discharge diagnoses only).

Only one Covariate Codes File can be specified per execution of the CIDA tool. Therefore, all cohorts (GROUPS) included in a CIDA tool execution will extract information for the same covariates.

The Covariate Codes File also asks requesters to specify which covariates may be used for future subgroup analyses. This information is necessary to inform the CIDA tool what information must be extracted and output for further processing by the PSA tool. Table 27 contains detailed specifications for this file.

**Table 27. COVARIATECODES Specification**

Parameter	Field Name	Description
Covariate Name	STUDYNAME	<p><b>Details:</b> unique name for each covariate defined in the file.</p> <p><b>Defined by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> SAS character \$50.  <b>Example:</b> Diabetes</p>
Name of Stockpiling Group within the Cohort	STOCKGROUP	<p><b>Details:</b> standardized name used to refer to a specific exposure/HOI within a given GROUP.</p> <p><b>Note 1:</b> the STOCKGROUP field is used by the <u>stockpiling algorithm</u> as group categories to adjust service dates.</p> <p><b>Note 2:</b> useful when a GROUP contains multiple exposures of interest. For example, if GROUP= “Insulin” STOCKGROUP could take values of “Insulin_Oral” and “Insulin_Injectable”.</p> <p><b>Note 3:</b> no output will be presented by STOCKGROUP. All output is presented at the GROUP level.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed and underscores must be used to mark spaces.  <b>Example:</b> Insulin_Oral</p>
Numeric Covariate Indicator	COVARNUM	<p><b>Details:</b> a numeric indicator for each covariate specified, to identify covariates for further processing by the PSA tool and order of covariates in output.</p> <p><b>Note 1:</b> each unique STUDYNAME should have a unique COVARNUM value.</p> <p><b>Note 2:</b> COVARNUM must start at 1 and be incremented by 1 for each additional covariate.  <b>Defined by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 11</p>
Code Category	CODECAT	<p><b>Details:</b> type of each code category value included in the CODETYPE field (below) of this file.</p>

Parameter	Field Name	Description
		<p>Valid values include:</p> <ul style="list-style-type: none"> <li>• <b>RX:</b> NDC</li> <li>• <b>DX:</b> Diagnosis code</li> <li>• <b>PX:</b> Procedure code</li> <li>• <b>CC:</b> indicates combination of multiple COVARNUM values to define a covariate. The referenced COVARNUM values to define the combination must be previously defined (i.e., be listed on rows preceding the CODECAT=CC row).</li> </ul> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$2.  <b>Example:</b> DX</p>
Code Type	CODETYPE	<p><b>Details:</b> type of each code value included in the CODE field (below) of this file. Valid values include:</p> <p><u>If CODECAT = RX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> 9 digits NDC</li> <li>• <b>11:</b> 11 digits NDC</li> </ul> <p><u>If CODECAT = DX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> ICD-9-CM</li> <li>• <b>10:</b> ICD-10-CM</li> <li>• <b>11:</b> ICD-11-CM</li> <li>• <b>OT:</b> Other</li> </ul> <p><u>If CODECAT = PX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> ICD-9-CM</li> <li>• <b>10:</b> ICD-10-CM</li> <li>• <b>11:</b> ICD-11-CM</li> <li>• <b>C4:</b> CPT-4 (i.e., HCPCS Level I)</li> <li>• <b>HC:</b> HCPCS (i.e., HCPCS Level II)</li> <li>• <b>H3:</b> HCPCS Level III</li> <li>• <b>C2:</b> CPT Category II</li> <li>• <b>C3:</b> CPT Category III</li> <li>• <b>ND:</b> 11-digit NDC</li> <li>• <b>RE:</b> Revenue</li> <li>• <b>LO:</b> Local homegrown</li> <li>• <b>OT:</b> Other</li> </ul> <p><u>If CODECAT = CC,</u> leave this field blank.</p>

Parameter	Field Name	Description
		<p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$3.  <b>Example:</b> 09</p>
Code	CODE	<p><b>Details:</b> NDC, diagnosis, and/or procedure code of interest.</p> <p><b>Note 1:</b> Codes are matched using exact values (<i>i.e.</i>, 3-digit code lookup requires an exact 3-digit code match). Wildcard match (*) functionality is also available for ICD-9 diagnosis codes (<i>e.g.</i>, querying “250*0” would be used to find any ICD-9-CM diagnosis codes for diabetes type II, or “250**” to find ICD-9-CM diagnosis codes for all diabetes codes in the range “250.00 - 250.99”). To get “starts with” codes, the user will have to specify 250, 250*, 250**.</p> <p><b>Note 2:</b> For NDCs, either 9 or 11 digit codes can be entered.</p> <p><b>Note 3:</b> remove decimal points in the code value.</p> <p><b>Note 4:</b> CODETYPE/CODECAT must be consistent with the expected format of the CODE value (<i>e.g.</i>, the program will not find any valid matches in the data for CODECAT=RX, CODETYPE=11 and a 9-digit NDC value).</p> <p><b>Note 5:</b> Duplicate CODECAT-CODETYPE-CODE-CARESETTING-PRINCIPAL combinations are removed by the MP algorithm.</p> <p><b>Note 6:</b> ‘V’ and ‘E’ ICD-9-CM diagnosis codes must be specified using uppercase ‘V’ and ‘E’.</p> <p><b>Note 7:</b> If CODECAT = CC, this field contains the algorithm for the combination of COVARNUM values, <i>e.g.</i>, “1 and (2 or 3)” to describe an algorithm requesting presence of COVARNUM=1 and (COVARNUM=2 or COVARNUM=3).</p> <p>The referenced COVARNUM values to define the combination must be previously defined (<i>i.e.</i>, be listed on rows preceding the CODECAT=CC row).</p>

Parameter	Field Name	Description
		<p>Any combination of “and”, “or”, and “not” may be used in combination with parentheses to define algorithms.</p> <p>Example algorithms (these are all different):</p> <ul style="list-style-type: none"> <li>• (1 or 9) and not 2</li> <li>• 1 or 9 and not 2</li> <li>• Not 2 and 1 or 9</li> </ul> <p>Unless all expressions are of one type (i.e. 1 and 2 and 3), it is highly recommended to use parentheses for algorithm clarity (i.e., bullets 2 and 3 above should be avoided).</p> <p><b>Defined by:</b> Requester, with support from the SOC as needed  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$50.  <b>Example1: (CODECAT=NDC; CODETYPE=11):</b>  12345678911</p>
Care Setting and Principal Diagnosis Indicator	CARESETTINGPRINCIPAL	<p><b>Details:</b> defines the care setting and principal diagnosis position requirements for each code. This field uses combination(s) of the SCDM variables care setting (ENCTYPE) and principal discharge diagnosis flag (PDX) to restrict the observance of codes to those in the requested care settings and with the requested diagnosis position. If no restrictions are required (<i>e.g.</i>, requester wants all care settings and any value of PDX), leave the field blank. The following are valid entries; all entries must be in single quotes and separated by a space:</p> <ul style="list-style-type: none"> <li>• <b>IPP:</b> inpatient hospital stays, principal diagnoses</li> <li>• <b>IPS:</b> inpatient hospital stays, secondary diagnoses</li> <li>• <b>IPX:</b> inpatient hospital stays, unclassified diagnoses</li> <li>• <b>ISP:</b> non-acute institutional stays, principal diagnoses</li> <li>• <b>ISS:</b> non-acute institutional stays, secondary diagnoses</li> <li>• <b>ISX:</b> non-acute institutional stays, unclassified diagnoses</li> <li>• <b>ED*:</b> emergency department encounters</li> </ul>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>AV*</b>: ambulatory visits</li> <li>• <b>OA*</b>: other ambulatory visits</li> </ul> <p><b>Request Programmer Note 1:</b> the wildcard symbol (*) can be used to represent “any” values of either care setting or principal discharge diagnosis flag. For example, CARESETTINGPRINCIPAL = ‘IP*’ will restrict codes to those observed in the inpatient setting irrespective of the principal diagnosis flag value. CARESETTINGPRINCIPAL = ‘**P’ will restrict diagnosis codes to those in the principal position, irrespective of the care setting.</p> <p><b>Request Programmer Note 2:</b> the principal discharge diagnosis flag is only relevant for diagnosis codes. All other codes should use the * wildcard for the third digit of the CARESETTINGPRINCIPAL value.</p> <p><b>Note 3:</b> CARESETTINGPRINCIPAL is allowed to vary between CODEs within the same GROUP. For example, CARESETTINGPRINCIPAL is allowed to equal ‘IPP’ for one diagnosis code and ‘IPP’ ‘EDP’ for another diagnosis code <i>in the same GROUP</i>.</p> <p><b>Note 4:</b> If CODECAT = CC, leave this field blank.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional; Default: blank (<i>i.e.</i>, no restrictions)  <b>Format:</b> Alphanumeric  <b>Example:</b> ‘IPX’ ‘ED*’ ‘**P’</p>
Covariate Evaluation Period Start	COVFROM	<p><b>Details:</b> used in combination with COVTO (below). COVFROM defines the start of the evaluation period for each CODE value specified, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and COVFROM for a given condition code is set to -7, the MP algorithm will start looking for that condition code on 01/01/2009.</p> <p><b>Note 1:</b> individual CODE values within a same GROUP are allowed to have different evaluation periods and therefore have different COVFROM and COVTO values.</p> <p><b>Note 2:</b> the index date is “day zero”. Therefore, if zero is included in the COVFROM-COVTO interval for a given CODE value, the index date is included in the</p>

Parameter	Field Name	Description
		<p>evaluation period.</p> <p><b>Note 3:</b> if COVFROM &gt; 0 then the evaluation period will start after the index date.</p> <p><b>Note 4: special case:</b> when COVFROM = missing the program considers a covariate met if the member has the code in <u>their entire available history before the value of COVTO</u>. In this case, continuous enrollment is <i>not required</i> for the duration of the evaluation period (only explicitly defined enrollment criteria, e.g., specified using the ENRDAYS value, are required).</p> <p><b>Note 5:</b> If CODECAT = CC, leave this field blank.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> -180</p>
Covariate Evaluation Period End	COVTO	<p><b>Details:</b> used in combination with COVFROM (above). COVTO defines the end of the evaluation period for each CODE value specified, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and COVTO for a given condition code is set to -1, the MP algorithm will look for that condition code between the COVFROM date through 01/07/2009.</p> <p><b>Note 1:</b> individual CODE values within the same GROUP are allowed to have different evaluation periods and therefore have different COVFROM and COVTO values.</p> <p><b>Note 2:</b> the index date is “day zero”. Therefore if zero is included in the COVFROM-COVTO interval for a given CODE value the index date is included in the evaluation period.</p> <p><b>Note 3: special case:</b> when COVTO = missing the program considers a covariate met if the member has the code in <u>their entire available history after the index date</u>. In this case, continuous enrollment is <i>not required</i> for the duration of the evaluation period (only explicitly defined enrollment criteria, e.g., specified using the ENRDAYS value, are required).</p>

Parameter	Field Name	Description
		<p><b>Note 4:</b> If CODECAT = CC, leave this field blank.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> -1</p>
Indicator that Covariate is Needed for Subgroup Analysis	KEEP	<p><b>Details:</b> indicates if the variable is needed for additional analyses.</p> <p><b>Valid values include:</b></p> <ul style="list-style-type: none"> <li>• <b>0:</b> Do not keep information needed for additional analyses/covariate will not be used in additional analyses</li> <li>• <b>1:</b> Keep information needed for additional analyses</li> </ul> <p><b>Note 1:</b> if KEEP=1, covariate information will be output to the [RUNID] _matched_ [COMP_ORDER] _ [PERIODID].sas7bdat output file.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 0 (default)</p>
Indicates the number of instances for the condition	CODEDAYS	<p><b>Details:</b> sets the number of times COVARNUM should be found in the baseline period.</p> <p>Note: multiple codes identified on the same day will only count once (i.e., count code days not code instances).</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 1 (default)</p>



### k) Comorbidity Score File

The Comorbidity Score File is required if a request is calculating the Charlson/Elixhauser combined comorbidity score (required for propensity score matched analyses). If the file is specified, the Comorbidity Score Codes Lookup Table must be included in the *inputfiles* folder. Specification of this file requests calculation of a combined Charlson/Elixhauser comorbidity score.

If the output of the CIDA tool is used for subsequent propensity score matched analyses using the PSA tool, the comorbidity score is available to estimate the propensity score along with the other covariates in the Covariate Codes File.

The Comorbidity Score File uses two parameters, COMORBFROM and COMORBTO, to define periods for observing the medical condition of interest and calculating the two scores.. When COMORBTO = 0, the index date is included in the period for observing the medical condition of interest. When COMORBTO > 0, post-index days are included.

Table 28 contains detailed specifications for the Comorbidity Score File.

**Table 28. COMORBFIELD Specification**

Parameter	Field Name	Description
Name of Cohort	GROUP	<p><b>Details:</b> standardized name used to differentiate cohorts.</p> <p><b>Note 1:</b> multiple cohorts can be defined within the same Comorbidity Score File. In this case all cohorts are queried independently and results are reported separately and labeled using each GROUP name specified.</p> <p><b>Note 2:</b> GROUP is the primary key linking cohorts across input files; GROUP values must match (including case) between the COMORBFIELD file and other input files.</p> <p><b>Note 3:</b> GROUP values must remain consistent during the course of a surveillance activity.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; no special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed, and underscores must be used to mark spaces.  <b>Example:</b> Insulin</p>
Comorbidity Score Evaluation Period Start	COMORBFROM	<p><b>Details:</b> defines the start of the comorbidity score evaluation period, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and COMORBFROM is set to -7, the algorithm will start evaluating the score on 01/01/2009.</p> <p><b>Note 1:</b> when COMORBFROM = missing, the program evaluates comorbidities in the entire available patient</p>

Parameter	Field Name	Description
		<p><u>history before the value of COMORBTO.</u></p> <p><b>Note 2:</b> An individual is not required to be enrolled during the entire COMORBFROM-COMORBTO period.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> -180</p>
Comorbidity Score Evaluation Period End	COMORBTO	<p><b>Details:</b> used in combination with COMORBFROM (above). COMORBTO defines the end of the comorbidity score evaluation period, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and COMORBTO is set to -1, the algorithm will evaluate the score between the COMORBFROM date through 01/07/2009.</p> <p><b>Note 1:</b> the index date is “day zero”. Therefore, if zero is included in the COMORBFROM–COMORBTO interval, the index date is included in the evaluation period. If COMORBTO <math>\geq</math>1, the evaluation period will include days after the index date.</p> <p><b>Note 2:</b> when COMORBTO = missing, the program evaluates comorbidities in <u>the entire available patient history before the index date.</u></p> <p><b>Note 3:</b> An individual is not required to be enrolled during the entire COMORBFROM-COMORBTO period.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 0</p>
Comorbidity Score Stratification Groups	CCIGROUP	<p><b>Details:</b> the grouping to apply for stratification of the combined comorbidity scores. Groups must be separated by a space and "+" used to make the last group open ended.</p> <p>To leave the first group open-ended, use “low-“. In the output “low-“, will be replaced with “&lt;=”. If a negative is desired as the upper bound of a group, do not include a space in the group (e.g., use low--1 for low to -1). Note that groups should not have overlapping values. In the event that overlapping values are entered, the value will be mapped to the first group in the list.</p>

Parameter	Field Name	Description
		<p><b>Note 1:</b> the theoretical range of scores is -2 -26.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$20.  <b>Example:</b> low-0 1 2-3 4-7 8+</p>

### I) Utilization File

The Utilization File is required if a request is calculating medical or drug utilization metrics. Specification of this file will:

- Calculate three drug utilization metrics: 1) number of dispensings; 2) number of unique generics dispensed; and 3) number of unique drug classes dispensed during a requester-defined number of days around the index date and output these values.
- Calculate a single medical utilization metric (*i.e.*, number of medical encounters per individual during a requester-defined period of time) and stratify CIDA tool output metrics by requester-defined groupings of this metric. If the output of the CIDA tool is used for subsequent analyses (e.g., ANALYSIS=PS or ADS), CIDA will also calculate the number of medical encounters per individual *per encounter type* (*i.e.*, SCDM variable ENCTYPE in the Encounter table). As there are five ENCTYPE values in the SCDM Encounter table (AV = Ambulatory Visit, ED = Emergency Department, IP = Inpatient Hospital Stay, IS = Non-Acute Institutional Stay, and OA = Other Ambulatory Visit [*e.g.*, home health visits, telemedicine, telephone and email consultations]), 5 variables will be output for further analysis.

Table 29 contains detailed specifications for this file.

**Table 29. UTILFILE Specification**

Parameter	Field Name	Description
Name of Cohort	GROUP	<p><b>Details:</b> standardized name used to differentiate cohorts.</p> <p><b>Note 1:</b> multiple cohorts can be defined within the same Utilization File. In this case all cohorts are queried independently and results are reported separately and labeled using each GROUP name specified.</p> <p><b>Note 2:</b> GROUP is the primary key linking cohorts across input files; GROUP values must match (including case) between the UTILFILE file and other input files.</p> <p><b>Note 3:</b> GROUP values must remain consistent during the course of a surveillance activity.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; no special</p>

Parameter	Field Name	Description
		<p>characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed, and underscores must be used to mark spaces.</p> <p><b>Example:</b> Insulin</p>
Medical Utilization Evaluation Period Start	MEDUTILFROM	<p><b>Details:</b> used in combination with MEDUTILTO (below). MEDUTILFROM defines the start of the medical utilization evaluation period, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and MEDUTILFROM is set to -7, the MP algorithm will start evaluating drug utilization on 01/01/2009.</p> <p><b>Note 1:</b> the index date is “day zero”. Therefore, if zero is included in the MEDUTILFROM – MEDUTILTO interval, the index date is included in the evaluation period.</p> <p><b>Note 2:</b> if MEDUTILFROM &gt; 0 then the evaluation period will start after the index date.</p> <p><b>Note 3: special case:</b> when MEDUTILFROM = missing, the program considers all codes in <u>their entire available history until the value of MEDUTILTO</u>.</p> <p><b>Note 4:</b> An individual is not required to be enrolled during the entire MEDUTILFROM period.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> -180</p>
Medical Utilization Evaluation Period End	MEDUTILTO	<p><b>Details:</b> used in combination with MEDUTILFROM (above). MEDUTILTO defines the end of the medical utilization evaluation period, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and MEDUTILTO for a given condition code is set to -1, the MP algorithm will evaluate medical utilization between the MEDUTILFROM date through 01/07/2009.</p> <p><b>Note 1:</b> the index date is “day zero”. Therefore, if zero is included in the MEDUTILFROM - MEDUTILTO interval, the index date is included in the evaluation period.</p> <p><b>Note 2: special case:</b> when MEDUTILTO = missing the program evaluates medical utilization in <u>their entire available history after the index date</u>.</p> <p><b>Note 3:</b> An individual is not required to be enrolled during the entire MEDUTILTO period.</p>

Parameter	Field Name	Description
		<p><b>Note 4:</b> MEDUTILTO may be negative.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> -1</p>
Care Settings to Define Medical Visits	CARESETTINGS	<p><b>Details:</b> the SCDM encounter types to identify and count medical visits. Valid values must be quoted and separated by a space:</p> <ul style="list-style-type: none"> <li>• <b>IP:</b> inpatient hospital stays</li> <li>• <b>IS:</b> non-acute institutional stays</li> <li>• <b>ED:</b> emergency department visits</li> <li>• <b>AV:</b> ambulatory visits</li> <li>• <b>OA:</b> other ambulatory visits</li> </ul> <p><b>Note 1:</b> if the output of the CIDA tool will be used for subsequent analyses (ANALYSIS=PS or ADS), all care settings must be specified. In this case, CIDA tool output to the <i>msoc</i> folder will contain one overall utilization metric that counts all medical visits across all SCDM encounter types. The output dataset to the <i>dplocal</i> folder for the PSA tool, however, will contain the number of visits by each of the 5 encounter types. These metrics will be used to estimate the propensity score along with other covariates specified in the <a href="#">Covariate Codes File</a>.</p> <p><b>Note 2:</b> metrics are always computed allowing one visit per patient per day. If all care settings are specified by the CIDA tool, the overall utilization metric will only allow one visit per patient per day across all care settings specified in the CARESETTINGS field (e.g., an outpatient and inpatient encounter on the same day is counted as one encounter). If all care settings are specified by the CIDA tool, the 5 metrics calculated for the PSA tool will count one visit per patient per care setting per day.</p> <p><b>Note 3:</b> for inpatient visits (IP), length of stay is not taken into account. In other words, the module will count the number of inpatient admissions as reported in the data. Moreover, inpatient episodes that may overlap are not combined by the module.</p> <p><b>Defined by:</b> Requester</p>

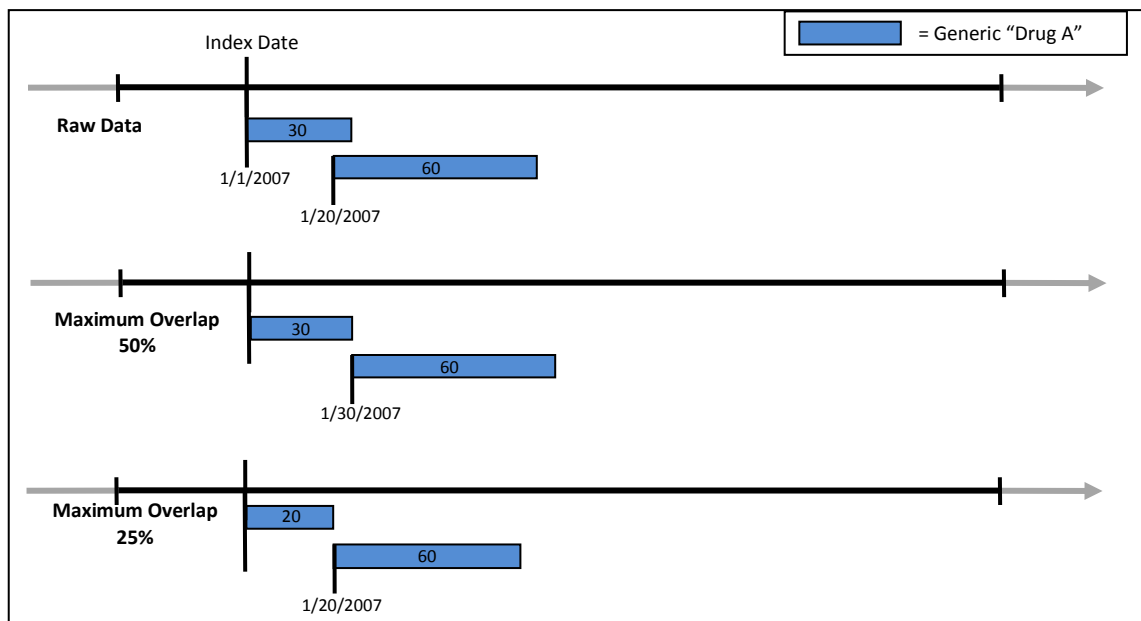
Parameter	Field Name	Description
		<p><b>Input type:</b> Required</p> <p><b>Format:</b> Alphanumeric; SAS character \$25.</p> <p><b>Example:</b> 'ED' 'IP' 'IS' 'OA' 'AV'</p>
Counts of Medical Visits Group Stratification	CSSTRAT	<p><b>Details:</b> groupings of counts of medical visits for CIDA tool output stratification.</p> <p><b>Note 1:</b> groupings must be separated by a space; use "+" if the last group is open ended.</p> <p><b>Note 2:</b> stratification is done by a single, overall utilization metric (for all visits observed for the encounter types provided in the CARESETTINGS field).</p> <p><b>Defined by:</b> Requester</p> <p><b>Input type:</b> Required</p> <p><b>Format:</b> Alphanumeric; SAS character \$20.</p> <p><b>Example:</b> 0 1 2-7 8+</p>
Drug Utilization Evaluation Period Start	DRUGUTILFROM	<p><b>Details:</b> used in combination with DRUGUTILTO (below). DRUGUTILFROM defines the start of the drug utilization evaluation period, expressed in terms of "days from Index Date". For example, if Index Date=01/08/2009 and DRUGUTILFROM is set to -7, the MP algorithm will start evaluating drug utilization on 01/01/2009.</p> <p><b>Note 1:</b> the index date is "day zero". Therefore, if zero is included in the DRUGUTILFROM – DRUGUTILTO interval, the index date is included in the evaluation period.</p> <p><b>Note 2:</b> if DRUGUTILFROM &gt; 0 then the evaluation period will start after the index date.</p> <p><b>Note 3: special case:</b> when DRUGUTILFROM = missing, the program considers all codes in <u>their entire available history until the value of DRUGUTILTO.</u></p> <p><b>Note 4:</b> An individual is not required to be enrolled during the entire DRUGUTILFROM period.</p> <p><b>Named by:</b> Requester</p> <p><b>Input type:</b> Required</p> <p><b>Format:</b> Numeric</p> <p><b>Example:</b> -180</p>
Drug Utilization Evaluation Period End	DRUGUTILTO	<p><b>Details:</b> used in combination with DRUGUTILFROM (above). DRUGUTILTO defines the end of the medical utilization evaluation period, expressed in terms of "days from Index Date". For example, if Index Date=01/08/2009 and</p>

Parameter	Field Name	Description
		<p>DRUGUTILTO for a given condition code is set to -1, the MP algorithm will evaluate medical utilization between the DRUGUTILFROM date through 01/07/2009.</p> <p><b>Note 1:</b> the index date is “day zero”. Therefore if zero is included in the DRUGUTILFROM - DRUGUTILTO interval, the index date is included in the evaluation period.</p> <p><b>Note 2: special case:</b> when DRUGUTILTO = missing the program evaluates medical utilization in <u>their entire available history after the index date</u>.</p> <p><b>Note 3:</b> An individual is not required to be enrolled during the entire DRUGUTILTO period.</p> <p><b>Note 4:</b> DRUGUTILTO may be negative.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> -1</p>

#### m) Stockpiling File

The Stockpiling File is optional. It is used to instruct the MP algorithm on how valid dispensings are selected and used by the stockpiling algorithm to create exposure episodes. Requesters can require restrictions on days supplied and amount supplied values for dispensings that are considered by the modular program. Requesters can also specify how the program adjusts dispensing dates based on the maximum overlap between adjacent dispensings. For example, consider the dispensing pattern in Figure 22 where the first dispensing and second dispensing overlap by 10 days.

**Figure 22. Use of Maximum Percentage Overlap in Stockpiling File**



If a requester specifies a maximum overlap of 50%, the stockpiling algorithm will only augment dispensing dates if the number of days of overlap between the two dispensing is less than  $(30 \text{ days} * .5) = 15 \text{ days}$ . Since the dispensings overlap by 10 days ( $< 15 \text{ days}$ ) the start date of the second dispensing is adjusted to 1/30/2007 (30 days after the first dispensing date). However, if a requester specifies a maximum overlap of 25%, the stockpiling algorithm will only augment dispensing dates if the number of days of overlap between the two dispensing is less than  $(30 \text{ days} * .25) = 7 \text{ days}$  (value is rounded down). Since the dispensings overlap by 10 days ( $> 7 \text{ days}$ ) the start date of the second dispensing is not adjusted and the first dispensing days supply value is truncated at 20 days.

**Default values of each parameter are described below in the detailed specifications for this file (Table 30). If default values are requested, this input file does not need to be specified in the program package.**



**Table 30. STOCKPILINGFILE Specification**

Parameter	Field Name	Description
Name of Cohort	GROUP	<p><b>Details:</b> standardized name used to differentiate cohorts.</p> <p><b>Note 1:</b> multiple cohorts can be defined within the same Stockpiling File. In this case all cohorts are queried independently and results are reported separately and labeled using each GROUP name specified.</p> <p><b>Note 2:</b> GROUP is the primary key linking cohorts across input files; GROUP values must match (including case) between the STOCKPILINGFILE file and other input files.</p> <p><b>Note 3:</b> GROUP values must remain consistent during the course of a surveillance activity.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; no special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed, and underscores must be used to mark spaces.  <b>Example:</b> Insulin</p>
Same Day Dispensing Processing Indicator	SAMEDAY	<p><b>Details:</b> defines how same day dispensings are processed. The first position indicates how days supplied (RxSup in the SCDM) is handled; the second position indicates how amount supplied (RxAmt in the SCDM) is handled.</p> <p>Valid values (for each position are):</p> <ul style="list-style-type: none"> <li>• <b>a:</b> adds all (amount supplied or days supplied) values for dispensings in the same GROUP/STOCKGROUP on the same day</li> <li>• <b>n:</b> uses minimum (amount supplied or days supplied) value for dispensings in the same GROUP/ STOCKGROUP on the same day</li> <li>• <b>x:</b> uses maximum (amount supplied or days supplied) value for dispensings in the same GROUP/ STOCKGROUP on the same day</li> <li>• <b>m:</b> uses mean (amount supplied or days supplied) value for dispensings in the same GROUP/ STOCKGROUP on the same day</li> </ul> <p><b>Note 1:</b> a total of 16 combinations are possible (<i>e.g.</i>, aa, an, etc.).</p>

Parameter	Field Name	Description
		<p><b>Note 2:</b> default value is “aa”.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$2  <b>Example:</b> SAMEDAY = aa</p>
Range of Allowable Days Supplied Values	SUPRANGE	<p><b>Details:</b> specifies the allowable range of days supplied values (variable RxSup in the SCDM) that are allowed for a dispensing to be used to create valid treatment episodes. Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>x&lt;-HIGH:</b> value must be &gt; x</li> <li>• <b>y-HIGH:</b> value must be &gt;= y</li> <li>• <b>LOW-&lt;x:</b> value must be &lt; x</li> <li>• <b>x-y:</b> value must be between x and y inclusively</li> <li>• <b>x&lt;-y:</b> value must be greater than x and less or equal than y</li> <li>• <b>x-&lt;y:</b> value must be greater or equal than x and less than y</li> <li>• <b>x&lt;-&lt;y:</b> value must be between x and y but not equal</li> </ul> <p><b>Note 1:</b> allowable values can also be discrete, <i>e.g.</i>, “10”, “20”.</p> <p><b>Note 2:</b> failing to be in the specified range excludes a dispensing from consideration.</p> <p><b>Note 3:</b> default is “0&lt;-HIGH”, indicating that the program will not consider days supplied values of 0 or less.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$40  <b>Examples:</b> SUPRANGE=5-&lt;80; SUPRANGE = 0&lt;-HIGH</p>
Range of Allowable Amount Supplied Values	AMTRANGE	<p><b>Details:</b> specifies the allowable range of amount supplied values (variable RxAmt in the SCDM) that are allowed for a dispensing to be used to create valid treatment episodes.</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• <b>x&lt;-HIGH:</b> value must be &gt; x</li> </ul>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>y-HIGH</b>: value must be <math>\geq y</math></li> <li>• <b>LOW-&lt;x</b>: value must be <math>&lt; x</math></li> <li>• <b>x-y</b>: value must be between <math>x</math> and <math>y</math> inclusively</li> <li>• <b>x&lt;-y</b>: value must be greater than <math>x</math> and less or equal than <math>y</math></li> <li>• <b>x&lt;=y</b>: value must be greater or equal than <math>x</math> and less than <math>y</math></li> <li>• <b>x&lt;-&lt;y</b>: value must be between <math>x</math> and <math>y</math> but not equal</li> </ul> <p><b>Note 1:</b> allowable values can also be discrete, e.g., "10", "20".</p> <p><b>Note 2:</b> failing to be in the specified range excludes a dispensing from consideration.</p> <p><b>Note 3:</b> default is "0&lt;-HIGH", indicating that the program will not consider amount supplied values of 0 or less.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$40  <b>Examples:</b> SUPRANGE=5-&lt;80; SUPRANGE = 0&lt;-HIGH</p>
Overlap Percentage Processing	PERCENTDAYS	<p><b>Details:</b> the maximum percentage overlap of previous dispensing's days supply allowed for pushing dispensing dates forward. When this percentage is exceeded, the previous dispensing's days supply is truncated at the day prior to the next dispensing date. If this parameter is left blank, no truncation will occur and any overlap of supply between dispensing will be corrected by pushing overlapping days supplied forward.</p> <p><b>Note 1:</b> default is blank.</p> <p><b>Note 2:</b> Although rare, when PERCENTDAYS &gt;0 it is possible for the overlap to exceed 100%. If this occurs, the dispensing will be replaced by the eclipsed claim.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Numeric  <b>Example:</b> PERCENTDAYS = 0.25</p>

## n) Pregnancy Duration File

The Pregnancy Duration File is optional and its specification is only required for a pregnancy episodes identification strategy (Type 4 analysis). It is used to instruct the MP algorithm on how to calculate pregnancy episode duration and index date. Requesters can specify which codes are used to calculate pregnancy duration and the priority given to each code when multiple codes are identified. Table 31 contains detailed specifications for this file.

**Table 31. PREGDUR Specification**

Parameter	Field Name	Description
Name of Cohort	GROUP	<p><b>Details:</b> standardized name used to differentiate cohorts.</p> <p><b>Note 1:</b> multiple cohorts can be defined within the same pregdur file. In this case all cohorts are queried independently and results are reported separately and labeled using each GROUP name specified.</p> <p><b>Note 2:</b> GROUP is the primary key linking cohorts across input files; GROUP values must match (including case) between the PREGDUR file and other input files.</p> <p><b>Note 3:</b> GROUP values must remain consistent during the course of a surveillance activity.</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; no special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed, and underscores must be used to mark spaces.  <b>Example:</b> Insulin</p>
Name of Stockgroup within the Cohort	STOCKGROUP	<p><b>Details:</b> standardized name used to refer to a specific preterm/postterm codes within a given GROUP.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$30; special characters (<i>e.g.</i>, commas, periods, hyphens, etc.) allowed and underscores must be used to mark spaces.  <b>Example:</b> PreTerm_2weeks</p>
Code Category	CODECAT	<p><b>Details:</b> type of each code category value included in the CODETYPE field (below) of this file.</p> <p>Valid values include:</p> <ul style="list-style-type: none"> <li>• <b>RX:</b> NDC</li> <li>• <b>DX:</b> Diagnosis code</li> </ul>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>PX</b>: Procedure code</li> <li>• <b>LB</b>: Lab code</li> </ul> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$2.  <b>Example:</b> DX</p>
Code Type	CODETYPE	<p><b>Details:</b> type of each code value included in the CODE field (below) of this file. Valid values include:</p> <p><u>If CODECAT = RX:</u></p> <ul style="list-style-type: none"> <li>• <b>09</b>: 9 digits NDC</li> <li>• <b>11</b>: 11 digits NDC</li> </ul> <p><u>If CODECAT = DX:</u></p> <ul style="list-style-type: none"> <li>• <b>09</b>: ICD-9-CM</li> <li>• <b>10</b>: ICD-10-CM</li> <li>• <b>11</b>: ICD-11-CM</li> <li>• <b>OT</b>: Other</li> </ul> <p><u>If CODECAT = PX:</u></p> <ul style="list-style-type: none"> <li>• <b>09</b>: ICD-9-CM</li> <li>• <b>10</b>: ICD-10-CM</li> <li>• <b>11</b>: ICD-11-CM</li> <li>• <b>C4</b>: CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HC</b>: HCPCS (<i>i.e.</i>, HCPCS Level II)</li> <li>• <b>H3</b>: HCPCS Level III</li> <li>• <b>C2</b>: CPT Category II</li> <li>• <b>C3</b>: CPT Category III</li> <li>• <b>ND</b>: 11-digit NDC</li> <li>• <b>RE</b>: Revenue</li> <li>• <b>LO</b>: Local homegrown</li> <li>• <b>OT</b>: Other</li> </ul> <p><u>If CODECAT = LB:</u></p> <ul style="list-style-type: none"> <li>• <b>01N</b>: extract quantitative lab test result using SOC-defined lab code (see <a href="#">Lab Code Lookup Table</a>)</li> <li>• <b>02N</b>: extract quantitative lab test result using LOINC</li> <li>• <b>'px'N</b>: extract quantitative lab test result using the following codes <ul style="list-style-type: none"> <li>• <b>09N</b>: ICD-9-CM</li> <li>• <b>10N</b>: ICD-10-CM</li> <li>• <b>11N</b>: ICD-11-CM</li> </ul> </li> </ul>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>C4N:</b> CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HCN:</b> HCPCS (<i>i.e.</i>, HCPCS Level II)</li> <li>• <b>H3N:</b> HCPCS Level III</li> <li>• <b>C2N:</b> CPT Category II</li> <li>• <b>C3N:</b> CPT Category III</li> <li>• <b>NDN:</b> 11-digit NDC</li> <li>• <b>REN:</b> Revenue</li> <li>• <b>LON:</b> Local homegrown</li> <li>• <b>01C:</b> extract qualitative lab test result using SOC-defined lab code (see <a href="#">Lab Code Lookup Table</a>)</li> <li>• <b>02C:</b> extract qualitative lab test result using LOINC</li> <li>• <b>'px'C:</b> extract qualitative lab test result using the following codes               <ul style="list-style-type: none"> <li>• <b>09C:</b> ICD-9-CM</li> <li>• <b>10C:</b> ICD-10-CM</li> <li>• <b>11C:</b> ICD-11-CM</li> <li>• <b>C4C:</b> CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HCC:</b> HCPCS (<i>i.e.</i>, HCPCS Level II)</li> <li>• <b>H3C:</b> HCPCS Level III</li> <li>• <b>C2C:</b> CPT Category II</li> <li>• <b>C3C:</b> CPT Category III</li> <li>• <b>NDC:</b> 11-digit NDC</li> <li>• <b>REC:</b> Revenue</li> <li>• <b>LOC:</b> Local homegrown</li> </ul> </li> </ul> <p><b>Note 1:</b> as the LOINC field is not populated by all Data Partners in the SCDM Laboratory Result table and the CPT code may not be specific to a particular lab test, it is strongly recommended that the Laboratory Result table be queried using SOC-defined lab codes.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$3.  <b>Example:</b> 09</p>
Code	CODE	<p><b>Details:</b> NDC, procedure, diagnosis, and/or lab code of interest.</p> <p><b>Note 1:</b> Codes are matched using exact values (<i>i.e.</i>, 3-digit code lookup requires an exact 3-digit code match). Wildcard match (*) functionality is also available for ICD-9 diagnosis codes (<i>e.g.</i>, querying "250*0" would be used to find any ICD-9-CM diagnosis codes for diabetes type II, or "250**" to find ICD-9-CM diagnosis codes for all</p>

Parameter	Field Name	Description
		<p>diabetes codes in the range “250.00 - 250.99”). To get “starts with” codes, the user will have to specify 250, 250*, 250**.</p> <p><b>Note 2:</b> For NDCs, either 9 or 11 digit codes can be entered.</p> <p><b>Note 3:</b> remove decimal points in the code value.</p> <p><b>Note 4:</b> CODETYPE/CODECAT must be consistent with the expected format of the CODE value (e.g., the program will not find any valid matches in the data for CODECAT=RX, CODETYPE=11 and a 9-digit NDC value).</p> <p><b>Note 5:</b> Duplicate CODECAT-CODETYPE-CODE-CARESETTING-PRINCIPAL combinations are removed by the MP algorithm.</p> <p><b>Note 6:</b> ‘V’ and ‘E’ ICD-9-CM diagnosis codes must be specified using uppercase ‘V’ and ‘E’.</p> <p><b>Defined by:</b> Requester, with support from the SOC as needed</p> <p><b>Input type:</b> Required</p> <p><b>Format:</b> Alphanumeric; SAS character \$11.</p> <p><b>Example:</b> (CODECAT=DX; CODETYPE=09): 64421</p>
Care Setting and Diagnosis Position Requirements	CARESETTINGPRINCIPAL	<p><b>Details:</b> defines the care setting and principal diagnosis position requirements for each code. This field uses combination(s) of the SCDM variables care setting (ENCTYPE) and principal discharge diagnosis flag (PDX) to restrict the observance of codes to those in the requested care settings and with the requested diagnosis position. If no restrictions are required (e.g., requester wants all care settings and any value of PDX), leave the field blank. The following are valid entries; all entries must be in single quotes and separated by a space:</p> <ul style="list-style-type: none"> <li>• <b>IPP:</b> inpatient hospital stays, principal diagnoses</li> <li>• <b>IPS:</b> inpatient hospital stays, secondary diagnoses</li> <li>• <b>IPX:</b> inpatient hospital stays, unclassified diagnoses</li> <li>• <b>ISP:</b> non-acute institutional stays, principal diagnoses</li> </ul>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>ISS:</b> non-acute institutional stays, secondary diagnoses</li> <li>• <b>ISX:</b> non-acute institutional stays, unclassified diagnoses</li> <li>• <b>ED*:</b> emergency department encounters</li> <li>• <b>AV*:</b> ambulatory visits</li> <li>• <b>OA*:</b> other ambulatory visits</li> </ul> <p><b>Request Programmer Note 1:</b> the wildcard symbol (*) can be used to represent “any” values of either care setting or principal discharge diagnosis flag. For example, CARESETTINGPRINCIPAL = ‘IP*’ will restrict codes to those observed in the inpatient setting irrespective of the principal diagnosis flag value. CARESETTINGPRINCIPAL = ‘**P’ will restrict diagnosis codes to those in the principal position, irrespective of the care setting.</p> <p><b>Request Programmer Note 2:</b> the principal discharge diagnosis flag is only relevant for diagnosis codes. All other codes should use the * wildcard for the third digit of the CARESETTINGPRINCIPAL value.</p> <p><b>Note 3:</b> CARESETTINGPRINCIPAL is allowed to vary between CODEs within the same GROUP. For example, CARESETTINGPRINCIPAL is allowed to equal ‘IPP’ for one diagnosis code and ‘IPP’ ‘EDP’ for another diagnosis code <i>in the same GROUP</i>.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional; Default: blank (<i>i.e.</i>, no restrictions)  <b>Format:</b> Alphanumeric  <b>Example:</b> ‘IPX’ ‘ED*’ ‘**P’</p>
Indicator for Priority Group 1 Delivery Codes	PRIORITYGROUP1	<p><b>Details:</b> indicates if a code is a first priority code</p> <p><b>Note 1:</b> valid values are 0 or 1</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 1</p>
Indicator for Priority Group 2 Delivery Codes	PRIORITYGROUP2	<p><b>Details:</b> indicates if a code is a second priority code</p> <p><b>Note 1:</b> valid values are 0 or 1</p>



Parameter	Field Name	Description
		<p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 0</p>
Priority Assigned to Each Code	PRIORITY	<p><b>Details:</b> for members with many different codes, the priority is used to indicate which code will be kept to define the pregnancy episode start date and duration.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 3</p>
Duration Assigned to Each Code	DURATION	<p><b>Details:</b> Duration in days attached to the pregnancy episode when a delivery has a gestational age code.</p> <p><b>Note 1:</b> Codes with the same priority should have the same duration.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 268</p>
Lab Date Selection Algorithm	RAWLABDATETYPE	<p><b>Details:</b> relevant for requests that query laboratory result values. Field specifies in what sequence date(s) in the SCDM Laboratory Result table should be considered to select one relevant date for a laboratory result of interest. The parameter will allow the requester to either specify 1) a single date variable (Lab_dt, Order_dt, or Result_dt) to use; or 2) a hierarchy to choose a date variable (<i>e.g.</i>, select Lab_dt else if missing select Result_dt else if missing select Order_dt).</p> <p>Valid values are any combination of the following:</p> <ul style="list-style-type: none"> <li>• <b>L:</b> Lab Date</li> <li>• <b>O:</b> Order Date</li> <li>• <b>R:</b> Result Date</li> </ul> <p><b>Note 1:</b> leave blank if CODECAT ≠ LB.</p> <p><b>Note 2:</b> many Data Partners do not populate all three date fields. Use of an algorithm for date selection is recommended.</p> <p><b>Defined by:</b> Requester</p>

Parameter	Field Name	Description
		<p><b>Input type:</b> Required  <b>Format:</b> SAS character \$3.  <b>Example 1:</b> RawLabDateType =LRO. In this case, the program will use Lab_dt else if missing use Result_dt else if missing use Order_dt.  <b>Example 2:</b> RawLabDateType =L. In this case, the program will use Lab_dt only.</p>
Lab Result Values	RAWLABRESULT	<p><b>Details:</b> specifies the lab result value or lab result range for querying. RAWLABRESULT allows for values or ranges of quantitative laboratory results (e.g., 100; 100-200) and values of qualitative laboratory results (e.g., "POSITIVE").</p> <p>Valid values are:</p> <ul style="list-style-type: none"> <li>• &lt;=X (less than or equal to X)</li> <li>• &lt;X (less than X)</li> <li>• &gt;=X (greater than or equal to X)</li> <li>• &gt;X (greater than X)</li> <li>• ~=X (not equal to X)</li> <li>• X:Y (between X and Y)</li> </ul> <p>Any string of relevant characters is allowed for qualitative results querying.</p> <p><b>Note 1:</b> leave blank if CODECAT ≠ LB.</p> <p><b>Note 2:</b> There are two fields in the Laboratory Result table that include results: MS_Result_C (contains results for qualitative tests) and MS_Result_N (contains results for quantitative tests). The field where the result will be queried will depend on the RAWCODETYPE value.</p> <p><b>Note 3:</b> Ranges cannot be specified with hyphens. Must use ":".</p> <p><b>Defined by:</b> Requester  Input type: Required for laboratory results  <b>Format:</b> Alphanumeric;  <b>Example 1:</b> RawLabResult=20:50  <b>Example 2:</b> RawLabResult=POSITIVE</p>

**o) Most Frequent Utilization File**

Optional file to request most frequent utilization assessment. Specifications in this file apply to all GROUPS in a single execution of the program. A single row in this table represents a unique combination of ANALYSISNUM, CODECAT, and CODETYPE. This file is available to specify for all Types of analysis and reference a previously defined index date. Table 32 contains detailed specifications for this file. If lab codes are requested, lab date will be assigned as the first non missing value in the order of lab date, result date, order date.

**Table 32. MFUFILE Specifications**

Parameter	Field Name	Description
Analysis Number	ANALYSISNUM	<p><b>Details:</b> numeric indicator to define a unique analysis. A unique analysis can have multiple rows in this file if multiple combinations of CODECAT/CODETYPE are requested.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 1</p>
Number of Codes to Return	TOPXX	<p><b>Details:</b> number of codes to return in output, across all specified code types.</p> <p>For example, if TOPXX = 50, the program will return the most frequent 50 codes observed during the MFUFROM - MFUTO period across <u>all</u> of code types specified in CODECAT/CODETYPE</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 50</p>
Evaluation Period Start	MFUFROM	<p><b>Details:</b> used in combination with MFUTO (below). MFUFROM defines the start of the evaluation period to identify most frequent codes, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and MFUFROM is set to -7, the algorithm will start looking for codes on 01/01/2009.</p> <p><b>Note 1:</b> members must be enrolled for the duration of the MFUFROM – Index Date period.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> -7</p>

Parameter	Field Name	Description
Evaluation Period End	MFUTO	<p><b>Details:</b> used in combination with MFUFROM (above). MFUTO defines the end of the evaluation period to identify most frequent codes, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and MFUTO is set to 20, the algorithm will look for codes until 01/28/2009.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> 20</p>
Code Category	CODECAT	<p><b>Details:</b> type of each code category value included in the CODETYPE field (below) of this file.</p> <p>Valid values include:</p> <ul style="list-style-type: none"> <li>• <b>RX:</b> NDC</li> <li>• <b>DX:</b> Diagnosis code</li> <li>• <b>PX:</b> Procedure code</li> <li>• <b>LB:</b> Lab code</li> </ul> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$2.  <b>Example:</b> DX</p>
Code Type	CODETYPE	<p><b>Details:</b> type of each code value included in the CODE field (below) of this file. Valid values include:</p> <p><u>If CODECAT = RX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> 9 digits NDC</li> <li>• <b>11:</b> 11 digits NDC</li> </ul> <p><u>If CODECAT = DX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> ICD-9-CM</li> <li>• <b>10:</b> ICD-10-CM</li> <li>• <b>11:</b> ICD-11-CM</li> <li>• <b>OT:</b> Other</li> </ul> <p><u>If CODECAT = PX:</u></p> <ul style="list-style-type: none"> <li>• <b>09:</b> ICD-9-CM</li> <li>• <b>10:</b> ICD-10-CM</li> <li>• <b>11:</b> ICD-11-CM</li> <li>• <b>C4:</b> CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HC:</b> HCPCS (<i>i.e.</i>, HCPCS Level II)</li> <li>• <b>H3:</b> HCPCS Level III</li> </ul>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>C2:</b> CPT Category II</li> <li>• <b>C3:</b> CPT Category III</li> <li>• <b>ND:</b> 11-digit NDC</li> <li>• <b>RE:</b> Revenue</li> <li>• <b>LO:</b> Local homegrown</li> <li>• <b>OT:</b> Other</li> </ul> <p><u>If CODECAT = LB:</u></p> <ul style="list-style-type: none"> <li>• <b>01N:</b> extract quantitative lab test result using SOC-defined lab code (see Lab Code Lookup Table)</li> <li>• <b>02N:</b> extract quantitative lab test result using LOINC</li> <li>• <b>'px'N:</b> extract quantitative lab test result using the following codes               <ul style="list-style-type: none"> <li>• <b>09N:</b> ICD-9-CM</li> <li>• <b>10N:</b> ICD-10-CM</li> <li>• <b>11N:</b> ICD-11-CM</li> <li>• <b>C4N:</b> CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HCN:</b> HCPCS (<i>i.e.</i>, HCPCS Level II)</li> <li>• <b>H3N:</b> HCPCS Level III</li> <li>• <b>C2N:</b> CPT Category II</li> <li>• <b>C3N:</b> CPT Category III</li> <li>• <b>NDN:</b> 11-digit NDC</li> <li>• <b>REN:</b> Revenue</li> <li>• <b>LON:</b> Local homegrown</li> </ul> </li> <li>• <b>01C:</b> extract qualitative lab test result using SOC-defined lab code (see Lab Code Lookup Table)</li> <li>• <b>02C:</b> extract qualitative lab test result using LOINC</li> <li>• <b>'px'C:</b> extract qualitative lab test result using the following codes               <ul style="list-style-type: none"> <li>• <b>09C:</b> ICD-9-CM</li> <li>• <b>10C:</b> ICD-10-CM</li> <li>• <b>11C:</b> ICD-11-CM</li> <li>• <b>C4C:</b> CPT-4 (<i>i.e.</i>, HCPCS Level I)</li> <li>• <b>HCC:</b> HCPCS (<i>i.e.</i>, HCPCS Level II)</li> <li>• <b>H3C:</b> HCPCS Level III</li> <li>• <b>C2C:</b> CPT Category II</li> <li>• <b>C3C:</b> CPT Category III</li> <li>• <b>NDC:</b> 11-digit NDC</li> <li>• <b>REC:</b> Revenue</li> </ul> </li> </ul>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• <b>LOC:</b> Local homegrown</li> </ul> <p><b>Note 1:</b> as the LOINC field is not populated by all Data Partners in the SCDM Laboratory Result table and the CPT code may not be specific to a particular lab test, it is strongly recommended that the Laboratory Result table be queried using SOC-defined lab codes.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$3.  <b>Example:</b> 09</p>
Care Setting and Diagnosis Position Requirements	CARESETTINGPRINCIPAL	<p><b>Details:</b> defines the care setting and principal diagnosis position requirements for each CODETYPE requested. This field uses combination(s) of the SCDM variables care setting (ENCTYPE) and principal discharge diagnosis flag (PDX) to restrict the observance of codes to those in the requested care settings and with the requested diagnosis position. If no restrictions are required (e.g., requester wants all care settings and any value of PDX), leave the field blank. The following are valid entries; all entries must be in single quotes and separated by a space:</p> <ul style="list-style-type: none"> <li>• <b>IPP:</b> inpatient hospital stays, principal diagnoses</li> <li>• <b>IPS:</b> inpatient hospital stays, secondary diagnoses</li> <li>• <b>IPX:</b> inpatient hospital stays, unclassified diagnoses</li> <li>• <b>ISP:</b> non-acute institutional stays, principal diagnoses</li> <li>• <b>ISS:</b> non-acute institutional stays, secondary diagnoses</li> <li>• <b>ISX:</b> non-acute institutional stays, unclassified diagnoses</li> <li>• <b>ED*:</b> emergency department encounters</li> <li>• <b>AV*:</b> ambulatory visits</li> <li>• <b>OA*:</b> other ambulatory visits</li> </ul> <p><b>Request Programmer Note 1:</b> the wildcard symbol (*) can be used to represent “any” values of either care setting or principal discharge diagnosis flag. For example, CARESETTINGPRINCIPAL = ‘IP*’ will restrict codes to those observed in the inpatient setting</p>

Parameter	Field Name	Description
		<p>irrespective of the principal diagnosis flag value. CARESETTINGPRINCIPAL = '**P' will restrict diagnosis codes to those in the principal position, irrespective of the care setting.</p> <p><b>Request Programmer Note 2:</b> care setting and principal discharge diagnosis flag is not relevant for RX code types. For these code types leave this field blank.</p> <p><b>Request Programmer Note 3:</b> the principal discharge diagnosis flag is only relevant for diagnosis codes. Procedure code types should use the * wildcard for the third digit of the CARESETTINGPRINCIPAL value.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional; Default: blank (<i>i.e.</i>, no restrictions)  <b>Format:</b> Alphanumeric</p>
Counting Method	COUNTMETHOD	<p><b>Details:</b> Indicates if the top most frequent codes are output or of the largest number of patients are output.</p> <p>Allowable values:  C: the most frequent utilization output file produced is sorted by code counts  P: the most frequent utilization output file produced is sorted by patient counts</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required; Default: C  <b>Format:</b> Alphanumeric</p>

**p) Create Report File**

Optional file to request a PDF report produced following the execution of CIDA. This file defines the parameters to customize the report. Table 33 contains detailed specifications for this file for a Type 1 and Type 2 Report.

**Table 33: CREATEREPORT\_FILE Specifications**

Parameter	Field Name	Description
Request ID	REQUESTID	<p><b>Details:</b> Workplan/Request ID for report. Used to name output files.</p> <p><b>Note 1:</b> REQUESTID should be &lt; 23 characters</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (23)  <b>Example:</b> cber_mpl1p_wp001</p>
<u>Name of SAS input file to define groups</u>	GROUPS_TABLE	<p><b>Details:</b> Input file defining groups to include in report, group headers, group labels, and group order</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (30)  <b>Example:</b> report_groups_info</p>
<u>Name of SAS input file to define report columns</u>	COLUMNS_TABLE	<p><b>Details:</b> Input file defining columns to include in report and customized column headers</p> <p><b>Note 1:</b> Input file is optional. Only need to include if either 1) requesting the inclusion of non-default columns or 2) modifying the column header for either default or non-default columns</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (30)  <b>Example:</b> report_columns_info</p>
Indicator for request type	TYPEFILE	<p><b>Details:</b> Designates whether request is a type 1 or type 2 request.</p> <p><b>Note 1:</b> Enter 1 for type 1 and 2 for type 2</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Num (1)  <b>Example:</b> 1</p>
Name(s) of request	ALLTYPEFILES	<p><b>Details:</b> Name of typefile used in request package.</p>



Parameter	Field Name	Description
package typefile input file		<p>Multiple typefile should be separated by space.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (50)  <b>Example:</b> wp001_type2file wp002_type2file wp003_type2file</p>
Name of request package monitoring input file	MONITORINGFILE	<p><b>Details:</b> Name of monitoringfile used in request package</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (30)  <b>Example:</b> wp001_monitoring</p>
Name of request package cohort input file	COHORTFILE	<p><b>Details:</b> Name of cohortfile used in request package</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (30)  <b>Example:</b> wp001_cohort</p>
Name to insert in title template	CUSTOMTITLE	<p><b>Details:</b> Customize report table titles by including request specific information in the table title. Standard title format is: "Summary of &lt;customtitle&gt; in the Sentinel Distributed Database between &lt;start follow-up&gt; and &lt;end follow-up&gt;.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (50)  <b>Example:</b> incident beta-blocker users</p>
Columns to exclude	EXCLUDE	<p><b>Details:</b> List of default columns to exclude from report.</p> <p><b>Note 1:</b> Columns are identified using the following numbering scheme:</p> <ol style="list-style-type: none"> <li>0. Do not exclude any columns</li> <li>1. Exclude NPTS</li> <li>2. Exclude EPISODES</li> <li>3. Exclude ADJUSTEDCODECOUNT</li> <li>4. Exclude RAWCODECOUNT</li> <li>5. Exclude DAYSUPP</li> <li>6. Exclude AMTSUPP</li> <li>7. Exclude EPS_WEVENTS</li> <li>8. Exclude ALL_EVENTS</li> <li>9. Exclude TTE</li> </ol>

Parameter	Field Name	Description
		<p>10. Exclude TTE/365.25            11. Exclude DENNUMPTS            12. Exclude DENNUMMEMDAYS            13. Exclude DENNUMMEMDAYS/365.25</p> <p><b>Note 2:</b> Excluded column number should be separated by a space</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (50)  <b>Example:</b> 2 6 7</p>
Stratification levels	STRATIFY_BY_LEVEL	<p><b>Details:</b> Stratification level values to include in report.</p> <p><b>Note 1:</b> Separate stratification levels by a space</p> <p><b>Note 2:</b> Refer to output_level_key.xlsx to determine stratification-level mapping scheme</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (50)  <b>Example:</b> 000 002 003</p>
Zip code lookup file	ZIPFILE	<p><b>Details:</b> If stratifying results by 3-digit zip code, include the name of the zip lookup file in order to map 3-digit zip code to state</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (15)  <b>Example:</b> zip_lkp</p>
Labels for age groupings to display	AGEGROUPEFMT	<p><b>Details:</b> Specify how age groups should be displayed, if age-group stratified output is produced.</p> <p><b>Note 1:</b> For example, if “00-01” is the age group in CIDA and “&lt; 1 year” is the desired display, the parameter should be entered as: %let agegroupfmt = “00-01” = “&lt;1 year”</p> <p><b>Note 2:</b> Repeat above for multiple entries. For example: %let agegroupfmt = “00-01” = “&lt;1 year” “01-02” = “1-2 years”;</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional</p>

Parameter	Field Name	Description
		<b>Format:</b> Char (100) <b>Example:</b> "00-01" = "<1 year" "01-02" = "1-2 years"
File name for report logo	LOGO	<b>Details:</b> Specify file name (including extension) for logo to display in report.  <b>Note 1:</b> To ensure correct formatting, logo should be a JPG  <b>Note 2:</b> If blank, no logo will be displayed  <b>Named by:</b> Requester <b>Input type:</b> Optional <b>Format:</b> Char (30) <b>Example:</b> Sentinel_logo.jpg
Produce baseline table	OUTPUT_BASELINETABLE	<b>Details:</b> Y/N indicator to produce baseline table.  <b>Named by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Char (1) <b>Example:</b> Y
Query Period Start Identifier	LOOK_START	<b>Details:</b> Identifies at what time period the report should begin outputting results  <b>Note 1:</b> Only applicable to baseline table  <b>Named by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Num (1) <b>Example:</b> 1
Query Period End Identifier	LOOK_END	<b>Details:</b> Identifies at what time period the report should end outputting results  <b>Note 1:</b> Only applicable to baseline table  <b>Named by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Num (1) <b>Example:</b> 1
Output CDF plot	OUTPUT_CDF_KM	<b>Details:</b> Y/N indicator to produce CDF plot (reasons for censor) and KM plot (time to event).  <b>Note 1:</b> If OUTPUT_CDF_KM = Y, then the censor dataset must be returned to the MSOC  <b>Named by:</b> Requester

Parameter	Field Name	Description
		<b>Input type:</b> Required <b>Format:</b> Char (1) <b>Example:</b> N
Title of CDF plot	CDF_TITLE1	<b>Details:</b> Display title for CDF plot. Title will automatically include each group label.  <b>Note 1:</b> If left blank, title will default to: Time to Censor <group label>  <b>Named by:</b> Requester <b>Input type:</b> Optional <b>Format:</b> Char (50) <b>Example:</b> Follow-up time to censor
Title 1 of KM Plot	KM_TITLE1	<b>Details:</b> Display title 1 for KM plot. All groups will appear on the same plot.  <b>Note 1:</b> If left blank, title will default to: Time to Event  <b>Named by:</b> Requester <b>Input type:</b> Optional <b>Format:</b> Char (50) <b>Example:</b> Days to Seizure
Title 2 of KM Plot	KM_TITLE2	<b>Details:</b> Optional title 2 for KM plot. Will display underneath title 1.  <b>Note 1:</b> If left blank, only KM_TITLE1 will be displayed  <b>Named by:</b> Requester <b>Input type:</b> Optional <b>Format:</b> Char (50) <b>Example:</b> for individuals with prior MI
Footnote 1 for CDF plot	CDF_FOOTNOTE1	<b>Details:</b> Optional footnote to add to CDF plot  <b>Note 1:</b> If left blank, default footnote is: "A single episode may contribute to multiple categories if a patient was censored due to multiple criteria on the same day"  <b>Named by:</b> Requester <b>Input type:</b> Optional <b>Format:</b> Char (50) <b>Example:</b> Individuals were censored at the earliest of: 1) end of episode, 2) death, 3) end of enrollment
Footnote 2 for CDF plot	CDF_FOOTNOTE2	<b>Details:</b> Optional footnote 2 to add to CDF plot

Parameter	Field Name	Description
		<p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (50)  <b>Example:</b> Individuals were censored at the earliest of:            1) end of episode, 2) death, 3) end of enrollment</p>
Footnote 1 for KM plot	KM_FOOTNOTE1	<p><b>Details:</b> Optional footnote 1 to add to KM plot</p> <p><b>Note 1:</b> If not specified, no footnote will be displayed</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (50)  <b>Example:</b> Seizures were considered only in the inpatient setting</p>
Footnote 2 for KM plot	KM_FOOTNOTE2	<p><b>Details: Details:</b> Optional footnote 1 to add to KM plot</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (50)  <b>Example:</b> Seizures were considered only in the inpatient setting</p>
Minimum X axis value for CDF plot	CDF_XMIN	<p><b>Details:</b> Requester can optionally specify the minimum x-axis value on the CDF plot</p> <p><b>Note 1:</b> If CDF_XMIN is specified, CDF_XMAX and CDF_XTICK must also be specified. IF CDF_XMIN is blank, CDF_XMAX and CDF_XTICK must also be blank</p> <p><b>Note 2:</b> Default minimum x-axis value is 0</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 50</p>
Maximum X axis value for CDF plot	CDF_XMAX	<p><b>Details:</b> Requester can optionally specify the maximum x-axis value on the CDF plot</p> <p><b>Note 1:</b> If CDF_XMAX is specified, CDF_XMIN and CDF_XTICK must also be specified. IF CDF_XMAX is blank, CDF_XMIN and CDF_XTICK must also be blank</p> <p><b>Note 2:</b> Default maximum x-axis value is the max of data</p> <p><b>Named by:</b> Requester</p>

Parameter	Field Name	Description
		<p><b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 100</p>
X-axis tick marks for CDF plot	CDF_XTICK	<p><b>Details:</b> Requester can optionally specify the distance between x-axis tick marks on the CDF plot</p> <p><b>Note 1:</b> If CDF_XTICK is specified, CDF_XMIN and CDF_XMAX must also be specified. IF CDF_XTICK is blank, CDF_XMIN and CDF_XMAX must also be blank</p> <p><b>Note 2:</b> Default tick value is determined by SAS</p> <p><b>Note 3:</b> If CDF_XMIN = 0, CDF_XMAX = 200, and CDF_XTICK = 50, this will create x-axis tick values of (0 50 100 150 200)</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 20</p>
Minimum X axis value for KM plot	KM_XMIN	<p><b>Details:</b> Requester can optionally specify the minimum x-axis value on the KM plot</p> <p><b>Note 1:</b> If KM_XMIN is specified, KM_XMAX and KM_XTICK must also be specified. IF KM_XMIN is blank, KM_XMAX and KM_XTICK must also be blank</p> <p><b>Note 2:</b> Default minimum x-axis value is 0</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 50</p>
Maximum X axis value for KM plot	KM_XMAX	<p><b>Details:</b> Requester can optionally specify the maximum x-axis value on the KM plot</p> <p><b>Note 1:</b> If KM_XMAX is specified, KM_XMIN and KM_XTICK must also be specified. IF KM_XMAX is blank, KM_XMIN and KM_XTICK must also be blank</p> <p><b>Note 2:</b> Default maximum x-axis value is the max of data</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional</p>

Parameter	Field Name	Description
		<p><b>Format:</b> Num (8)  <b>Example:</b> 100</p>
X-axis tick marks for KM plot	KM_XTICK	<p><b>Details:</b> Requester can optionally specify the distance between x-axis tick marks on the KM plot</p> <p><b>Note 1:</b> If KM_XTICK is specified, KM_XMIN and KM_XMAX must also be specified. IF KM_XTICK is blank, KM_XMIN and KM_XMAX must also be blank</p> <p><b>Note 2:</b> Default tick value is determined by SAS</p> <p><b>Note 3:</b> If KM_XMIN = 0, KM_XMAX = 200, and KM_XTICK = 50, this will create x-axis tick values of (0 50 100 150 200)</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 20</p>
Minimum Y axis value for CDF plot	CDF_YMIN	<p><b>Details:</b> Requester can optionally specify the minimum y-axis value on the CDF plot</p> <p><b>Note 1:</b> If CDF_YMIN is specified, CDF_YMAX and CDF_YTICK must also be specified. IF CDF_YMIN is blank, CDF_YMAX and CDF_YTICK must also be blank</p> <p><b>Note 2:</b> Default minimum y-axis value is 0</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 0.80</p>
Maximum Y axis value for CDF plot	CDF_YMAX	<p><b>Details:</b> Requester can optionally specify the maximum y-axis value on the CDF plot</p> <p><b>Note 1:</b> If CDF_YMAX is specified, CDF_YMIN and CDF_YTICK must also be specified. IF CDF_YMAX is blank, CDF_YMIN and CDF_YTICK must also be blank</p> <p><b>Note 2:</b> Default maximum y-axis value is 1</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 0.90</p>

Parameter	Field Name	Description
Y-axis tick marks for CDF plot	CDF_YTICK	<p><b>Details:</b> Requester can optionally specify the distance between y-axis tick marks on the CDF plot</p> <p><b>Note 1:</b> If CDF_YTICK is specified, CDF_YMIN and CDF_YMAX must also be specified. IF CDF_YTICK is blank, CDF_YMIN and CDF_YMAX must also be blank</p> <p><b>Note 2:</b> Default tick value is determined by SAS</p> <p><b>Note 3:</b> If CDF_YMIN = .5, CDF_YMAX = 1, and CDF_YTICK = .1, this will create x-axis tick values of (.5 .6 .7 .8 .9 1)</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> .1</p>
Minimum Y axis value for KM plot	KM_YMIN	<p><b>Details:</b> Requester can optionally specify the minimum y-axis value on the KM plot</p> <p><b>Note 1:</b> If KM_YMIN is specified, KM_YMAX and KM_YTICK must also be specified. IF KM_YMIN is blank, KM_YMAX and KM_YTICK must also be blank</p> <p><b>Note 2:</b> Default minimum y-axis value is 0</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 0.80</p>
Maximum Y axis value for KM plot	KM_YMAX	<p><b>Details:</b> Requester can optionally specify the maximum y-axis value on the KM plot</p> <p><b>Note 1:</b> If KM_YMAX is specified, KM_YMIN and KM_YTICK must also be specified. IF KM_YMAX is blank, KM_YMIN and KM_YTICK must also be blank</p> <p><b>Note 2:</b> Default maximum y-axis value is 1</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 0.90</p>
Y-axis tick marks for KM plot	KM_YTICK	<p><b>Details:</b> Requester can optionally specify the distance between y-axis tick marks on the KM plot</p>



Parameter	Field Name	Description
		<p><b>Note 1:</b> If KM_YTICK is specified, KM_YMIN and KM_YMAX must also be specified. IF KM_YTICK is blank, KM_YMIN and KM_YMAX must also be blank</p> <p><b>Note 2:</b> Default tick value is determined by SAS</p> <p><b>Note 3:</b> If KM_XMIN = .5, KM_XMAX = 1, and KM_XTICK = .1, this will create x-axis tick values of (.5 .6 .7 .8 .9 1)</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> .1</p>
Minimum X axis value for time to censor KM plot	KM_EP_XMIN	<p><b>Details:</b> Requester can optionally specify the minimum x-axis value on the time to censor KM plot</p> <p><b>Note 1:</b> KM_EP_XMIN is specified, KM_EP_XMAX and KM_EP_XTICK must also be specified. IF KM_EP_XMIN is blank, KM_EP_XMAX and KM_EP_XTICK must also be blank</p> <p><b>Note 2:</b> Default minimum x-axis value is 0</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 50</p>
Maximum X axis value for time to censor KM plot	KM_EP_XMAX	<p><b>Details:</b> Requester can optionally specify the maximum x-axis value on the time to censor KM plot</p> <p><b>Note 1:</b> If KM_EP_XMAX is specified, KM_EP_XMIN and KM_EP_XTICK must also be specified. IF KM_EP_XMAX is blank, KM_EP_XMIN and KM_EP_XTICK must also be blank</p> <p><b>Note 2:</b> Default maximum x-axis value is the max of data</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 100</p>
X-axis tick marks for	KM_EP_XTICK	<p><b>Details:</b> Requester can optionally specify the distance</p>

Parameter	Field Name	Description
time to censor KM plot		<p>between x-axis tick marks on the time to censor KM plot</p> <p><b>Note 1:</b> If KM_EP_XTICK is specified, KM_EP_XMIN and KM_EP_XMAX must also be specified. IF KM_EP_XTICK is blank, KM_EP_XMIN and KM_EP_XMAX must also be blank</p> <p><b>Note 2:</b> Default tick value is determined by SAS</p> <p><b>Note 3:</b> If KM_EP_XMIN = 0, KM_EP_XMAX = 200, and KM_EP_XTICK = 50, this will create x-axis tick values of (0 50 100 150 200)</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 20</p>
Minimum Y axis value for time to censor KM plot	KM_EP_YMIN	<p><b>Details:</b> Requester can optionally specify the minimum y-axis value on the time to censor KM plot</p> <p><b>Note 1:</b> If KM_EP_YMIN is specified, KM_EP_YMAX and KM_EP_YTICK must also be specified. IF KM_EP_YMIN is blank, KM_EP_YMAX and KM_EP_YTICK must also be blank</p> <p><b>Note 2:</b> Default minimum y-axis value is 0</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> 0.80</p>
Maximum Y axis value for time to censor KM plot	KM_EP_YMAX	<p><b>Details:</b> Requester can optionally specify the maximum y-axis value on the time to censor KM plot</p> <p><b>Note 1:</b> If KM_EP_YMAX is specified, KM_EP_YMIN and KM_EP_YTICK must also be specified. IF KM_EP_YMAX is blank, KM_EP_YMIN and KM_EP_YTICK must also be blank</p> <p><b>Note 2:</b> Default maximum y-axis value is 1</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)</p>

Parameter	Field Name	Description
		<b>Example:</b> 0.90
Y-axis tick marks for time to censor KM plot	KM_EP_YTICK	<p><b>Details:</b> Requester can optionally specify the distance between y-axis tick marks on the time to censor KM plot</p> <p><b>Note 1:</b> If KM_EP_YTICK is specified, KM_EP_YMIN and KM_EP_YMAX must also be specified. IF KM_EP_YTICK is blank, KM_EP_YMIN and KM_EP_YMAX must also be blank</p> <p><b>Note 2:</b> Default tick value is determined by SAS</p> <p><b>Note 3:</b> If KM_EP_XMIN = .5, KM_EP_XMAX = 1, and KM_EP_XTICK = .1, this will create x-axis tick values of (.5 .6 .7 .8 .9 1)</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Num (8)  <b>Example:</b> .1</p>
Censoring criteria to display on CDF plot	CENSORING_DISPLAY	<p><b>Details:</b> List of censoring criteria to display on CDF plot</p> <p><b>Note 1:</b> If left blank, all censoring criteria will be displayed</p> <p><b>Note 2:</b> Separate censoring criteria by a space</p> <p><b>Note 3:</b> Current options for censoring are: 1) cens_elig, 2) cens_dth, 3) cens_dpend, 4) cens_qryend, 5) cens_episend, 6) cens_spec, and 7) cens_event</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (40)  <b>Example:</b> cens_elig cens_dth cens_dpend cens_event</p>
Label for eligibility censoring	CENS_ELIG	<p><b>Details:</b> Label to display for individuals censored due to end of enrollment</p> <p><b>Note 1:</b> If blank, default label is "Disenrollment"</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (20)  <b>Example:</b> End of Enrollment</p>

Parameter	Field Name	Description
Label for death censoring	CENS_DTH	<p><b>Details:</b> Label to display for individuals censored due to death</p> <p><b>Note 1:</b> If blank, default label is “Evidence of Death”</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (20)  <b>Example:</b> Death</p>
Label for end of data partner data censoring	CENS_DPEND	<p><b>Details:</b> Label to display for individuals censored due to end of available data partner data</p> <p><b>Note 1:</b> If blank, default label is “End of Data Partner Data”</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (20)  <b>Example:</b> End of available data</p>
Label for end of query period censoring	CENS_QRYEND	<p><b>Details:</b> Label to display for individuals censored due to end of query period</p> <p><b>Note 1:</b> If blank, default label is “End of Query Period”</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (20)  <b>Example:</b> End of query period (9/30/2015)</p>
Label for end of treatment episode censoring	CENS_EPISODE	<p><b>Details:</b> Label to display for individuals censored due to end of treatment episode</p> <p><b>Note 1:</b> If blank, default label is “End of Exposure Episode”</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (20)  <b>Example:</b> End of beta-blocker treatment</p>
Label for requester-specified censoring criteria	CENS_SPEC	<p><b>Details:</b> Label to display for individuals censored due requester-specified episode truncation criteria</p> <p><b>Note 1:</b> If blank, default label is “Occurrence of request-defined censoring criteria”</p> <p><b>Named by:</b> Requester</p>

Parameter	Field Name	Description
		<b>Input type:</b> Optional <b>Format:</b> Char (20) <b>Example:</b> Evidence of stroke
Label for event censoring	CENS_EVENT	<b>Details:</b> Label to display for individuals censored due to occurrence of event  <b>Note 1:</b> If blank, default label is “Occurrence of Event”  <b>Named by:</b> Requester <b>Input type:</b> Optional <b>Format:</b> Char (20) <b>Example:</b> Event of interest
Display number of episodes	DISPLAYN	<b>Details:</b> Y/N indicator to display number of episodes within CDF/KM plots  <b>Named by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Char (1) <b>Example:</b> N
Spacing between table rows	LINE_SPACING	<b>Details:</b> Defines the amount of white space between table rows. This parameter can be modified to increase or decrease white space, primarily to prevent orphan rows  <b>Note 1:</b> Set to 1.75 as default  <b>Named by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Num (8) <b>Example:</b> 1.75

### q) GROUPS\_TABLE

Required file to request a Type 1 and Type 2 PDF report produced following the execution of CIDA. This file defines the scenarios to include in the report, and to customize each scenario. Table 34 contains detailed specifications for this file.

**Table 34: GROUPS\_TABLE Specifications**

Parameter	Field Name	Description
Label to group multiple groups	HEADER	<p><b>Details:</b> Label to group multiple groups under a header</p> <p><b>Note 1:</b> If left blank, all groups will be displayed together with no header</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (60)  <b>Example:</b> With 183 day washout</p>
Name of group to include in report	GROUP1	<p><b>Details:</b> Group name to include in report</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (30)  <b>Example:</b> beta_blocker</p>
RunID which contains group name from GROUP1	RUNID1	<p><b>Details:</b> runID assigned to the run that corresponds to the group name listed in GROUP1</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Char (10)  <b>Example:</b> r01</p>
Name of group to combine with group1	GROUP2	<p><b>Details:</b> If a combined group is being produced, list the second group name</p> <p><b>Note 1:</b> Leave blank if a combined group is being created</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (30)  <b>Example:</b> beta_blocker_wexl</p>
RunID which contains group name from GROUP2	RUNID2	<p><b>Details:</b> runID assigned to the run that corresponds to the group name listed in GROUP2</p> <p><b>Note 1:</b> Leave blank if GROUP2 is blank. Required if GROUP2 is specified</p>

Parameter	Field Name	Description
		<p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (10)  <b>Example:</b> r02</p>
Group name to assign to combined group	COMBINEDGROUPNAME	<p><b>Details:</b> Custom group name for new combined groups.</p> <p><b>Note 1:</b> If scenario only consists of 1 group (i.e. group2 and runid2 are blank), COMBINEDGROUPNAME should be blank</p> <p><b>Note 2:</b> This parameter is assigned by the requester to distinguish groups during program execution. It is not the display name for the group.</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (50)  <b>Example:</b> Beta_blocker_lisinopril</p>
Group label to display	GROUPLABEL	<p><b>Details:</b> Display name for group in report</p> <p><b>Note 1:</b> If blank, raw group name (i.e. GROUP1 name) will be displayed in report</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (50)  <b>Example:</b> Beta Blockers</p>
Group display order	ORDER	<p><b>Details:</b> Order to display groups in report. All groups should receive a unique order value</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Num (8)  <b>Example:</b> 1</p>
Covariates to display under History of Use	HISTORYOFUSE	<p><b>Details:</b> To include a history of use section in the baseline table, include a list of covariates to include in this section, separated by a comma.</p> <p><b>Note 1:</b> By default, all covariates will be grouped under 'Recorded History of' in the output and all utilization vars will be excluded.</p> <p><b>Note 2:</b> If specified, only covariates specifically</p>

Parameter	Field Name	Description
		<p>listed in HISTORYOFUSE, RECORDEDHISTORY, and UTILIZATIONINTENSITY will be displayed</p> <p><b>Note 3:</b> Can use 'dash' notation (i.e. COVAR1-COVAR9)</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (50)  <b>Example:</b> covar1, covar1, covar3</p>
Covariates to display under Recorded History	RECORDEDHISTORY	<p><b>Details:</b> Include a list of covariates to include under 'Recorded History of'</p> <p><b>Note 1:</b> By default, all covariates will be grouped under 'Recorded History of' in the output and all utilization vars will be excluded.</p> <p><b>Note 2:</b> If specified, only covariates specifically listed in HISTORYOFUSE, RECORDEDHISTORY, and UTILIZATIONINTENSITY will be displayed</p> <p><b>Note 3:</b> Can use 'dash' notation (i.e. COVAR1-COVAR9)</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Char (50)  <b>Example:</b> covar1, covar1, covar3</p>
Covariates to display under Utilization History	UTILIZATIONINTENSITY	<p><b>Details:</b> To include a utilization history section in the baseline table, include a list of covariates to include in this section, separated by a comma.</p> <p><b>Note 1:</b> By default, all covariates will be grouped under 'Recorded History of' in the output and all utilization vars will be excluded.</p> <p><b>Note 2:</b> If specified, only covariates specifically listed in HISTORYOFUSE, RECORDEDHISTORY, and UTILIZATIONINTENSITY will be displayed</p> <p><b>Note 3:</b> Can use 'dash' notation (i.e. COVAR1-COVAR9)</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional</p>



Parameter	Field Name	Description
		<b>Format:</b> Char (50) <b>Example:</b> numav, numip, numed, numoa, numis, numclass, numgeneric, numrx
Covariates to italicize in baseline table	HIGHLIGHT_VARS	<b>Details:</b> List of covariates, utilization, and characteristic variables to italicize in baseline table, separated by a space  <b>Named by:</b> Requester <b>Input type:</b> Optional <b>Format:</b> Char (60) <b>Example:</b> covar1 covar2 covar25
Covariate sort order	ALPHABETICAL_COVARSORT	<b>Details:</b> Y/N indicator to determine whether to sort covariates by alphabetical order (Y) or covarnum (N)  <b>Named by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Char (1) <b>Example:</b> Y
Baseline table group label	BASELINELABEL	<b>Details:</b> Group-specific label for baseline table.  <b>Note 1:</b> If blank, GROUPLABEL will be used in baseline table.  <b>Named by:</b> Requester <b>Input type:</b> Optional <b>Format:</b> Char (50) <b>Example:</b> Beta Blockers

### r) COLUMNS\_TABLE

Optional file to include when requesting a Type 1 and Type 2 PDF report produced following the execution of CIDA. This file defines the summary columns and customizes column headers in the report. Table 35 contains detailed specifications for this file.

**Table 35: COLUMNS\_TABLE Specifications**

Parameter	Field Name	Description
Standardized column notation	VARIABLE	<b>Details:</b> Standardized name for report column <b>Named by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Char (60) <b>Example:</b> DaySupp/Npts
Column Header	DESCRIPTION	<b>Details:</b> Display header for VARIABLE <b>Named by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Char (30) <b>Example:</b> Day Supply per Patient

## B. OUTPUT

### 1. MSOC Folder

The CIDA tool generates output to the MSOC folder based on the type of analysis specified.

#### **Background Rate Calculations (Type 1 Analyses)**

This analysis generates up to six output tables:

- [RUNID]\_baseline\_[PERIODID].sas7bdat
- [RUNID]\_t1\_cida.sas7bdat
- [RUNID]\_signature.sas7bdat
- [RUNID]\_attrition.sas7bdat
- [RUNID]\_MFU.sas7bdat (if MFU analysis is requested)
- [RUNID]\_profile\_[PERIODID] (if covariate profile is requested)

If reason for censoring eligibility output is specified, the analysis generates an additional table:

- [RUNID]\_censor\_CIDA.sas7bdat

If the output for index code distribution is specified, the analysis generates two additional tables:

- [RUNID]\_distindex.sas7bdat
- [RUNID]\_distindexmap.sas7bdat

Where RUNID is the request programmer-defined execution identifier (defined in main macro parameters) and PERIODID is the time period under analysis specified in the [Monitoring File](#).

#### **Exposures and Follow-up (Type 2 Analyses)**

This analysis generates up to eight output tables:

- [RUNID]\_baseline\_[PERIODID].sas7bdat
- [RUNID]\_t2\_cida.sas7bdat
- [RUNID]\_signature.sas7bdat
- [RUNID]\_attrition.sas7bdat
- [RUNID]\_MFU.sas7bdat (if MFU analysis is requested)
- [RUNID]\_profile\_[PERIODID] (if covariate profile is requested)

Where RUNID is the request programmer-defined execution identifier (defined in main macro parameters) and PERIODID is the time period under analysis specified in the [Monitoring File](#).

If reason for censoring follow-up time output is specified, the analysis generates an additional table:

- [RUNID]\_censor\_CIDA.sas7bdat

If the output for index code distribution is specified, the analysis generates two additional tables:

- [RUNID]\_distindex.sas7bdat
- [RUNID]\_distindexmap.sas7bdat

### **Self-controlled Risk Interval Design (Type 3 Analyses)**

This analysis generates up to ten output tables:

- [RUNID]\_baseline\_[PERIODID].sas7bdat
- [RUNID]\_baseline\_anal\_[PERIODID].sas7bdat (if baseline table is requested)
- [RUNID]\_baseline\_anal\_censor\_[PERIODID].sas7bdat (if baseline table is requested)
- [RUNID]\_t3\_cida.sas7bdat
- [RUNID]\_signature.sas7bdat
- [RUNID]\_attrition.sas7bdat
- [RUNID]\_metadata\_for\_time\_period\_[PERIODID].sas7bdat
- [RUNID]\_MFU.sas7bdat (if MFU analysis is requested)
- [RUNID]\_MFU\_anal.sas7bdat (if MFU analysis is requested)
- [RUNID]\_MFU\_anal\_censor.sas7bdat (if MFU analysis is requested)
- [RUNID]\_profile\_[PERIODID] (if covariate profile is requested)
- [RUNID]\_profile\_anal\_[PERIODID] (if covariate profile is requested)
- [RUNID]\_profile\_anal\_censor\_[PERIODID] (if covariate profile is requested)

If the output for index code distribution is specified, the analysis generates two additional tables:

- [RUNID]\_distindex.sas7bdat
- [RUNID]\_distindexmap.sas7bdat

Where RUNID is the request programmer-defined execution identifier (defined in main macro parameters) and PERIODID and the time period under analysis specified in the [Monitoring File](#).

### **Pregnancy Episodes and Medical Product Use (Type 4 Analyses)**

This analysis generates up to eight output tables:

- [RUNID]\_baseline\_[PERIODID].sas7bdat
- [RUNID]\_baseline\_ctrl\_[PERIODID].sas7bdat
- [RUNID]\_signature.sas7bdat
- [RUNID]\_t4\_cida\_elig.sas7bdat
- [RUNID]\_t4\_cida\_treat.sas7bdat
- [RUNID]\_t4\_cida\_ctrl.sas7bdat
- [RUNID]\_MFU.sas7bdat (if MFU analysis is requested)
- [RUNID]\_profile\_[PERIODID] (if covariate profile is requested)
- [RUNID]\_profile\_ctrl\_[PERIODID] (if covariate profile is requested)

If the output for index code distribution is specified, the analysis generates two additional tables:

- [RUNID]\_distindex.sas7bdat
- [RUNID]\_distindexmap.sas7bdat

Where RUNID is the request programmer-defined execution identifier (defined in main macro parameters).

### **Medical Product Utilization (Type 5 Analyses)**

This analysis generates up to eleven output tables:

- [RUNID]\_baseline\_[PERIODID].sas7bdat (if baseline table is requested)
- [RUNID]\_t5\_cida\_disp\_by\_daysupp.sas7bdat
- [RUNID]\_t5\_cida\_firststeps
- [RUNID]\_t5\_cida\_alleps
- [RUNID]\_t5\_cida\_episdur.sas7bdat
- [RUNID]\_t5\_cida\_episdur\_censor.sas7bdat
- [RUNID]\_t5\_cida\_gaps.sas7bdat
- [RUNID]\_signature.sas7bdat
- [RUNID]\_attrition.sas7bdat
- [RUNID]\_MFU.sas7bdat (if MFU analysis is requested)
- [RUNID]\_profile\_[PERIODID] (if covariate profile is requested)

If the output for index code distribution is specified, the analysis generates two additional tables:

- [RUNID]\_distindex.sas7bdat
- [RUNID]\_distindexmap.sas7bdat

Where RUNID is the request programmer-defined execution identifier (defined in main macro parameters) and PERIODID and the time period under analysis specified in the Monitoring File.

Note: Output will only be generated for requested COVERAGE types – it is not necessary to produce output for three different coverage combinations.

All output tables for all types of analyses are described below.

#### a) [RUNID]\_T1\_CIDA.sas7bdat

The [RUNID]\_T1\_CIDA output table includes the number of individuals, index dates, dispensings, dispensing days supplied, dispensing amount supplied, eligible members and eligible member days. All metrics are reported overall and stratified by age group, sex, year, and year-month. Table 36 contains specifications for the [RUNID]\_T1\_CIDA output table.

**Table 36. [RUNID]\_T1\_CIDA Output**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values: 000 overall 001 year 002 sex 003 agegroup 004 sex*agegroup 005 sex*agegroup*year

Variable	Description
	006 sex*agegroup*year*month
	007 agegroup*year
	008 agegroup*year*month
	009 sex*year
	010 sex*year*month
	011 year* month
	020 zip3
	021 zip3*zip_uncertain
	022 zip3*sex
	023 zip3*sex*zip_uncertain
	024 zip3*agegroup
	025 zip3*agegroup*zip_uncertain
	026 zip3*year
	027 zip3*year*zip_uncertain
	028 zip3*race
	029 zip3*race*zip_uncertain
	030 zip3*hispanic
	031 zip3*hispanic*zip_uncertain
	040 state
	041 state*zip_uncertain
	042 state*sex
	043 state*sex*zip_uncertain
	044 state*agegroup
	045 state*agegroup*zip_uncertain
	046 state*year
	047 state*year*zip_uncertain
	048 state*race
	049 state*race*zip_uncertain
	050 state*hispanic
	051 state*hispanic*zip_uncertain
	060 zip_uncertain
	061 zip_uncertain*sex
	062 zip_uncertain*agegroup
	063 zip_uncertain*year
	070 hhs_reg
	071 hhs_reg*zip_uncertain
	072 hhs_reg*sex
	073 hhs_reg*sex*zip_uncertain
	074 hhs_reg*agegroup
	075 hhs_reg*agegroup*zip_uncertain
	076 hhs_reg*year
	077 hhs_reg*year*zip_uncertain
	078 hhs_reg*race
	079 hhs_reg*race*zip_uncertain
	080 hhs_reg*hispanic
	081 hhs_reg*hispanic*zip_uncertain

Variable	Description
	090 cb_reg 091 cb_reg*zip_uncertain 092 cb_reg*sex 093 cb_reg *sex*zip_uncertain 094 cb_reg*agegroup 095 cb_reg*agegroup*zip_uncertain 096 cb_reg*year 097 cb_reg*year*zip_uncertain 098 cb_reg*race 099 cb_reg*race*zip_uncertain 100 cb_reg*hispanic 101 cb_reg*hispanic*zip_uncertain 110 race 111 race*sex 112 race*agegroup 113 race*year 114 race*year*month 115 hispanic 116 hispanic*sex 117 hispanic*agegroup 118 hispanic*year 119 hispanic*year*month  <b>Format:</b> Character(3)
<b>SEX</b>	Sex. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>RACE</b>	Race. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>HISPANIC</b>	A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>AGEGROUP</b>	Age Groups. Categories are requester-defined.  <b>Format:</b> Character(variable)
AGEGROUPNUM	Numeric identifier of each AGEGROUP value.  <b>Format:</b> Numeric
<b>YEAR</b>	Year of index date.  <b>Format:</b> Numeric
<b>MONTH</b>	Month of index date.

Variable	Description
	<b>Format:</b> Numeric
ZIP3	3-digit ZIP code. <b>Format:</b> Numeric
STATE	2-digit State code. <b>Format:</b> Character(2)
HHS_REG	2-digit Health and Human Services region code. <b>Format:</b> Character(2)
CB_REG	Census Bureau regions. <b>Format:</b> Character(7)
ZIP_UNCERTAIN	Y / N variable.  Y: zip date occurred after the index date N: zip date occurred before the index date <b>Format:</b> Character(1)
NPTS	Number of patients. <b>Format:</b> Numeric
EPISODES	Number of index date defining records. <b>Format:</b> Numeric
ADJUSTEDCODECOUNT	Number of dispensings used to define index date defining records. <b>Note 1:</b> When multiple dispensings occur on the same day with same STOCKGROUP, this will be counted as 1 dispensing. <b>Format:</b> Numeric
RAWCODECOUNT	Number of dispensings used to define index date defining records. <b>Note 1:</b> When multiple dispensings occur on the same day with same STOCKGROUP, each dispensing will be counted. <b>Format:</b> Numeric
DAYSUPP	Total days supply associated with dispensings. <b>Format:</b> Numeric
AMTSUPP	Total amount supplied associated with dispensings. <b>Format:</b> Numeric
EPS_WEVENTS	Will be 0 for a Type 1 analysis.



Variable	Description
	<b>Format:</b> Numeric
ALL_EVENTS	Will be 0 for a Type 1 analysis. <b>Format:</b> Numeric
TTE	Will be 0 for a Type 1 analysis. <b>Format:</b> Numeric
DENNUMPTS	Number of patients eligible to have at least one index date. <b>Format:</b> Numeric
DENNUMMEMDAYS	Number of days that patients are eligible to have an index date. <b>Format:</b> Numeric

**b) [RUNID]\_censor\_CIDA.sas7bdat (Type 1 Analysis)**

The [RUNID]\_censor\_CIDA output table includes information on the number of episodes reason for censoring eligibility for every day of follow-up. Table 37 contains specifications for the [RUNID]\_censor\_CIDA output table.

**Table 37. [RUNID]\_censor\_CIDA Output**

Variable	Description
GROUP	Cohort name. <b>Format:</b> Character (30)
LEVEL	Stratification identifier. Each unique combination of strata (i.e., variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values: 700 Censor days 701 Censor categories 702 Censor categories*year 703 Censor categories*agegroup 704 Censor categories*sex <b>Format:</b> Character (3)
CENSDAYS_VALUE	Stratification variable. Represents number of days from index date to censoring for the following four reasons: <ul style="list-style-type: none"> <li>• End of query period</li> <li>• End of Data Partner (DP) data</li> <li>• Disenrollment</li> <li>• Evidence of death</li> </ul>

Variable	Description
	<p>Calculated as “censor date” – indexdt + 1 (episodes censored on indexdt have CENSDAYS_VALUE = 1).</p> <p>Format: Numeric (8)</p>
SEX	<p>Sex. Allowable values are “M” (Male), “F” (Female) and “O” (Other).</p> <p><b>Format:</b> Character (1)</p>
AGEGROUP	<p>Age Groups. Categories are requester-defined.</p> <p><b>Format:</b> Character(variable)</p>
YEAR	<p>Year of index date.</p> <p><b>Format:</b> Numeric</p>
CENSOR_OUTPUT_CAT	<p>Stratification variable. Categorizes CENSDAYS_VALUE variable in user-defined ranges.</p> <p>Format: Character (10)</p>
EPISODES	<p>Number of episodes by CENSDAYS_VALUE.</p> <p><b>Format:</b> Numeric (8)</p>
CENS_ELIG	<p>Number of episodes censored due to disenrollment.</p> <p><b>Format:</b> Numeric (8)</p>
CENS_DTH	<p>Number of episodes censored due to evidence of death.</p> <p><b>Format:</b> Numeric (8)</p>
CENS_DPEND	<p>Number of episodes censored due to DP data end date (based on DP_MaxDate in common components).</p> <p><b>Format:</b> Numeric (8)</p>
CENS_QRYEND	<p>Number of episodes censored due to query end date.</p> <p><b>Format:</b> Numeric (8)</p>

c) [RUNID]\_T2\_CIDA.sas7bdat

The [RUNID]\_T2\_CIDA output table includes the number of individuals, exposure episodes, dispensings, dispensing days supplied, dispensing amount supplied, HOIs, days at-risk, eligible members and eligible member days. All metrics are reported overall and stratified by age group, sex, year, and year-month. Table 38 contains specifications for the [RUNID]\_T2\_CIDA output table.

**Table 38.[RUNID]\_T2\_CIDA Output**

Variable	Description
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Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values:  000 overall 001 year 002 sex 003 agegroup 004 sex*agegroup 005 sex*agegroup*year 006 sex*agegroup*year*month 007 agegroup*year 008 agegroup*year*month 009 sex*year 010 sex*year*month 011 year* month 020 zip3 021 zip3*zip_uncertain 022 zip3*sex 023 zip3*sex*zip_uncertain 024 zip3*agegroup 025 zip3*agegroup*zip_uncertain 026 zip3*year 027 zip3*year*zip_uncertain 028 zip3*race 029 zip3*race*zip_uncertain 030 zip3*hispanic 031 zip3*hispanic*zip_uncertain 040 state 041 state*zip_uncertain 042 state*sex 043 state*sex*zip_uncertain 044 state*agegroup 045 state*agegroup*zip_uncertain 046 state*year 047 state*year*zip_uncertain 048 state*race 049 state*race*zip_uncertain 050 state*hispanic 051 state*hispanic*zip_uncertain

Variable	Description
	060 zip_uncertain 061 zip_uncertain*sex 062 zip_uncertain*agegroup 063 zip_uncertain*year 070 hhs_reg 071 hhs_reg*zip_uncertain 072 hhs_reg*sex 073 hhs_reg*sex*zip_uncertain 074 hhs_reg*agegroup 075 hhs_reg*agegroup*zip_uncertain 076 hhs_reg*year 077 hhs_reg*year*zip_uncertain 078 hhs_reg*race 079 hhs_reg*race*zip_uncertain 080 hhs_reg*hispanic 081 hhs_reg*hispanic*zip_uncertain 090 cb_reg 091 cb_reg*zip_uncertain 092 cb_reg*sex 093 cb_reg *sex*zip_uncertain 094 cb_reg*agegroup 095 cb_reg*agegroup*zip_uncertain 096 cb_reg*year 097 cb_reg*year*zip_uncertain 098 cb_reg*race 099 cb_reg*race*zip_uncertain 100 cb_reg*hispanic 101 cb_reg*hispanic*zip_uncertain 110 race 111 race*sex 112 race*agegroup 113 race*year 114 race*year*month 115 hispanic 116 hispanic*sex 117 hispanic*agegroup 118 hispanic*year 119 hispanic*year*month  <b>Format:</b> Character(3)
<b>SEX</b>	Sex. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>RACE</b>	Race. Allowable values are those in the SCDM.

Variable	Description
	<b>Format:</b> Character(1)
<b>HISPANIC</b>	A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. Allowable values are those in the SCDM. <b>Format:</b> Character(1)
<b>AGEGROUP</b>	Age Groups. Categories are requester-defined. <b>Format:</b> Character(variable)
<b>AGEGROUPNUM</b>	Numeric identifier of each AGEGROUP value. <b>Format:</b> Numeric
<b>YEAR</b>	Year of index date. <b>Format:</b> Numeric
<b>MONTH</b>	Month of index date. <b>Format:</b> Numeric
<b>ZIP3</b>	3-digit ZIP code. <b>Format:</b> Numeric
<b>STATE</b>	2-digit State code. <b>Format:</b> Character(2)
<b>HHS_REG</b>	2-digit Health and Human Services region code. <b>Format:</b> Character(2)
<b>CB_REG</b>	Census Bureau regions. <b>Format:</b> Character(7)
<b>ZIP_UNCERTAIN</b>	Y / N variable.  Y: zip date occurred after the index date N: zip date occurred before the index date <b>Format:</b> Character(1)
<b>NPTS</b>	Number of patients. <b>Format:</b> Numeric

Variable	Description
EPISODES	<p>Number of exposure episodes.</p> <p><b>Format:</b> Numeric</p>
ADJUSTEDCODECOUNT	<p>Number of dispensings used to define index date defining records.</p> <p><b>Note 1:</b> When multiple dispensings occur on the same day with same STOCKGROUP, this will be counted as 1 dispensing. This count will equal the count of the DISPENSINGS metric in prior QRP versions.</p> <p><b>Format:</b> Numeric</p>
RAWCODECOUNT	<p>Number of dispensings used to define index date defining records.</p> <p><b>Note 1:</b> When multiple dispensings occur on the same day with same STOCKGROUP, each dispensing will be counted.</p> <p><b>Format:</b> Numeric</p>
DAYSUPP	<p>Total days supplied for outpatient pharmacy dispensings used to build the exposure episode. For requester-defined follow-up time (i.e., when exposure episodes are <i>not</i> created using dispensing days supply), this value will always be populated with the RxSup value associated with the dispensing that defined the index date.</p> <p><b>Note 1:</b> Value will always be =0 for never-exposed cohort.</p> <p><b>Format:</b> Numeric</p>
AMTSUPP	<p>Total amount supplied for outpatient pharmacy dispensings used to build the exposure episode. For requester-defined follow-up time (i.e., when exposure episodes are <i>not</i> created using dispensing days supply), this value will always be populated with the RxAmt value associated with the dispensing that defined the index date.</p> <p><b>Note 1:</b> Value will always be =0 for never-exposed cohort.</p> <p><b>Format:</b> Numeric</p>
EPS_WEVENTS	<p>Number of exposure episodes with an HOI.</p> <p><b>Format:</b> Numeric</p>
ALL_EVENTS	<p>Total number of HOIs in all exposure episodes. <b>For characterization purposes only.</b> Days-at-risk stop accumulating after the first HOI during an exposure episode. ALL_EVENTS/TTE should never be calculated. This variable value just reports the number of times during treatment episodes that the HOI definition was met.</p> <p><b>Format:</b> Numeric</p>

Variable	Description
TTE	Days at-risk. <b>Format:</b> Numeric
DENNUMPTS	Number of patients eligible to have at least one exposure episode. <b>Note 1:</b> For requests that will use the prospective surveillance with propensity score matched design, this will be blank. <b>Note 2:</b> Value will always be blank for never-exposed cohort. <b>Format:</b> Numeric
DENNUMMEMDAYS	Number of days that patients are eligible to have an exposure episode. <b>Note 1:</b> For requests that will use the prospective surveillance with propensity score matched design, this will be blank. <b>Note 2:</b> Value will always be blank for never-exposed cohort. <b>Format:</b> Numeric

d) [RUNID]\_censor\_CIDA.sas7bdat (Type 2 Analysis)

The [RUNID]\_censor\_CIDA output table includes information on the number of episodes, event status, and reason for censoring for every day of follow-up. Table 39 contains specifications for the [RUNID]\_censor\_CIDA output table.

**Table 39. [RUNID]\_censor\_CIDA Output**

Variable	Description
GROUP	Cohort name. <b>Format:</b> Character(30)
LEVEL	Stratification identifier. Each unique combination of strata (i.e., variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values:  700 Censor days*event status 701 Censor categories*event status 702 Censor categories*event status*year 703 Censor categories*event status*agegroup 704 Censor categories*event status*sex  <b>Format:</b> Character (3)
CENSDAYS_VALUE	Stratification variable. Represents number of days from index date to censoring for the following seven reasons: <ul style="list-style-type: none"> <li>• Occurrence of event of interest</li> <li>• End of query period</li> <li>• End of Data Partner (DP) data</li> <li>• Disenrollment</li> <li>• End of exposure episode</li> <li>• Occurrence of requester-defined censoring criteria</li> <li>• Evidence of death</li> </ul> <p>Calculated as “censor date” – indexdt + 1 (episodes censored on indexdt have CENSDAYS_VALUE = 1).</p> <b>Format:</b> Numeric (8)
EVENT_FLAG	Stratification variable. Identifies if CENSDAYS is determined by occurrence of an event. Allowable values:  Y: Episode was censored due to occurrence of an event (CENSDAYS_VALUE is time-to-event) N: Episode was censored due to reason other than occurrence of an event



Variable	Description
	<b>Format:</b> Character(1)
EPISODES	Number of episodes by CENSDAYS_VALUE and EVENT_FLAG. <b>Format:</b> Numeric (8)
CENSOR_OUTPUT_CAT	Stratification variable. Categorizes CENSDAYS_VALUE variable in user-defined ranges. <b>Format:</b> Character (10)
SEX	Sex. Allowable values are “M” (Male), “F” (Female) and “O” (Other). <b>Format:</b> Character (1)
AGEGROUP	Age Groups. Categories are requester-defined. <b>Format:</b> Character(variable)
YEAR	Year of index date. <b>Format:</b> Numeric
CENS_ELIG	Number of episodes censored due to disenrollment. <b>Format:</b> Numeric (8)
CENS_DTH	Number of episodes censored due to evidence of death. <b>Format:</b> Numeric (8)
CENS_DPEND	Number of episodes censored due to DP data end date (based on DP_MaxDate in common components). <b>Format:</b> Numeric (8)
CENS_QRYEND	Number of episodes censored due to query end date. <b>Format:</b> Numeric (8)
CENS_EPISEND	Number of episodes censored due to episode end date. <b>Format:</b> Numeric (8)
CENS_SPEC	Number of episodes censored due to additional requester-defined criteria (e.g., censor due to occurrence of another set of clinical codes). <b>Format:</b> Numeric (8)
CENS_EVENT	Number of episodes censored due to occurrence of request-defined event <b>Format:</b> Numeric (8)

e) [RUNID]\_T3\_CIDA.sas7bdat

The [RUNID]\_T3\_CIDA output table includes the number of individuals and exposure episodes in the exposure and analytic cohorts, number of individuals and exposure episodes censored, and number of HOIs observed. All metrics are reported overall and stratified by age group, sex, year, year-month, and time-to-event (in days). Table 40 contains specifications for the [RUNID]\_T3\_CIDA output table.

**Table 40. [RUNID]\_T3\_CIDA Output**

Variable	Description
GROUP	Cohort name. <b>Format:</b> Character(30)
LEVEL	<p>Stratification identifier. Each unique combination of strata (i.e., variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.</p> <p>Allowable values:</p> <ul style="list-style-type: none"> <li>000 overall</li> <li>001 year</li> <li>002 sex</li> <li>003 agegroup</li> <li>004 sex*agegroup</li> <li>005 sex*agegroup*year</li> <li>006 sex*agegroup*year*month</li> <li>007 agegroup*year</li> <li>008 agegroup*year*month</li> <li>009 sex*year</li> <li>010 sex*year*month</li> <li>011 year* month</li> <li>020 zip3</li> <li>021 zip3*zip_uncertain</li> <li>022 zip3*sex</li> <li>023 zip3*sex*zip_uncertain</li> <li>024 zip3*agegroup</li> <li>025 zip3*agegroup*zip_uncertain</li> <li>026 zip3*year</li> <li>027 zip3*year*zip_uncertain</li> <li>028 zip3*race</li> <li>029 zip3*race*zip_uncertain</li> <li>030 zip3*hispanic</li> <li>031 zip3*hispanic*zip_uncertain</li> <li>040 state</li> <li>041 state*zip_uncertain</li> <li>042 state*sex</li> <li>043 state*sex*zip_uncertain</li> <li>044 state*agegroup</li> <li>045 state*agegroup*zip_uncertain</li> </ul>

Variable	Description
	046 state*year
	047 state*year*zip_uncertain
	048 state*race
	049 state*race*zip_uncertain
	050 state*hispanic
	051 state*hispanic*zip_uncertain
	060 zip_uncertain
	061 zip_uncertain*sex
	062 zip_uncertain*agegroup
	063 zip_uncertain*year
	070 hhs_reg
	071 hhs_reg*zip_uncertain
	072 hhs_reg*sex
	073 hhs_reg*sex*zip_uncertain
	074 hhs_reg*agegroup
	075 hhs_reg*agegroup*zip_uncertain
	076 hhs_reg*year
	077 hhs_reg*year*zip_uncertain
	078 hhs_reg*race
	079 hhs_reg*race*zip_uncertain
	080 hhs_reg*hispanic
	081 hhs_reg*hispanic*zip_uncertain
	090 cb_reg
	091 cb_reg*zip_uncertain
	092 cb_reg*sex
	093 cb_reg *sex*zip_uncertain
	094 cb_reg*agegroup
	095 cb_reg*agegroup*zip_uncertain
	096 cb_reg*year
	097 cb_reg*year*zip_uncertain
	098 cb_reg*race
	099 cb_reg*race*zip_uncertain
	100 cb_reg*hispanic
	101 cb_reg*hispanic*zip_uncertain
	120 overall - censor
	121 year - censor
	122 sex - censor
	123 agegroup - censor
	124 sex*agegroup - censor
	125 sex*agegroup*year - censor
	126 sex*agegroup*year*month - censor
	127 agegroup*year - censor
	128 agegroup*year*month - censor
	129 sex*year - censor
	130 sex*year*month - censor
	131 year* month - censor

Variable	Description
	132 zip3 - censor
	133 zip3*zip_uncertain - censor
	134 zip3*sex - censor
	135 zip3*sex*zip_uncertain - censor
	136 zip3*agegroup - censor
	137 zip3*agegroup*zip_uncertain - censor
	138 zip3*year - censor
	139 zip3*year*zip_uncertain - censor
	140 zip3*race - censor
	141 zip3*race*zip_uncertain - censor
	142 zip3*hispanic - censor
	143 zip3*hispanic*zip_uncertain - censor
	144 state - censor
	145 state*zip_uncertain - censor
	146 state*sex - censor
	147 state*sex*zip_uncertain - censor
	148 state*agegroup - censor
	149 state*agegroup*zip_uncertain - censor
	150 state*year - censor
	151 state*year*zip_uncertain - censor
	152 state*race - censor
	153 state*race*zip_uncertain - censor
	154 state*hispanic - censor
	155 state*hispanic*zip_uncertain - censor
	156 zip_uncertain - censor
	157 zip_uncertain*sex - censor
	158 zip_uncertain*agegroup - censor
	159 zip_uncertain*year - censor
	160 hhs_reg - censor
	161 hhs_reg*zip_uncertain - censor
	162 hhs_reg*sex - censor
	163 hhs_reg*sex*zip_uncertain - censor
	164 hhs_reg*agegroup - censor
	165 hhs_reg*agegroup*zip_uncertain - censor
	166 hhs_reg*year - censor
	167 hhs_reg*year*zip_uncertain - censor
	168 hhs_reg*race - censor
	169 hhs_reg*race*zip_uncertain - censor
	170 hhs_reg*hispanic - censor
	171 hhs_reg*hispanic*zip_uncertain - censor
	172 cb_reg - censor
	173 cb_reg*zip_uncertain - censor
	174 cb_reg*sex - censor
	175 cb_reg*sex*zip_uncertain - censor
	176 cb_reg*agegroup - censor
	177 cb_reg*agegroup*zip_uncertain - censor

Variable	Description
	178 cb_reg*year - censor
	179 cb_reg*year*zip_uncertain - censor
	180 cb_reg*race - censor
	181 cb_reg*race*zip_uncertain - censor
	182 cb_reg*hispanic - censor
	183 cb_reg*hispanic*zip_uncertain - censor
	401 year*TTE
	402 sex*TTE
	403 agegroup*TTE
	404 sex*agegroup*TTE
	405 sex*agegroup*year*TTE
	406 sex*agegroup*year*month*TTE
	407 agegroup*year*TTE
	408 agegroup*year*month*TTE
	409 sex*year*TTE
	410 sex*year*month*TTE
	411 year* month*TTE
	412 TTE
	413 race*sex
	414 race*agegroup
	415 race*year
	416 race*year*month
	417 race*TTE
	418 race*sex*TTE
	419 race*agegroup*TTE
	420 race*year*TTE
	421 hispanic*sex
	422 hispanic*agegroup
	423 hispanic*year
	424 hispanic*year*month
	425 hispanic*TTE
	426 hispanic*sex*TTE
	427 hispanic*agegroup*TTE
	428 hispanic*year*TTE
	441 year*TTE - censor
	442 sex*TTE - censor
	443 agegroup*TTE - ceAnsor
	444 sex*agegroup*TTE - censor
	445 sex*agegroup*year*TTE - censor
	446 sex*agegroup*year*month*TTE - censor
	447 agegroup*year*TTE - censor
	448 agegroup*year*month*TTE - censor
	449 sex*year*TTE - censor
	450 sex*year*month*TTE - censor
	451 year* month*TTE - censor
	452 TTE - censor

Variable	Description
	453 race*sex - censor 454 race*agegroup - censor 455 race*year - censor 456 race*year*month - censor 457 race*TTE - censor 458 race*sex*TTE - censor 459 race*agegroup*TTE - censor 460 race*year*TTE - censor 461 hispanic*sex - censor 462 hispanic*agegroup - censor 463 hispanic*year - censor 464 hispanic*year*month - censor 465 hispanic*TTE - censor 466 hispanic*sex*TTE - censor 467 hispanic*agegroup*TTE - censor 468 hispanic*year*TTE - censor 901 year*TTE*TTC 902 sex*TTE*TTC 903 agegroup*TTE*TTC 904 sex*agegroup*TTE*TTC 905 sex*agegroup*year*TTE*TTC 906 sex*agegroup*year*month*TTE*TTC 907 agegroup*year*TTE*TTC 908 agegroup*year*month*TTE*TTC 909 sex*year*TTE*TTC 910 sex*year*month*TTE*TTC 911 year*month*TTE*TTC 912 TTE*TTC 913 TTC 914 race*TTC*TTE 915 hispanic*TTC*TTE  <b>Format:</b> Character(3)
<b>SEX</b>	Stratification variable. Sex. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>RACE</b>	Race. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>HISPANIC</b>	A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>AGEGROUP</b>	Stratification variable. Age Groups. Categories are requester-defined.

Variable	Description
	<b>Format:</b> Character(variable)
<b>AGEGROUPNUM</b>	Stratification variable. Numeric identifier of each AGEGROUP value.  <b>Format:</b> Numeric
<b>YEAR</b>	Stratification variable. Year of index date.  <b>Format:</b> Numeric
<b>MONTH</b>	Stratification variable. Month of index date.  <b>Format:</b> Numeric
<b>TTE_VALUE</b>	Stratification variable. All available time to event values (e.g., -2 -1, 0, 1, 2, 3, etc.). Blank TTE_VALUE may be used to characterize patients in the exposure cohort only. If an HOI is observed on the day of exposure, TTE=0 (i.e., exposure date is day 0).  <b>Format:</b> Numeric
<b>TTC_VALUE</b>	Stratification variable. All available time to censor values (e.g., 0, 1, 2, 3, etc.). Blank TTC_VALUE may be used to characterize patients in the exposure cohort only. If the last day of follow-up is the index date, TTC_VALUE=0.  <b>Format:</b> Numeric
<b>ZIP3</b>	3-digit ZIP code.  <b>Format:</b> Numeric
<b>STATE</b>	2-digit State code.  <b>Format:</b> Character(2)
<b>HHS_REG</b>	2-digit Health and Human Services region code.  <b>Format:</b> Character(2)
<b>CB_REG</b>	Census Bureau regions.  <b>Format:</b> Character(7)
<b>ZIP_UNCERTAIN</b>	Y / N variable.  Y: zip date occurred after the index date N: zip date occurred before the index date  <b>Format:</b> Character(1)
<b>NPTS_EXPOSURE</b>	Number of patients identified in the exposure cohort.  <b>Format:</b> Numeric
<b>EPISODES_EXPOSURE</b>	Number of index dates (exposure episodes) identified for all members in the exposure cohort. Relevant for requests that allow more than one

Variable	Description
	<p>exposure episode per patient.</p> <p><b>Format:</b> Numeric</p>
NPTS_CENSOR_ELIG	<p>Number of patients excluded from the analysis cohort due to insufficient post-exposure continuous enrollment during the risk and control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
NPTS_CENSOR_DTH	<p>Number of patients excluded from the analysis cohort due to evidence of death during the risk or control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
NPTS_CENSOR_NOEVENTS	<p>Number of patients excluded from the analysis cohort due to no identified events during either the risk or control windows.</p> <p><b>Format:</b> Numeric</p>
EPISODES_CENSOR_ELIG	<p>Number of exposure episodes excluded from the analysis cohort due to insufficient post-exposure continuous enrollment during the risk and control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
EPISODES_CENSOR_DTH	<p>Number of exposure episodes excluded from the analysis cohort due to evidence of death during the risk or control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
EPISODES_CENSOR_NOEVENTS	<p>Number of exposure episodes excluded from the analysis cohort due to no identified events during either the risk or control windows.</p> <p><b>Format:</b> Numeric</p>
NPTS_ANALYSIS	<p>Number of patients identified in the analytic cohort.</p> <p><b>Format:</b> Numeric</p>
EPISODES_ANALYSIS	<p>Number of index dates (exposure episodes) identified for all members in the analytic cohort. Relevant for requests that allow more than one exposure episode per patient.</p> <p><b>Note 1:</b> EPISODES_ANALYSIS=EVENTS_ANALYSIS_RISK + EVENTS_ANALYSIS_CTRL</p>



Variable	Description
	<p><b>Note 2:</b> EPISODES_ANALYSIS= EPISODES_EXPOSURE – EPISODES_CENSOR_ELIG– EPISODES_CENSOR_DTH – EPISODES_CENSOR_NOEVENTS</p> <p><b>Format:</b> Numeric</p>
EVENTS_ANALYSIS_RISK	<p>Number of events identified in the risk window for patients in the analytic cohort.</p> <p><b>Format:</b> Numeric</p>
EVENTS_ANALYSIS_CTRL	<p>Number of events identified in the control window for patients in the analytic cohort.</p> <p><b>Format:</b> Numeric</p>
MINDAYS_EVENT_ANALYSIS	<p>The minimum number of days from exposure to event (event date – exposure date +1 for post-exposure events; exposure date- event date +1 for pre-exposure events). Relevant for members of the analytic cohort only.</p> <p><b>Format:</b> Numeric</p>
MAXDAYS_EVENT_ANALYSIS	<p>The maximum number of days from exposure to event (event date – exposure date +1 for post-exposure events; exposure date- event date +1 for pre-exposure events). Relevant for members of the analytic cohort only.</p> <p><b>Format:</b> Numeric</p>
MINDAYS_POSTENR_EXPOSURE	<p>The minimum number of days of post-exposure enrollment for the exposure cohort (enrollment end – exposure date).</p> <p><b>Format:</b> Numeric</p>
MAXDAYS_POSTENR_EXPOSURE	<p>The maximum number of days of post-exposure enrollment for the exposure cohort (enrollment end – exposure date).</p> <p><b>Format:</b> Numeric</p>

**f) [RUNID]\_baseline\_[PERIODID].sas7bdat**

The [RUNID]\_baseline\_[PERIODID] output table includes metrics for cohorts of interest during a “baseline” period - a user-defined time period before the index date. The table includes information on comorbidities present, age group, sex, and medical and drug utilization metrics. This output file may be generated for Types 1-5 analyses if specified by the ANALYSIS parameter in the run\_programs.sas input file.

This output table is structured to output one observation per GROUP. All metrics within a GROUP are calculated based on number of episodes and, depending on the specification of T#COHORTDEF values in the [Type 1 File](#) or [Type 2 File](#) or [Type 3 File](#) or [Type 4 File](#) or [Type 5 File](#), an individual may contribute more than one episode to each GROUP. For each GROUP in Types 1-4 this output table includes the number of episodes *and* number of unique patients, to determine the extent of patient multiple-episode

contribution. For Type 5, the baseline period is at the patient level (variable PATIENT from Table 41 below would equal variable N\_EPISODES).

In addition to patients potentially contributing more than one episode within a GROUP, it is also possible that a single patient can contribute to multiple GROUPS.

Table 41 contains specifications for the [RUNID] \_baseline\_[PERIODID] output table.

When a baseline table is requested for Type 4 analysis, covariate is not assessed for continuous enrollment. As with exclusion criteria, the requester would need to ensure continuous enrollment during any pre-index or post-delivery period assessing covariates.

**Table 41. [RUNID] \_baseline\_[PERIODID] Output**

Variable	Description
GROUP	Standardized name used to differentiate cohorts.  <b>Format:</b> Character(30)
PATIENT	Number of unique patients.  <b>Format:</b> Numeric(8)
N_EPISODES	Number of episodes.  <b>Format:</b> Numeric(8)
AGE_XX	For each age group specified in run_programs.sas, the number of patient-episodes classified in that age category.  <b>Format:</b> Numeric(8)
SEX_X	For each sex, the number of patient-episodes identified.  <b>Format:</b> Numeric(8)
RACE_X	For each race, the number of patient-episodes identified.  <b>Format:</b> Numeric(8)
HISPANIC_X	For each Hispanic value, the number of patient-episodes identified.  <b>Format:</b> Numeric(8)
YEAR_X	For each year, the number of patient-episodes identified.  <b>Format:</b> Numeric(8)
COVAR_X	For each covariate specified in the <u>Covariate Codes File</u> , the number of patient-episodes identified.  <b>Format:</b> Numeric(8)
MEAN_AGE	Mean age.  <b>Format:</b> Numeric(8)
STD_AGE	Standard deviation of age.

Variable	Description
	<b>Format:</b> Numeric(8)
MEAN_COMORBIDSCORE	Mean comorbidity score (blank if <u>Comorbidity Score File</u> not specified).  <b>Format:</b> Numeric(8)
STD_COMORBIDSCORE	Standard deviation of comorbidity score (blank if <u>Comorbidity Score File</u> not specified).  <b>Format:</b> Numeric(8)
MEAN_NUMAV	Mean number of AV visits (blank if <u>Utilization File</u> not specified).  <b>Format:</b> Numeric(8)
MEAN_NUMOA	Mean number of OA visits (blank if <u>Utilization File</u> not specified).  <b>Format:</b> Numeric(8)
MEAN_NUMIP	Mean number of IP visits (blank if <u>Utilization File</u> not specified).  <b>Format:</b> Numeric(8)
MEAN_NUMIS	Mean number of IS visits (blank if <u>Utilization File</u> not specified).  <b>Format:</b> Numeric(8)
MEAN_NUMED	Mean number of ED visits (blank if <u>Utilization File</u> not specified).  <b>Format:</b> Numeric(8)
MEAN_NUMGENERIC	Mean number of unique generics dispensed (value is set to 0 if <u>Utilization File</u> not specified).  <b>Format:</b> Numeric(8)
MEAN_NUMCLASS	Mean number of unique drug classes dispensed (value is set to 0 if <u>Utilization File</u> not specified).  <b>Format:</b> Numeric(8)
MEAN_NUMRX	Mean number of outpatient pharmacy dispensings (value is set to 0 if <u>Utilization File</u> not specified).  <b>Format:</b> Numeric(8)
STD_NUMAV	Standard deviation of AV visits (value is set to 0 if <u>Utilization File</u> not specified).  <b>Format:</b> Numeric(8)
STD_NUMOA	Standard deviation of OA visits (value is set to 0 if <u>Utilization File</u> not specified).  <b>Format:</b> Numeric(8)
STD_NUMIP	Standard deviation of IP visits (blank if <u>Utilization File</u> not specified).

Variable	Description
	<b>Format:</b> Numeric(8)
STD_NUMIS	Standard deviation of IS visits (blank if <u>Utilization File</u> not specified). <b>Format:</b> Numeric(8)
STD_NUMED	Standard deviation of ED visits (blank if <u>Utilization File</u> not specified). <b>Format:</b> Numeric(8)
STD_NUMGENERIC	Standard deviation of unique generics dispensed (value is set to 0 if <u>Utilization File</u> not specified). <b>Format:</b> Numeric(8)
STD_NUMCLASS	Standard deviation of unique drug classes dispensed (value is set to 0 if <u>Utilization File</u> not specified). <b>Format:</b> Numeric(8)
STD_NUMRX	Standard deviation of outpatient pharmacy dispensings (value is set to 0 if <u>Utilization File</u> not specified). <b>Format:</b> Numeric(8)

**g) [RUNID]\_signature.sas7bdat**

The [RUNID]\_signature output table contains metadata associated with the request, including request identifiers, program identifiers, database version, and run time metrics. Table 42 contains specifications for the [RUNID]\_signature output table.

**Table 42. [RUNID]\_signature Output**

Variable	Description
VAR	Metric name. <b>Format:</b> Character(15)
VALUE	Metric value. <b>Format:</b> Character(200)

#### h) [RUNID]\_attrition.sas7bdat

The [RUNID] \_attrition output table includes the number individuals excluded and remaining at each cohort creation criterion application during the CIDA tool execution. Table 43 contains specifications for the [RUNID] \_attrition output table. For requests that will use the prospective surveillance with propensity score matched design, attrition table values for levels 1-19 will be based on the current look's ETL.

**Table 43. [RUNID] \_attrition Output**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
LEVEL	Criterion identifier.  <b>Format:</b> Numeric
DESCR	Criterion description.  <b>Format:</b> Character(500)
REMAINING	Number of individuals remaining after previous exclusion criterion.  <b>Format:</b> Numeric
EXCLUDED	Number of individuals excluded by the exclusion criterion.  <b>Format:</b> Numeric

#### i) [RUNID]\_MFU.sas7bdat

This output table includes most frequent utilization assessment, which enables requesters retrieve the top XX NDCs, diagnoses, and procedures before and/or after the index date for any Type of analysis in QRP (1-5).

To evaluate the TOP XX codes, the program will look during a requester-defined period relative to index date and count the number of instances of all codes for requested code categories (CODECAT). The top XX codes across all CODECAT requested will be included in the output metrics (e.g., if the Top 50 ICD-9-CM diagnosis, ICD-9-CM procedure, and 9-digit NDCs are requested, the top 50 codes will include a mix of these code types and be selected based on frequency of occurrence).

Codes evaluated will not be pre-processed; meaning, codes evaluated for MFU analyses will not be stockpiled or processed by the envelope macro. Output metrics will report what is observed in the Sentinel Distributed Database (SDD). See Table 44 below for a temple of this output.

**Table 44. [RUNID]\_MFU Output**

Parameter	Field Name	Description
Cohort Name	GROUP	Unique cohort name.
Code Category	CODECAT	CODECAT value associated with identified CODE.
Code Type	CODETYPE	CODETYPE value associated with identified CODE.
Code	CODE	CODE (with no decimal places)
Code Count	CODECOUNT	Count of codes observed during window.
Patient Count	PATCOUNT	Count of patients with identified code during window.

**j) [RUNID]\_metadata\_for\_time\_period\_#.sas7bdat**

The [RUNID]\_metadata\_for\_time\_period\_# output table is generated for Type 3 requests only. It includes request-specific metadata necessary for the conduct of surveillance activities. For each GROUP value, the output table includes the surveillance start date, exposure assessment period start and end dates, and the requester-defined data completeness date. This information can be used by subsequent executions of the program to ensure mutually exclusive but contiguous exposure assessment periods (e.g., if Look 1 has an exposure assessment period end date of 11/30/2014, Look 2 will need to have an exposure assessment period start date of 12/1/2014).

For example, executing the program package for Look 1 will generate an output file [RUNID]\_metadata\_for\_time\_period\_1 to the *msoc* folder. When the Look 2 package is distribute to partners for execution, the [RUNID]\_metadata\_for\_time\_period\_1 will be included in the *inputfiles* folder for reference by the program. After execution, a new output table ([RUNID]\_metadata\_for\_time\_period\_2) will be output to the *msoc* folder for use in the execution of the Look 3 package. Table 45 contains specifications for the [RUNID]\_metadata\_for\_time\_period\_# output table.

**Table 45. [RUNID]\_metadata\_for\_time\_period\_# Output**

Variable	Description
MSREQID	Unique request identifier. A concatenation of MSPROJID, MSWPTYPE, MSWPID, MSDPID, MSVERID.  <b>Format:</b> Character(variable)
RUNID	Unique run (execution) identifier.  <b>Format:</b> Character(3)
GROUP	Cohort name.  <b>Format:</b> Character(30)
TIMEPERIOD	PERIODIDSTART and PERIODIDEND value for the Type 3 analysis.  <b>Format:</b> Numeric
T3SURVSTARTDATE	Surveillance start date.  <b>Format:</b> Numeric (date9.)
EXPPERIODSTARTDT	Exposure assessment period start date. For PERIODIDSTART = 1, date will be T3SURVSTARTDATE. For PERIODIDSTART ≥1, the value will equal the

Variable	Description
	EXPPERIODENDDT from the previous execution of the program package + 1.  <b>Format:</b> Numeric (date9.)
EXPPERIODENDDT	Exposure assessment period end date. This date is determined based on the follow-up required by a particular request.  <b>Format:</b> Numeric (date9.)
T3ENDOFUPDATE	Requester-defined Data Partner data completeness date.  <b>Format:</b> Numeric (date9.)

k) **[RUNID]\_t4\_cida\_elig.sas7bdat**

The [RUNID]\_t4\_cida\_elig output table is generated for Type 4 requests only. Table 46 contains specifications for the [RUNID]\_t4\_cida\_elig output table.

**Table 46. [RUNID]\_t4\_cida\_elig Output**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values include: <ul style="list-style-type: none"> <li>• 000: overall</li> <li>• 599: eligdays</li> <li>• 600: eligible*eligdays</li> <li>• 601: eligible*eligdays*prepostind</li> </ul> <b>Format:</b> Character(3)
ELIGIBLE	Y/N .  Y: patient meets eligibility requirements ( <i>i.e.</i> , patient is included in the master file) N: patient does not meet eligibility requirements ( <i>i.e.</i> , patient is included in alldeliveries, but not the master file)  Note: when stratification variable is not included in a LEVEL, values of ELIGIBLE will be blank.  <b>Format:</b> Character(1)
ELIGDAYS	Number of days of continuous enrollment before delivery date.

Variable	Description
PREPOSTIND	Categorical variable, with valid values PRE, POST, TERM, NONE Defined as: <ul style="list-style-type: none"> <li>• PRE (0-258 days duration)</li> <li>• TERM (259-280 days duration)</li> <li>• POST (281-301 days duration)</li> <li>• NONE (No PregDur codes)</li> </ul>
NPTS	Number of patients.  <b>Format:</b> Numeric
EPISODES	Number of exposure episodes.  <b>Format:</b> Numeric

**I) [RUNID]\_t4\_cida\_treat.sas7bdat**

The [RUNID]\_t4\_cida\_treat output table is generated for Type 4 requests only. This output captures pregnancy episodes ending in a live birth. Table 47 contains specifications for the [RUNID]\_t4\_cida\_treat output table.

**Table 47. [RUNID]\_t4\_cida\_treat Output**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
MOINAME	Value of T4_index MPn, to denote the medical exposure of interest.  Missing MOINAME represents all episodes.  <b>Format:</b> Character(10)
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values include: <ul style="list-style-type: none"> <li>• 000: overall</li> <li>• 001: year</li> <li>• 003: age group</li> <li>• 007: age group * year</li> <li>• 020: zip3</li> <li>• 021: zip3*zip_uncertain</li> <li>• 024: zip3*agegroup</li> <li>• 025: zip3*agegroup*zip_uncertain</li> <li>• 026: zip3*year</li> </ul>



Variable	Description
	<ul style="list-style-type: none"> <li>• 027: zip3*year*zip_uncertain</li> <li>• 028: zip3*race</li> <li>• 029: zip3*race*zip_uncertain</li> <li>• 030: zip3*hispanic</li> <li>• 031: zip3*hispanic*zip_uncertain</li> <li>• 040: state</li> <li>• 041: state*zip_uncertain</li> <li>• 044: state*agegroup</li> <li>• 045: state*agegroup*zip_uncertain</li> <li>• 046: state*year</li> <li>• 047: state*year*zip_uncertain</li> <li>• 048: state*race</li> <li>• 049: state*race*zip_uncertain</li> <li>• 050: state *hispanic</li> <li>• 051: state *hispanic*zip_uncertain</li> <li>• 060: zip_uncertain</li> <li>• 062: zip_uncertain*agegroup</li> <li>• 063: zip_uncertain*year</li> <li>• 070: hhs_reg</li> <li>• 071: hhs_reg*zip_uncertain</li> <li>• 074: hhs_reg*agegroup</li> <li>• 075: hhs_reg*agegroup*zip_uncertain</li> <li>• 076: hhs_reg*year</li> <li>• 077: hhs_reg*year*zip_uncertain</li> <li>• 078: hhs_reg*race</li> <li>• 079: hhs_reg*race*zip_uncertain</li> <li>• 080: hhs_reg*hispanic</li> <li>• 081: hhs_reg*hispanic*zip_uncertain</li> <li>• 090: cb_reg</li> <li>• 091: cb_reg*zip_uncertain</li> <li>• 094: cb_reg*agegroup</li> <li>• 095: cb_reg*agegroup*zip_uncertain</li> <li>• 096: cb_reg*year</li> <li>• 097: cb_reg*year*zip_uncertain</li> <li>• 098: cb_reg*race</li> <li>• 099: cb_reg*race*zip_uncertain</li> <li>• 100: cb_reg*Hispanic</li> <li>• 101: cb_reg*hispanic*zip_uncertain</li> <li>• 110: race</li> <li>• 112: race*agegroup</li> <li>• 113: race*year</li> <li>• 115: hispanic</li> <li>• 117: hispanic*agegroup</li> <li>• 118: hispanic*year</li> </ul>

Variable	Description
	<ul style="list-style-type: none"> <li>• 602: prepostind</li> <li>• 603: age group *prepostind</li> <li>• 604: year* prepostind</li> <li>• 605: age group * year* prepostind</li> <li>• 606: prepostind* pregdurcode</li> </ul> <p><b>Note 1:</b> for level 606, counts of Preterm and Postterm codes reflect the code associated with the delivery. Using Priority variable in pregdur, only the code associated with the delivery is counted in level 606.</p> <p><b>Format:</b> Character(3)</p>
<b>RACE</b>	Race. Allowable values are those in the SCDM. <p><b>Format:</b> Character(1)</p>
<b>HISPANIC</b>	A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. Allowable values are those in the SCDM. <p><b>Format:</b> Character(1)</p>
<b>AGEGROUP</b>	Age Groups. Categories are requester-defined. Age is maternal age at delivery. <p><b>Format:</b> Character(variable)</p>
AGEGROUPNUM	Numeric identifier of each AGEGROUP value. <p><b>Format:</b> Numeric</p>
<b>YEAR</b>	Year of delivery date. <p><b>Format:</b> Numeric</p>
<b>PREPOSTIND</b>	Categorical variable, with valid values PRE, POST, TERM, NONE Defined as: <ul style="list-style-type: none"> <li>• PRE (0-258 days duration)</li> <li>• TERM (259-280 days duration)</li> <li>• POST (281-301 days duration)</li> <li>• NONE (No PregDur codes)</li> </ul>
<b>PREGDURCODE</b>	Code used to define pregnancy duration.
<b>ZIP3</b>	3-digit ZIP code. <p><b>Format:</b> Numeric</p>
<b>STATE</b>	2-digit State code. <p><b>Format:</b> Character(2)</p>

Variable	Description
HHS_REG	2-digit Health and Human Services region code.  <b>Format:</b> Character(2)
CB_REG	Census Bureau regions.  <b>Format:</b> Character(7)
ZIP_UNCERTAIN	Y / N variable.  Y: zip date occurred after the index date N: zip date occurred before the index date  <b>Format:</b> Character(1)
NPTS	Number of patients.  <b>Format:</b> Numeric
EPISODES	Number of exposure episodes.  <b>Format:</b> Numeric
EPISODES_3TRIM	Number of exposure episodes with 3 trimesters.  <b>Format:</b> Numeric
USEPRE	Pregnancy episodes with product use requester-specified # of days before  <b>Format:</b> Numeric
ANYT1	Pregnancy episodes with product use during the 1st trimester. The 1st trimester is 0-90 days following pregnancy start.  <b>Format:</b> Numeric
ANYT2	Pregnancy episodes with product use during the 2nd trimester. The 2nd trimester is 91-180 days following pregnancy start.  <b>Format:</b> Numeric
ANYT3	Pregnancy episodes with product use during the 3rd trimester. The 3rd trimester is 180+ days following pregnancy start and until delivery.  <b>Format:</b> Numeric
ANYT	Pregnancy episodes with product use during any trimester  <b>Format:</b> Numeric
ONLYT1	Pregnancy episodes with product use only during the 1st trimester. The 1st trimester is 0-90 days following pregnancy start.  <b>Format:</b> Numeric

Variable	Description
ONLYT2	<p>Pregnancy episodes with product use only during the 2nd trimester. The 2nd trimester is 91-180 days following pregnancy start.</p> <p><b>Format:</b> Numeric</p>
ONLYT3	<p>Pregnancy episodes with product use only during the 3rd trimester. The 3rd trimester is 180+ days following pregnancy start and until delivery.</p> <p><b>Format:</b> Numeric</p>
ALLT	<p>Pregnancy episodes with product use in all three trimesters</p> <p><b>Format:</b> Numeric</p>
SUMUSEPRE	<p>Number of medical product use episodes in requester-specified # of days before pregnancy</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTPRE	<p>Raw counts for medical product use episode codes during the PREPREGDAYS prior to pregnancy start date. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). "Raw" counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTPRE	<p>Adjusted counts for medical product use episode codes during the PREPREGDAYS prior to pregnancy start date. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval).. "Adjusted" counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMANYT1	<p>Number of medical product use episodes in the 1st trimester. The 1st trimester is 0-90 days following pregnancy start.</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTANYT1	<p>Raw counts for medical product use episode codes during the 1<sup>st</sup> trimester. The 1st trimester is 0-90 days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). "Raw" counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>

Variable	Description
SUMADJCNTANYT1	<p>Adjusted counts for medical product use episode codes during the 1<sup>st</sup> trimester. The 1<sup>st</sup> trimester is 0-90 days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMANYT2	<p>Number of medical product use episodes in the 2<sup>nd</sup> trimester. The 2<sup>nd</sup> trimester is 91-180 days following pregnancy start.</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTANYT2	<p>Raw counts for medical product use episode codes during the 2<sup>nd</sup> trimester. The 2<sup>nd</sup> trimester is 91-180 days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval).. “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTANYT2	<p>Adjusted counts for medical product use episode codes during the 2<sup>nd</sup> trimester. The 2<sup>nd</sup> trimester is 91-180 days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval).. “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMANYT3	<p>Number of medical product use episodes in the 3<sup>rd</sup> trimester. The 3<sup>rd</sup> trimester is 180+ days following pregnancy start and until delivery.</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTANYT3	<p>Raw counts for medical product use episode codes during the 3<sup>rd</sup> trimester. The 3<sup>rd</sup> trimester is 180+ days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTANYT3	<p>Adjusted counts for medical product use episode codes during the 3<sup>rd</sup> trimester. The 3<sup>rd</sup> trimester is 180+ days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a</p>

Variable	Description
	<p>single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMANYT	<p>Number of medical product use episodes in any trimester</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTANYT	<p>Raw counts for medical product use episode codes during any trimester. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTANYT	<p>Adjusted counts for medical product use episode codes during any trimester. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMALLT	<p>Number of medical product use episodes in all three trimesters</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTALLT	<p>Raw counts for medical product use episode codes that span all three trimesters. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval).. “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTALLT	<p>Adjusted counts for medical product use episode codes that span all three trimesters. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval).. “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMONLYT1	<p>Number of medical product episodes with use only during the 1st trimester. The 1st trimester is 0-90 days following pregnancy start.</p> <p><b>Format:</b> Numeric</p>

Variable	Description
SUMRAWCNTONLYT1	<p>Raw counts for medical product use episode codes during the 1<sup>st</sup> trimester. The 1<sup>st</sup> trimester is 0-90 days following pregnancy start.</p> <p>Counts codes for medical product use episodes that occur in the 1<sup>st</sup> trimester only. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTONLYT1	<p>Adjusted counts for medical product use episode codes during the 1<sup>st</sup> trimester. The 1<sup>st</sup> trimester is 0-90 days following pregnancy start.</p> <p>Counts codes for medical product use episodes that occur in the 1<sup>st</sup> trimester only. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMONLYT2	<p>Number of medical product episodes with use only during the 2<sup>nd</sup> trimester. The 2<sup>nd</sup> trimester is 91-180 days following pregnancy start.</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTONLYT2	<p>Raw counts for medical product use episode codes during the 2<sup>nd</sup> trimester. The 2<sup>nd</sup> trimester is 91-180 days following pregnancy start.</p> <p>Counts codes for medical product use episodes that occur in the 2<sup>nd</sup> trimester only. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTONLYT2	<p>Adjusted counts for medical product use episode codes during the 2<sup>nd</sup> trimester. The 2<sup>nd</sup> trimester is 91-180 days following pregnancy start.</p> <p>Counts codes for medical product use episodes that occur in the 2<sup>nd</sup> trimester only. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.</p>

Variable	Description
	<b>Format:</b> Numeric
SUMONLYT3	Number of medical product episodes with use only during the 3rd trimester. The 3rd trimester is 180+ days following pregnancy start and until delivery.  <b>Format:</b> Numeric
SUMRAWCNTONLYT3	Raw counts for medical product use episode codes during the 3 <sup>rd</sup> trimester. The 3 <sup>rd</sup> trimester is 180+ days following pregnancy start.  Counts codes for medical product use episodes that occur in the 3 <sup>rd</sup> trimester only. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.  <b>Format:</b> Numeric
SUMADJCNTONLYT3	Adjusted counts for medical product use episode codes during the 3 <sup>rd</sup> trimester. The 3 <sup>rd</sup> trimester is 180+ days following pregnancy start.  Counts codes for medical product use episodes that occur in the 3 <sup>rd</sup> trimester only. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.  <b>Format:</b> Numeric

**m) [RUNID]\_t4\_cida\_ctrl.sas7bdat**

The [RUNID]\_t4\_cida\_ctrl output table is generated for Type 4 requests only. This output captures a matched non-pregnant cohort (women were known to be non-pregnant or had a pregnancy not ending in a live birth). Table 48 contains specifications for the [RUNID]\_t4\_cida\_ctrl output table.

**Table 48. [RUNID]\_t4\_cida\_ctrl Output**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
MOINAME	Value of T4_index MP $n$ , to denote the medical exposure of interest.  Missing MOINAME represents all episodes.  <b>Format:</b> Character(10)



Variable	Description
LEVEL	<p data-bbox="495 268 1453 401">Stratification identifier. Each unique combination of strata (<i>i.e.</i>, variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.</p> <ul data-bbox="544 447 1052 1881" style="list-style-type: none"> <li>• 000: overall</li> <li>• 001: year</li> <li>• 003: age group</li> <li>• 007: age group * year</li> <li>• 020: zip3</li> <li>• 021: zip3*zip_uncertain</li> <li>• 024: zip3*agegroup</li> <li>• 025: zip3*agegroup*zip_uncertain</li> <li>• 026: zip3*year</li> <li>• 027: zip3*year*zip_uncertain</li> <li>• 028: zip3*race</li> <li>• 029: zip3*race*zip_uncertain</li> <li>• 030: zip3*hispanic</li> <li>• 031: zip3*hispanic*zip_uncertain</li> <li>• 040: state</li> <li>• 041: state*zip_uncertain</li> <li>• 044: state*agegroup</li> <li>• 045: state*agegroup*zip_uncertain</li> <li>• 046: state*year</li> <li>• 047: state*year*zip_uncertain</li> <li>• 048: state*race</li> <li>• 049: state*race*zip_uncertain</li> <li>• 050: state *hispanic</li> <li>• 051: state *hispanic*zip_uncertain</li> <li>• 060: zip_uncertain</li> <li>• 062: zip_uncertain*agegroup</li> <li>• 063: zip_uncertain*year</li> <li>• 070: hhs_reg</li> <li>• 071: hhs_reg*zip_uncertain</li> <li>• 074: hhs_reg*agegroup</li> <li>• 075: hhs_reg*agegroup*zip_uncertain</li> <li>• 076: hhs_reg*year</li> <li>• 077: hhs_reg*year*zip_uncertain</li> <li>• 078: hhs_reg*race</li> <li>• 079: hhs_reg*race*zip_uncertain</li> <li>• 080: hhs_reg*hispanic</li> <li>• 081: hhs_reg*hispanic*zip_uncertain</li> <li>• 090: cb_reg</li> <li>• 091: cb_reg*zip_uncertain</li> </ul>

Variable	Description
	<ul style="list-style-type: none"> <li>• 094: cb_reg*agegroup</li> <li>• 095: cb_reg*agegroup*zip_uncertain</li> <li>• 096: cb_reg*year</li> <li>• 097: cb_reg*year*zip_uncertain</li> <li>• 098: cb_reg*race</li> <li>• 099: cb_reg*race*zip_uncertain</li> <li>• 100: cb_reg*Hispanic</li> <li>• 101: cb_reg*hispanic*zip_uncertain</li> <li>• 602: prepostind</li> <li>• 603: age group *prepostind</li> <li>• 604: year* prepostind</li> <li>• 605: age group * year* prepostind</li> <li>• 606: prepostind* pregdurcode</li> </ul> <p><b>Format:</b> Character(3)</p>
<b>RACE</b>	Race. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>HISPANIC</b>	A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>AGEGROUP</b>	Age Groups. Categories are requester-defined. Age is maternal age at delivery.  <b>Format:</b> Character(variable)
AGEGROUPNUM	Numeric identifier of each AGEGROUP value.  <b>Format:</b> Numeric
<b>YEAR</b>	Year of delivery date.  <b>Format:</b> Numeric
<b>PREPOSTIND</b>	Categorical variable, with valid values PRE, POST, TERM, NONE Defined as: <ul style="list-style-type: none"> <li>• PRE (0-258 days duration)</li> <li>• TERM (259-280 days duration)</li> <li>• POST (281-301 days duration)</li> <li>• NONE (No PregDur codes)</li> </ul>
<b>PREGDURCODE</b>	Code used to define pregnancy duration.

Variable	Description
ZIP3	3-digit ZIP code.  <b>Format:</b> Numeric
STATE	2-digit State code.  <b>Format:</b> Character(2)
HHS_REG	2-digit Health and Human Services region code.  <b>Format:</b> Character(2)
CB_REG	Census Bureau regions.  <b>Format:</b> Character(7)
ZIP_UNCERTAIN	Y / N variable.  Y: zip date occurred after the index date N: zip date occurred before the index date  <b>Format:</b> Character(1)
NPTS	Number of patients.  <b>Format:</b> Numeric
EPISODES	Number of exposure episodes.  <b>Format:</b> Numeric
USEPRE	Pregnancy episodes with product use requester-specified # of days before  <b>Format:</b> Numeric
ANYT1	Pregnancy episodes with product use during the 1st trimester. The 1st trimester is 0-90 days following pregnancy start.  <b>Format:</b> Numeric
ANYT2	Pregnancy episodes with product use during the 2nd trimester. The 2nd trimester is 91-180 days following pregnancy start.  <b>Format:</b> Numeric
ANYT3	Pregnancy episodes with product use during the 3rd trimester. The 3rd trimester is 180+ days following pregnancy start and until delivery.  <b>Format:</b> Numeric
ANY	Pregnancy episodes with product use during any trimester  <b>Format:</b> Numeric

Variable	Description
ONLYT1	<p>Pregnancy episodes with product use only during the 1st trimester. The 1st trimester is 0-90 days following pregnancy start.</p> <p><b>Format:</b> Numeric</p>
ONLYT2	<p>Pregnancy episodes with product use only during the 2nd trimester. The 2nd trimester is 91-180 days following pregnancy start.</p> <p><b>Format:</b> Numeric</p>
ONLYT3	<p>Pregnancy episodes with product use only during the 3rd trimester. The 3rd trimester is 180+ days following pregnancy start and until delivery.</p> <p><b>Format:</b> Numeric</p>
ALLT	<p>Pregnancy episodes with product use in all three trimesters</p> <p><b>Format:</b> Numeric</p>
SUMUSEPRE	<p>Number of medical product use episodes in 90-days before pregnancy</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTPRE	<p>Raw counts for medical product use episode codes during the PREPREGDAYS prior to pregnancy start date. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTPRE	<p>Adjusted counts for medical product use episode codes during the PREPREGDAYS prior to pregnancy start date. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval).. “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMANYT1	<p>Number of medical product use episodes in the 1st trimester. The 1st trimester is 0-90 days following pregnancy start.</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTANYT1	<p>Raw counts for medical product use episode codes during the 1<sup>st</sup> trimester. The 1st trimester is 0-90 days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.</p>

Variable	Description
	<p><b>Format:</b> Numeric</p>
SUMADJCNTANYT1	<p>Adjusted counts for medical product use episode codes during the 1<sup>st</sup> trimester. The 1<sup>st</sup> trimester is 0-90 days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMANYT2	<p>Number of medical product use episodes in the 2<sup>nd</sup> trimester. The 2<sup>nd</sup> trimester is 91-180 days following pregnancy start.</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTANYT2	<p>Raw counts for medical product use episode codes during the 2<sup>nd</sup> trimester. The 2<sup>nd</sup> trimester is 91-180 days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval).. “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTANYT2	<p>Adjusted counts for medical product use episode codes during the 2<sup>nd</sup> trimester. The 2<sup>nd</sup> trimester is 91-180 days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval).. “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMANYT3	<p>Number of medical product use episodes in the 3<sup>rd</sup> trimester. The 3<sup>rd</sup> trimester is 180+ days following pregnancy start and until delivery.</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTANYT3	<p>Raw counts for medical product use episode codes during the 3<sup>rd</sup> trimester. The 3<sup>rd</sup> trimester is 180+ days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>

Variable	Description
SUMADJCNTANYT3	<p>Adjusted counts for medical product use episode codes during the 3<sup>rd</sup> trimester. The 3<sup>rd</sup> trimester is 180+ days following pregnancy start. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMANY	<p>Number of medical product use episodes in any trimester</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTANY	<p>Raw counts for medical product use episode codes during any trimester. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTANY	<p>Adjusted counts for medical product use episode codes during any trimester. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMALLT	<p>Number of medical product use episodes in all three trimesters</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTALLT	<p>Raw counts for medical product use episode codes that span all three trimesters. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval).. “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTALLT	<p>Adjusted counts for medical product use episode codes that span all three trimesters. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval).. “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>

Variable	Description
SUMONLYT1	<p>Number of medical product episodes with use only during the 1st trimester. The 1st trimester is 0-90 days following pregnancy start.</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTONLYT1	<p>Raw counts for medical product use episode codes during the 1<sup>st</sup> trimester. The 1st trimester is 0-90 days following pregnancy start.</p> <p>Counts codes for medical product use episodes that occur in the 1<sup>st</sup> trimester only. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTONLYT1	<p>Adjusted counts for medical product use episode codes during the 1<sup>st</sup> trimester. The 1<sup>st</sup> trimester is 0-90 days following pregnancy start.</p> <p>Counts codes for medical product use episodes that occur in the 1<sup>st</sup> trimester only. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMONLYT2	<p>Number of medical product episodes with use only during the 2nd trimester. The 2nd trimester is 91-180 days following pregnancy start.</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTONLYT2	<p>Raw counts for medical product use episode codes during the 2<sup>nd</sup> trimester. The 2<sup>nd</sup> trimester is 91-180 days following pregnancy start.</p> <p>Counts codes for medical product use episodes that occur in the 2<sup>nd</sup> trimester only. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTONLYT2	<p>Adjusted counts for medical product use episode codes during the 2<sup>nd</sup> trimester. The 2<sup>nd</sup> trimester is 91-180 days following pregnancy start.</p> <p>Counts codes for medical product use episodes that occur in the 2<sup>nd</sup> trimester only. Counts the total number of codes observed during a medical product use</p>

Variable	Description
	<p>episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>
SUMONLYT3	<p>Number of medical product episodes with use only during the 3rd trimester. The 3rd trimester is 180+ days following pregnancy start and until delivery.</p> <p><b>Format:</b> Numeric</p>
SUMRAWCNTONLYT3	<p>Raw counts for medical product use episode codes during the 3<sup>rd</sup> trimester. The 3<sup>rd</sup> trimester is 180+ days following pregnancy start.</p> <p>Counts codes for medical product use episodes that occur in the 3<sup>rd</sup> trimester only. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Raw” counts allow multiple codes on the same day.</p> <p><b>Format:</b> Numeric</p>
SUMADJCNTONLYT3	<p>Adjusted counts for medical product use episode codes during the 3<sup>rd</sup> trimester. The 3<sup>rd</sup> trimester is 180+ days following pregnancy start.</p> <p>Counts codes for medical product use episodes that occur in the 3<sup>rd</sup> trimester only. Counts the total number of codes observed during a medical product use episode and attributes them to the trimester(s) that the code overlaps (i.e., if the code is an NDC, a single code may overlap two trimesters based on the RxDate to RxDate + RxSup interval). “Adjusted” counts allow only one code per day.</p> <p><b>Format:</b> Numeric</p>

n) *[RUNID]\_t4\_cida\_treat\_gestwk.sas7bdat* and *[RUNID]\_t4\_cida\_ctrl\_gestwk.sas7bdat*

Variable	Description
GROUP	<p>Cohort name.</p> <p><b>Format:</b> Character(30)</p>
MOINAME	<p>Value of T4_index MPn, to denote the medical exposure of interest.</p> <p><b>Format:</b> Character(10)</p>
LEVEL	<p>Stratification identifier. Each unique combination of strata receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.</p>



Variable	Description
	<ul style="list-style-type: none"> <li>610: GESTWK</li> </ul> <b>Format:</b> Character(3)
GESTWK	Gestational week (relative to calculated pregnancy start). Determined as follows: <ul style="list-style-type: none"> <li>-2: days -14 to -8</li> <li>-1: days -7 to -1</li> <li>1: days 0 to 6</li> <li>2: days 7 to 13</li> </ul> There is no Week 0. All potential GESTWK values should be assigned by counting back or forward by 7 to encompass the entire timeframe under evaluation. <b>Format:</b> Numeric(8) Example: -1
MOIEPISODES	Number of MOI episodes with an index date (i.e., episode start date) occurring during the specified gestational week.
MOIPATIENTS	Number of patients with an MOI episode index date (i.e., episode start date) occurring during the specified gestational week.
RAWCODECOUNT	"Raw" MOI code count.  Counts the total number of codes observed during an MOI episode and attributes them to the gestational week of the index date (i.e., episode start date). "Raw" counts allow multiple codes on the same day.
ADJCODECOUNT	"Adjusted" MOI code count.  Counts the total number of codes observed during an MOI episode and attributes them to the gestational week of the index date (i.e., episode start date). "Adjusted" counts allow only one code per day.
PREGEPISODES	Total number of pregnancy episodes in the gestational week (i.e., pregnancy episodes that lasted into the specified gestational week).
PREGPATIENTS	Total number of pregnant women in the gestational week (i.e., pregnant women whose pregnancies lasted into the specified gestational week).

**o) [RUNID]\_t5\_cida\_disp\_by\_daysupp.sas7bdat**

This output table includes the number of adjusted dispensings by days supply, sex, race, hispanic, age group and geographic stratifications (optionally). Note that same-day dispensings should be handled according to specifications in the stockpiling input file. This means that:

If Two dispensings on the same day:

- Dispensing 1: RxSup = 30, RxAmount = 30
- Dispensing 2: RxSup = 60, RxAmount = 60

With default stockpiling algorithm (aa), output in [RUNID]\_T5\_CIDA\_disp\_by\_daysupp will count this as 1 dispensing with RxSup = 90, RxAmt = 90.

Table 49 contains specifications for the [RUNID]\_cida\_disp\_by\_daysupp output table.

**Table 49. [RUNID]\_t5\_cida\_disp\_by\_daysupp Output**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values: 000 overall 002 sex 003 agegroup 004 sex*agegroup020 020 zip3 021 zip3*zip_uncertain 022 zip3*sex 023 zip3*sex*zip_uncertain 024 zip3*agegroup 025 zip3*agegroup*zip_uncertain 028 zip3*race 029 zip3*race*zip_uncertain 030 zip3*hispanic 031 zip3*hispanic*zip_uncertain 040 state 041 state*zip_uncertain 042 state*sex 043 state*sex*zip_uncertain 044 state*agegroup 045 state*agegroup*zip_uncertain 048 state*race 049 state *race*zip_uncertain 050 state *hispanic 051 state *hispanic*zip_uncertain 060 zip_uncertain 061 zip_uncertain*sex 062 zip_uncertain*agegroup 070 hhs_reg 071 hhs_reg*zip_uncertain

Variable	Description
	072 hhs_reg*sex 073 hhs_reg*sex*zip_uncertain 074 hhs_reg*agegroup 075 hhs_reg*agegroup*zip_uncertain 078 hhs_reg*race 079 hhs_reg*race*zip_uncertain 080 hhs_reg*hispanic 081 hhs_reg*hispanic*zip_uncertain 090 cb_reg 091 cb_reg*zip_uncertain 092 cb_reg*sex 093 cb_reg *sex*zip_uncertain 094 cb_reg*agegroup 095 cb_reg*agegroup*zip_uncertain 098 cb_reg*race 099 cb_reg*race*zip_uncertain 100 cb_reg*hispanic 101 cb_reg*hispanic*zip_uncertain 110 race 111 race*sex 112 race*agegroup 115 hispanic 116 hispanic *sex 117 hispanic *agegroup 580 DaySupp 582 sex* DaySupp 583 agegroup* DaySupp 584 sex*agegroup* DaySupp 585 race* DaySupp 586 hispanic* DaySupp  <b>Format:</b> Character(3)
<b>SEX</b>	Sex. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>AGEGROUP</b>	Age Groups. Categories are requester-defined. Age is calculated at index date.  <b>Format:</b> Character(variable)
<b>RACE</b>	Race. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>HISPANIC</b>	Hispanic. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
AGEGROUPNUM	Numeric identifier of each AGEGROUP value.

Variable	Description
	<b>Format:</b> Numeric
<b>ZIP3</b>	3-digit ZIP code.  <b>Format:</b> Numeric
<b>STATE</b>	2-digit State code.  <b>Format:</b> Character(2)
<b>HHS_REG</b>	2-digit Health and Human Services region code.  <b>Format:</b> Character(2)
<b>CB_REG</b>	Census Bureau regions.  <b>Format:</b> Character(7)
<b>ZIP_UNCERTAIN</b>	Y / N variable.  Y: zip date occurred after the index date N: zip date occurred before the index date  <b>Format:</b> Character(1)
<b>DAYSUPP</b>	Number of days of supply for dispensing (0+).
<b>ADJUSTEDCODECOUNT</b>	Number of dispensings used to define episode defining records.  <b>Note 1:</b> When multiple dispensings occur on the same day with same STOCKGROUP, this will be counted as 1 dispensing, associated with the total day's supply.  <b>Format:</b> Numeric

p) [RUNID]\_t5\_cida\_firststeps.sas7bdat

This output table includes the number of patients, episodes, dispensings, and total days of supply by sex, race, hispanic, age group, and month of study start for the first patient episode (i.e., the index date defining episode) during the query period. Table 50 contains specifications for the [RUNID]\_t5\_cida\_firststeps output table.

**Table 50. [RUNID]\_t5\_cida\_firststeps Output**

Variable	Description
GROUP	Cohort name. <b>Format:</b> Character(30)
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values: 000 overall 002 sex 003 agegroup 004 sex*agegroup 020 zip3 021 zip3*zip_uncertain 022 zip3*sex 023 zip3*sex*zip_uncertain 024 zip3*agegroup 025 zip3*agegroup*zip_uncertain 028 zip3*race 029 zip3*race*zip_uncertain 030 zip3*hispanic 031 zip3*hispanic*zip_uncertain 040 state 041 state*zip_uncertain 042 state*sex 043 state*sex*zip_uncertain 044 state*agegroup 045 state*agegroup*zip_uncertain 048 state*race 049 state *race*zip_uncertain 050 state *hispanic 051 state *hispanic*zip_uncertain 060 zip_uncertain 061 zip_uncertain*sex 062 zip_uncertain*agegroup 070 hhs_reg 071 hhs_reg*zip_uncertain

Variable	Description
	072 hhs_reg*sex 073 hhs_reg*sex*zip_uncertain 074 hhs_reg*agegroup 075 hhs_reg*agegroup*zip_uncertain 078 hhs_reg*race 079 hhs_reg*race*zip_uncertain 080 hhs_reg*hispanic 081 hhs_reg*hispanic*zip_uncertain 090 cb_reg 091 cb_reg*zip_uncertain 092 cb_reg*sex 093 cb_reg *sex*zip_uncertain 094 cb_reg*agegroup 095 cb_reg*agegroup*zip_uncertain 098 cb_reg*race 099 cb_reg*race*zip_uncertain 100 cb_reg*hispanic 101 cb_reg*hispanic*zip_uncertain 110 race 111 race*sex 112 race*agegroup 115 hispanic 116 hispanic *sex 117 hispanic *agegroup 502 sex* MntsFromStart 503 agegroup* MntsFromStart 504 sex*agegroup* MntsFromStart 505 race* MntsFromStart 506 hispanic* MntsFromStart 512 MntsFromStart  <b>Format:</b> Character(3)
<b>SEX</b>	Sex. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>AGEGROUP</b>	Age Groups. Categories are requester-defined. Age is calculated at index date.  <b>Format:</b> Character(variable)
AGEGROUPNUM	Numeric identifier of each AGEGROUP value.  <b>Format:</b> Numeric
<b>RACE</b>	Race. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>HISPANIC</b>	Hispanic. Allowable values are those in the SCDM.

Variable	Description
	<b>Format:</b> Character(2)
<b>MNTSFROMSTART</b>	Number of months from episode start date. Since this output table only includes the first episode, episode start date = index date. MNTSFROMSTART = 1 indicates the same month as the episode start date.  <b>Format:</b> Numeric
<b>ZIP3</b>	3-digit ZIP code.  <b>Format:</b> Numeric
<b>STATE</b>	2-digit State code.  <b>Format:</b> Character(2)
<b>HHS_REG</b>	2-digit Health and Human Services region code.  <b>Format:</b> Character(2)
<b>CB_REG</b>	Census Bureau regions.  <b>Format:</b> Character(7)
<b>ZIP_UNCERTAIN</b>	Y / N variable. Y: zip date occurred after the index date N: zip date occurred before the index date  <b>Format:</b> Character(1)
<b>DAYSUPP</b>	Total days of supply.  <b>Format:</b> Numeric
<b>EPISODES</b>	Number of episodes initiated.  <b>Format:</b> Numeric
<b>NPTS</b>	Number of patients.  <b>Format:</b> Numeric
<b>ADJUSTEDCODECOUNT</b>	Number of dispensings used to define index date defining records.  <b>Note 1:</b> When multiple dispensings occur on the same day with same STOCKGROUP, this will be counted as 1 dispensing. This count will equal the count of the DISPENSINGS metric in prior QRP versions.  <b>Format:</b> Numeric
<b>RAWCODECOUNT</b>	Number of dispensings used to define index date defining records.  <b>Note 1:</b> When multiple dispensings occur on the same day with same STOCKGROUP, each dispensing will be counted.  <b>Format:</b> Numeric

q) [RUNID]\_t5\_cida\_allleps.sas7bdat

This output table includes the number of patients, episodes, dispensings, and total days of supply by sex, race, hispanic, age group, and month of study start for all observed episodes during the query period. Table 51 contains specifications for the [RUNID]\_t5\_cida\_firststeps output table.

**Table 51. [RUNID]\_t5\_cida\_allleps Output**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values: 000 overall 002 sex 003 agegroup 004 sex*agegroup 020 zip3 021 zip3*zip_uncertain 022 zip3*sex 023 zip3*sex*zip_uncertain 024 zip3*agegroup 025 zip3*agegroup*zip_uncertain 028 zip3*race 029 zip3*race*zip_uncertain 030 zip3*hispanic 031 zip3*hispanic*zip_uncertain 040 state 041 state*zip_uncertain 042 state*sex 043 state*sex*zip_uncertain 044 state*agegroup 045 state*agegroup*zip_uncertain 048 state*race 049 state *race*zip_uncertain 050 state *hispanic 051 state *hispanic*zip_uncertain 060 zip_uncertain 061 zip_uncertain*sex 062 zip_uncertain*agegroup 070 hhs_reg 071 hhs_reg*zip_uncertain



Variable	Description
	072 hhs_reg*sex 073 hhs_reg*sex*zip_uncertain 074 hhs_reg*agegroup 075 hhs_reg*agegroup*zip_uncertain 078 hhs_reg*race 079 hhs_reg*race*zip_uncertain 080 hhs_reg*hispanic 081 hhs_reg*hispanic*zip_uncertain 090 cb_reg 091 cb_reg*zip_uncertain 092 cb_reg*sex 093 cb_reg *sex*zip_uncertain 094 cb_reg*agegroup 095 cb_reg*agegroup*zip_uncertain 098 cb_reg*race 099 cb_reg*race*zip_uncertain 100 cb_reg*hispanic 101 cb_reg*hispanic*zip_uncertain 110 race 111 race*sex 112 race*agegroup 115 hispanic 116 hispanic *sex 117 hispanic *agegroup 502 sex* MntsFromStart 503 agegroup* MntsFromStart 504 sex*agegroup* MntsFromStart 505 race* MntsFromStart 506 hispanic* MntsFromStart 512 MntsFromStart  <b>Format:</b> Character(3)
<b>SEX</b>	Sex. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>AGEGROUP</b>	Age Groups. Categories are requester-defined. Age is calculated at index date.  <b>Format:</b> Character(variable)
AGEGROUPNUM	Numeric identifier of each AGEGROUP value.  <b>Format:</b> Numeric
<b>RACE</b>	Race. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>HISPANIC</b>	Hispanic. Allowable values are those in the SCDM.

Variable	Description
	<b>Format:</b> Character(2)
<b>MNTSFROMSTART</b>	Number of months from episode start date. Since this output table includes all episodes, episode start date will not always equal the index date. MNTSFROMSTART = 1 indicates the same month as the episode start date.  <b>Format:</b> Numeric
<b>ZIP3</b>	3-digit ZIP code.  <b>Format:</b> Numeric
<b>STATE</b>	2-digit State code.  <b>Format:</b> Character(2)
<b>HHS_REG</b>	2-digit Health and Human Services region code.  <b>Format:</b> Character(2)
<b>CB_REG</b>	Census Bureau regions.  <b>Format:</b> Character(7)
<b>ZIP_UNCERTAIN</b>	Y / N variable. Y: zip date occurred after the index date N: zip date occurred before the index date  <b>Format:</b> Character(1)
<b>DAYSUPP</b>	Total days of supply.  <b>Format:</b> Numeric
<b>EPISODES</b>	Number of episodes initiated.  <b>Format:</b> Numeric
<b>NPTS</b>	Number of patients.  <b>Format:</b> Numeric
<b>ADJUSTEDCODECOUNT</b>	Number of dispensings used to define index date defining records.  <b>Note 1:</b> When multiple dispensings occur on the same day with same STOCKGROUP, this will be counted as 1 dispensing. This count will equal the count of the DISPENSINGS metric in prior QRP versions.  <b>Format:</b> Numeric
<b>RAWCODECOUNT</b>	Number of dispensings used to define index date defining records.  <b>Note 1:</b> When multiple dispensings occur on the same day with same STOCKGROUP, each dispensing will be counted.  <b>Format:</b> Numeric

r) [RUNID]\_t5\_cida\_episdur.sas7bdat

This output table includes the number of episodes by episode number, episode length, sex, race, hispanic, and age group. Note that, multiple censoring criteria may be flagged as an episode is censored for multiple reasons on the same day. Table 52 contains specifications for the [RUNID]\_t5\_cida\_episdur output table.

**Table 52. [RUNID]\_t5\_cida\_episdur Output**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values: 000 overall 002 sex 003 agegroup 004 sex*agegroup 020 zip3 021 zip3*zip_uncertain 022 zip3*sex 023 zip3*sex*zip_uncertain 024 zip3*agegroup 025 zip3*agegroup*zip_uncertain 028 zip3*race 029 zip3*race*zip_uncertain 030 zip3*hispanic 031 zip3*hispanic*zip_uncertain 040 state 041 state*zip_uncertain 042 state*sex 043 state*sex*zip_uncertain 044 state*agegroup 045 state*agegroup*zip_uncertain 048 state*race 049 state *race*zip_uncertain 050 state *hispanic 051 state *hispanic*zip_uncertain 060 zip_uncertain 061 zip_uncertain*sex 062 zip_uncertain*agegroup 070 hhs_reg

Variable	Description
	071 hhs_reg*zip_uncertain
	072 hhs_reg*sex
	073 hhs_reg*sex*zip_uncertain
	074 hhs_reg*agegroup
	075 hhs_reg*agegroup*zip_uncertain
	078 hhs_reg*race
	079 hhs_reg*race*zip_uncertain
	080 hhs_reg*hispanic
	081 hhs_reg*hispanic*zip_uncertain
	090 cb_reg
	091 cb_reg*zip_uncertain
	092 cb_reg*sex
	093 cb_reg *sex*zip_uncertain
	094 cb_reg*agegroup
	095 cb_reg*agegroup*zip_uncertain
	098 cb_reg*race
	099 cb_reg*race*zip_uncertain
	100 cb_reg*hispanic
	101 cb_reg*hispanic*zip_uncertain
	110 race
	111 race*sex
	112 race*agegroup
	115 hispanic
	116 hispanic *sex
	117 hispanic *agegroup
	520 CumEpisodeLength
	522 sex* CumEpisodeLength
	523 agegroup* CumEpisodeLength
	524 sex*agegroup* CumEpisodeLength
	525 race* CumEpisodeLength
	526 hispanic* CumEpisodeLength
	530 EpisodeNum
	532 sex* EpisodeNum
	533 agegroup* EpisodeNum
	534 sex*agegroup* EpisodeNum
	535 race* EpisodeNum
	536 hispanic* EpisodeNum
	540 EpisodeNum*EpisodeLength
	542 sex* EpisodeNum*EpisodeLength
	543 agegroup* EpisodeNum*EpisodeLength
	544 sex*agegroup* EpisodeNum*EpisodeLength
	545 race* EpisodeNum*EpisodeLength
	546 hispanic* EpisodeNum*EpisodeLength
	<b>Format:</b> Character(3)

<b>SEX</b>	Sex. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>AGEGROUP</b>	Age Groups. Categories are requester-defined. Age is calculated at index date.  <b>Format:</b> Character(variable)
AGEGROUPNUM	Numeric identifier of each AGEGROUP value.  <b>Format:</b> Numeric
<b>RACE</b>	Race. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>HISPANIC</b>	Hispanic. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>EPISODENUM</b>	Episode number (i.e., first episode =1, second episode=2, etc.)  <b>Format:</b> Numeric
<b>ZIP3</b>	3-digit ZIP code.  <b>Format:</b> Numeric
<b>STATE</b>	2-digit State code.  <b>Format:</b> Character(2)
<b>HHS_REG</b>	2-digit Health and Human Services region code.  <b>Format:</b> Character(2)
<b>CB_REG</b>	Census Bureau regions.  <b>Format:</b> Character(7)
<b>ZIP_UNCERTAIN</b>	Y / N variable. Y: zip date occurred after the index date N: zip date occurred before the index date  <b>Format:</b> Character(1)
<b>EPISODELENGTH</b>	Episode length (in days)  <b>Format:</b> Numeric
<b>CUMEPISODELENGTH</b>	Cumulative Episode length (in days)  <b>Format:</b> Numeric
EPISODES	Number of exposure episodes.  <b>Format:</b> Numeric
NPTS	Number of Patients  <b>Format:</b> Numeric

s) [RUNID]\_T5\_CIDA\_episdur\_censor.sas7bdat

This output table includes the number of episodes by episode number, episode length and reason(s) for censoring. Note that, multiple censoring criteria may be flagged as an episode is censored for multiple reasons on the same day. Table 53 contains specifications for the [RUNID]\_t5\_cida\_episdur\_censor output table.

**Table 53. [RUNID]\_t5\_cida\_episdur\_censor Output**

Variable	Description
GROUP	Cohort name. <b>Format:</b> Character(30)
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values: 000 overall 520 CumEpisodeLength 530 EpisodeNum 540 EpisodeNum*EpisodeLength  <b>Format:</b> Character(3)
EPISODENUM	Episode number ( <i>i.e.</i> , first episode =1, second episode=2, etc.)  <b>Format:</b> Numeric
EPISODELENGTH	Episode length (in days)  <b>Format:</b> Numeric
CENS_ELIG	Number of episodes censored due to disenrollment  <b>Format:</b> Numeric
CENS_DTH	Number of episodes censored due to evidence of death  <b>Format:</b> Numeric
CENS_DPEND	Number of episodes episode censored due to DP data end date  <b>Format:</b> Numeric
CENS_QRYEND	Number of episodes censored due to query end date  <b>Format:</b> Numeric
CENS_EPISEND	Number of episodes censored due to end of exposure  <b>Format:</b> Numeric
CENS_SPEC	Number of episodes censored due to additional requester-defined criteria ( <i>e.g.</i> , censor due to occurrence of another set of clinical codes).  <b>Format:</b> Numeric

Variable	Description
EPISODES	Number of exposure episodes.  <b>Format:</b> Numeric
NPTS	Number of Patients  <b>Format:</b> Numeric

t) [RUNID]\_t5\_cida\_gaps.sas7bdat

This output table includes the number of episode gaps by gap number, gap length, sex, race, hispanic, and age group. Gap number is determined as follows:

Gap 1 = gap in days between exposure episode 1 and exposure episode 2

Gap 2 = gap in days between exposure episode 2 and exposure episode 3

Gap n = gap in days between exposure episode n and exposure episode (n+1)

**For the patient's last episode, the gap should represent the number days from the end of the last episode to enrollment end/death/dp end date/query end date.**

Table 54 contains specifications for the [RUNID]\_t5\_cida\_gaps output table.

**Table 54. [RUNID]\_t5\_cida\_gaps Output**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  Allowable values: 000 overall 002 sex 003 agegroup 004 sex*agegroup 020 zip3 021 zip3*zip_uncertain 022 zip3*sex 023 zip3*sex*zip_uncertain 024 zip3*agegroup 025 zip3*agegroup*zip_uncertain 028 zip3*race 029 zip3*race*zip_uncertain 030 zip3*hispanic 031 zip3*hispanic*zip_uncertain 040 state

Variable	Description
	041 state*zip_uncertain
	042 state*sex
	043 state*sex*zip_uncertain
	044 state*agegroup
	045 state*agegroup*zip_uncertain
	048 state*race
	049 state *race*zip_uncertain
	050 state *hispanic
	051 state *hispanic*zip_uncertain
	060 zip_uncertain
	061 zip_uncertain*sex
	062 zip_uncertain*agegroup
	070 hhs_reg
	071 hhs_reg*zip_uncertain
	072 hhs_reg*sex
	073 hhs_reg*sex*zip_uncertain
	074 hhs_reg*agegroup
	075 hhs_reg*agegroup*zip_uncertain
	078 hhs_reg*race
	079 hhs_reg*race*zip_uncertain
	080 hhs_reg*hispanic
	081 hhs_reg*hispanic*zip_uncertain
	090 cb_reg
	091 cb_reg*zip_uncertain
	092 cb_reg*sex
	093 cb_reg *sex*zip_uncertain
	094 cb_reg*agegroup
	095 cb_reg*agegroup*zip_uncertain
	098 cb_reg*race
	099 cb_reg*race*zip_uncertain
	100 cb_reg*hispanic
	101 cb_reg*hispanic*zip_uncertain
	110 race
	111 race*sex
	112 race*agegroup
	115 hispanic
	116 hispanic *sex
	117 hispanic *agegroup
	550 GapLength
	552 sex* GapLength
	553 agegroup* GapLength
	554 sex*agegroup* GapLength
	555 race* GapLength
	556 hispanic* GapLength
	560 GapNum
	562 sex* GapNum



Variable	Description
	563 agegroup* GapNum 564 sex*agegroup* GapNum 565 race* GapNum 566 hispanic* GapNum 570 GapNum*GapLength 572 sex* GapNum*GapLength 573 agegroup* GapNum*GapLength 574 sex*agegroup* GapNum*GapLength 575 race* GapNum*GapLength 576 hispanic* GapNum*GapLength  <b>Format:</b> Character(3)
<b>SEX</b>	Sex. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>AGEGROUP</b>	Age Groups. Categories are requester-defined. Age is calculated at index date.  <b>Format:</b> Character(variable)
<b>AGEGROUPNUM</b>	Numeric identifier of each AGEGROUP value.  <b>Format:</b> Numeric
<b>RACE</b>	Race. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>HISPANIC</b>	Hispanic. Allowable values are those in the SCDM.  <b>Format:</b> Character(2)
<b>GAPNUM</b>	Gap number (i.e., first gap=1, second gap=2, etc.). For the patient's last episode, GAPNUM should be set to 999, and the gap should represent the number days from the end of the last episode to enrollment end/death/dp end date/query end date.
<b>GAPLENGTH</b>	Gap length (in days)  <b>Format:</b> Numeric
<b>ZIP3</b>	3-digit ZIP code.  <b>Format:</b> Numeric
<b>STATE</b>	2-digit State code.  <b>Format:</b> Character(2)
<b>HHS_REG</b>	2-digit Health and Human Services region code.  <b>Format:</b> Character(2)
<b>CB_REG</b>	Census Bureau regions.  <b>Format:</b> Character(7)

Variable	Description
ZIP_UNCERTAIN	Y / N variable.  Y: zip date occurred after the index date N: zip date occurred before the index date  <b>Format:</b> Character(1)
EPISODES	Number of episodes  <b>Format:</b> Numeric

#### u) [RUNID]\_profile\_[OUTCOHORT]\_[T3OUT]\_[PERIODID].sas7bdat

This output table describes patients and episodes by their unique combination of covariates observed. For any Type of analysis (1-5), requester can output a file describing all unique combinations of covariates for patients in the cohort [RUNID]\_profile\_[OUTCOHORT]\_[T3OUT]\_[PERIODID]. Table 55 below contains specifications for the [RUNID]\_profile\_[OUTCOHORT]\_[T3OUT]\_[PERIODID] output table, where RUNID is the request programmer-defined execution identifier, OUTCOHORT takes the value of CTRL for the control cohort in Type 4 (for pregnant cohort, it would be blank. For non-Type 4 analysis, it would be blank) and T3OUT takes the value of \_anal and \_anal\_censor for analysis and analysis\_censor datasets (relevant only for Type 3 analyses).

**Table 55. [RUNID]\_profile\_[OUTCOHORT]\_[T3OUT]\_[PERIODID] Output**

Variable	Description
GROUP	Standardized name used to differentiate cohorts.  <b>Format:</b> Character(30)
COVAR_X	For each covariate specified in the Covariate Codes File, 1/0 indicator of presence/absence in the unique covariate profile. Number of columns dependent on number of binary covariates specified in the COVARIATECODES file.
PATIENT	Number of unique patients with the specified covariate profile (i.e., unique combination of covariates).  <b>Format:</b> Numeric(8)
N_EPISODES	Number of unique episodes with the specified covariate profile (i.e., unique combination of covariates).  <b>Format:</b> Numeric(8)

#### v) [RUNID]\_distindex.sas7bdat.sas7bdat

This output table describes exposure and/or HOI episodes by the unique combination of codes used to identify the exposure/HOI on the index date. The user can request this output for any Type of analysis

(1-5). Table 56 below contains specifications for the [RUNID]\_distindex output table, where RUNID is the request programmer-defined execution identifier

**Table 56. [RUNID]\_distindex Output**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
DISTINDEXTYPE	Identifier for type of index event represented by row of data.  Valid values include: EXP = exposure HOI = health outcome of interest  <b>Format:</b> Character(5)
DISTINDEXLIST	Underscore-concatenated list of distributed index identifiers per distributed exposure type. Each list value represents a distinct combination of clinical codes associated with an index event.  <b>Format:</b> Character(18)
EPISODES	Number of episodes within strata.  <b>Format:</b> Numeric

**w) [RUNID]\_distindexmap.sas7bdat.sas7bdat**

This output table includes the mapping to the codes in the DISTINDEXLIST variable from [RUNID]\_distindex output above. Table 57 below contains specifications for the [RUNID]\_distindexmap output table, where RUNID is the request programmer-defined execution identifier.

**Table 57. [RUNID]\_distindexmap Output**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
DISTINDEXTYPE	Identifier for type of index event represented by row of data.  Valid values include: EXP = exposure HOI = health outcome of interest  <b>Format:</b> Character(5)
STOCKGROUP	STOCKGROUP value (populated when exp or HOI is RX)  <b>Format:</b> Character(18)

Variable	Description
CODECAT	Clinical code category. (populated when exp or HOI is PX/DX)  <b>Format:</b> Character(2)
CODETYPE	Clinical code type. (populated when exp or HOI is PX/DX)  <b>Format:</b> Character(2)
ENCTYPE	Care setting. (populated when exp or HOI is PX/DX)  <b>Format:</b> Character(3)
PDX	Principal diagnosis position (populated when exp or HOI is PX/DX)
CODE	PX or DX code (populated when exp or HOI is PX/DX)
DISTINDEXID	Index code combination identifier  <b>Format:</b> Character

## 2. DPLOCAL Folder

QRP generates output to the DPLOCAL folder based on the type of analysis specified.

### **Background Rate Calculations (Type 1 Analyses)**

This analysis generates four output tables:

- [RUNID]\_mstr.sas7bdat
- [RUNID]\_numcounts.sas7bdat
- [RUNID]\_denomcounts.sas7bdat
- [RUNID]\_ads\_mstr\_[PERIODID].sas7bdat

Where RUNID is the request programmer-defined execution identifier (defined in main macro parameters) and PERIODID is the time period under analysis specified in the Monitoring File.

### **Exposures and Follow-up (Type 2 Analyses)**

This analysis generates four output tables:

- [RUNID]\_mstr.sas7bdat
- [RUNID]\_numcounts.sas7bdat
- [RUNID]\_denomcounts.sas7bdat
- [RUNID]\_ads\_mstr\_[PERIODID].sas7bdat

Where RUNID is the request programmer-defined execution identifier (defined in main macro parameters) and PERIODID and the time period under analysis specified in the [Monitoring File](#).

### **Self-controlled Risk Interval Design (Type 3 Analyses)**

This analysis generates five output tables:

- [RUNID]\_mstr.sas7bdat
- [RUNID]\_numcounts.sas7bdat
- [RUNID]\_ads\_mstr\_[PERIODID].sas7bdat
- [RUNID]\_mstr\_censor.sas7bdat
- [RUNID]\_numcounts\_censor.sas7bdat

Where RUNID is the request programmer-defined execution identifier.

### **Pregnancy Episodes and Medical Product Use (Type 4 Analyses)**

This analysis generates seven output tables:

- [RUNID]\_mstr.sas7bdat
- [RUNID]\_ads\_ctrl\_[PERIODID].sas7bdat
- [RUNID]\_ctrl.sas7bdat
- [RUNID]\_alldeliveries.sas7bdat
- [RUNID]\_pregdurcodes.sas7bdat
- [RUNID]\_sec.sas7bdat
- [RUNID]\_ads\_mstr\_[PERIODID].sas7bdat

Where RUNID is the request programmer-defined execution identifier (defined in main macro parameters) and PERIODID is the time period under analysis specified in the Monitoring File.

### **Medical Product Utilization (Type 5 Analyses)**

This analysis generates three output tables:

- [RUNID]\_mstr.sas7bdat
- [RUNID]\_dispensings.sas7bdat
- [RUNID]\_ads\_mstr\_[PERIODID].sas7bdat

Where RUNID is the request programmer-defined execution identifier (defined in main macro parameters) and PERIODID is the time period under analysis specified in the Monitoring File.

Output tables for all types of analyses are described below.

For all Types (1-5), whenever MFU or HDPS analyses are performed, then the following outputs are also generated:

- [RUNID]\_claims\_icddx09
- [RUNID]\_claims\_icddx10
- [RUNID]\_claims\_icddxOT
- [RUNID]\_claims\_icdpx09
- [RUNID]\_claims\_icdpx10
- [RUNID]\_claims\_icdpxOT
- [RUNID]\_claims\_cpt
- [RUNID]\_claims\_hcpcs
- [RUNID]\_claims\_drugclass
- [RUNID]\_claims\_rx
- [RUNID]\_claims\_lab

#### **a) [RUNID]\_mstr.sas7bdat**

The [RUNID]\_mstr output table contains one record per individual per index date for every cohort (GROUP) specified in the CIDA tool execution (*i.e.*, one row per exposure episode per RUNID). The [RUNID]\_mstr output table contents depend on the type of cohort identification strategy used. Table 58 contains specifications for the [RUNID]\_mstr output table for Type 1 analyses.

**Table 58. [RUNID]\_mstr Output for Type 1 Analyses**

<b>Variable</b>	<b>Description</b>
GROUP	Cohort name.  <b>Format:</b> Character(30)
TYPE	Identifies the type of analysis performed in the CIDA tool (=1).  <b>Format:</b> Numeric
PATID	Individual identifier.  <b>Format:</b> Character(variable)
INDEXDT	Index date.  <b>Format:</b> Numeric (date9.)

Variable	Description
ENR_START	Start of enrollment span where index exposure was identified. <b>Format:</b> Numeric (date9.)
ENR_END	End of enrollment span where index exposure was identified. <b>Format:</b> Numeric (date9.)
YEAR	Year of index date. <b>Format:</b> Numeric
AdjustedDisp	Number of outpatient pharmacy dispensings used to define the index date. When 2 or more dispensings with the same RxDate are stockpiled, this metric will count as 1 dispensing <b>Format:</b> Numeric
RawDisp	Number of outpatient pharmacy dispensings used to define the index date. When 2 or more dispensings with the same RxDate are stockpiled, this metric will count as dispensing <b>Format:</b> Numeric
TOTRXSUP	Days supplied (RXSUP) value associated with the dispensing that defined the index date. <b>Format:</b> Numeric
TOTRXAMT	Amount supplied (RXAMT) value associated with the dispensing that defined the index date. <b>Format:</b> Numeric
EPISODEENDDT	Exposure episode end date. For Type 1 analyses, the EPISODEENDDT = INDEXDT + TORXSUP. <b>Format:</b> Numeric (date9.)
FEVENTDT	Blank for Type 1 analyses. <b>Format:</b> Numeric
AGE	Age at index date. <b>Format:</b> Numeric
BIRTH_DATE	Birth Date. <b>Format:</b> Numeric (MMDDYY10.)
SEX	Sex. Allowable values are those in the SCDM Demographic table. <b>Format:</b> Character(1)
RACE	Race. Allowable values are those in the SCDM Demographic table. <b>Format:</b> Character(1)

Variable	Description
HISPANIC	Hispanic. Allowable values are those in the SCDM Demographic table.  <b>Format:</b> Character(1)
AGEGROUP	Age Group at index date.  <b>Format:</b> Character(variable)
MINAGEDT	Date on which the individual qualified to enter the cohort, based on age ranges specified.  <b>Format:</b> Numeric (date9.)
MAXAGEDT	Last date the individual qualified to be in the cohort, based on age ranges specified.  <b>Format:</b> Numeric (date9.)
ZIP	Zip code.  <b>Format:</b> Character(5)
ZIP_DATE	Earliest date that the ZIP code is believed to be valid  <b>Format:</b> Numeric (date9.)
STATE	State associated with the zip code  <b>Format:</b> Character
DISTINDEXEXP	Underscore-concatenated list of distributed index identifiers associated with exposure.  <b>Format:</b> Character(250)
DISTINDEXHOI	Underscore-concatenated list of distributed index identifiers associated with HOI.  <b>Format:</b> Character(250)



Table 59 contains specifications for the [RUNID] \_mstr output table for Type 2 analyses.

**Table 59. [RUNID] \_mstr Output for Type 2 Analyses**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(40)
TYPE	Identifies the type of analysis performed in the CIDA tool (=2).  <b>Format:</b> Numeric
PATID	Individual identifier.  <b>Format:</b> Character(variable)
INDEXDT	Index date.  <b>Format:</b> Numeric (date9.)
ENR_START	Start of enrollment span where index exposure was identified.  <b>Format:</b> Numeric (date9.)
ENR_END	End of enrollment span where index exposure was identified.  <b>Format:</b> Numeric (date9.)
YEAR	Year of index date.  <b>Format:</b> Numeric
EPISODEENDDT	Exposure episode end date. Important note: this date does not take into account the HOI date (if an HOI is observed). This is the exposed time censored for the earliest of 1) end of exposure period; 2) disenrollment; 3) maximum exposure episode length (MAXEPISDUR) settings; and 4) any additional censoring criteria specified but NOT the HOI date  <b>Format:</b> Numeric (date9.)
AdjustedDisp	Number of outpatient pharmacy dispensings used to define the index date. When 2 or more dispensings with the same RxDate are stockpiled, this metric will count as 1 dispensing  <b>Format:</b> Numeric
RawDisp	Number of outpatient pharmacy dispensings used to define the index date. When 2 or more dispensings with the same RxDate are stockpiled, this metric will count as dispensing  <b>Format:</b> Numeric
TOTRXSUP	Total days supplied for outpatient pharmacy dispensings used to build the exposure episode. For requester-defined follow-up time (i.e., when exposure episodes are <i>not</i> created using dispensing days supply), this value will always be populated with the RxSup value associated with the dispensing that

Variable	Description
	<p>defined the index date.</p> <p>Value will always be =0 for never-exposed cohort.</p> <p><b>Format:</b> Numeric</p>
TOTRXAMT	<p>Total amount supplied for outpatient pharmacy dispensings used to build the exposure episode. For requester-defined follow-up time (i.e., when exposure episodes are <i>not</i> created using dispensing days supply), this value will always be populated with the RxAmt value associated with the dispensing that defined the index date.</p> <p>Value will always be =0 for never-exposed cohort.</p> <p><b>Format:</b> Numeric</p>
NUMEVENTS	<p>Total number of HOIs observed during the exposure episode (note: days at risk [TTE] stop accumulating after the occurrence of the first HOI).</p> <p><b>Format:</b> Numeric</p>
FEVENTDT	<p>HOI date.</p> <p><b>Format:</b> Numeric (MMDDYY10.)</p>
TTE	<p>Days-at-risk (i.e., time to HOI in days). Days-at-risk metrics stop accumulating at the earliest of the following: 1) end of exposure period; 2) disenrollment; 3) any additional censoring criteria [defined using CIDA tool, including MAXEPISDUR settings]; and 4) the HOI date.</p> <p><b>Format:</b> Numeric</p>
IndexLook	<p>Look # individual was identified in.</p> <p><b>Format:</b> Numeric</p>
LastLookFollowed	<p>When an individual is lost to follow up (data is no longer being updated), this is the last look # the individual contributed data to. If an individual is still eligible to be followed in subsequent looks, this is set to 0.</p> <p>Value will always be blank for never-exposed cohort.</p> <p><b>Format:</b> Numeric</p>
LastLookFollowedDt	<p>The last date of the Look specified in LastLookFollowed. Set to missing if LastLookFollowed = 0.</p> <p>Value will always be blank for never-exposed cohort.</p> <p><b>Format:</b> Numeric (date9.)</p>
AGE	<p>Age at index date.</p> <p><b>Format:</b> Numeric</p>

Variable	Description
BIRTH_DATE	Birth Date. <b>Format:</b> Numeric (MMDDYY10.)
SEX	Sex. Allowable values are those in the SCDM Demographic table. <b>Format:</b> Character(1)
RACE	Race. Allowable values are those in the SCDM Demographic table. <b>Format:</b> Character(1)
HISPANIC	Hispanic. Allowable values are those in the SCDM Demographic table. <b>Format:</b> Character(1)
AGEGROUP	Age Group at index date. <b>Format:</b> Character(variable)
AGEGROUPSORT	Age Group number. <b>Format:</b> Numeric
EPISODETYPE	Indicates if exposed time is requester-defined number of days (value will be ITT) or determined using dispensing days supplied (value will be EPI). <b>Format:</b> Character(3)
DEATHDT	Date on which the individual died, if any (value is set to missing if the individual did not die). <b>Format:</b> Numeric (date9.)
MINAGEDT	Date on which the individual qualified to enter the cohort, based on age ranges specified. <b>Format:</b> Numeric (date9.)
MAXAGEDT	Last date the individual qualified to be in the cohort, based on age ranges specified. <b>Format:</b> Numeric (date9.)
ZIP3	Zip code.  Note: will be missing if geographic information is not requested. <b>Format:</b> Character(5)
ZIP_DATE	Earliest date that the ZIP code is believed to be valid. <b>Format:</b> Numeric (date9.)
STATE	State associated with the zip code.  Note: will be missing if geographic information is not requested. <b>Format:</b> Character

Variable	Description
HHS_REG	Health and Human Services region associated with the zip code. <b>Note:</b> will be missing if geographic information is not requested. <b>Format:</b> Character
CB_REG	Census Bureau region associated with the zip code. <b>Note:</b> will be missing if geographic information is not requested. <b>Format:</b> Character
EVENT_FLAG	Indicates whether censoring is determined by occurrence of an event. <b>Format:</b> Character(1)
CENS_ELIG	Indicates whether the episode is censored due to disenrollment. <b>Format:</b> Numeric (8)
CENS_DTH	Indicates whether the episode is censored due to evidence of death. <b>Format:</b> Numeric (8)
CENS_DPEND	Indicates whether the episode is censored due to DP data end date (based on DP_MaxDate in common components). <b>Format:</b> Numeric (8)
CENS_QRYEND	Indicates whether the episode is censored due to query end date. <b>Format:</b> Numeric (8)
CENS_EPISEND	Indicates whether the episode is censored due to episode end date. <b>Format:</b> Numeric (8)
CENS_SPEC	Indicates whether the episode is censored due to additional requester-defined criteria (e.g., censor due to occurrence of another set of clinical codes). <b>Format:</b> Numeric (8)
CENS_EVENT	Indicates whether the episode is censored due to occurrence of requester-defined event. <b>Format:</b> Numeric (8)
EXACTNUMVISIT	The total number of medical encounters during the requester-defined evaluation period. <b>Format:</b> Numeric
NUMVISITS_AV	The total number of ambulatory encounters during the requester-defined evaluation period. <b>Format:</b> Numeric
NUMVISITS_OA	The total number of other ambulatory encounters during the requester-defined evaluation period. <b>Format:</b> Numeric

Variable	Description
NUMVISITS_IP	The total number of inpatient encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_IS	The total number of institutional stay encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_ED	The total number of emergency department encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS	The requester-defined stratum that the EXACTNUMVISIT value is in.  <b>Format:</b> Numeric
NUMGENERIC	The total number of generic names dispensed during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMCLASS	The total number of unique class names dispensed during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMRX	The total number of dispensings during the requester-defined evaluation period.  <b>Format:</b> Numeric
COMBINED_SCORE	The comorbidity score requester-defined category.  <b>Format:</b> Character(3)
COMBINED_SCORE_NUM	The comorbidity score value.  <b>Format:</b> Numeric
CCIELIXGRP	The pre-index comorbidity score requester-defined category.  <b>Format:</b> Character(10)
DISTINDEXEXP	Underscore-concatenated list of distributed index identifiers associated with exposure. <b>Format:</b> Character(250)
DISTINDEXHOI	Underscore-concatenated list of distributed index identifiers associated with HOI. <b>Format:</b> Character(250)

Table 60 contains specifications for the [RUNID] \_mstr output table for Type 3 analyses.

**Table 60. [RUNID] \_mstr Output for Type 3 Analyses**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
TYPE	Identifies the type of analysis performed in the CIDA tool (=3).  <b>Format:</b> Numeric
PATID	Individual identifier.  <b>Format:</b> Character(variable)
INDEXDT	Index date.  <b>Format:</b> Numeric (date9.)
ENR_START	Start of enrollment span where index exposure was identified.  <b>Format:</b> Numeric (date9.)
ENR_END	End of enrollment span where index exposure was identified.  <b>Format:</b> Numeric (date9.)
YEAR	Year of index date.  <b>Format:</b> Numeric
FEVENTDT	HOI date.  <b>Format:</b> Numeric (date9.)
AGE	Age at index date.  <b>Format:</b> Numeric
BIRTH_DATE	Birth Date.  <b>Format:</b> Numeric (MMDDYY10.)
SEX	Sex. Allowable values are those in the SCDM Demographic table.  <b>Format:</b> Character(1)
RACE	Race. Allowable values are those in the SCDM Demographic table.  <b>Format:</b> Character(1)
HISPANIC	Hispanic. Allowable values are those in the SCDM Demographic table.  <b>Format:</b> Character(1)
AGEGROUP	Age Group at index date.  <b>Format:</b> Character(variable)

Variable	Description
MINENROLHOIMET	<p>Indicates if minimum enrollment requirements to determine HOI incidence are met. Will equal 1 if requirement is met; will be set to missing when enrollment is sufficient to determine exposure incidence but insufficient to determine HOI incidence (when a window start date is prior to enrollment start date).</p> <p><b>Format:</b> Numeric</p>
WINFOEVENT	<p>Indicates whether the HOI occurred during the risk or control window. Valid values include "RISK" and "CTRL".</p> <p><b>Format:</b> Character(4)</p>
PT_ANALYSIS_EPISODE_NUM	<p>Indicates the episode number for a patient in the analysis cohort. When T3COHORTDEF=02 a patient can have multiple episodes. This indicator can take any integer value.</p> <p><b>Format:</b> Numeric</p>
PT_EXPOSURE_EPISODE_NUM	<p>Indicates the episode number for a patient in the exposure cohort. When T3COHORTDEF=02 a patient can have multiple episodes. This indicator can take any integer value.</p> <p><b>Format:</b> Numeric</p>
TTC	<p>Time to censor. Represents the number of days between the date of a censoring condition (e.g. death date) and the index date</p> <p><b>Format:</b> Numeric</p>
CENSORDEATH	<p>Indicates if exposure episode was censored due to death. CENSORDEATH =1 if censored; else 0. Note: if death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
CENSORENROL	<p>Indicates if exposure episode was censored due to disenrollment. CENSORENROL =1 if censored; else 0. Note: if death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
ANALYSISCOHORT	<p>Indicates if patient's exposure episode contributed to the analytic cohort. Will =1 for yes, =0 for no.</p> <p><b>Format:</b> Numeric</p>
DAYS_FROM_EXPOS_TO_EVENT	<p>Number of days from the index date to the HOI date.</p> <p><b>Format:</b> Numeric</p>
DAYS_FROM_EXPOS_TO_CTRL_EVENT	<p>Number of days from the index date to the control interval HOI date.</p> <p><b>Format:</b> Numeric</p>

Variable	Description
DAYS_FROM_EXPOS_TO_RISK_EVENT	Number of days from the index date to the risk interval HOI date.  <b>Format:</b> Numeric
POSTENR_EXPOSURE	Number of days of continuous enrollment post-exposure. Calculated as Enr_End – IndexDt +1.  <b>Format:</b> Numeric
EXACTNUMVISIT	The total number of medical encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_AV	The total number of ambulatory encounters during the requester-defined evaluation period. <b>Format:</b> Numeric
NUMVISITS_OA	The total number of other ambulatory encounters during the requester-defined evaluation period. <b>Format:</b> Numeric
NUMVISITS_IP	The total number of inpatient encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_IS	The total number of institutional stay encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_ED	The total number of emergency department encounters during the requester-defined evaluation period.  Format: Numeric
NUMVISITS	The requester-defined stratum that the EXACTNUMVISIT value is in.  <b>Format:</b> Numeric
NUMGENERIC	The total number of generic names dispensed during the requester-defined evaluation period.  <b>Format:</b> Numeric
NumClass	The total number of unique class names dispensed during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMRX	The total number of dispensings during the requester-defined evaluation period.  <b>Format:</b> Numeric



Variable	Description
COMBINED_SCORE	The comorbidity score requester-defined category. <b>Format:</b> Character(3)
COMBINED_SCORE_NUM	The comorbidity score value. <b>Format:</b> Numeric
CCIELIXGRP	The pre-index comorbidity score requester-defined category. <b>Format:</b> Character(10)
DISTINDEXEXP	Underscore-concatenated list of distributed index identifiers associated with exposure. <b>Format:</b> Character(250)
DISTINDEXHOI	Underscore-concatenated list of distributed index identifiers associated with HOI. <b>Format:</b> Character(250)

Table 61 contains specifications for the [RUNID] \_mstr output table for Type 4 analyses.

**Table 61. [RUNID] \_mstr Output for Type 4 Analyses**

Variable	Description
GROUP	Cohort name. <b>Format:</b> Character(30)
PATID	Individual identifier. <b>Format:</b> Character(variable)
TYPE	Identifies the type of analysis performed in the CIDA tool (=4). <b>Format:</b> Numeric
YEAR	Year of index date. <b>Format:</b> Numeric
INDEXDT	Index date (pregnancy start date). Can be determined by a preterm/postterm code. <b>Format:</b> Numeric (date9.)
ENR_START	Start of enrollment span where index exposure was identified. <b>Format:</b> Numeric (date9.)
ENR_END	End of enrollment span where index exposure was identified. <b>Format:</b> Numeric (date9.)
EPISODESTARTDT	Pregnancy episode start date. Can be determined by a preterm/postterm code. <b>Format:</b> Numeric (date9.)

Variable	Description
EPISODEENDDT	Pregnancy episode end date (delivery).  <b>Format:</b> Numeric (date9.)
AGE	Age at index date.  <b>Format:</b> Numeric
BIRTH_DATE	Birth Date.  <b>Format:</b> Numeric (MMDDYY10.)
SEX	Sex. Allowable values are those in the SCDM Demographic table.  <b>Format:</b> Character(1)
RACE	Race. Allowable values are those in the SCDM Demographic table.  <b>Format:</b> Character(1)
HISPANIC	Hispanic. Allowable values are those in the SCDM Demographic table.  <b>Format:</b> Character(1)
AGEGROUP	Age Group at delivery date.  <b>Format:</b> Character(variable)
MINAGEDT	Date on which the individual qualified to enter the cohort, based on age ranges specified.  <b>Format:</b> Numeric (date9.)
MAXAGEDT	Last date the individual qualified to be in the cohort, based on age ranges specified.  <b>Format:</b> Numeric (date9.)
WASHPER	Washout used for the pregnancy cohort  <b>Format:</b> Numeric
T4FUPWASHPER	Washout used for the pregnancy cohort HOI  <b>Format:</b> Numeric
FEVENTDT	Blank for Type 4 analyses.  <b>Format:</b> Numeric
ENRDAYSFLOOR	Minimum number of days of continuous enrollment required prior to the delivery date.  <b>Format:</b> Numeric
ADATE	Delivery date  <b>Format:</b> Numeric (MMDDYY10.)

Variable	Description
DEATHDT	Date on which the individual died, if any. (value will be set to missing if the individual did not die)  <b>Format:</b> Numeric (date9.)
CONCWASHPER	Washout used to determine incidence of medical product use episodes with respect to delivery episodes when assessing “trimester only” indicators  <b>Format:</b> Numeric
HADPRETERM	Indicator if pregnancy episode has a preterm birth code  <b>Format:</b> Numeric
HADPOSTTERM	Indicator if pregnancy episode has a postterm birth code  <b>Format:</b> Numeric
HADNONE	Indicator if pregnancy episode has neither a preterm or a postterm birth code  <b>Format:</b> Numeric
PREPOSTIND	Indicator for type of Preterm/Postterm code (PRETERM, POSTTERM, NONE)  <b>Format:</b> Character (10)
PREDURCODE	Prioritygroup1/Prioritygroup2 code, if any  <b>Format:</b> Character (11)
PSADATE	Prioritygroup1/Prioritygroup2 code date, if any  <b>Format:</b> (MMDDYY10.)
HAS3TRIM	Indicates if the pregnancy episode reaches the 3 <sup>rd</sup> trimester  <b>Format:</b> Numeric
DELNUM	Delivery number for the patient. This is the overall delivery number for the patient and is not confined to valid deliveries  <b>Format:</b> Numeric
DELYEAR	Year of delivery date.  <b>Format:</b> Numeric
DURATION	Length of pregnancy episode  <b>Format:</b> Numeric
EXACTNUMVISIT	The total number of medical encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_AV	The total number of ambulatory encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric

Variable	Description
NUMVISITS_OA	The total number of other ambulatory encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_IP	The total number of inpatient encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_IS	The total number of institutional stay encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_ED	The total number of emergency department encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS	The requester-defined stratum that the EXACTNUMVISIT value is in.  <b>Format:</b> Numeric
NUMGENERIC	The total number of generic names dispensed during the requester-defined evaluation period.  <b>Format:</b> Numeric
NumClass	The total number of unique class names dispensed during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMRX	The total number of dispensings during the requester-defined evaluation period.  <b>Format:</b> Numeric
COMBINED_SCORE	The comorbidity score requester-defined category.  <b>Format:</b> Character(3)
COMBINED_SCORE_NUM	The comorbidity score value.  <b>Format:</b> Numeric
CCIELIXGRP	The pre-index comorbidity score requester-defined category.  <b>Format:</b> Character(10)
DISTINDEXEXP	Underscore-concatenated list of distributed index identifiers associated with exposure.  <b>Format:</b> Character(250)
DISTINDEXHOI	Underscore-concatenated list of distributed index identifiers associated with HOI.  <b>Format:</b> Character(250)

Table 62 contains specifications for the [RUNID] \_mstr output table for Type 5 analyses. This output table contains one row per scenario (GROUP), patient (PATID), and episode (EPISODENUM). This table includes the index episodes, and all subsequent episodes per patient.

**Table 62. [RUNID] \_mstr Output for Type 5 Analyses**

Parameter	Field Name	Description
Query Start Date	STUDYSTARTDATE	<b>Details:</b> query period start date specified in request.
Query End Date	STUDYENDDATE	<b>Details:</b> query period end date specified in request.
Cohort Name	GROUP	<b>Details:</b> standardized name used to differentiate cohorts.
Patient Identifier	PATID	<b>Details:</b> unique patient identifier in SCDM
Birth Date	BIRTH_DATE	<b>Details:</b> patient birth date in SCDM.
Sex	SEX	<b>Details:</b> patient sex in SCDM.
Age Group	AGEGROUP	<b>Details:</b> patient age group (age calculated at index date)
Race	RACE	<b>Details:</b> patient race in SCDM.
Hispanic Indicator	HISPANIC	<b>Details:</b> patient Hispanic value in SCDM.
Minimum Age Date	MINAGEDATE	<b>Details:</b> first date the patient qualifies for cohort inclusion, based on specified AGESTRAT parameters.
Maximum Age Date	MAXAGEDATE	<b>Details:</b> last date the patient qualifies for cohort inclusion, based on specified AGESTRAT parameters.
Index Date	INDEXDT	<b>Details:</b> start date of the first exposure episode.
Year of Index Date	YEAR	<b>Details:</b> Year of index date.
Episode Number	EPISODENUM	<b>Details:</b> episode number, in sequential order (starting at 1), by PatID. EPISODENUM = 1 is the index date defining episode.
Episode Start Date	EPISODESTARTDT	<b>Details:</b> start date for the episode denoted by EPISODENUM. Will equal INDEXDATE for EPISODENUM=1.
Episode End Date	EPISODEENDDT	<b>Details:</b> end date for the episode denoted by EPISODENUM.
Cumulative Episode Length	CUMEPISODELENGTH	<b>Details:</b> cumulative time at risk.
Episode Length	EPISODELENGTH	<b>Details:</b> time at risk.
Number of Adjusted Dispensings in Episode	AdjustedDisp	Number of outpatient pharmacy dispensings used to define the index date. When 2 or more dispensings with the same RxDate are stockpiled, this metric will count as 1 dispensing  <b>Format:</b> Numeric
Number of Raw Dispensings in Episode	RawDisp	Number of outpatient pharmacy dispensings used to define the index date. When 2 or more dispensings with the same RxDate are stockpiled, this metric will count as dispensing  <b>Format:</b> Numeric

Parameter	Field Name	Description
Number of Days of Supply per Episode	TOTRXSUP	<b>Details:</b> days supply associated with all dispensings in the episode denoted by EPISODENUM.
Episode Censored indicator: Eligibility	EPISODE_CENS_ELIG	<b>Details:</b> 0/1 indicator denoting if episode was censored due to disenrollment.
Episode Censored indicator: Death	EPISODE_CENS_DTH	<b>Details:</b> 0/1 indicator denoting if episode was censored due to death.
Episode Censored indicator: DP Data End	EPISODE_CENS_DPEND	<b>Details:</b> 0/1 indicator denoting if episode was censored due to DP data end date.
Episode Censored indicator: Query End Date	EPISODE_CENS_QRYEND	<b>Details:</b> 0/1 indicator denoting if episode was censored due to study end date.
Episode Censored indicator: Episode End	EPISODE_CENS_EPISEND	<b>Details:</b> 0/1 indicator denoting if episode was censored due to end of exposure.
Episode Censored indicator: Requester Criteria	EPISODE_CENS_SPEC	<b>Details:</b> 0/1 indicator denoting if episode was censored due to requester-specified censoring criteria.
Number of months from episode start date	MNTSFROMSTART	<b>Details:</b> Number of months from episode start date. MNTSFROMSTART = 1 indicates the same month as the episode start date.
Gap number	GAPNUM	<b>Details:</b> Gap number (i.e., first gap=1, second gap=2, etc.).
Number of Days Until Subsequent Episode (Episode Gap)	GAPLENGTH	<b>Details:</b> Episode Gap. If EPISODENUM = 1, the GAPLENGTH is the number of days between the end of EPISODENUM 1 and EPISODENUM 2. If EPISODENUM = n, the GAPLENGTH is the number of days between the end of EPISODENUM n and EPISODENUM n+1. <b>For the patient's last episode, GAPNUM should be set to 999, and the gap should represent the number days from the end of the last episode to enrollment end/death/dp end date/query end date.</b>
Number of medical encounters	EXACTNUMVISIT	<b>Details:</b> The total number of medical encounters during the requester-defined evaluation period.  Format: Numeric
Number of ambulatory encounters	NUMVISITS_AV	<b>Details:</b> The total number of ambulatory encounters during the requester-defined evaluation period.  Format: Numeric
Number of other ambulatory encounters	NUMVISITS_OA	<b>Details:</b> The total number of other ambulatory encounters during the requester-defined evaluation period.  Format: Numeric
Number of inpatient encounters	NUMVISITS_IP	<b>Details:</b> The total number of inpatient encounters during the requester-defined evaluation period.  Format: Numeric

Parameter	Field Name	Description
Number of institutional stay encounters	NUMVISITS_IS	<b>Details:</b> The total number of institutional stay encounters during the requester-defined evaluation period.  Format: Numeric
Number of emergency department encounters	NUMVISITS_ED	<b>Details:</b> The total number of emergency department encounters during the requester-defined evaluation period.  Format: Numeric
Stratum that the EXACTNUMVISIT value is in	NUMVISITS	<b>Details:</b> The requester-defined stratum that the EXACTNUMVISIT value is in.  Format: Numeric
Number of generic names	NUMGENERIC	<b>Details:</b> The total number of generic names dispensed during the requester-defined evaluation period.
Number of unique class names	NumClass	<b>Details:</b> The total number of unique class names dispensed during the requester-defined evaluation period.
Number of dispensings	NUMRX	<b>Details:</b> The total number of dispensings during the requester-defined evaluation period.
Comorbidity score category	COMBINED_SCORE	<b>Details:</b> The comorbidity score requester-defined category.  Format: Character(3)
Comorbidity score	COMBINED_SCORE_NUM	The comorbidity score value.  Format: Numeric
Pre-index comorbidity score	CCIELIXGRP	<b>Details:</b> The pre-index comorbidity score requester-defined category.  Format: Character(10)
Index identifier for exposures	DISTINDEXEXP	Underscore-concatenated list of distributed index identifiers associated with exposure.  <b>Format:</b> Character(250)
Index identifier for HOIs	DISTINDEXHOI	Underscore-concatenated list of distributed index identifiers associated with HOI.  <b>Format:</b> Character(250)

**b) [RUNID]\_numcounts.sas7bdat**

The [RUNID]\_numcounts output table is the source dataset for cohort metrics for the T#\_CIDA table in the msoc output folder. The [RUNID]\_numcounts output table contents depend on the type of cohort identification strategy used. Table 63 describes this output table for Type 1 analyses.

**Table 63. [RUNID]\_numcounts Output for Type 1 Analyses**

<b>Variable</b>	<b>Description</b>
GROUP	Cohort name.  <b>Format:</b> Character(30)
TYPE	Identifies the type of analysis performed in the CIDA tool (=1).  <b>Format:</b> Numeric
NPTS	Number of individuals.  <b>Format:</b> Numeric
EPISODES	Number of exposure episodes.  <b>Format:</b> Numeric
DISPENSINGS	Number of dispensing used to define index dates.  <b>Format:</b> Numeric
DAYSUPP	Total days supply associated with dispensing used to define index dates.  <b>Format:</b> Numeric
AMTSUPP	Total supplied amount associated with dispensing used to define index dates.  <b>Format:</b> Numeric
EPS_WEVENTS	0 for Type 1 analyses.  <b>Format:</b> Numeric
ALL_EVENTS	0 for Type 1 analyses.  <b>Format:</b> Numeric
TTE	0 for Type 1 analyses.  <b>Format:</b> Numeric
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  <b>Format:</b> Character(3)
YEAR	Year of index date.  <b>Format:</b> Numeric



Variable	Description
SEX	Sex. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
RACE	Race. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
HISPANIC	Hispanic. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
AGEGROUP	Age Groups. Categories are requester-defined.  <b>Format:</b> Character(variable)
AGEGROUPSORT	Age Group sorting order indicator.  <b>Format:</b> Numeric
MONTH	Month of index date.  <b>Format:</b> Numeric

Table 64 contains specifications for the [RUNID] \_numcounts output table for Type 2 analyses.

**Table 64. [RUNID] \_numcounts Output for Type 2 Analyses**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
TYPE	Identifies the type of analysis performed in the CIDA tool (=2).  <b>Format:</b> Numeric
NPTS	Number of individuals.  <b>Format:</b> Numeric
EPISODES	Number of exposure episodes.  <b>Format:</b> Numeric
DISPENSINGS	Number of dispensing used to create exposure episodes.  <b>Format:</b> Numeric
DAYSUPP	Total days supply associated with dispensing used to create exposure episodes.  <b>Format:</b> Numeric
AMTSUPP	Total supplied amount associated with dispensing used to create exposure episodes.  <b>Format:</b> Numeric

Variable	Description
EPS_WEVENTS	Number of exposure episodes with an HOI.  <b>Format:</b> Numeric
ALL_EVENTS	Total number of HOIs in all exposure episodes. For characterization purposes only. Days-at-risk stop accumulating after the first HOI during an exposure episode. ALL_EVENTS/TTE should never be calculated. This variable value just reports the number of times during treatment episodes that the HOI definition was met.  <b>Format:</b> Numeric
TTE	Days at-risk.  <b>Format:</b> Numeric
LEVEL	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  <b>Format:</b> Character(3)
YEAR	Year of index date.  <b>Format:</b> Numeric
SEX	Sex. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
RACE	Race. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
HISPANIC	Hispanic. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
AGEGROUP	Age Groups. Categories are requester-defined.  <b>Format:</b> Character(variable)
AGEGROUPSORT	Age Group sorting order indicator.  <b>Format:</b> Numeric
MONTH	Month of index date.  <b>Format:</b> Numeric

Table 65 contains specifications for the [RUNID] \_numcounts output table for Type 3 analyses.

**Table 65. [RUNID] \_numcounts Output for Type 3 Analyses**

<b>Variable</b>	<b>Description</b>
GROUP	Cohort name. <b>Format:</b> Character(30)
TYPE	Identifies the type of analysis performed in the CIDA tool (=3). <b>Format:</b> Numeric
LEVEL	Stratification identifier. <b>Format:</b> Character(3)
YEAR	Year of index date. <b>Format:</b> Numeric
SEX	Sex. Allowable values are those in the SCDM. <b>Format:</b> Character(1)
RACE	Race. Allowable values are those in the SCDM. <b>Format:</b> Character(1)
HISPANIC	Hispanic. Allowable values are those in the SCDM. <b>Format:</b> Character(1)
AGEGROUP	Age Groups. Categories are requester-defined. Age defined at index date. <b>Format:</b> Character (variable)
AGEGROUPNUM	Numeric identifier of each AGEGROUP value. <b>Format:</b> Numeric
MONTH	Month of index date. <b>Format:</b> Numeric
TTE_VALUE	Stratification variable. All available time to event values (e.g., -2 -1, 0, 1, 2, 3, etc.). Blank TTE_VALUE may be used to characterize patients in the exposure cohort only. If an HOI is observed on the day of exposure, TTE=0 (i.e., exposure date is day 0). <b>Format:</b> Numeric
NPTS_EXPOSURE	Number of patients identified in the exposure cohort. <b>Format:</b> Numeric
EPISODES_EXPOSURE	Number of index dates (exposure episodes) identified for all members in the exposure cohort. Relevant for requests that allow more than one exposure episode per patient. <b>Format:</b> Numeric

Variable	Description
NPTS_CENSOR_ELIG	Number of patients excluded from the analysis cohort due to insufficient post-exposure continuous enrollment during the risk and control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.  <b>Format:</b> Numeric
NPTS_CENSOR_DTH	Number of patients excluded from the analysis cohort due to evidence of death during the risk or control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.  <b>Format:</b> Numeric
NPTS_CENSOR_NOEVENTS	Number of patients excluded from the analysis cohort due to no identified events during either the risk or control windows.  <b>Format:</b> Numeric
EPISODES_CENSOR_ELIG	Number of exposure episodes excluded from the analysis cohort due to insufficient post-exposure continuous enrollment during the risk and control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.  <b>Format:</b> Numeric
EPISODES_CENSOR_DTH	Number of exposure episodes excluded from the analysis cohort due to evidence of death during the risk or control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.  <b>Format:</b> Numeric
EPISODES_CENSOR_NOEVENTS	Number of exposure episodes excluded from the analysis cohort due to no identified events during either the risk or control windows.  <b>Format:</b> Numeric
NPTS_ANALYSIS	Number of patients identified in the analytic cohort.  <b>Format:</b> Numeric
EPISODES_ANALYSIS	Number of index dates (exposure episodes) identified for all members in the analytic cohort. Relevant for requests that allow more than one exposure episode per patient.  <b>Format:</b> Numeric
EVENTS_ANALYSIS_RISK	Number of events identified in the risk window for patients in the analytic cohort.  <b>Format:</b> Numeric
EVENTS_ANALYSIS_CTRL	Number of events identified in the control window for patients in the analytic cohort.  <b>Format:</b> Numeric

Variable	Description
MINDAYS_EVENT_ANALYSIS	The minimum number of days from exposure to event (event date – exposure date +1 for post-exposure events; exposure date- event date +1 for pre-exposure events). Relevant for members of the analytic cohort only.  <b>Format:</b> Numeric
MAXDAYS_EVENT_ANALYSIS	The maximum number of days from exposure to event (event date – exposure date +1 for post-exposure events; exposure date- event date +1 for pre-exposure events). Relevant for members of the analytic cohort only.  <b>Format:</b> Numeric
MINDAYS_POSTENR_EXPOSURE	The minimum number of days of post-exposure enrollment for the exposure cohort (enrollment end – exposure date).  <b>Format:</b> Numeric
MAXDAYS_POSTENR_EXPOSURE	The maximum number of days of post-exposure enrollment for the exposure cohort (enrollment end – exposure date).  <b>Format:</b> Numeric

Table 66 contains specifications for the *[RUNID]\_mstr\_censor* output table for Type 3 analyses. This output file is similar to *[RUNID]\_mstr* output table for Type 3 analyses, except it 1) includes information for patients that do not meet post-exposure enrollment requirements and 2) includes an additional variable “TTC” for time-to-censor.

**Table 66. [RUNID]\_mstr\_censor Output for Type 3 Analyses**

Variable	Description
GROUP	Cohort name.  <b>Format:</b> Character(30)
TYPE	Identifies the type of analysis performed in the CIDA tool (=3).  <b>Format:</b> Numeric
PATID	Individual identifier.  <b>Format:</b> Character(variable)
INDEXDT	Index date.  <b>Format:</b> Numeric (date9.)
ENR_START	Start of enrollment span where index exposure was identified.  <b>Format:</b> Numeric (date9.)
ENR_END	End of enrollment span where index exposure was identified.  <b>Format:</b> Numeric (date9.)

Variable	Description
YEAR	Year of index date.  <b>Format:</b> Numeric
FEVENTDT	HOI date.  <b>Format:</b> Numeric (date9.)
TTC	Number of days from index to censoring criterion. Patients may be censored due to disenrollment or death.  <b>Format:</b> Numeric
AGE	Age at index date.  <b>Format:</b> Numeric
BIRTH_DATE	Birth Date.  <b>Format:</b> Numeric (MMDDYY10.)
SEX	Sex. Allowable values are those in the SCDM Demographic table.  <b>Format:</b> Character(1)
RACE	Race. Allowable values are those in the SCDM Demographic table.  <b>Format:</b> Character(1)
HISPANIC	Hispanic. Allowable values are those in the SCDM Demographic table.  <b>Format:</b> Character(1)
AGEGROUP	Age Group at index date.  <b>Format:</b> Character(variable)
MINENROLHOIMET	Indicates if minimum enrollment requirements to determine HOI incidence are met. Will equal 1 if requirement is met; will be set to missing when enrollment is sufficient to determine exposure incidence but insufficient to determine HOI incidence (when a window start date is prior to enrollment start date).  <b>Format:</b> Numeric
WINOFEVENT	Indicates whether the HOI occurred during the risk or control window. Valid values include "RISK" and "CTRL".  <b>Format:</b> Character(4)
PT_ANALYSIS_EPISODE_NUM	Indicates the episode number for a patient in the analysis cohort. When T3COHORTDEF=02 a patient can have multiple episodes. This indicator can take any integer value.  <b>Format:</b> Numeric

Variable	Description
PT_EXPOSURE_EPISODE_NUM	<p>Indicates the episode number for a patient in the exposure cohort. When T3COHORTDEF=02 a patient can have multiple episodes. This indicator can take any integer value.</p> <p><b>Format:</b> Numeric</p>
CENSORDEATH	<p>Indicates if exposure episode was censored due to death. CENSORDEATH =1 if censored; else 0. Note: if death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
CENSORENROL	<p>Indicates if exposure episode was censored due to disenrollment. CENSORENROL =1 if censored; else 0. Note: if death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
ANALYSISCOHORT	<p>Indicates if patient's exposure episode contributed to the analytic cohort. Will =1 for yes, =0 for no.</p> <p><b>Format:</b> Numeric</p>
DAYS_FROM_EXPOS_TO_EVENT	<p>Number of days from the index date to the HOI date.</p> <p><b>Format:</b> Numeric</p>
DAYS_FROM_EXPOS_TO_CTRL_EVENT	<p>Number of days from the index date to the control interval HOI date.</p> <p><b>Format:</b> Numeric</p>
DAYS_FROM_EXPOS_TO_RISK_EVENT	<p>Number of days from the index date to the risk interval HOI date.</p> <p><b>Format:</b> Numeric</p>
POSTENR_EXPOSURE	<p>Number of days of continuous enrollment post-exposure. Calculated as Enr_End – IndexDt +1.</p> <p><b>Format:</b> Numeric</p>

Table 67 contains specifications for the [RUNID]\_numcounts\_censor output table for Type 3 analyses. This output file is similar to [RUNID]\_numcounts output table for Type 3 analyses, except it 1) includes information for patients that do not meet post-exposure enrollment requirements and 2) includes an additional variable "TTC\_VALUE" for time-to-censor information.



**Table 67. [RUNID] \_numcounts\_censor Output for Type 3 Analyses**

<b>Variable</b>	<b>Description</b>
GROUP	Cohort name. <b>Format:</b> Character(30)
TYPE	Identifies the type of analysis performed in the CIDA tool (=3). <b>Format:</b> Numeric
LEVEL	Stratification identifier. <b>Format:</b> Character(3)
YEAR	Year of index date. <b>Format:</b> Numeric
SEX	Sex. Allowable values are those in the SCDM. <b>Format:</b> Character(1)
RACE	Race. Allowable values are those in the SCDM. <b>Format:</b> Character(1)
HISPANIC	Hispanic. Allowable values are those in the SCDM. <b>Format:</b> Character(1)
AGEGROUP	Age Groups. Categories are requester-defined. Age defined at index date. <b>Format:</b> Character (variable)
AGEGROUPNUM	Numeric identifier of each AGEGROUP value. <b>Format:</b> Numeric
MONTH	Month of index date. <b>Format:</b> Numeric
TTE_VALUE	Stratification variable. All available time to event values (e.g., -2 -1, 0, 1, 2, 3, etc.). Blank TTE_VALUE may be used to characterize patients in the exposure cohort only. If an HOI is observed on the day of exposure, TTE=0 (i.e., exposure date is day 0). <b>Format:</b> Numeric
TTC_VALUE	Stratification variable. All available time to censor values (e.g., 0, 1, 2, 3, etc.). Blank TTC_VALUE may be used to characterize patients in the exposure cohort only. If the last day of follow-up is the index date, TTC_VALUE=0. <b>Format:</b> Numeric
NPTS_EXPOSURE	Number of patients identified in the exposure cohort. <b>Format:</b> Numeric

Variable	Description
EPISODES_EXPOSURE	<p>Number of index dates (exposure episodes) identified for all members in the exposure cohort. Relevant for requests that allow more than one exposure episode per patient.</p> <p><b>Format:</b> Numeric</p>
NPTS_CENSOR_ELIG	<p>Number of patients excluded from the analysis cohort due to insufficient post-exposure continuous enrollment during the risk and control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
NPTS_CENSOR_DTH	<p>Number of patients excluded from the analysis cohort due to evidence of death during the risk or control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
NPTS_CENSOR_NOEVENTS	<p>Number of patients excluded from the analysis cohort due to no identified events during either the risk or control windows.</p> <p><b>Format:</b> Numeric</p>
EPISODES_CENSOR_ELIG	<p>Number of exposure episodes excluded from the analysis cohort due to insufficient post-exposure continuous enrollment during the risk and control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
EPISODES_CENSOR_DTH	<p>Number of exposure episodes excluded from the analysis cohort due to evidence of death during the risk or control windows. If death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Format:</b> Numeric</p>
EPISODES_CENSOR_NOEVENTS	<p>Number of exposure episodes excluded from the analysis cohort due to no identified events during either the risk or control windows.</p> <p><b>Format:</b> Numeric</p>
NPTS_ANALYSIS	<p>Number of patients identified in the analytic cohort.</p> <p><b>Format:</b> Numeric</p>
EPISODES_ANALYSIS	<p>Number of index dates (exposure episodes) identified for all members in the analytic cohort. Relevant for requests that allow more than one exposure episode per patient.</p> <p><b>Format:</b> Numeric</p>

Variable	Description
EVENTS_ANALYSIS_RISK	Number of events identified in the risk window for patients in the analytic cohort.  <b>Format:</b> Numeric
EVENTS_ANALYSIS_CTRL	Number of events identified in the control window for patients in the analytic cohort.  <b>Format:</b> Numeric
MINDAYS_EVENT_ANALYSIS	The minimum number of days from exposure to event (event date – exposure date +1 for post-exposure events; exposure date- event date +1 for pre-exposure events). Relevant for members of the analytic cohort only.  <b>Format:</b> Numeric
MAXDAYS_EVENT_ANALYSIS	The maximum number of days from exposure to event (event date – exposure date +1 for post-exposure events; exposure date- event date +1 for pre-exposure events). Relevant for members of the analytic cohort only.  <b>Format:</b> Numeric
MINDAYS_POSTENR_EXPOSURE	The minimum number of days of post-exposure enrollment for the exposure cohort (enrollment end – exposure date).  <b>Format:</b> Numeric
MAXDAYS_POSTENR_EXPOSURE	The maximum number of days of post-exposure enrollment for the exposure cohort (enrollment end – exposure date).  <b>Format:</b> Numeric

c) **[RUNID]\_denomcounts.sas7bdat**

The [RUNID]\_denomcounts output table is the source dataset for eligible members and member-days metrics for the T1\_CIDA and T2\_CIDA tables in the *msoc* output folder.

Table 68 describes this output.

**Table 68. [RUNID]\_denomcounts Output**

<b>Variable</b>	<b>Description</b>
<b>GROUP</b>	Cohort name.  <b>Format:</b> Character(30)
<b>LEVEL</b>	Stratification identifier. Each unique combination of strata ( <i>i.e.</i> , variables bolded in the Variable column) receives a unique level value that remains consistent across requests. This allows for simpler development of reusable report generation tools.  <b>Format:</b> Character(3)
<b>YEAR</b>	Year of index date.  <b>Format:</b> Numeric
<b>SEX</b>	Sex. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>RACE</b>	Race. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>HISPANIC</b>	Hispanic. Allowable values are those in the SCDM.  <b>Format:</b> Character(1)
<b>AGEGROUPSORT</b>	Age Group sorting order indicator.  <b>Format:</b> Numeric
<b>MONTH</b>	Month of index date.  <b>Format:</b> Numeric
<b>DENNUMPTS</b>	Number of eligible individuals.  <b>Note:</b> For requests that will use the prospective surveillance with propensity score matched design options 1 or 2, this will be blank.  <b>Format:</b> Numeric
<b>DENNUMMEMDAYS</b>	Number of eligible days.  <b>Note:</b> For requests that will use the prospective surveillance with propensity score matched design options 1 or 2, this will be blank.  <b>Format:</b> Numeric

**d) [RUNID]\_ads\_mstr\_[PERIODID].sas7bdat**

The [RUNID]\_ads\_mstr\_[PERIODID] output table may be generated for all Types of requests depending on the value selected for the ANALYSIS parameter in the run\_programs.sas input file. The output file

contains one record per PATID per GROUP when the T#COHORTDEF parameter is set to “01”. Note that if T#COHORTDEF is set to “02” or “03” or “04”, PATIDs may appear multiple times in this output file. Table 69 describes this output table.

**Table 69. [RUNID] \_ads\_mstr\_ [PERIODID] Output**

<b>Variable</b>	<b>Description</b>
GROUP	Cohort Name.  <b>Format:</b> Character(30)
PATID	Individual Identifier (PatID in SDD).  <b>Format:</b> Character(30)
YEAR	Year of index date.  <b>Format:</b> Numeric
INDEXDT	Index date.  <b>Format:</b> Date
LastLookFollowed	When an individual is lost to follow up (data is no longer being updated), this is the last look # the individual contributed data to. If an individual is still eligible to be followed in subsequent looks, this is set to 0.  <b>Note 1:</b> Type 2 requests only.  <b>Format:</b> Numeric
LastLookFollowedDt	The last date of the Look specified in LastLookFollowed. Set to missing if LastLookFollowed = 0.  <b>Note 1:</b> Type 2 requests only.  <b>Format:</b> Numeric (date9.)
EVENTDT	Date of HOI, if it occurred during the time period (PERIODID). This field is only populated if the HOI occurs prior to, or on the same day as, censoring (i.e. if the patient is censored prior to the HOI date, the HOI date is set to missing), and if the HOI occurred during the time period.  <b>Note 1:</b> blank for Type 1 requests.  <b>Format:</b> Date
AGE	Age as of index date, calculated as (INDEXDT – BIRTH_DATE)/365.25. It is not rounded to the nearest integer, but rather kept in decimal form.  <b>Format:</b> Numeric

Variable	Description
SEX	Sex as defined in SDD Demographic table.  <b>Format:</b> Character(1)
RACE	Race as defined in SDD Demographic table.  <b>Format:</b> Character(1)
HISPANIC	Hispanic as defined in SDD Demographic table.  <b>Format:</b> Character(1)
AGE_CAT	Requester-defined age category. Determined by AGESTRAT macro parameter values.  <b>Format:</b> Character(9)
NUMAV	Visit count for AV encounter type. One visit allowed per encounter, per day.  <b>Note 1:</b> this metric is automatically calculated using the medical utilization module ( <a href="#">Medical Utilization File</a> ).  <b>Format:</b> Numeric
NUMOA	Visit count for OA encounter type. One visit allowed per encounter, per day.  <b>Note 1:</b> this metric is automatically calculated using the medical utilization module ( <a href="#">Medical Utilization File</a> ).  <b>Format:</b> Numeric
NUMIP	Visit count for IP encounter type. One visit allowed per encounter, per day.  <b>Note 1:</b> this metric is automatically calculated using the medical utilization module ( <a href="#">Medical Utilization File</a> ).  <b>Format:</b> Numeric
NUMIS	Visit count for IS encounter type. One visit allowed per encounter, per day.  <b>Note 1:</b> this metric is automatically calculated using the medical utilization module ( <a href="#">Medical Utilization File</a> ).  <b>Format:</b> Numeric
NUMED	Visit count for ED encounter type. One visit allowed per encounter, per day.  <b>Note 1:</b> this metric is automatically calculated using the medical utilization module ( <a href="#">Medical Utilization File</a> ).  <b>Format:</b> Numeric

Variable	Description
COMORBIDSCORE	<p>Combined Charlson-Elixhauser Comorbidity Score (exact value).  <b>Note 1:</b> this metric is calculated using the combined comorbidity score module (<a href="#">Comorbidity Score File</a>).</p> <p><b>Format:</b> Numeric</p>
COVAR1-COVARN	<p>Boolean indicating whether or not patient had covariate in the covariate evaluation window. Number of COVARN variables determined from number of distinct STUDYNAME values in the <a href="#">Covariate Codes File</a>.</p> <p><b>Format:</b> Numeric</p>
NUMGENERIC	<p>Count of unique generic names dispensed during covariate evaluation window.</p> <p><b>Format:</b> Numeric</p>
NUMCLASS	<p>Count of unique class names dispensed during covariate evaluation window.</p> <p><b>Format:</b> Numeric</p>
NUMRX	<p>Count of dispensings during the covariate evaluation window.</p> <p><b>Format:</b> Numeric</p>
FOLLOWUPTIME_ITT	<p>Relevant only when exposed time is assessed as a requester-defined number of days (<i>i.e.</i>, ITTDAYS is populated in <a href="#">Type 2 File</a>). Number of days that the patient is followed for HOI or censoring. Variable is calculated using the earliest of 1) end of exposure period; 2) disenrollment; 3) any additional censoring criteria [defined using CIDA tool]; and 4) the HOI date.</p> <p><b>Note 1:</b> value will be missing if exposed time was assessed using dispensings' days supply.</p> <p><b>Note 2:</b> Type 2 requests only.</p> <p><b>Format:</b> Numeric</p>
EVENT_ITT	<p>Relevant only when exposed time is assessed as a requester-defined number of days (<i>i.e.</i>, ITTDAYS is populated in the <a href="#">Type 2 File</a>). Boolean indicator of whether or not patient had HOI during the time period (PERIODID). This is set to 1 when the EVENTDT is the same as the end of follow-up for the patient (<i>i.e.</i> when the follow-up is ended by an HOI).</p> <p><b>Note 1:</b> value will be 0 if exposed time was assessed using dispensings' days supply.</p> <p><b>Note 2:</b> Type 2 requests only.</p> <p><b>Format:</b> Numeric</p>



Variable	Description
FOLLOWUPTIME_ASTREATED	<p>Relevant only when exposed time is assessed using dispensings' days supply (<i>i.e.</i>, ITTDAYS is missing in the CIDA tool <a href="#">Type 2 File</a>). Number of days that the patient is followed for either the HOI or censoring. Variable is calculated using the earliest of 1) end of exposure period; 2) disenrollment; 3) any additional censoring criteria [defined using CIDA tool]; and 4) the HOI date.</p> <p><b>Note 1:</b> value will be missing if exposed time was assessed using requester-defined number of days.</p> <p><b>Note 2:</b> Type 2 requests only.</p> <p><b>Format:</b> Numeric</p>
EVENT_ASTREATED	<p>Relevant only when exposed time is assessed using dispensings' days supply (<i>i.e.</i>, ITTDAYS is missing in the <a href="#">Type 2 File</a>). Boolean indicator of whether or not patient had an HOI. This is set to 1 when the EVENTDT is the same as the end of follow-up for the patient (<i>i.e.</i> when the follow-up is ended by an HOI).</p> <p><b>Note 1:</b> value will be 0 if exposed time was assessed using requester-defined number of days.</p> <p><b>Note 2:</b> Type 2 requests only.</p> <p><b>Format:</b> Numeric</p>
TTE	<p>Days at-risk.</p> <p><b>Note 1:</b> Type 2 requests only.</p> <p><b>Format:</b> Numeric</p>
NUMEVENTS	<p>Total number of HOIs observed during the exposure episode.</p> <p><b>Note 1:</b> Type 2 requests only.</p> <p><b>Format:</b> Numeric</p>
EPISODETYPE	<p>Indicates if exposed time is requester-defined number of days (value will be ITT) or determined using dispensing days supplied (value will be EPI).</p> <p><b>Note 1:</b> Type 2 requests only.</p> <p><b>Format:</b> Character(3)</p>
TIME	<p>Variable indicating during which time period the patient was selected (<i>e.g.</i>, TIME = 1 indicates the patient was selected in PERIODID 1, even if the current PERIODID being executed is not the first).</p> <p><b>Format:</b> Numeric</p>

Variable	Description
CENSORDEATH	<p>Indicates if exposure episode was censored due to death. CENSORDEATH =1 if censored; else 0. Note: if death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Note 1:</b> Type 3 requests only.</p> <p><b>Format:</b> Numeric</p>
CENSORENROL	<p>Indicates if exposure episode was censored due to disenrollment. CENSORENROL =1 if censored; else 0. Note: if death and disenrollment occur on the same day, censoring will be attributed to death.</p> <p><b>Note 1:</b> Type 3 requests only.</p> <p><b>Format:</b> Numeric</p>
ANALYSISCOHORT	<p>Indicates if patient's exposure episode contributed to the analytic cohort. Will =1 for yes, =0 for no.</p> <p><b>Note 1:</b> Type 3 requests only.</p> <p><b>Format:</b> Numeric</p>
DAYS_FROM_EXPOS_TO_EVENT	<p>Number of days from the index date to the HOI date.</p> <p><b>Note 1:</b> Type 3 requests only.</p> <p><b>Format:</b> Numeric</p>
DAYS_FROM_EXPOS_TO_CTRL_EVENT	<p>Number of days from the index date to the control interval HOI date.</p> <p><b>Note 1:</b> Type 3 requests only.</p> <p><b>Format:</b> Numeric</p>
DAYS_FROM_EXPOS_TO_RISK_EVENT	<p>Number of days from the index date to the risk interval HOI date.</p> <p><b>Note 1:</b> Type 3 requests only.</p> <p><b>Format:</b> Numeric</p>
DELNUM	<p>Delivery number for the patient. This is the overall delivery number for the patient and is not confined to valid deliveries</p> <p><b>Note 1:</b> Type 4 requests only.</p> <p><b>Format:</b> Numeric</p>
EPISODENUM	<p>Episode number, in sequential order (starting at 1), by PatID.</p> <p><b>Note 1:</b> Type 5 requests only.</p> <p><b>Format:</b> Numeric</p>

### e) Output Files for High-Dimensional Propensity Score and for Most Frequent Utilization

Eleven output tables are created for each execution of the CIDA tool if the most frequent utilization file is requested (MFUFILE is assigned) or high-dimensional propensity score matched analyses are planned (ANALYSIS=PS or ADS; HDPS=Y):

- [RUNID]\_claims\_drugclass.sas7bdat
- [RUNID]\_claims\_cpt.sas7bdat
- [RUNID]\_claims\_hcpcs.sas7bdat
- [RUNID]\_claims\_icdpx09.sas7bdat
- [RUNID]\_claims\_icddx09.sas7bdat
- [RUNID]\_claims\_icdpx10.sas7bdat
- [RUNID]\_claims\_icddx10.sas7bdat
- [RUNID]\_claims\_dxot.sas7bdat (specific to Most Frequent Utilization analysis only)
- [RUNID]\_claims\_pxot.sas7bdat (specific to Most Frequent Utilization analysis only)
- [RUNID]\_claims\_lab.sas7bdat (specific to Most Frequent Utilization analysis only)
- [RUNID]\_claims\_rx.sas7bdat (specific to Most Frequent Utilization analysis only)

These eleven datasets represent all codes for a given data dimension during the widest of covariate evaluation window and most frequent use window, and are only generated for the last PERIODID specified by the CIDA tool (i.e., the longest query period that encompasses the date ranges of all prior PERIODIDs). A dataset will be created for each of the following data dimensions: drug class, dispensings, labs, ICD-9-CM diagnosis, ICD-10-CM diagnosis, ICD-9-CM procedure, ICD-10-CM procedure, CPT, and HCPCS. These datasets are not de-duped and are only created for the last PERIODID value processed by the CIDA tool. Table 70 represents the output for all seven data dimension files, with the dimension denoted as *typeid*.

**Table 70. claims\_[typeid] Output**

Variable	Description
PatID	Patient Identifier.
GROUP	Cohort name.
Code	Code (or drug class).
IndexDt	Index Date.
ADate	Claim Date.
RxSup	Days supplied.  <b>Note:</b> Applies to RX only.
RxAmt	Amount supplied.  <b>Note:</b> Applies to RX only.
DX_CodeType	Diagnosis code type.  <b>Note:</b> Applies to ICD-9-CM diagnosis, ICD-10-CM diagnosis, and Other diagnosis only.
EncType	Encounter type.

Variable	Description
	<b>Note:</b> Applies to ICD-9-CM diagnosis, ICD-10-CM diagnosis, Other diagnosis, CPT, HCPCS, ICD-9-CM procedure, ICD-10-CM procedure, and Other procedure only.
PDX	Principal discharge diagnosis flag.  <b>Note:</b> Applies to ICD-9-CM diagnosis, ICD-10-CM diagnosis, and Other diagnosis only.
PX_CodeType	Procedure code type.  <b>Note:</b> Applies to labs, CPT, HCPCS, ICD-9-CM procedure, ICD-10-CM procedure, and Other procedure only.
RESULT_TYPE	Type of result (qualitative or quantitative).  <b>Note:</b> Applies to labs only.
LOINC	Logical Observation Identifiers, Names, and Codes.  <b>Note:</b> Applies to labs only.
PX	Procedure code  <b>Note:</b> Applies to labs only.
MS_TEST_NAME	Abbreviation for the type of lab test.  <b>Note:</b> Applies to labs only.
SPECIMEN_SOURCE	Specimen source for MS_Test_Name.  <b>Note:</b> Applies to labs only.
MS_TEST_SUB_CATEGORY	Sub-category for MS_Test_Name.  <b>Note:</b> Applies to labs only.
MS_RESULT_UNIT	Converted/standardized units for the result  <b>Note:</b> Applies to labs only.
FAST_IND	Fasting Indicator  <b>Note:</b> Applies to labs only.
PT_LOC	Patient location where the lab specimen was obtained.  <b>Note:</b> Applies to labs only.

f) [RUNID]\_ctrl.sas7bdat

The [RUNID] \_ctrl output table is generated for Type 4 requests. [RUNID]\_ctrl describes the comparator cohort for the pregnancy episodes cohort identification strategy. Variables specific to pregnancy episodes are the values that correspond to the matched pregnancy episode (Table 71).

**Table 71. [RUNID] \_ctrl Output**

Variable	Description
GROUP	Cohort name. <b>Format:</b> Character(30)
PATID	Individual identifier. <b>Format:</b> Character(variable)
INDEXDT	Index date (pregnancy start date). Can be determined by a preterm/postterm code. <b>Format:</b> Numeric (date9.)
ENR_START	Start of enrollment span where index exposure was identified. <b>Format:</b> Numeric (date9.)
ENR_END	End of enrollment span where index exposure was identified. <b>Format:</b> Numeric (date9.)
EPISODEENDDT	Pregnancy episode end date (delivery). <b>Format:</b> Numeric (date9.)
AGE	Age at index date. <b>Format:</b> Numeric
BIRTH_DATE	Birth Date. <b>Format:</b> Numeric (MMDDYY10.)
SEX	Sex. Allowable values are those in the SCDM Demographic table. <b>Format:</b> Character(1)
RACE	Race. Allowable values are those in the SCDM Demographic table. <b>Format:</b> Character(1)
HISPANIC	Hispanic. Allowable values are those in the SCDM Demographic table. <b>Format:</b> Character(1)
AGEGROUP	Age Group at delivery date. <b>Format:</b> Character(variable)
FEVENTDT	Blank for Type 4 analyses. <b>Format:</b> Numeric

Variable	Description
CONCWASHPER	Washout used to determine incidence of medical product use episodes with respect to delivery episodes when assessing “trimester only” indicators  <b>Format:</b> Numeric
HADPRETERM	Indicator if pregnancy episode has a preterm birth code  <b>Format:</b> Numeric
HADPOSTTERM	Indicator if pregnancy episode has a postterm birth code  <b>Format:</b> Numeric
HADNONE	Indicator if pregnancy episode has neither a preterm or a postterm birth code  <b>Format:</b> Numeric
PREPOSTIND	Indicator for type of Preterm/Postterm code (PRETERM, POSTTERM, TERM, NONE)  <b>Format:</b> Character (10)
PREGDURCODE	Prioritygroup1/Prioritygroup2 code, if any  <b>Format:</b> Character (11)
HAS3TRIM	Indicates if the pregnancy episode reaches the 3 <sup>rd</sup> trimester  <b>Format:</b> Numeric
DELNUM	Delivery number for the patient. This is the overall delivery number for the patient and is not confined to valid deliveries  <b>Format:</b> Numeric
YEAR	Year of delivery date.  <b>Format:</b> Numeric
EXACTNUMVISIT	The total number of medical encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_AV	The total number of ambulatory encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_OA	The total number of other ambulatory encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric
NUMVISITS_IP	The total number of inpatient encounters during the requester-defined evaluation period.  <b>Format:</b> Numeric

Variable	Description
NUMVISITS_IS	<p>The total number of institutional stay encounters during the requester-defined evaluation period.</p> <p><b>Format:</b> Numeric</p>
NUMVISITS_ED	<p>The total number of emergency department encounters during the requester-defined evaluation period.</p> <p><b>Format:</b> Numeric</p>
NUMVISITS	<p>The requester-defined stratum that the EXACTNUMVISIT value is in.</p> <p><b>Format:</b> Numeric</p>
NUMGENERIC	<p>The total number of generic names dispensed during the requester-defined evaluation period.</p> <p><b>Format:</b> Numeric</p>
NumClass	<p>The total number of unique class names dispensed during the requester-defined evaluation period.</p> <p><b>Format:</b> Numeric</p>
NUMRX	<p>The total number of dispensings during the requester-defined evaluation period.</p> <p><b>Format:</b> Numeric</p>
COMBINED_SCORE	<p>The comorbidity score requester-defined category.</p> <p><b>Format:</b> Character(3)</p>
COMBINED_SCORE_NUM	<p>The comorbidity score value.</p> <p><b>Format:</b> Numeric</p>
CCIELIXGRP	<p>The pre-index comorbidity score requester-defined category.</p> <p><b>Format:</b> Character(10)</p>

**g) [RUNID]\_alldeliveries.sas7bdat**

The [RUNID]\_alldeliveries output table is generated for Type 4 requests. [RUNID]\_alldeliveries contains all deliveries contained in [RUNID]\_mstr, plus those that do not meet the enrollment eligibility criterion. It is used to compute eligible pregnancy episodes by days of continuous enrollment prior to delivery date (Table 72).

**Table 72. [RUNID]\_alldeliveries Output**

<b>Variable</b>	<b>Description</b>
GROUP	Cohort name. <b>Format:</b> Character(30)
PATID	Individual identifier. <b>Format:</b> Character(variable)
ENR_START	Start of enrollment span where index exposure was identified. <b>Format:</b> Numeric (date9.)
ENR_END	End of enrollment span where index exposure was identified. <b>Format:</b> Numeric (date9.)
EPISODESTARTDT	Pregnancy episode start date. Can be determined by a preterm/postterm code. <b>Format:</b> Numeric (MMDDYY10.)
EPISODEENDDT	Pregnancy episode end date (delivery). <b>Format:</b> Numeric (date9.)
AGEGROUP	Age Group. <b>Format:</b> Character(variable)
PSADATE	Preterm/Postterm code date, if any <b>Format:</b> Numeric (MMDDYY10.)
DELNUM	Delivery number for the patient. <b>Format:</b> Numeric
PREPOSTIND	Indicator for type of Preterm/Postterm code (PRETERM, POSTTERM, NONE). <b>Format:</b> Character (10)
HADPRETERM	Indicator if pregnancy episode has a preterm birth code <b>Format:</b> Numeric
HADPOSTTERM	Indicator if pregnancy episode has a postterm birth code <b>Format:</b> Numeric



Variable	Description
HADNONE	Indicator if pregnancy episode has neither a preterm or a postterm birth code <b>Format:</b> Numeric
DEATHDT	Date on which the individual died, if any. (value will be set to missing if the individual did not die) <b>Format:</b> Numeric (date9.)
ENRDAYSFLOOR	Minimum number of days of continuous enrollment required prior to the delivery date. <b>Format:</b> Numeric
ELIGDAYS	Number of days of continuous enrollment prior to EpisodeEndDate (delivery date). <b>Format:</b> Numeric

#### h) [RUNID]\_sec.sas7bdat

The [RUNID]\_sec output table is generated for Type 4 requests. [RUNID]\_sec contains each medical product of interest episode for both the pregnancy and comparator cohorts (Table 73).

**Table 73. [RUNID]\_sec Output**

Variable	Description
GROUP	Cohort name. <b>Format:</b> Character(30)
PATID	Individual identifier. <b>Format:</b> Character(variable)
ENR_START	Start of enrollment span where index exposure was identified. <b>Format:</b> Numeric (date9.)
ENR_END	End of enrollment span where index exposure was identified. <b>Format:</b> Numeric (date9.)
INDEXDT	Index date for medical product episode <b>Format:</b> Numeric (date9.)
EPISODEENDDT	End date for medical product episode <b>Format:</b> Numeric (date9.)
BIRTH_DATE	Birth Date. <b>Format:</b> Numeric (MMDDYY10.)
EPISODE	Episode number indicator. Counts the number of episodes within a categorization <b>Format:</b> Numeric

Variable	Description
SEX	Sex. Allowable values are those in the SCDM Demographic table. <b>Format:</b> Character(1)
RACE	Race. Allowable values are those in the SCDM Demographic table. <b>Format:</b> Character(1)
HISPANIC	Hispanic. Allowable values are those in the SCDM Demographic table. <b>Format:</b> Character(1)
MOINAME	Medical product category identifier. <b>Format:</b> Character(9)
ENRDAYSFLOOR	Minimum number of days of continuous enrollment required prior to the delivery date. <b>Format:</b> Numeric
DEATHDT	Date on which the individual died, if any. (value will be set to missing if the individual did not die) <b>Format:</b> Numeric (date9.)

i) **[RUNID]\_pregdurcodes.sas7bdat**

The [RUNID]\_pregdurcodes output table is generated for Type 4 requests. [RUNID]\_pregdurcodes contains gestational age codes for pregnancy episodes in [RUNID]\_mstr (Table 74). In the case when an individual has both Prioritygroup1 and Prioritygroup2 codes observed during an episode, only Prioritygroup1 codes are reported.

**Table 74. [RUNID]\_pregdurcodes Output**

Variable	Description
GROUP	Cohort name. <b>Format:</b> Character(30)
PATID	Individual identifier. <b>Format:</b> Character(variable)
PRETERM	Indicator if pregnancy episode has a preterm birth code <b>Format:</b> Numeric
POSTTERM	Indicator if pregnancy episode has a postterm birth code <b>Format:</b> Numeric
CODE	Preterm/Postterm code <b>Format:</b> Character (11)

Variable	Description
DELNUM	Delivery number for the patient. <b>Format:</b> Numeric

#### j) [RUNID]\_dispensings

The [RUNID]\_dispensings output table is generated for Type 5 requests. This output table contains one row per scenario (GROUP), patient (PATID), and and dispensing (RXDATE) and only contains valid claims that occur during valid enrollment spans and episodes.

**Table 75. [RUNID]\_dispensings Output Table**

Parameter	Field Name	Description
Query Start Date	STUDYSTARTDATE	<b>Details:</b> query period start date specified in request.
Query End Date	STUDYENDDATE	<b>Details:</b> query period end date specified in request.
Cohort Name	GROUP	<b>Details:</b> standardized name used to differentiate cohorts.
Patient Identifier	PATID	<b>Details:</b> unique patient identifier in SCDM
Birth Date	BIRTH_DATE	<b>Details:</b> patient birth date in SCDM.
Sex	SEX	<b>Details:</b> patient sex in SCDM.
Age Group	AGEGROUP	<b>Details:</b> patient age group (age calculated at index date)
Race	RACE	<b>Details:</b> patient race in SCDM.
Hispanic Indicator	HISPANIC	<b>Details:</b> patient Hispanic value in SCDM.
Minimum Age Date	MINAGEDATE	<b>Details:</b> first date the patient qualifies for cohort inclusion, based on specified AGESTRAT parameters.
Maximum Age Date	MAXAGEDATE	<b>Details:</b> last date the patient qualifies for cohort inclusion, based on specified AGESTRAT parameters.
Index Date	INDEXDT	<b>Details:</b> start date of the first exposure episode.
Episode Number	EPISODENUM	<b>Details:</b> episode number, in sequential order (starting at 1), by PatID.
Number of Dispensings in Episode	EPISODEDISPENSING	<b>Details:</b> number of dispensings in the episode.
Number of Days of Supply per Episode	EPISODERXSUP	<b>Details:</b> days supply associated with all dispensings in the episode denoted by EPISODENUM.
Dispensing Date	DISP_RXDATE	<b>Details:</b> date of the dispensing. This output table will have one row per GROUP, PATID, and RXDATE.
Dispensing Days of Supply	DISP_RXSUP	<b>Details:</b> days supply associated with a dispensing.

## X. APPENDIX C: PSA TOOL TECHNICAL DOCUMENTATION

The PSA tool is designed to be executed following the execution of the CIDA tool. The CIDA tool identifies and extracts cohorts of interest based on requester-defined parameters, and generates output tables in the *msoc* and *dplocal* folders that are required for subsequent processing with the PSA tool. Specifically, the output tables generated by the CIDA tool that are required for the PSA tool are:

For predefined and high-dimensional propensity score matched analyses:

- `[RUNID]_ads_mstr_[PERIODID].sas7bdat`

For high-dimensional propensity score matched analyses only:

- `[RUNID]_claims_drugclass.sas7bdat`
- `[RUNID]_claims_cpt.sas7bdat`
- `[RUNID]_claims_hcpcs.sas7bdat`
- `[RUNID]_claims_icdpx09.sas7bdat`
- `[RUNID]_claims_icddx09.sas7bdat`
- `[RUNID]_claims_icdpx10.sas7bdat`
- `[RUNID]_claims_icddx10.sas7bdat`

This technical specification document details the lookup tables, program parameters and input files that must be specified to execute the PSA tool. These parameters and files should be included in the program package distributed *in addition* to those necessary for CIDA tool execution, if a propensity score matched analysis is requested.

### A. LOOKUP TABLES, PROGRAM PARAMETERS, AND INPUT FILES

#### 1. Lookup Tables

There are no lookup tables required for the execution of the PSA tool.

#### 2. Main Program Parameters

There are several main program parameters that must be specified. These include defining the covariate selection strategy and number of covariates considered and selected for the hdPS estimation and specifying the name of all input files. These parameter values should be set in a program called `run_programs.sas`, located in the *inputfiles* folder. Note that all main programs parameters specified are fixed for a single execution of the program. Table 76 contains detailed specifications for main program parameters.

**Table 76. PSA Tool Main Program Parameters**

Parameter	Field Name	Description
<u>Comparison File</u>	COMPARISON	<p><b>Details:</b> name of the SAS dataset describing all exposure/comparator pairs that should be evaluated using the PSA tool.</p> <p><b>Note 1:</b> If COMPARISON is specified, MFMFILE input parameter should be blank</p> <p><b>Named by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> .sas7bdat file format  <b>Example:</b> COMPARISON= comparison</p>
Number of Covariates Considered for hdPS Model by Data Dimension	COVARIATES_CONSIDERED	<p><b>Details:</b> relevant for requests calculating a high-dimensional propensity score. Specifies the number of variables from each data dimension (diagnoses, procedures and drug classes) that are considered for selection as a covariate. If not specified, default value is 100.</p> <p><b>Note 1:</b> there are seven data dimensions: drug class, ICD-9-CM diagnosis, ICD-10-CM diagnosis, ICD-9-CM procedure, ICD-10-CM procedure, CPT, HCPCS.</p> <p><b>Note 2:</b> leave blank for requests not calculating a high-dimensional propensity score.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Optional (default values will be used if missing)  <b>Format:</b> Numeric (positive whole numbers)  <b>Example:</b> COVARIATES_CONSIDERED=150</p>
Number of Covariates Selected for hdPS Model	COVARIATES_SELECTED	<p><b>Details:</b> relevant for requests calculating a high-dimensional propensity score. Specifies the total number of empirically identified covariates selected from all the data dimensions combined. These selected covariates are used for the high-dimensional propensity score. If not specified, default value is either 200 or number of new users of study drug, whichever value is smallest.</p> <p><b>Note 1:</b> leave blank for requests not calculating a high-dimensional propensity score.</p>

Parameter	Field Name	Description
		<p><b>Defined by:</b> Requester</p> <p><b>Input type:</b> Optional (default values will be used if missing)</p> <p><b>Format:</b> Numeric (positive whole numbers)</p> <p><b>Example:</b> COVARIATES_SELECTED=150</p>
Method for Selecting Covariates in hdPS Model	RANKING	<p><b>Details:</b> relevant for requests calculating a high-dimensional propensity score. Indicates one of three models for selecting variables.</p> <p>Allowable values:</p> <ul style="list-style-type: none"> <li>• <b>exp_assoc:</b> yields a variable list in which the variables are selected as ranked by the strength of the relationship between confounder and exposure. This is most suitable for cases where there are fewer than 150 exposed outcomes. (default)</li> <li>• <b>outcome_assoc:</b> yields a variable list in which the top k variables are selected as ranked by the strength of the relationship between the confounder and the outcome. This is most suitable for disease risk scores.</li> <li>• <b>bias:</b> yields a variable list in which the top k variables are selected as ranked by the Bross bias formula (here k is the number entered for COVARIATES_SELECTED)</li> </ul> <p><b>Defined by:</b> Requester</p> <p><b>Input type:</b> Optional (default values will be used if missing)</p> <p><b>Format:</b> Character</p> <p><b>Example:</b> RANKING= exp_assoc</p>
Zero Cell Correction for Association with Exposure	ZERO_CELL_CORR	<p><b>Details:</b> indicates whether to screen variables with a zero correction added to each cell in the confounder/outcome 2x2 table. Recommended when the number of exposed outcomes is fewer than 150.</p> <p>Allowable values:</p> <ul style="list-style-type: none"> <li>• <b>0:</b> No</li> <li>• <b>1:</b> Yes (default)</li> </ul> <p><b>Defined by:</b> Requester</p> <p><b>Input type:</b> Optional (default values will be used if missing)</p>

Parameter	Field Name	Description
		<b>Format:</b> Binary <b>Example:</b> ZERO_CELL_CORR =1
<u>Analytic Subgroups File</u>	ANALYTICSUBGROUPS	<b>Details:</b> name of the SAS dataset describing all subgroup analyses requested for each comparison that should be evaluated using the PSA tool.  <b>Named by:</b> Request programmer <b>Input type:</b> Optional <b>Format:</b> .sas7bdat file format <b>Example:</b> ANALYTICSUBGROUPS=analyticsubgroups
Indicator for Individual Level Output Return	INDLEVEL	<b>Details:</b> Specifies whether to write individual level [RUNID]_matched_[COMP]_[Look] files to the SOC subfolder.  Allowable values: <ul style="list-style-type: none"> <li>• <b>Y:</b> Yes</li> <li>• <b>N:</b> No</li> </ul> <b>Defined by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Binary <b>Example:</b> IndLevel = Y
Percentile Stratification	PERCENTILES	<b>Details:</b> Specifies the number of percentiles to group propensity scores for percentile analysis. For example, 10 = deciles.  <b>Defined by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Numeric <b>Example:</b> Percentiles = 10
Return unconditional analysis	UNCONDITIONAL	<b>Details:</b> Specifies whether to create risk sets not stratified by matchID. This allows SOC to perform unconditional analyses.  Allowable values: <ul style="list-style-type: none"> <li>• <b>Y:</b> Yes</li> <li>• <b>N:</b> No</li> </ul> <b>Defined by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Binary <b>Example:</b> UNCONDITIONAL = Y
Return PS estimation model diagnostics	DIAGNOSTICS	<b>Details:</b> Specifies if program should return three diagnostic output files from the proc logistic for

Parameter	Field Name	Description
		<p>each PS model:</p> <ol style="list-style-type: none"> <li>1. Pair-wise correlation coefficients between each variable in the PS model</li> <li>2. Output from proc reg model, including parameter estimates, and intercept adjusted collinearity diagnostics tables</li> <li>3. Output from proc logistic model, including descriptive statistics for continuous variables, frequency distribution of class variables, the maximum likelihood iteration history, odds ratio estimates, and the association of predicted probabilities and observed responses tables</li> </ol> <p>Allowable values:</p> <ul style="list-style-type: none"> <li>• <b>1</b>: Yes, return diagnostics</li> <li>• <b>0</b>: No, do not return diagnostics</li> </ul> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> DIAGNOSTICS = 1</p>



### 3. Input Files

This section describes all input files that must be included in the *inputfiles* folder in the program package to execute the PSA tool. These files are *in addition* to those required for execution of the CIDA tool (including the Medical Utilization, Comorbidity Score, and Covariate Codes files, which are optional for the CIDA tool but required if propensity score matched analyses are requested).

#### a) Comparison File

The Comparison File is required. The file allows requesters to specify all exposure/comparator pairs that should be evaluated in the propensity score matched analysis. Each exposure/comparator pair is assigned a unique COMP\_ORDER value, to differentiate pairs in output tables. Table 70 contains specifications for this file.

**Table 77. COMPARISON File Specification**

Parameter	Field Name	Description
Comparison Identifier	COMP_ORDER	<p><b>Details:</b> numeric identifier to differentiate exposure/comparator pairs and parameter settings in output tables.</p> <p><b>Note 1:</b> COMP_ORDER (&amp;COMP value) is used as a suffix in output tables to identify each comparison.</p> <p><b>Defined by:</b> Request programmer  <b>Input type:</b> Required (cannot be left blank)  <b>Format:</b> Numeric  <b>Example:</b> 2</p>
Exposure of Interest	COMP	<p><b>Details:</b> GROUP name of the exposure of interest.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required (cannot be left blank)  <b>Format:</b> Character(30)  <b>Example:</b> drug_a</p>
Comparator of Interest	CONTROL	<p><b>Details:</b> GROUP name of the comparator of interest.</p> <p><b>Note 1:</b> When the never-exposed cohort serves as the comparator of interest, the group name should be &lt;COMP&gt;_nvrexp</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required (cannot be left blank)  <b>Format:</b> Character(30)  <b>Example:</b> drug_b</p>
Matching Caliper	CALIPER	<p><b>Details:</b> identifies caliper that should be used for</p>

Parameter	Field Name	Description
		<p>propensity score matching. Any value between 0-1 is allowed.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required (cannot be left blank)  <b>Format:</b> Numeric  <b>Example:</b> 0.01</p>
Matching Ratio	RATIO	<p><b>Details:</b> identifies the matching ratio.</p> <p>Allowable values:</p> <ul style="list-style-type: none"> <li>• F: fixed 1:1 matching</li> <li>• V: variable 1:10 matching</li> </ul> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required (cannot be left blank)  <b>Format:</b> Char (1)  <b>Example:</b> F</p>
Categorical variables to include in Propensity score model	CLASS	<p><b>Details:</b> used to specify variables to include in the 'CLASS' statement in the PS logistic regression model. All variables should be separated by a space.</p> <p>Allowable values include:</p> <ul style="list-style-type: none"> <li>• Sex</li> <li>• Race</li> <li>• Hispanic</li> <li>• Year</li> <li>• Time</li> <li>• Covar1 ... CovarN</li> </ul> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Character  <b>Example:</b> Sex Time Year Covar1 Covar2 Covar3</p>
Continuous variables to include in Propensity score model	NOCLASS	<p><b>Details:</b> used to specify variables to include as continuous in the PS logistic regression model. All variables should be separated by a space.</p> <ul style="list-style-type: none"> <li>• Age</li> <li>• Comorbidscore</li> <li>• NumIP</li> <li>• NumIS</li> <li>• NumED</li> <li>• NumAV</li> <li>• NumOA</li> </ul>

Parameter	Field Name	Description
		<ul style="list-style-type: none"> <li>• NumRx</li> <li>• NumGeneric</li> <li>• Covar1 ... CovarN</li> </ul> <p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Character  <b>Example:</b> Age comorbidscore numIP numED</p>
High-dimensional Propensity Score Indicator	HDPS	<p><b>Details:</b> indicates whether a high-dimensional propensity score will be estimated for the specified COMP_ORDER. Allowable values are “Y” and “N”.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> SAS character \$1.  <b>Example:</b> N (default)</p>
HDPS Evaluation Period Start	HDPSWINFROM	<p><b>Details:</b> used in combination with HDPSWINTO (below). HDPSWINFROM defines the start of the HDPS evaluation period when HDPS=Y, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and HDPSWINFROM is set to -7, the MP algorithm will start evaluating HDPS variables on 01/01/2009.</p> <p><b>Note 1:</b> the index date is “day zero”. Therefore, if zero is included in the HDPSWINFROM-HDPSWINTO interval for a given COMP_ORDER, the index date is included in the evaluation period.</p> <p><b>Note 2:</b> Required when HDPS = Y. Should be left blank when HDPS = N.</p> <p><b>Note 3:</b> if HDPSWINFROM &gt; 0 then the evaluation period will start after the index date.</p> <p><b>Note 4: special case:</b> when HDPSWINFROM = missing the program considers all codes in <u>their entire available history before the index date</u>. In this case, continuous enrollment is <i>not required</i> for the duration of the evaluation period (only explicitly defined enrollment criteria, e.g., specified using the ENRDAYS value, are required).</p> <p><b>Note 5:</b> leave blank if HDPS=N</p>

Parameter	Field Name	Description
		<p><b>Named by:</b> Requester  <b>Input type:</b> Optional  <b>Format:</b> Numeric  <b>Example:</b> -180</p>
<p>HDPS Evaluation            Period End</p>	<p>HDPSWINTO</p>	<p><b>Details:</b> used in combination with HDPSWINFROM (above). HDPSWINTO defines the end of the HDPS evaluation period when HDPS=Y, expressed in terms of “days from Index Date”. For example, if Index Date=01/08/2009 and HDPSWINTO is set to -1, the MP algorithm will evaluate HDPS variables between the HDPSWINFROM date through 01/07/2009.</p> <p><b>Note 1:</b> the index date is “day zero”. Therefore if zero is included in the HDPSWINFROM-HDPSWINTO interval for a given COMP_ORDER value the index date is included in the evaluation period.</p> <p><b>Note 2: special case:</b> when HDPSWINTO = missing the program considers all codes in <u>their entire available history after the index date</u>. In this case, continuous enrollment is <i>not required</i> for the duration of the evaluation period (only explicitly defined enrollment criteria, e.g., specified using the ENRDAYS value, are required).</p> <p><b>Note 3:</b> leave blank if HDPS=N</p> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Numeric  <b>Example:</b> -1</p>

## b) Analytic Subgroups File

The Analytic Subgroups file is optional. The file allows requesters to specify all subgroups and subgroup levels for each comparison (i.e., exposure/comparator/ratio/caliper/model combination under investigation). The file also allows the user to select the base population for subgroup analyses (i.e., total population or successfully matched population from the primary analysis). Table 78 contains specifications for this file.

**Table 78. Analytic Subgroups File Specification**

Parameter	Field Name	Description
Comparison Identifier	COMP_ORDER	<p><b>Details:</b> numeric identifier to differentiate exposure/comparator pairs and parameter settings in output tables.</p> <p><b>Note 1:</b> COMP_ORDER (&amp;COMP value) is used as a suffix in output tables to identify each comparison.</p> <p><b>Defined by:</b> Request programmer  <b>Input type:</b> Required (cannot be left blank)  <b>Format:</b> Numeric  <b>Example:</b> 2</p>
Covariate Number	COVARNUM	<p><b>Details:</b> numeric identifier to indicate covariates needed for subgroup analyses. For binary covariates specified in the COVARIATECODES input file, enter the COVARNUM value from COVARIATECODES here. For covariates not explicitly named in the COVARIATECODES file, use the following values:</p> <ul style="list-style-type: none"> <li>• <b>Sex:</b> 1000</li> <li>• <b>Age:</b> 1001</li> <li>• <b>Year:</b> 1002</li> <li>• <b>Time:</b> 1003</li> <li>• <b>Comorbidscore:</b> 1004</li> <li>• <b>NumIP:</b> 1005</li> <li>• <b>NumIS:</b> 1006</li> <li>• <b>NumED:</b> 1007</li> <li>• <b>NumAV:</b> 1008</li> <li>• <b>NumOA:</b> 1009</li> <li>• <b>NumRx:</b> 1010</li> <li>• <b>NumGeneric:</b> 1011</li> <li>• <b>Race:</b> 1012</li> <li>• <b>Hispanic:</b> 1013</li> </ul>

Parameter	Field Name	Description
		<p><b>Input type:</b> Required (cannot be left blank)</p> <p><b>Format:</b> Numeric</p> <p><b>Example:</b> 1000</p>
Covariate Level Specification	CATEGORIZATION	<p><b>Details:</b> specifies how subgroup variables should be categorized for subgroup analysis.</p> <p><b>Note 1:</b> for COVARNUM=1-999, CATEGORIZATION should be left blank as these are dichotomous variables.</p> <p><b>Note 2:</b> for COVARNUM=1000 (sex), this should be left blank. Sex values of F, M, U, and A will automatically be categorized</p> <p><b>Note 3:</b> for COVARNUM=1001 (Age), valid CATEGORIZATION values should follow the same rules as the AGESTRAT macro parameter in the CIDA tool (e.g., 40-59 60-79 80-99). However, the CATEGORIZATION value does not have to be the same as the AGESTRAT value used in CIDA (i.e., subgroup analyses can specify different age groups).</p> <p><b>Note 4:</b> for</p> <ul style="list-style-type: none"> <li>• Year (COVARNUM=1002)</li> <li>• Time (COVARNUM=1003)</li> <li>• Comorbidscore (COVARNUM=1004)</li> <li>• NumIP (COVARNUM=1005)</li> <li>• NumIS (COVARNUM=1006)</li> <li>• NumED (COVARNUM=1007)</li> <li>• NumAV (COVARNUM=1008)</li> <li>• NumOA (COVARNUM=1009)</li> <li>• NumRx (COVARNUM=1010)</li> <li>• NumGeneric (COVARNUM=1011)</li> </ul> <p>Groups must be separated by a space.</p> <p>To leave the first group open-ended, use "low-". In the output "low-", will be replaced with "&lt;="". If a negative is desired as the upper bound of a group, do not include a space in the group (e.g., use low--1 for low to -1).</p> <p>To leave the last group open-ended, use "-high". In the output "-high", will be replaced with "&gt;="".</p>

Parameter	Field Name	Description
		<p>Note that groups should not have overlapping values. In the event that overlapping values are entered, the value will be mapped to the first group in the list. For example, if age groups are specified “45-85 80-99”, patients 80-85 will be included in the 45-85 category (and re-matched within that group).</p> <p><b>Note 5:</b> the theoretical range of comorbidity scores is -2 -26.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$100.  <b>Example:</b> low-0 1 2-3 4-7 8+</p>
Subgroup Matching Base Population Specification	MATCHEDINFULLONLY	<p><b>Details:</b> specifies if the base population for subgroup re-matching should be restricted to the matched population.</p> <p><b>Y:</b> subgroup re-matching should be restricted to the matched population  <b>N:</b> subgroup re-matching should be done in the total population (i.e., patients who were matched and patients who were not matched in the main analysis)</p> <p><b>Input type:</b> Required  <b>Format:</b> Character  <b>Example:</b> N</p>

## B. OUTPUT

### 1. MSOC Folder

The following output files are created and output to the *msoc* folder for each comparison in the Comparison File, time period, and execution of the PSA tool:

- [RUNID]\_matched\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_risksetdata\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_riskdiffdata\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_survivaldata\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_matched\_tables\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_estimates\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_corr\_[COMP\_ORDER]\_[PERIODID].sas7bdat (if requested in run\_programs.sas)
- [RUNID]\_runreg\_[COMP\_ORDER]\_[PERIODID].rtf (if requested in run\_programs.sas)
- [RUNID]\_runmodel\_[COMP\_ORDER]\_[PERIODID].rtf (if requested in run\_programs.sas)
- [RUNID]\_psdistribution\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_signature\_ps\_[COMP\_ORDER]\_[PERIODID].sas7bdat

Two additional output files are created and output to the *msoc* folder for each execution of the PSA tool:

- [RUNID]\_timing.sas7bdat
- [RUNID]\_varinfo\_[COMP\_ORDER]\_[PERIODID].sas7bdat

Where RUNID is the request programmer-defined execution identifier, COMP\_ORDER is the unique ps estimation and matching strategy identifier, and PERIODID is the time period.

#### a) [RUNID]\_matched\_[COMP\_ORDER]\_[PERIODID].sas7bdat

This output table contains the final matched sample, with identifiers for all matches performed (predefined covariates only, empirically identified covariates only, and predefined + empirically identified covariates). This table is only produced if INDLEVEL = Y in the PSA Tool Main Program Parameters. Table 79 contains specifications for this output table.



Table 79. [RUNID]\_matched\_[COMP\_ORDER]\_[PERIODID].sas7bdat

Variable	Description
COVARNUM	The level of analysis that is performed (=0 when full analysis [overall population] and >0 when conducting a subgroup analysis).  <b>Format:</b> Character(4)
STRATUMNAME	Indicates name of each strata for subgroup analyses. Should indicate "Overall" for overall analyses; will be populated with CATEGORIZATION values from the new <u>ANALYTICSUBGROUPS</u> input file for subgroup analyses, "Percentiles" for percentile analysis, and "Overall Unconditional" for unconditional analysis  <b>Format:</b> Character(50)
STUDYCLASS	Analogous to the GROUP value on ADS_MSTR_[PERIODID] input file.  <b>Format:</b> Character(30)
AGE_CAT	Requester-defined age category. Determined by AGESTRAT macro parameter values defined in the CIDA tool.  <b>Format:</b> Character(9)
SEX	Patient Sex as defined in SDD Demographic table.  <b>Format:</b> Character(1)
RACE	Patient Race as defined in SDD Demographic table.  <b>Format:</b> Character(1)
HISPANIC	Hispanic as defined in SDD Demographic table.  <b>Format:</b> Character(1)
EVENT_ITT (OR EVENT_ASTREATED)	Boolean indicator of whether or not patient had the HOI during the time period (PERIODID). This is set to 1 when the EVENTDT is the same as the end of follow-up <i>for</i> the patient (i.e. when the follow-up is ended by an HOI).  <b>Note 1:</b> variable name is dependent on whether exposed time was defined using dispensings' days supply (EVENT_ASTREATED; ITTDAYS parameter set to missing in CIDA tool <u>Type 2 File</u> ) or a requested-defined number of days (EVENT_ITT; ITTDAYS parameter populated in CIDA tool <u>Type 2 File</u> ).  <b>Format:</b> Numeric
FOLLOWUPTIME_ITT (OR	Number of days that the patient is followed for either HOI or censoring.

Variable	Description
FOLLOWUPTIME_ASTREATED)	<p>Variable is calculated using the earliest of 1) end of exposure period; 2) disenrollment; 3) any additional censoring criteria [defined using CIDA tool]; and 4) HOI date.</p> <p><b>Note 1:</b> variable name is dependent on whether exposed time was defined using dispensings' days supply (FOLLOWUPTIME_ASTREATED; ITTDAYS parameter set to missing in CIDA tool <u>Type 2 File</u>) or a requested-defined number of days (FOLLOWUPTIME_ITT; ITTDAYS parameter populated in CIDA tool <u>Type 2 File</u>).</p> <p><b>Format:</b> Numeric</p>
[MATCHID VALUE]	<p>MatchID for the analysis requested. Name of the variable is determined based on the analysis requested (i.e., specifications in the COMPARISON file). Allowable values include :</p> <ul style="list-style-type: none"> <li>• <b>PREDEFINEDPSMATCHID1_1:</b> predefined covariate estimation model; 1:1 matching strategy <b>HDPSPREDEFINEDMATCHID1_1:</b> predefined covariate/ empirically identified covariate hybrid estimation model; 1:1 matching strategy</li> <li>• <b>HDPSONLYMATCHID1_1:</b> empirically identified covariate estimation model; 1:1 matching strategy</li> <li>• <b>PREDEFINEDPSMATCHID100_1:</b> predefined covariate estimation model; 1:10 matching strategy</li> <li>• <b>HDPSPREDEFINEDMATCHID100_1:</b> predefined covariate/ empirically identified covariate hybrid estimation model; 1:10 matching strategy</li> <li>• <b>HDPSONLYMATCHID100_1:</b> empirically identified covariate estimation model; 1:10 matching strategy</li> </ul>
LastLookFollowed	<p>When an individual is lost to follow up (data is no longer being updated), this is the last look # the individual contributed data to. If an individual is still eligible to be followed in subsequent looks, this is set to 0.</p> <p><b>Format:</b> Numeric</p>
EXPOSURE	<p>Boolean indicator of whether or not the STUDYCLASS value is the exposure of interest (COMP in the COMPARISON file).</p> <p><b>Format:</b> Numeric</p>
TIME	<p>Variable indicating during which time period the patient was selected (e.g., TIME = 1 indicates the patient was selected in PERIODID 1, even if the current PERIODID being executed is not the first).</p> <p><b>Format:</b> Numeric</p>

Variable	Description
NUMIP	<p>Visit count for IP encounter type. One visit allowed per encounter, per day.</p> <p><b>Note 1:</b> this metric is automatically calculated using the medical utilization module in the CIDA tool (<a href="#">Medical Utilization File</a>).</p> <p><b>Format:</b> Numeric</p>
NUMED	<p>Visit count for ED encounter type. One visit allowed per encounter, per day.</p> <p><b>Note 1:</b> this metric is automatically calculated using the medical utilization module in the CIDA tool (<a href="#">Medical Utilization File</a>).</p> <p><b>Format:</b> Numeric</p>
NUMIS	<p>Visit count for IS encounter type. One visit allowed per encounter, per day.</p> <p><b>Note 1:</b> this metric is automatically calculated using the medical utilization module in the CIDA tool (<a href="#">Medical Utilization File</a>).</p> <p><b>Format:</b> Numeric</p>
NUMAV	<p>Visit count for AV encounter type. One visit allowed per encounter, per day.</p> <p><b>Note 1:</b> this metric is automatically calculated using the medical utilization module in the CIDA tool (<a href="#">Medical Utilization File</a>).</p> <p><b>Format:</b> Numeric</p>
NUMOA	<p>Visit count for OA encounter type. One visit allowed per encounter, per day.</p> <p><b>Note 1:</b> this metric is automatically calculated using the medical utilization module in the CIDA tool (<a href="#">Medical Utilization File</a>).</p> <p><b>Format:</b> Numeric</p>
NUMGENERIC	<p>Count of unique generic names dispensed during covariate evaluation window.</p> <p><b>Format:</b> Numeric</p>
NUMCLASS	<p>Count of unique class names dispensed during covariate evaluation window.</p> <p><b>Format:</b> Numeric</p>

Variable	Description
NUMRX	Count of dispensings during the covariate evaluation window.  <b>Format:</b> Numeric
COMORBIDSCORE	Combined Charlson-Elixhauser Comorbidity Score (exact value).  <b>Note 1:</b> this metric is calculated using the combined comorbidity score module in the CIDA tool ( <a href="#">Comorbidity Score File</a> ).  <b>Format:</b> Numeric
YEAR	Year of index date.  <b>Format:</b> Numeric
[PS ESTIMATE]	Propensity score estimate. Name of the variable is determined based on the analysis requested. Allowable variable names include : <ul style="list-style-type: none"> <li>• <b>PREDEFINEDPS:</b> predefined covariate estimation model</li> <li>• <b>HDPSPREDEFINED:</b> predefined and empirically identified covariate estimation model</li> <li>• <b>HDPSONLY:</b> empirically identified covariate estimation model</li> </ul>
COVAR1-COVARN	Boolean indicators for each covariate (denoted by the value of the variable COVARNUM in the <a href="#">Covariate Codes File</a> ).  <b>Format:</b> Numeric
PERCENTILE	Percentile number for propensity score. Calculated using the propensity score distribution from the Look the propensity score is derived in.  <b>Format:</b> Character

b) `[RUNID]_risksetdata_[COMP_ORDER]_[PERIODID].sas7bdat`

This output table contains the risk sets for the entire sample, the matched sample, the entire stratified by percentiles, each subgroup, and the matched sample not stratified on matchid (when requested). Table 80 contains specifications for this output table.

**Table 80. `[RUNID]_risksetdata_[COMP_ORDER]_[PERIODID].sas7bdat`**

Variable	Description
RISKSETID	Unique risk-set identifier  <b>Format:</b> Numeric
TYPE	Indicates if the records are for the matched cohort or for all patients.  <b>Format:</b> Character(20)
COVARNUM	The level of analysis that is performed (=0 when full analysis [overall population] and >0 when conducting a subgroup analysis).  <b>Format:</b> Numeric
STRATUMNAME	Indicates name of each strata for subgroup analyses. Should indicate "Overall" for overall analyses; will be populated with CATEGORIZATION values from the new <code>ANALYTICSUBGROUPS</code> input file for subgroup analyses, "Percentiles" for percentile analysis, and "Overall Unconditional" for unconditional analysis  <b>Format:</b> Character(50)
MATCHID	Unique match identifier. Will be set to percentile for percentile risk sets and missing for risk sets using the overall population  <b>Format:</b> Character(20)
CASE_EXPOSURE	Exposure status of the case  <b>Format:</b> Numeric
EXPOSUREPROBABILITY	Probability of exposure among patients in the risk set (including the case)  <b>Format:</b> Numeric
[FOLLOWUPTIME_ITT (OR FOLLOWUPTIME_ASTREATED)]	Number of days between start of exposure and [EVENT_ITT or EVENT_ASTREATED] for the risk set  <b>Format:</b> Numeric

c) `[RUNID]_riskdiffdata_[COMP_ORDER]_[PERIODID].sas7bdat`

This output table contains de-identified, aggregated data that will be used to calculate unadjusted and adjusted risk differences for the overall sample, the matched sample, the overall sample stratified by percentiles, each pre-specified subgroup, and the matched sample not stratified on matchid (when requested). Table 81 contains specifications for this output table.

**Table 81. `[RUNID]_riskdiffdata_[COMP_ORDER]_[PERIODID].sas7bdat`**

Variable	Description
TYPE	Indicates if the records are for the matched cohort or for all patients.  <b>Format:</b> Character(20)
COVARNUM	The level of analysis that is performed (=0 when full analysis [overall population] and >0 when conducting a subgroup analysis).  <b>Format:</b> Numeric
STRATUMNAME	Indicates name of each strata for subgroup analyses. Should indicate "Overall" for overall analyses; will be populated with CATEGORIZATION values from the new <u>ANALYTICSUBGROUPS</u> input file for subgroup analyses, "Percentiles" for percentile analysis, and "Overall Unconditional" for unconditional analysis  <b>Format:</b> Character(50)
PERCENTILEVALUE	Set to Percentile for Percentile analysis, 0 for all other analyses  <b>Format:</b> Numeric
EXP	The number of patients in the exposed group  <b>Format:</b> Numeric
UNEXP	The number of patients in the unexposed group  <b>Format:</b> Numeric
EVEXP	The number of events in the exposed group  <b>Format:</b> Numeric
EVUNEXP	The number of event in the unexposed group  <b>Format:</b> Numeric
FUTIMEEXP	The total number of follow-up days for the exposed group  <b>Format:</b> Numeric
FUTIMEUNEXP	The total number of follow-up days for the unexposed group  <b>Format:</b> Numeric
WEIGHT	The sum of the weights across strata  <b>Format:</b> Numeric

Variable	Description
WEIGHT_DIFF	The sum of the weighted differences across strata  <b>Format:</b> Numeric

d) **[RUNID]\_survivaldata\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

This output table contains de-identified, aggregated data that can be used for the creation of a Kaplan Meier plot for the entire sample, the matched sample, the entire sample stratified by percentiles, each subgroup, and the matched sample not stratified on matchid (when requested). Table 82 contains specifications for this output table.

**Table 82. [RUNID]\_survivaldata\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

Variable	Description
TYPE	Indicates if the records are for the matched cohort or for all patients. Values include: <ul style="list-style-type: none"> <li>• AllPts: all patients in the cohort</li> <li>• Matched: all matched patients in the cohort</li> </ul> <b>Format:</b> Character(20)
COVARNUM	The level of analysis that is performed (=0 when full analysis [overall population] and >0 when conducting a subgroup analysis).  <b>Format:</b> Numeric
STRATUMNAME	Indicates name of each strata for subgroup analyses. Values include: <ul style="list-style-type: none"> <li>• Overall: indicates analyses within the entire base population, and conditional analysis within entire base population for the matched cohort (depends on value of TYPE)</li> <li>• Overall Unconditional: indicates unconditional analysis within entire base population for the matched cohort</li> <li>• [<i>Stratum</i>]: indicates analyses within levels of the specified subgroup for the entire base population, and conditional analysis within levels of the specified subgroup ([<i>Stratum</i>] for the matched cohort (depends on value of TYPE). <i>Stratum</i> values will represent CATEGORIZATION values from the <u>ANALYTICSUBGROUPS</u> input file)</li> <li>• [<i>Stratum</i>] Unconditional: indicates unconditional analysis within levels of the specified subgroup ([<i>Stratum</i>] will be CATEGORIZATION values from the <u>ANALYTICSUBGROUPS</u> input file)</li> <li>• Percentiles: indicates percentile stratification analysis</li> </ul> <b>Format:</b> Character(50)
FOLLOWUPDAY	Number of days after exposure  <b>Format:</b> Numeric

Variable	Description
PERCENTILEVALUE	Set to Percentile for Percentile analysis, 0 for all other analyses  <b>Format:</b> Numeric
NEXP	Number of exposed  <b>Format:</b> Numeric
EVEXP	Number of events among the exposed  <b>Format:</b> Numeric
NUNEXP	Number of unexposed  <b>Format:</b> Numeric
EVUNEXP	Number of events among the unexposed  <b>Format:</b> Numeric

e) **[RUNID]\_matched\_tables\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

A SAS dataset is output to include information on the unmatched and matched cohort comparing demographic, predefined covariate, and utilization measures and distributions between exposure and comparison groups. The intent of these tables is to compare the results of the unmatched to the 1:1 and/or 1:n variable matched cohorts to see how well matching balanced the exposure and comparator groups. Note that for 1:n variable matched cohorts, the values included are weighted. Table 83 contains specifications for this output table.

**Table 83. [RUNID]\_matched\_tables\_[COMP\_ORDER]\_[PERIODID]**

Variable	Description
COMP_ORDER	Numeric identifier to differentiate exposure/comparator pairs and parameter settings. Defined in <u>Comparison File</u> .  <b>Format:</b> Character(30)
GROUP1	Indicates the exposure group. Same as COMP parameter value in <u>Comparison File</u> .  <b>Format:</b> Character(30)
GROUP2	Indicates the comparator group. Same as CONTROL parameter value in <u>Comparison File</u> .  <b>Format:</b> Character(30)
TABLE	Indicates whether the table 1 statistics represent the “Unmatched” or “Matched” population  <b>Format:</b> Character(30)



Variable	Description
METVAR	<p>Name for each characteristic.</p> <ul style="list-style-type: none"> <li>• Total patients: TOTAL</li> <li>• Event count: EVENT_ASTREATED or EVENT_ITT (if ITTDAYS is populated in the <a href="#">Type 2 File</a>)</li> <li>• Follow-up time in days: FOLLOWUPTIME_ASTREATED or FOLLOWUPTIME_ITT (if ITTDAYS is populated in the <a href="#">Type 2 File</a>)</li> <li>• Age, categorical: AGE##### (where ##### describes each age grouping specified by AGESTRAT in <a href="#">Main Program Parameters</a>, without dashes, e.g., AGESTRAT= 00-19 in AGESTRAT will appear as AGE0019 in this file).</li> <li>• Age, continuous: AGE</li> <li>• Sex, female: FEMALE</li> <li>• Sex, male: MALE</li> <li>• Sex, unknown: SEX_UNKNOWN</li> <li>• Sex, ambiguous: AMBIGUOUS</li> <li>• Race, Unknown: R_UNKNOWN</li> <li>• Race, American Indian or Alaska Native: R_AIAN</li> <li>• Race, Asian: R_ASIAN</li> <li>• Race, Black or African American: R_BLACK</li> <li>• Race, Native Hawaiian or Other Pacific Islander: R_NHPI</li> <li>• Race, White: R_WHITE</li> <li>• Hispanic: HISPANIC_YES</li> <li>• Hispanic: HISPANIC_NO</li> <li>• Hispanic: HISPANIC_UNKNOWN</li> <li>• Year, <i>n</i>: YEAR_<i>n</i> (for ex: Year, 2005 would be YEAR_2005)</li> <li>• Comorbidity score: COMORBIDSCORE</li> <li>• Number of AV encounters: NUMAV</li> <li>• Number of ED encounters: NUMED</li> <li>• Number of IP encounters: NUMIP</li> <li>• Number of IS encounters: NUMIS</li> <li>• Number of OA encounters: NUMOA</li> <li>• Number of unique dispensings: NUMRX</li> <li>• Number of unique generics dispensed: NUMGENERIC</li> <li>• Number of unique drug classes dispensed: NUMCLASS</li> <li>• Requester-defined covariate indicator, as defined by COVARNUM in <a href="#">Covariate Codes File</a>: COVAR###</li> <li>• Mahalanobis distance: MAHALANOBIS</li> </ul> <p><b>Format:</b> Character(30)</p>
VARTYPE	<p>Indicates whether the METVAR is “dichotomous” or “continuous.”</p> <p><b>Format:</b> Character(30)</p>

Variable	Description
EXP_MEAN	Mean value for continuous variables, count for dichotomous variables among the exposure group  <b>Format:</b> Numeric(8)
EXP_STD	Standard deviation for continuous variables, percent for dichotomous variables among the exposure group  <b>Format:</b> Numeric(8)
EXP_S2	Weighted standard deviation used in standardized difference calculation for exposure group  <b>Format:</b> Numeric(8)
COMP_MEAN	Mean value for continuous variables, count for dichotomous variables among the comparison group  <b>Format:</b> Numeric(8)
COMP_STD	Standard deviation for continuous variables, percent for dichotomous variables among the comparison group  <b>Format:</b> Numeric(8)
COMP_S2	Weighted standard deviation used in standardized difference calculation for comparison group  <b>Format:</b> Numeric(8)
AD	Absolute difference between exposure and comparison group means (for continuous metrics) and proportions (for dichotomous metrics).  <b>Format:</b> Character(30)
SD	Standardized difference between exposure and comparison group means (for continuous metrics) and proportions (for dichotomous metrics).  <b>Format:</b> Numeric(8)
EXP_W	Sum of weights among the exposure group. Will equal the number of exposed subjects.  <u>Note on weights:</u> With variable ratio matching , each exposed subject is matched to a variable number of comparator subjects. The weight for each treated subject equals 1 ( $w_i = 1$ for $i = 1, \dots, n_t$ ), the weight for each control subject equals the

Variable	Description
	<p>inverse of the matching ratio for that specific matched set.</p> <p>Suppose there exists a matched set with 4 subjects (“A” from the treatment group and “B”, “C”, and “D” from the control group), then the <math>x</math> value for subject A is weighted by 1 and the <math>x</math> values for subjects B, C, and D are all weighted by 1/3.</p> <p>For exposure group, and for both exposure and comparison groups in 1:1 match, this will equal the number of individuals (since each individual has a weight of 1).</p> <p><b>Format:</b> Numeric(8)</p>
EXP_W2	<p>Sum of weights squared among the exposure group</p> <p><b>Format:</b> Numeric(8)</p>
COMP_W	<p>Sum of weights among the comparison group.</p> <p><u>Note on weights:</u> With variable ratio matching, each exposed subject is matched to a variable number of comparator subjects. The weight for each treated subject equals 1 (<math>w_i = 1</math> for <math>i = 1, \dots, n_t</math>), the weight for each control subject equals the inverse of the matching ratio for that specific matched set.</p> <p>Suppose there exists a matched set with 4 subjects (“A” from the treatment group and “B”, “C”, and “D” from the control group), then the <math>x</math> value for subject A is weighted by 1 and the <math>x</math> values for subjects B, C, and D are all weighted by 1/3.</p> <p><b>Format:</b> Numeric(8)</p>
COMP_W2	<p>Sum of weights squared among the comparison group</p> <p><b>Format:</b> Numeric(8)</p>

**f) [RUNID]\_timing.sas7bdat**

A SAS dataset containing metrics on execution time for each comparison and time period (e.g., the time the program took to run with respect to HD variable selection (HDPSRUNTIME), matching (MATCHRUNTIME), total adjustment time (ADJUSTMENTRUNTIME), and time to create tables and figures (TABLETIME)).

**g) [RUNID]\_varinfo\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

This dataset contains all covariates considered for high-dimensional variable selection. It contains a description of the variables (i.e., what code or what utilization metric it represents) as well as an indicator for which data dimension it came from (drug class, ICD-9-CM diagnosis, ICD-10-CM diagnosis, ICD-9-CM procedure, ICD-10-CM procedure, CPT, HCPCS). It also contains statistics used to determine variable selection and an indicator for whether or not the variable was selected into the hdPS model.

**h) [RUNID]\_estimates\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

The dataset contains the c-statistic and an indicator for whether or not the model converged for the model run (predefined, empirically identified, or predefined + empirically identified).

**i) [RUNID]\_corr\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

This dataset is output by request (Diagnostics = 1 in run\_programs.sas). The dataset includes the pairwise correlation coefficients between each variable in the PS estimation model (Table 84).

**Table 84. [RUNID]\_corr\_[COMP\_ORDER]\_[PERIODID]**

Variable	Description
VAR1	Name of 1 <sup>st</sup> variable  <b>Format:</b> Character(13)
VAR2	Name of 2 <sup>nd</sup> variable  <b>Format:</b> Character(13)
CORR	Correlation coefficient between VAR1 and VAR2  <b>Format:</b> Numeric
COMP	Indicates the exposure group.  <b>Format:</b> Character(30)
CONTROL	Indicates the comparator group.  <b>Format:</b> Character(30)
COMP_ORDER	Numeric identifier to differentiate exposure/comparator pairs and parameter settings. Defined in Comparison File.  <b>Format:</b> Numeric

j) `[RUNID]_runreg_[COMP_ORDER]_[PERIODID].rtf`

This file is output by request (Diagnostics = 1 in run\_programs.sas). The dataset includes output from the PS estimation model, including parameter estimates, and intercept adjusted collinearity diagnostics tables.

k) `[RUNID]_runmodel_out_[COMP_ORDER]_[PERIODID].rtf`

This file is output by request (Diagnostics = 1 in run\_programs.sas). The dataset includes output from the PS estimation model, including descriptive statistics for continuous variables, frequency distributions of class variables, the maximum likelihood iteration history, odds ratio estimates, and the association of predicted probabilities and observed responses tables.

l) `[RUNID]_psdistribution_out_[COMP_ORDER]_[PERIODID].rtf`

When ANALYSIS=PS, this output file should be created and output to the *msoc* folder for each comparison in the Comparison File, time period, and execution of the PSA tool. Table 85 contains specifications for this output table.

**Table 85. `[RUNID]_psdistribution_[COMP_ORDER]_[PERIODID]`**

Parameter		Field Name	Description
Cohort Name		GROUP	<b>Details:</b> cohort name.
Population (unmatched and matched)		TYPE	Indicates if the records are for the matched cohort or for all patients.  <b>Note:</b> For PS distribution – type = Matched will only be returned for 1:1 analysis  <b>Format:</b> Character(20)
PS category		PS_CAT	<b>Details:</b> propensity score category. Values are in 40 bins of equal size (0.025).  <b>Format:</b> Numeric (8)
Number of Patients		NPTS	<b>Details:</b> number of patients in each PS_CAT.  <b>Note:</b> if there are no patients within a value of PS_CAT, NPTS=0 (instead of having a missing PS_CAT value).

**m) [RUNID]\_signature\_ps\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

The [RUNID]\_signature\_ps\_[COMP\_ORDER]\_[PERIODID] output table contains metadata associated with the request, including request identifiers, program identifiers, database version, and run time metrics. Table 42 contains specifications for the [RUNID]\_signature\_ps\_[COMP\_ORDER]\_[PERIODID] output table.

**Table 86. [RUNID]\_signature\_ps\_[COMP\_ORDER]\_[PERIODID] Output**

Variable	Description
VAR	Metric name.  <b>Format:</b> Character(15)
VALUE	Metric value.  <b>Format:</b> Character(200)

## 2. DPLOCAL Folder

**a) [RUNID]\_matched\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

A SAS dataset is output for ps estimation and matching strategy and time period. This is the same matched dataset as the dataset of the same name in *msoc* folder output, except it contains additional variables that may be useful for follow-up queries. The additional variables in this dataset are PATID, INDEXDT, EVENTDT, (patient identifier) and each of the high-dimensional variables selected. This file is always produced regardless of the value of the INDLEVEL parameter.

**b) [RUNID]\_scores\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

A SAS dataset is output for every propensity score estimation and matching strategy and time period. This is the same matched dataset as [RUNID]\_matched\_[COMP\_ORDER]\_[PERIODID], except it does not contain match identifiers.

**c) [RUNID]\_estimates\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

The dataset contains the c-statistic and an indicator for whether or not the model converged for the model run (predefined, empirically identified, or predefined + empirically identified). This is the same dataset as the dataset of the same name in *msoc* folder output.

## C. MATCHING ALGORITHM FUNCTION FOR 1:1 MATCHING


The propensity score matching algorithm creates matched pairs between patients in the treatment group and a comparator group (here referred to as the “reference group”). The matching algorithm works by locating patients from the treatment and reference groups with similar propensity scores, which appear close to each other on an ordered list. The distance between the propensity scores of the patients in the treatment group and reference group must fall within the specified caliper. In the example that follows, the caliper is 0.05.

## 1. Creating Potential Matched Pairs

Once the propensity scores from both groups have been ordered, the algorithm creates potential matched pairs. For each patient in the treatment group (**tx**) the algorithm searches the reference group (**ref**) for the first patient with a score greater than or equal to the **tx** patient.

**Figure 23. Algorithm seeks potential matched pairs in reference group**

<b>tx</b>	0.21	0.33	0.47	0.49	0.75			
<b>ref</b>	0.19	0.27	0.44	0.49	0.51	0.71	0.78	0.79




In Figure 23, the first patient from the **tx** group has a propensity score of 0.21. When the algorithm searches through the patients from the **ref** group, it looks for the first patient with a propensity score greater than or equal to 0.21. It first finds a patient with a propensity score of 0.19. This is less than the **tx** propensity score of 0.21, so the algorithm continues to search. The next-closest propensity score in the **ref** group is 0.27. This is larger than the **tx** propensity score, so the algorithm stops at this patient. The algorithm takes this **ref** score and the one immediately preceding it and creates two potential pairs, **tx 0.21, ref 0.19** and **tx 0.21, ref 0.27**, which are moved to a master list of potential matched pairs.

The difference between the **tx** and **ref** scores in a potential matched pair cannot be larger than the specified caliper (0.05). The difference between the first pair (**tx 0.21, ref 0.19**) is 0.02, and the difference between the second pair (**tx 0.21, ref 0.27**) is 0.06. The difference of the first pair falls within the limits of the caliper, and is added to the master list of potential matched pairs. The difference of the second pair is larger than the caliper, so it is not added to the master list (Figure 24).

**Figure 24. Algorithm includes within caliper matches in the Master List**

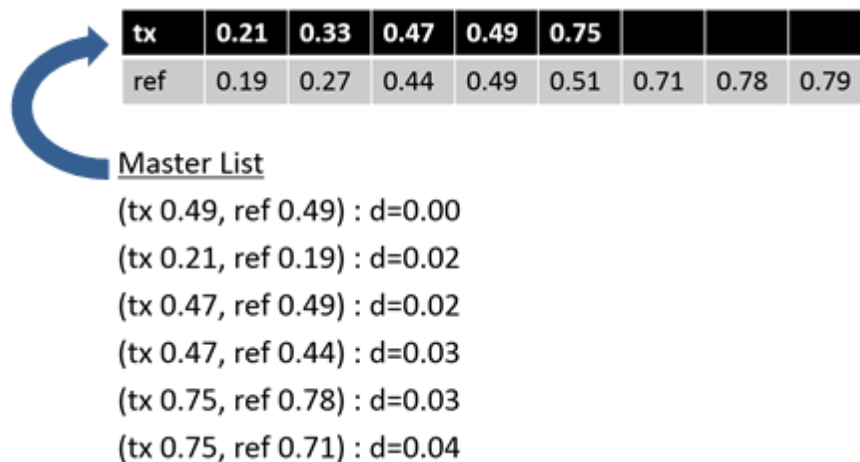
<b>tx</b>	0.21	0.33	0.47	0.49	0.75			
<b>ref</b>	0.19	0.27	0.44	0.49	0.51	0.71	0.78	0.79



Master List  
 (tx 0.21, ref 0.19) : d=0.02  
 (tx 0.21, ref 0.27) : d=0.06

The algorithm repeats this process for every score from the **tx** group, adding all pairs within the caliper to the master list of potential matched pairs. The pairs in the master list are then ordered by distance.

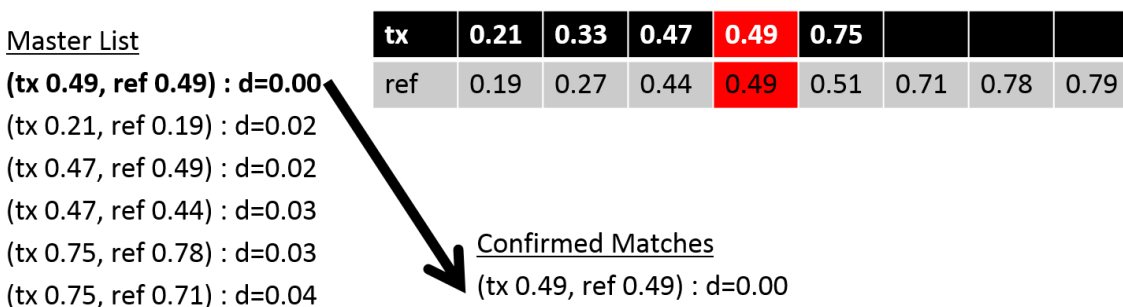
Figure 25. Algorithm generates a list of potential matched pairs, ordered by distance



## 2. Matching Propensity Scores

After the master list of potential matched pairs is ordered by distance, the algorithm looks at the pair at the top of the list. If both the **tx** and **ref** scores in the potential pair are unmatched, it is moved to the list of confirmed matches. These **tx** and the **ref** patients cannot be matched again.

Figure 26. Confirmed matches are created from potential matched pairs

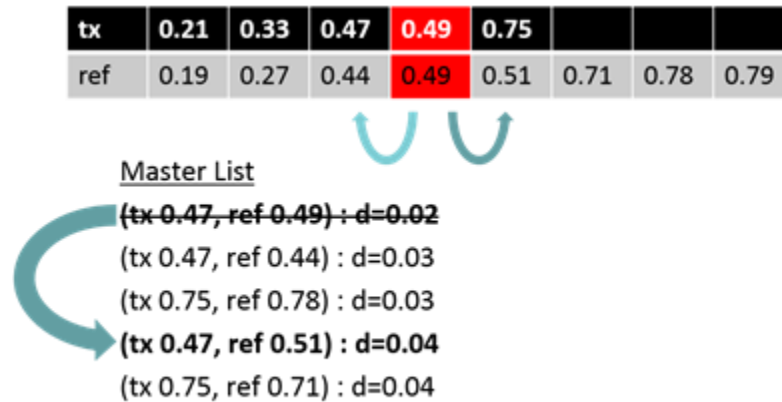


In Figure 26, the potential pair at the top of the master list is **tx 0.49, ref 0.49**. Neither the **tx** or the **ref** score in this pair been matched, so it is moved into the list of confirmed matches, and the algorithm returns to the top of the master list.

If the potential pair contains a **tx** score that is unmatched, but a **ref** score that has already been matched, the algorithm finds the nearest **ref** neighbor, to either the left or the right, that is still available, and this pair is added to the master list. In the case that the **ref** score is unmatched and the **tx** score is no longer available, the algorithm seeks the nearest **tx** neighbor that is still available (Figure 27).



Figure 27. Algorithm creates a new potential pair with nearest unmatched neighbor



In Figure 27, the pair at the top of the list is **tx** 0.47, **ref** 0.49. Here, **tx** 0.47 is unmatched, but **ref** 0.49 has already been matched. The algorithm finds the next closest propensity score from the **ref** group, **ref** 0.51, and the new potential pair of **tx** 0.47, **ref** 0.51 is added to the master list.

### 3. Algorithm Output

The algorithm continues to return to the top of the master list until all of the **tx** scores have been matched. The algorithm then ends, leaving a final list of confirmed matches (Figure 28). Not all patients will be matched by this algorithm. Once several patients have been matched to others whose propensity scores have a very small distance, the propensity scores of remaining patients might not have a distance close enough to each other to fall within the caliper. In Figure 28, the patients from **tx** with the propensity scores 0.21, 0.47, 0.49, and 0.75 were all matched with patients from **ref**. The score of the remaining **tx** patient is 0.33, which is not close enough in distance to any of the remaining **ref** group scores to be matched.

Figure 28. Final list of confirmed matches

<b>tx</b>	<b>0.21</b>	<b>0.33</b>	<b>0.47</b>	<b>0.49</b>	<b>0.75</b>			
<b>ref</b>	0.19	0.27	0.44	0.49	0.51	0.71	0.78	0.79

Confirmed Matches

- (tx 0.49, ref 0.49) : d=0.00
- (tx 0.21, ref 0.19) : d=0.02
- (tx 0.47, ref 0.44) : d=0.03
- (tx 0.75, ref 0.78) : d=0.03

### D. MATCHING ALGORITHM FUNCTION FOR 1:N MATCHING

The process described above for 1:1 matching is repeated multiple times until there are no more potential matches left, or until all treatment patients are matched to ten comparator patients. The number of 1:1 matches will be  $\geq$  1:2 matches  $\geq$  1:3 matches  $\geq$  1:4 matches ...  $\geq$  1:n matches.

## XI. APPENDIX D: MFM TOOL TECHNICAL DOCUMENTATION

The MFM tool is designed to be executed following the execution of the CIDA tool. The CIDA tool identifies and extracts cohorts of interest based on requester-defined parameters, and generates output tables in the *msoc* and *dplocal* folders that are required for subsequent processing with the MFM tool.

This technical specification document details the lookup tables, program parameters and input files that must be specified to execute the MFM tool. These parameters and files should be included in the program package distributed *in addition* to those necessary for CIDA tool execution, if a multiple factor matching analysis is requested.

### A. LOOKUP TABLES, PROGRAM PARAMETERS, AND INPUT FILES

#### 1. Lookup Tables

There are no lookup tables required for the execution of the MFM tool.

#### 2. Main Program Parameters

There are five main program parameters that must be specified. These include defining matching variables, matching ratio, and specifying the name of all input files. These parameter values should be set in a program called *run\_programs.sas*, located in the *inputfiles* folder. Note that all main programs parameters specified are fixed for a single execution of the program. Table 87 contains detailed specifications for main program parameters.

**Table 88. MFM Tool Main Program Parameters**

Parameter	Field Name	Description
<a href="#">Multiple Factor Matching File</a>	MFMFILE	<p><b>Details:</b> Contains parameters for performing multiple factor matching</p> <p><b>Note 1:</b> If MFMFILE is specified, COMPARISON input parameter should be blank</p> <p><b>Defined by:</b> Request programmer  <b>Input type:</b> Optional  <b>Format:</b> .sas7bdat file  <b>Example:</b> MFMFILE= wp014_MFM</p>
Indicator for Individual Level Output Return	INDLEVEL	<p><b>Details:</b> Specifies whether to write individual level [RUNID]_matched_[COMP]_[Look] files to the SOC subfolder.</p> <p>Allowable values:</p> <ul style="list-style-type: none"> <li>• Y: Yes</li> <li>• N: No</li> </ul> <p><b>Defined by:</b> Requester</p>

Parameter	Field Name	Description
		<b>Input type:</b> Required <b>Format:</b> Binary <b>Example:</b> IndLevel = Y
Return unconditional analysis	UNCONDITIONAL	<b>Details:</b> Specifies whether to create risk sets not stratified by matchID. This allows SOC to perform unconditional analyses.  Allowable values: <ul style="list-style-type: none"> <li>• <b>Y:</b> Yes</li> <li>• <b>N:</b> No</li> </ul> <b>Defined by:</b> Requester <b>Input type:</b> Required <b>Format:</b> Binary <b>Example:</b> UNCONDITIONAL = Y

### 3. Input Files

This section describes all input files that must be included in the *inputfiles* folder in the program package to execute the MFM tool. These files are *in addition* to those required for execution of the CIDA tool (including the Medical Utilization, Comorbidity Score, and Covariate Codes files, which are optional for the CIDA tool but required if baseline characteristics tables are requested for a multiple factor matched analysis).

#### a) Multiple Factor Matching File

The Multiple Factor Matching File is required. The file allows requesters to specify all exposure/comparator pairs that should be evaluated in the multiple factor matching analysis. Each exposure/comparator pair is assigned a unique COMP\_ORDER value, to differentiate pairs in output tables. Table 89 contains specifications for this file.

**Table 89. Multiple Factor Matching File Specification**

Parameter	Field Name	Description
Comparison Identifier	COMP_ORDER	<b>Details:</b> numeric identifier to differentiate exposure/comparator pairs and parameter settings in output tables.  <b>Note 1:</b> COMP_ORDER (&COMP value) is used as a suffix in output tables to identify each comparison.  <b>Defined by:</b> Request programmer <b>Input type:</b> Required (cannot be left blank) <b>Format:</b> Numeric <b>Example:</b> 2
Exposure of Interest	COMP	<b>Details:</b> GROUP name of the exposure of interest.

Parameter	Field Name	Description
		<p><b>Defined by:</b> Requester  <b>Input type:</b> Required (cannot be left blank)  <b>Format:</b> Character(30)  <b>Example:</b> drug_a</p>
Comparator of Interest	CONTROL	<p><b>Details:</b> GROUP name of the comparator of interest.</p> <p><b>Note 1:</b> When the never-exposed cohort serves as the comparator of interest, the group name should be &lt;COMP&gt;_nvrexp</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required (cannot be left blank)  <b>Format:</b> Character(30)  <b>Example:</b> drug_b</p>
Matching Ratio	RATIO	<p><b>Details:</b> identifies the matching ratio.</p> <p>Allowable values:</p> <ul style="list-style-type: none"> <li>• F: fixed 1:1 matching</li> <li>• V: variable 1:10 matching</li> </ul> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required (cannot be left blank)  <b>Format:</b> Char (1)  <b>Example:</b> F</p>
Categorical variables to match on	MATCHVARS	<p><b>Details:</b> used to specify variables to match the COMP and CONTROL groups on. Separate multiple factors by a space.</p> <p>Allowable values include:</p> <ul style="list-style-type: none"> <li>• Sex</li> <li>• AgeGroup</li> <li>• Year</li> </ul> <p><b>Named by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Character  <b>Example:</b> Sex AgeGroup Year</p>

## b) Analytic Subgroups File

The Analytic Subgroups file is optional. The file allows requesters to specify all subgroups and subgroup levels for each comparison (i.e., exposure/comparator/ratio/caliper/model combination under investigation). The file also allows the user to select the base population for subgroup analyses (i.e., total population or successfully matched population from the primary analysis). Table 90 contains specifications for this file.

**Table 90. Analytic Subgroups File Specification**

Parameter	Field Name	Description
Comparison Identifier	COMP_ORDER	<p><b>Details:</b> numeric identifier to differentiate exposure/comparator pairs and parameter settings in output tables.</p> <p><b>Note 1:</b> COMP_ORDER (&amp;COMP value) is used as a suffix in output tables to identify each comparison.</p> <p><b>Defined by:</b> Request programmer  <b>Input type:</b> Required (cannot be left blank)  <b>Format:</b> Numeric  <b>Example:</b> 2</p>
Covariate Number	COVARNUM	<p><b>Details:</b> numeric identifier to indicate covariates needed for subgroup analyses. For binary covariates specified in the COVARIATECODES input file, enter the COVARNUM value from COVARIATECODES here. For covariates not explicitly named in the COVARIATECODES file, use the following values:</p> <ul style="list-style-type: none"> <li>• <b>Sex:</b> 1000</li> <li>• <b>Age:</b> 1001</li> <li>• <b>Year:</b> 1002</li> <li>• <b>Time:</b> 1003</li> <li>• <b>Comorbidscore:</b> 1004</li> <li>• <b>NumIP:</b> 1005</li> <li>• <b>NumIS:</b> 1006</li> <li>• <b>NumED:</b> 1007</li> <li>• <b>NumAV:</b> 1008</li> <li>• <b>NumOA:</b> 1009</li> <li>• <b>NumRx:</b> 1010</li> <li>• <b>NumGeneric:</b> 1011</li> <li>• <b>Race:</b> 1012</li> <li>• <b>Hispanic:</b> 1013</li> </ul>

Parameter	Field Name	Description
		<p><b>Input type:</b> Required (cannot be left blank)</p> <p><b>Format:</b> Numeric</p> <p><b>Example:</b> 1000</p>
Covariate Level Specification	CATEGORIZATION	<p><b>Details:</b> specifies how subgroup variables should be categorized for subgroup analysis.</p> <p><b>Note 1:</b> for COVARNUM=1-999, CATEGORIZATION should be left blank as these are dichotomous variables.</p> <p><b>Note 2:</b> for COVARNUM=1000 (sex), this should be left blank. Sex values of F, M, U, and A will automatically be categorized</p> <p><b>Note 3:</b> for COVARNUM=1001 (Age), valid CATEGORIZATION values should follow the same rules as the AGESTRAT macro parameter in the CIDA tool (e.g., 40-59 60-79 80-99). However, the CATEGORIZATION value does not have to be the same as the AGESTRAT value used in CIDA (i.e., subgroup analyses can specify different age groups).</p> <p><b>Note 4:</b> for</p> <ul style="list-style-type: none"> <li>• Year (COVARNUM=1002)</li> <li>• Time (COVARNUM=1003)</li> <li>• Comorbidscore (COVARNUM=1004)</li> <li>• NumIP (COVARNUM=1005)</li> <li>• NumIS (COVARNUM=1006)</li> <li>• NumED (COVARNUM=1007)</li> <li>• NumAV (COVARNUM=1008)</li> <li>• NumOA (COVARNUM=1009)</li> <li>• NumRx (COVARNUM=1010)</li> <li>• NumGeneric (COVARNUM=1011)</li> </ul> <p>Groups must be separated by a space.</p> <p>To leave the first group open-ended, use "low-". In the output "low-", will be replaced with "&lt;="". If a negative is desired as the upper bound of a group, do not include a space in the group (e.g., use low--1 for low to -1).</p> <p>To leave the last group open-ended, use "-high". In the output "-high", will be replaced with "&gt;="".</p>

Parameter	Field Name	Description
		<p>Note that groups should not have overlapping values. In the event that overlapping values are entered, the value will be mapped to the first group in the list. For example, if age groups are specified “45-85 80-99”, patients 80-85 will be included in the 45-85 category (and re-matched within that group).</p> <p><b>Note 5:</b> the theoretical range of comorbidity scores is -2 -26.</p> <p><b>Defined by:</b> Requester  <b>Input type:</b> Required  <b>Format:</b> Alphanumeric; SAS character \$100.  <b>Example:</b> low-0 1 2-3 4-7 8+</p>
Subgroup Matching Base Population Specification	MATCHEDINFULLONLY	<p><b>Details:</b> specifies if the base population for subgroup re-matching should be restricted to the matched population.</p> <p><b>Y:</b> subgroup re-matching should be restricted to the matched population  <b>N:</b> subgroup re-matching should be done in the total population (i.e., patients who were matched and patients who were not matched in the main analysis)</p> <p><b>Input type:</b> Required  <b>Format:</b> Character  <b>Example:</b> N</p>

## B. OUTPUT

### 1. MSOC Folder

The following output files are created and output to the *msoc* folder for each comparison in the Multiple Factor Matching File, time period, and execution of the MFM tool:

- [RUNID]\_matched\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_risksetdata\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_riskdiffdata\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_survivaldata\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_matched\_tables\_[COMP\_ORDER]\_[PERIODID].sas7bdat
- [RUNID]\_signature\_ps\_[COMP\_ORDER]\_[PERIODID].sas7bdat

Where RUNID is the request programmer-defined execution identifier, COMP\_ORDER is the unique ps estimation and matching strategy identifier, and PERIODID is the time period.

a) **[RUNID]\_matched\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

This output table contains the final matched sample, with identifiers for all matches performed. This table is only produced if INDLEVEL = Y in the MFM Tool Main Program Parameters. Table 79 contains specifications for this output table.

**Table 91. [RUNID]\_matched\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

Variable	Description
COVARNUM	The level of analysis that is performed (=0 when full analysis [overall population] and >0 when conducting a subgroup analysis).  <b>Format:</b> Character(4)
STRATUMNAME	Indicates name of each strata for subgroup analyses. Should indicate "Overall" for overall analyses; will be populated with CATEGORIZATION values from the new <u>ANALYTICSUBGROUPS</u> input file for subgroup analyses, "Percentiles" for percentile analysis, and "Overall Unconditional" for unconditional analysis  <b>Format:</b> Character(50)
STUDYCLASS	Analogous to the GROUP value on ADS_MSTR_[PERIODID] input file.  <b>Format:</b> Character(30)
AGE_CAT	Requester-defined age category. Determined by AGESTRAT macro parameter values defined in the CIDA tool.  <b>Format:</b> Character(9)
SEX	Patient Sex as defined in SDD Demographic table.  <b>Format:</b> Character(1)
RACE	Patient Race as defined in SDD Demographic table.  <b>Format:</b> Character(1)
HISPANIC	Hispanic as defined in SDD Demographic table.  <b>Format:</b> Character(1)
EVENT_ITT (OR EVENT_ASTREATED)	Boolean indicator of whether or not patient had the HOI during the time period (PERIODID). This is set to 1 when the EVENTDT is the same as the end of follow-up <i>for</i> the patient (i.e. when the follow-up is ended by an HOI).  <b>Note 1:</b> variable name is dependent on whether exposed time was defined using dispensings' days supply (EVENT_ASTREATED; ITTDAYS



Variable	Description
	<p>parameter set to missing in CIDA tool <u>Type 2 File</u>) or a requested-defined number of days (EVENT_ITT; ITTDAYS parameter populated in CIDA tool <u>Type 2 File</u>).</p> <p><b>Format:</b> Numeric</p>
FOLLOWUPTIME_ITT (OR FOLLOWUPTIME_ASTREATED)	<p>Number of days that the patient is followed for either HOI or censoring. Variable is calculated using the earliest of 1) end of exposure period; 2) disenrollment; 3) any additional censoring criteria [defined using CIDA tool]; and 4) HOI date.</p> <p><b>Note 1:</b> variable name is dependent on whether exposed time was defined using dispensings' days supply (FOLLOWUPTIME_ASTREATED; ITTDAYS parameter set to missing in CIDA tool <u>Type 2 File</u>) or a requested-defined number of days (FOLLOWUPTIME_ITT; ITTDAYS parameter populated in CIDA tool <u>Type 2 File</u>).</p> <p><b>Format:</b> Numeric</p>
[MATCHID VALUE]	<p>MatchID for the analysis requested. Name of the variable is determined based on the analysis requested (i.e., specifications in the MULTIPLE FACTOR MATCHING file). Allowable values include :</p> <ul style="list-style-type: none"> <li>• <b>MFMSMATCHID1_1:</b> Multi-factor matching algorithm: 1:1 matching strategy</li> <li>• <b>MFMSMATCHID100_1:</b> Multi-factor matching algorithm: 1:10 matching strategy</li> </ul>
LastLookFollowed	<p>When an individual is lost to follow up (data is no longer being updated), this is the last look # the individual contributed data to. If an individual is still eligible to be followed in subsequent looks, this is set to 0.</p> <p><b>Format:</b> Numeric</p>
EXPOSURE	<p>Boolean indicator of whether or not the STUDYCLASS value is the exposure of interest (COMP in the MULTIPLE FACTOR MATCHING file).</p> <p><b>Format:</b> Numeric</p>
TIME	<p>Variable indicating during which time period the patient was selected (e.g., TIME = 1 indicates the patient was selected in PERIODID 1, even if the current PERIODID being executed is not the first).</p> <p><b>Format:</b> Numeric</p>
NUMIP	<p>Visit count for IP encounter type. One visit allowed per encounter, per day.</p> <p><b>Note 1:</b> this metric is automatically calculated using the medical</p>

Variable	Description
	<p>utilization module in the CIDA tool (<a href="#">Medical Utilization File</a>).</p> <p><b>Format:</b> Numeric</p>
NUMED	<p>Visit count for ED encounter type. One visit allowed per encounter, per day.</p> <p><b>Note 1:</b> this metric is automatically calculated using the medical utilization module in the CIDA tool (<a href="#">Medical Utilization File</a>).</p> <p><b>Format:</b> Numeric</p>
NUMIS	<p>Visit count for IS encounter type. One visit allowed per encounter, per day.</p> <p><b>Note 1:</b> this metric is automatically calculated using the medical utilization module in the CIDA tool (<a href="#">Medical Utilization File</a>).</p> <p><b>Format:</b> Numeric</p>
NUMAV	<p>Visit count for AV encounter type. One visit allowed per encounter, per day.</p> <p><b>Note 1:</b> this metric is automatically calculated using the medical utilization module in the CIDA tool (<a href="#">Medical Utilization File</a>).</p> <p><b>Format:</b> Numeric</p>
NUMOA	<p>Visit count for OA encounter type. One visit allowed per encounter, per day.</p> <p><b>Note 1:</b> this metric is automatically calculated using the medical utilization module in the CIDA tool (<a href="#">Medical Utilization File</a>).</p> <p><b>Format:</b> Numeric</p>
NUMGENERIC	<p>Count of unique generic names dispensed during covariate evaluation window.</p> <p><b>Format:</b> Numeric</p>
NUMCLASS	<p>Count of unique class names dispensed during covariate evaluation window.</p> <p><b>Format:</b> Numeric</p>
NUMRX	<p>Count of dispensings during the covariate evaluation window.</p> <p><b>Format:</b> Numeric</p>

Variable	Description
COMORBIDSCORE	<p>Combined Charlson-Elixhauser Comorbidity Score (exact value).</p> <p><b>Note 1:</b> this metric is calculated using the combined comorbidity score module in the CIDA tool (<a href="#">Comorbidity Score File</a>).</p> <p><b>Format:</b> Numeric</p>
YEAR	<p>Year of index date.</p> <p><b>Format:</b> Numeric</p>
COVAR1-COVARN	<p>Boolean indicators for each covariate (denoted by the value of the variable COVARNUM in the <a href="#">Covariate Codes File</a>).</p> <p><b>Format:</b> Numeric</p>
MFMS	<p>Indicates matching strata from 1 to <i>n</i> based matching groups in MATCHVARS parameter.</p> <p><b>Format:</b> Numeric</p>

**b) [RUNID]\_risksetdata\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

This output table contains the risk sets for the entire sample, the matched sample, the entire stratified by percentiles, each subgroup, and the matched sample not stratified on matchid (when requested). Table 92 contains specifications for this output table.

**Table 92. [RUNID]\_risksetdata\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

Variable	Description
RISKSETID	<p>Unique risk-set identifier</p> <p><b>Format:</b> Numeric</p>
TYPE	<p>Indicates if the records are for the matched cohort or for all patients.</p> <p><b>Format:</b> Character(20)</p>
COVARNUM	<p>The level of analysis that is performed (=0 when full analysis [overall population] and &gt;0 when conducting a subgroup analysis).</p> <p><b>Format:</b> Numeric</p>
STRATUMNAME	<p>Indicates name of each strata for subgroup analyses. Should indicate "Overall" for overall analyses; will be populated with CATEGORIZATION values from the new <a href="#">ANALYTICSUBGROUPS</a> input file for subgroup analyses, and "Overall Unconditional" for unconditional analysis</p> <p><b>Format:</b> Character(50)</p>
MATCHID	<p>Unique match identifier. Will be set to missing for risk sets using the overall population</p> <p><b>Format:</b> Character(20)</p>

Variable	Description
CASE_EXPOSURE	Exposure status of the case  <b>Format:</b> Numeric
EXPOSUREPROBABILITY	Probability of exposure among patients in the risk set (including the case)  <b>Format:</b> Numeric
[FOLLOWUPTIME_ITT (OR FOLLOWUPTIME_ASTREATED)]	Number of days between start of exposure and [EVENT_ITT or EVENT_ASTREATED] for the risk set  <b>Format:</b> Numeric

c) **[RUNID]\_riskdiffdata\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

This output table contains de-identified, aggregated data that will be used to calculate unadjusted and adjusted risk differences for the overall sample, the matched sample, each pre-specified subgroup, and the matched sample not stratified on matchid (when requested). Table 93 contains specifications for this output table.

**Table 93. [RUNID]\_riskdiffdata\_[COMP\_ORDER]\_[PERIODID].sas7bdat**

Variable	Description
TYPE	Indicates if the records are for the matched cohort or for all patients.  <b>Format:</b> Character(20)
COVARNUM	The level of analysis that is performed (=0 when full analysis [overall population] and >0 when conducting a subgroup analysis).  <b>Format:</b> Numeric
STRATUMNAME	Indicates name of each strata for subgroup analyses. Should indicate "Overall" for overall analyses; will be populated with CATEGORIZATION values from the new <u>ANALYTICSUBGROUPS</u> input file for subgroup analyses, and "Overall Unconditional" for unconditional analysis  <b>Format:</b> Character(50)
PERCENTILEVALUE	Set to Percentile for Percentile analysis, 0 for all other analyses  <b>Note 1:</b> Unable to do a percentile analysis for an MFM analysis. PERCENTILEVALUE will always = 0.  <b>Format:</b> Numeric
EXP	The number of patients in the exposed group  <b>Format:</b> Numeric
UNEXP	The number of patients in the unexposed group  <b>Format:</b> Numeric

Variable	Description
EVEXP	The number of events in the exposed group <b>Format:</b> Numeric
EVUNEXP	The number of event in the unexposed group <b>Format:</b> Numeric
FUTIMEEXP	The total number of follow-up days for the exposed group <b>Format:</b> Numeric
FUTIMEUNEXP	The total number of follow-up days for the unexposed group <b>Format:</b> Numeric
WEIGHT	The sum of the weights across strata <b>Format:</b> Numeric
WEIGHT_DIFF	The sum of the weighted differences across strata <b>Format:</b> Numeric

d) `[RUNID]_survivaldata_[COMP_ORDER]_[PERIODID].sas7bdat`

This output table contains de-identified, aggregated data that can be used for the creation of a Kaplan Meier plot for the entire sample, the matched sample, each subgroup, and the matched sample not stratified on matchid (when requested). Table 82 contains specifications for this output table.

**Table 94. `[RUNID]_survivaldata_[COMP_ORDER]_[PERIODID].sas7bdat`**

Variable	Description
TYPE	Indicates if the records are for the matched cohort or for all patients. Values include: <ul style="list-style-type: none"> <li>AllPts: all patients in the cohort</li> <li>Matched: all matched patients in the cohort</li> </ul> <b>Format:</b> Character(20)
COVARNUM	The level of analysis that is performed (=0 when full analysis [overall population] and >0 when conducting a subgroup analysis). <b>Format:</b> Numeric
STRATUMNAME	Indicates name of each strata for subgroup analyses. Values include: <ul style="list-style-type: none"> <li>Overall: indicates analyses within the entire base population, and conditional analysis within entire base population for the matched cohort (depends on value of TYPE)</li> <li>Overall Unconditional: indicates unconditional analysis within entire base population for the matched cohort</li> <li><code>[Stratum]</code>: indicates analyses within levels of the specified subgroup</li> </ul>

Variable	Description
	<p>for the entire base population, and conditional analysis within levels of the specified subgroup ([<i>Stratum</i>] for the matched cohort (depends on value of TYPE). <i>Stratum</i> values will represent CATEGORIZATION values from the <u>ANALYTICSUBGROUPS</u> input file)</p> <ul style="list-style-type: none"> <li>[<i>Stratum</i>] Unconditional: indicates unconditional analysis within levels of the specified subgroup ([<i>Stratum</i>] will be CATEGORIZATION values from the <u>ANALYTICSUBGROUPS</u> input file)</li> </ul> <p><b>Format:</b> Character(50)</p>
FOLLOWUPDAY	<p>Number of days after exposure</p> <p><b>Format:</b> Numeric</p>
PERCENTILEVALUE	<p>Set to Percentile for Percentile analysis, 0 for all other analyses</p> <p><b>Note 1:</b> Unable to do a percentile analysis for an MFM analysis. PERCENTILEVALUE will always = 0.</p> <p><b>Format:</b> Numeric</p>
NEXP	<p>Number of exposed</p> <p><b>Format:</b> Numeric</p>
EVEXP	<p>Number of events among the exposed</p> <p><b>Format:</b> Numeric</p>
NUNEXP	<p>Number of unexposed</p> <p><b>Format:</b> Numeric</p>
EVUNEXP	<p>Number of events among the unexposed</p> <p><b>Format:</b> Numeric</p>

e) `[RUNID]_matched_tables_[COMP_ORDER]_[PERIODID].sas7bdat`

A SAS dataset is output to include information on the unmatched and matched cohort comparing demographic, predefined covariate, and utilization measures and distributions between exposure and comparison groups. The intent of these tables is to compare the results of the unmatched to the 1:1 and/or 1:n variable matched cohorts to see how well matching balanced the exposure and comparator groups. Note that for 1:n variable matched cohorts, the values included are weighted. Table 95 contains specifications for this output table.

**Table 95. `[RUNID]_matched_tables_[COMP_ORDER]_[PERIODID]`**

Variable	Description
COMP_ORDER	Numeric identifier to differentiate exposure/comparator pairs and parameter settings. Defined in <a href="#">Multiple Factor Matching File</a> .  <b>Format:</b> Character(30)
GROUP1	Indicates the exposure group. Same as COMP parameter value in <a href="#">Multiple Factor Matching File</a> .  <b>Format:</b> Character(30)
GROUP2	Indicates the comparator group. Same as CONTROL parameter value in <a href="#">Multiple Factor Matching File</a> .  <b>Format:</b> Character(30)
TABLE	Indicates whether the table 1 statistics represent the “Unmatched” or “Matched” population  <b>Format:</b> Character(30)
METVAR	Name for each characteristic. <ul style="list-style-type: none"> <li>• Total patients: TOTAL</li> <li>• Event count: EVENT_ASTREATED or EVENT_ITT (if ITTDAYS is populated in the <a href="#">Type 2 File</a>)</li> <li>• Follow-up time in days: FOLLOWUPTIME_ASTREATED or FOLLOWUPTIME_ITT (if ITTDAYS is populated in the <a href="#">Type 2 File</a>)</li> <li>• Age, categorical: AGE##### (where ##### describes each age grouping specified by AGESTRAT in <a href="#">Main Program Parameters</a>, without dashes, e.g., AGESTRAT= 00-19 in AGESTRAT will appear as AGE0019 in this file).</li> <li>• Age, continuous: AGE</li> <li>• Sex, female: FEMALE</li> <li>• Sex, male: MALE</li> <li>• Sex, unknown: SEX_UNKNOWN</li> <li>• Sex, ambiguous: AMBIGUOUS</li> <li>• Race, Unknown: R_UNKNOWN</li> </ul>

Variable	Description
	<ul style="list-style-type: none"> <li>• Race, American Indian or Alaska Native: R_AIAN</li> <li>• Race, Asian: R_ASIAN</li> <li>• Race, Black or African American: R_BLACK</li> <li>• Race, Native Hawaiian or Other Pacific Islander: R_NHPI</li> <li>• Race, White: R_WHITE</li> <li>• Hispanic: HISPANIC_YES</li> <li>• Hispanic: HISPANIC_NO</li> <li>• Hispanic: HISPANIC_UNKNOWN</li> <li>• Year, <i>n</i>: YEAR_<i>n</i> (for ex: Year, 2005 would be YEAR_2005)</li> <li>• Comorbidity score: COMORBIDSCORE</li> <li>• Number of AV encounters: NUMAV</li> <li>• Number of ED encounters: NUMED</li> <li>• Number of IP encounters: NUMIP</li> <li>• Number of IS encounters: NUMIS</li> <li>• Number of OA encounters: NUMOA</li> <li>• Number of unique dispensings: NUMRX</li> <li>• Number of unique generics dispensed: NUMGENERIC</li> <li>• Number of unique drug classes dispensed: NUMCLASS</li> <li>• Requester-defined covariate indicator, as defined by COVARNUM in <u>Covariate Codes File</u>: COVAR###</li> </ul> <p><b>Format:</b> Character(30)</p>
VARTYPE	<p>Indicates whether the METVAR is “dichotomous” or “continuous.”</p> <p><b>Format:</b> Character(30)</p>
EXP_MEAN	<p>Mean value for continuous variables, count for dichotomous variables among the exposure group</p> <p><b>Format:</b> Numeric(8)</p>
EXP_STD	<p>Standard deviation for continuous variables, percent for dichotomous variables among the exposure group</p> <p><b>Format:</b> Numeric(8)</p>
EXP_S2	<p>Weighted standard deviation used in standardized difference calculation for exposure group</p> <p><b>Format:</b> Numeric(8)</p>
COMP_MEAN	<p>Mean value for continuous variables, count for dichotomous variables among the comparison group</p> <p><b>Format:</b> Numeric(8)</p>



Variable	Description
COMP_STD	<p>Standard deviation for continuous variables, percent for dichotomous variables among the comparison group</p> <p><b>Format:</b> Numeric(8)</p>
COMP_S2	<p>Weighted standard deviation used in standardized difference calculation for comparison group</p> <p><b>Format:</b> Numeric(8)</p>
AD	<p>Absolute difference between exposure and comparison group means (for continuous metrics) and proportions (for dichotomous metrics).</p> <p><b>Format:</b> Character(30)</p>
SD	<p>Standardized difference between exposure and comparison group means (for continuous metrics) and proportions (for dichotomous metrics).</p> <p><b>Format:</b> Numeric(8)</p>
EXP_W	<p>Sum of weights among the exposure group. Will equal the number of exposed subjects.</p> <p><u>Note on weights:</u>            With variable ratio matching , each exposed subject is matched to a variable number of comparator subjects. The weight for each treated subject equals 1 (<math>w_i = 1</math> for <math>i = 1, \dots, n_t</math>), the weight for each control subject equals the inverse of the matching ratio for that specific matched set.</p> <p>Suppose there exists a matched set with 4 subjects (“A” from the treatment group and “B”, “C”, and “D” from the control group), then the <math>x</math> value for subject A is weighted by 1 and the <math>x</math> values for subjects B, C, and D are all weighted by 1/3.</p> <p>For exposure group, and for both exposure and comparison groups in 1:1 match, this will equal the number of individuals (since each individual has a weight of 1).</p> <p><b>Format:</b> Numeric(8)</p>
EXP_W2	<p>Sum of weights squared among the exposure group</p> <p><b>Format:</b> Numeric(8)</p>
COMP_W	<p>Sum of weights among the comparison group.</p>

Variable	Description
	<p><u>Note on weights:</u>            With variable ratio matching , each exposed subject is matched to a variable number of comparator subjects. The weight for each treated subject equals 1 (<math>w_i = 1</math> for <math>i = 1, \dots, n_t</math>), the weight for each control subject equals the inverse of the matching ratio for that specific matched set.</p> <p>Suppose there exists a matched set with 4 subjects (“A” from the treatment group and “B”, “C”, and “D” from the control group), then the <math>x</math> value for subject A is weighted by 1 and the <math>x</math> values for subjects B, C, and D are all weighted by 1/3.</p> <p><b>Format:</b> Numeric(8)</p>
COMP_W2	<p>Sum of weights squared among the comparison group</p> <p><b>Format:</b> Numeric(8)</p>

f) `[RUNID]_signature_ps_[COMP_ORDER]_[PERIODID].sas7bdat`

The `[RUNID]_signature_ps_[COMP_ORDER]_[PERIODID]` output table contains metadata associated with the request, including request identifiers, program identifiers, database version, and run time metrics. Table 96 contains specifications for the `[RUNID]_signature_ps_[COMP_ORDER]_[PERIODID]` output table.

**Table 96. `[RUNID]_signature_ps_[COMP_ORDER]_[PERIODID]` Output**

Variable	Description
VAR	<p>Metric name.</p> <p><b>Format:</b> Character(15)</p>
VALUE	<p>Metric value.</p> <p><b>Format:</b> Character(200)</p>

## 2. DPLOCAL Folder

### a) `[RUNID]_matched_[COMP_ORDER]_[PERIODID].sas7bdat`

A SAS dataset is output for multiple factor matching estimation and matching strategy and time period. This is the same matched dataset as the dataset of the same name in *msoc* folder output, except it contains additional variables that may be useful for follow-up queries. The additional variables in this dataset are PATID, INDEXDT, EVENTDT, (patient identifier) and each of the high-dimensional variables selected. This file is always produced regardless of the value of the INDLEVEL parameter.

### b) `[RUNID]_scores_[COMP_ORDER]_[PERIODID].sas7bdat`

A SAS dataset is output for every multiple factor matching estimation and matching strategy and time period. This is the same matched dataset as `[RUNID]_matched_[COMP_ORDER]_[PERIODID]`, except it does not contain match identifiers.